

# Surface Characteristics of Reciprocating Instruments Before and After Use - A SEM Analysis

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The presence of debris, defects and deformations of endodontic reciprocating instruments before and after chemical-mechanical preparation (MCP) was analyzed using scanning electron microscopy (SEM). The following 26 instruments were divided into 2 groups: Waveone (n=13) and Reciproc (n=13) and examined by SEM (150x magnification) prior to canal preparation at 2 and 4 mm from the tip. The instruments were used in the preparation of mesial root canals of 26 extracted human permanent mandibular molars. The instruments were then washed in ultrasonic bath and subjected to new microscopic analysis of debris and deformation by a score that used the presence or absence of irregular edges, grooves, microcavities and burrs as criteria. After the SEM analysis and with the scores of the examiners, the collected data were subjected to descriptive statistical analysis using the Kruskal-Wallis and Mann Whitney test at a 5% significance level. All instruments examined presented debris before and after use. A statistically significant difference was found for defects and deformation between the groups ( $p < 0.05$ ). The presence of defects and deformities was higher in the WaveOne instruments, and Reciproc instruments presented a lower rate.

Key Words: reciprocating motion, nickel-titanium file, microscopy.

## Introduction

An important innovation in the field of dentistry has been the introduction of nickel-titanium alloy instruments in recent years. Superelasticity and shape memory are the main mechanical properties of this alloy, but different manufacturing strategies have been continuously proposed to improve the mechanical properties of Ni-Ti instruments, such as flexibility, resistance to fatigue, fracture and risk of fracture. They include different thermomechanical treatments, changes in the chemical and manufacturing process of the alloy and cross-sectional design. Thus, the instruments made from M-Wire alloy should have greater flexibility and fatigue resistance than those from conventional Ni-Ti instruments (1).

In addition, changes in the modes of endodontic instrument use were also suggested: the WaveOne instruments (Dentsply Maillefer) were designed to work in reciprocating motion and they determine the range of motion of rotation to the right and left, which has been shown to increase service life and fatigue resistance of Ni-Ti instruments (2-6).

The three Reciproc files have regressive taper: R25 (25.08), R40 (40.06) and R50 (50.05). The instruments are made from M-Wire nickel-titanium alloy which presents greater flexibility and cyclic fatigue resistance than the traditional nickel-titanium alloys. They have an S-shaped cross-sectional design. The Reciproc instruments alternate between 150° counterclockwise and 30° clockwise rotation and they are used at 10 reciprocation cycles per second, equivalent to approximately 300 rpm (7,8).

The WaveOne system consists of three instruments made from M-Wire nickel-titanium alloys, called Small (21.06), Primary (25.08) and Large (40.08). They have a modified convex triangular cross-section at the tip and convex triangular cross-section in the middle and coronal portion. The WaveOne instruments alternate between 170° counterclockwise and 50° clockwise rotation (8).

The surface evaluation of new and used Ni-Ti instruments has been previously studied (9,10). However, this is probably one of the few studies reported to date on the evaluation of surface characteristics of the cutting blades of the Reciproc and Waveone reciprocating instruments.

In view of the new reality of the reciprocating systems, it is pertinent to evaluate *in vitro* the presence of debris and surface characteristics of the WaveOne and Reciproc reciprocating Ni-Ti instruments by scanning electron microscopy (SEM) before and after use, contributing to further the knowledge of the characteristics and performance of these instruments.

## Material and Methods

A non-random convenience sample of reciprocating files of WaveOne (Dentsply/Maillefer, Ballaigues, Switzerland) and Reciproc (VDW GmbH, Munich, Germany) brands were used to prepare the root canal of 26 mesial roots of mandibular molars, which were analyzed before and after use. The files were divided into two groups: Group WO - WaveOne and Group R - Reciproc. The design of the study was approved by the Research Ethics Committee of the Federal University of Amazonas, protocol number CAAE

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Twenty-six extracted human mandibular molars were selected with fully formed 16 mm long roots, provided by the tooth bank of the Dental School of the Federal University of Amazonas, Brazil. After preliminary selection, the teeth were numbered to facilitate tabulation of data and then grouped in a strip of utility wax containing 10 teeth.

To calculate the angle and the radius of curvature of the mesial roots according to the method proposed by Schneider (11) and Pruett et al. (12), orthoradial radiographs were taken using occlusal films with 0.5 s exposure time and 10 cm focus-film distance for visualization and measurement of the degree and radius of curvature. On each occlusal film were radiographed 10 teeth. Radiographs were digitized and imported into AutoCad 2012 program for calculations.

To standardize the sample, the length of all teeth was 16 mm, measured by a digital caliper (Mitutoyo, São Paulo, SP, Brazil). The coronal portion of teeth measuring more than 16 mm was cut with a diamond disk (KG Sorensen, São Paulo, SP, Brazil) mounted on a hand piece until the required length was obtained. Access surgery was then performed using a round diamond bur No. 1015 (KG Sorensen) mounted on a high-speed hand piece. Compensatory wear of the mesial wall was carried out with an Endo-Z stainless steel bur (Dentsply/Maillefer), under constant cooling.

To determine the working length (WL), a size 10 K-type file (Dentsply/Maillefer) was introduced into the mesial canals in apical direction until its tip was seen in the foramen. To determine the WL, the file was withdrawn 1 mm from the obtained length. The presence of independent foramina was identified in the mesial canals by inserting size 10 K-type files (Dentsply/Maillefer). Teeth with mesial canals that ended in only one foramen were excluded.

To standardize the anatomic diameter, size 15 K-type files (Dentsply Maillefer) were introduced in the root canal system so that the tip penetrated and fit the apical foramen in WL to define the reciprocating instruments to be used.

#### *Fabrication of Test Specimens*

Prior to the specimen preparation, the apexes of the selected teeth were covered with utility wax so that the acrylic resin did not outflow into the apical foramen.

Each tooth was placed with a Godiva (New DFL, Rio de Janeiro, RJ, Brazil) at the tip of the anatomical delineator (BIOART B2, São Carlos, SP, Brazil) so it was inserted parallel to the mold. Then, some colorless self-curing acrylic resin (VipiFlash, São Paulo, SP, Brazil) was prepared and added to the silicone mold before placing the tooth. After polymerization, the resin blocks were randomly divided into two groups of 13 teeth each.

Twenty-six new instruments (13 WaveOne and 13 Reciproc files) were removed from packaging for

microscopic analysis without any cleaning treatment, because they were sterile. Using required personal protective equipment and clinical tweezers, the operator held the endodontic instruments by the shank in order not to interfere in the results of the experiment and placed them in utility wax in the SEM sample holder. These samples were analyzed by scanning electron microscope (Fei Quanta 250, Toronto, Canada).

Five files were placed on the SEM holder, using one point in instrument shank (groove detail facing up) as a reference for image reading of the cutting blade (active part) of each file, and the second set of images with the groove detail facing downwards so that the active portion of the file could be seen on both sides.

After visualization of each file, the images of the cutting blade (active part) processed and the SEM micrographs were taken at two points: one from 2 mm from the tip of the instrument and other 4 mm from maximum curvature of the root, using standard 150× magnification. For microscopic reading of the instruments, all SEM micrographs were recorded on a CD-ROM and inserted into PowerPoint program for the examiners' observation. After taking the SEM micrographs, the instruments were numbered and stored in closed polypropylene tubes.

#### *Instrumentation of the Root Canal Systems*

All instruments were used in permanent rotation in a VDW Silver electric motor (VDW GmbH) with contra-angle 6:1 reduction (Sirona, Bensheim, Germany) and limited torque. To ensure greater stability of chemical-mechanical preparation, the specimens were mounted on a vise to standardize the instrumentation. Each file was used in a single tooth (mesial canals), according to the manufacturers' recommendations. After the introduction and removal of each instrument, the canals were irrigated with a plastic syringe and 29 gauge NaviTip (Ultradent, South Jordan, UT, USA) using 2 mL of 2.5% NaOCl.

The root canals in Group WO were instrumented with the WaveOne system (Dentsply Maillefer) according to the manufacturer's recommendations. Initially a glide path was established with size 10 K-type files (Dentsply Maillefer) followed by instrumentation with size 15 K-type file in the WL and the Primary 25.08 WaveOne file (Dentsply Maillefer), in accordance with the predefined system of the WaveOne ALL program. The 25.08 WaveOne file was passively introduced using in-and-out pecking motion with a maximum range of 3 to 4 mm; after reaching 3 mm, the instrument was withdrawn and its active tip was cleaned with gauze imbedded in 2.5% NaOCl, and the root canal was aspirated and flooded again. The kinematics was performed at least three times until the WL was reached. A size 10 K-type file was used to verify patency in the WL.

The root canals in Group R were instrumented with Reciproc system (VDW GMBH) according to the manufacturer's recommendations. Initially a glide path was established with size 10 K-type files, followed by instrumentation with size 15 K-type file in the WL and the Reciproc file 25.08 (VDW GmbH) using electric motor in the Reciproc ALL predefined system. The selected instrument was introduced passively using in-and-out pecking motion with a maximum range of 3 to 4 mm; after reaching 3 mm, the instrument was withdrawn and its active tip was cleaned with gauze imbedded in 2.5% NaOCl, and the root canal was aspirated and flooded again. The kinematics was performed at least three times until the WL was reached. A size 10 K-type file was used to verify patency in the WL.

After instrumentation, the files were submitted to thermo-chemical cleaning in an ultrasonic bath (Cristófoli, Campo Mourão, PR, Brazil) using a heating system for 10 min with water/enzymatic detergent Endozime (DFL) at a ratio of 5 mL per liter of water.

After the cleaning process, the instruments were properly dried and stored in closed polypropylene tubes in a dust- and dirt-free environment before next SEM analysis, according to the previously described protocol.

#### Analysis of SEM micrographs

The images obtained before and after instrumentation of the root canals were analyzed by two previously calibrated examiners by intra- and inter-examiner Kappa test (0.92 and 0.91, respectively) to observe any changes and manufacturing failures of the cutting blades. Presence or absence of debris at the examined sites was also considered.

The examiners received a spreadsheet containing the instrument number, the evaluated location and the side of the instrument (detail facing up or down), and the criteria for evaluation of defects and deformation. The images were projected on Power Point, identified by an Arabic numeral (1 to 208).

To establish the scores, the examiners observed and analyzed the images of the files on a computer screen before and after use to identify the presence of irregular edges,

grooves, microcavities and burrs, using the four different scores adopted by Troian et al. (13), namely: 1 - long axis of the file with no superficial defects; 2 - long axis of the file with approximately one to three areas of superficial defects; 3 - long axis of the file with approximately four to five areas of superficial defects; 4 - long axis of the file with more than five areas of superficial defects.

To perform the analysis, the examiners were previously instructed that in case of any doubt between scores, the higher score should be chosen.

Data were analyzed in GraphPad Prism 4.0 (GraphPad Software, La Jolla, CA, USA). Descriptive statistics was used for the results and the Mann-Whitney test for data analysis of debris and the Kruskal-Wallis test for the remaining analyses was used to compare continuous variables between groups. The level of significance was set at 5% for all analyses.

## Results

### Analysis of Debris

Debris were present in all WaveOne and Reciproc instruments [ $26 \pm 0$  (100%)] before and after use ( $p > 0.05$ ).

### Qualitative Analysis of Defects and Deformation of the Instruments

The results of the instruments defects (irregular edges, grooves, microcavities and burr) before and after use are available in Table 1.

The analysis results of the irregular edge defect showed that the WaveOne instruments, prior to use in both assessed lengths presented a larger number of irregular edge defects ( $p < 0.05$ ) compared with the Reciproc instruments.

The results of the groove defect showed that the WaveOne instruments, prior to use in both assessed lengths presented a larger number of defects ( $p < 0.05$ ) compared with the Reciproc instruments. Few microcavity-type defects were found at the two time intervals and lengths assessed in this study ( $p > 0.05$ ).

Statistical analysis of the results of the burr defect showed that, prior to use in both lengths, the WaveOne

instruments presented a larger number of burr defects ( $p < 0.05$ ) compared with the Reciproc instruments. Comparisons between the instruments of the same brand before and after use showed no statistically significant difference for all defects analyzed separately

Table 1. Type of defects observed in the instruments up to 4 mm of their active part, before and after use

Group	Irregular edges		Grooves		Microcavities		Burrs	
	2 mm	2-4 mm	2 mm	2-4 mm	2 mm	2-4 mm	2 mm	2-4 mm
WaveOne (before use)	13	13	12	13	0	0	12	12
Reciproc (before use)	9	7	2	0	1	1	0	0
WaveOne (after use)	13	13	12	11	2	1	11	12
Reciproc (after use)	10	10	2	2	1	0	1	1

\*Distance from the instrument tip.

( $p > 0.05$ ).

### Analysis by the Score of Defects and Deformation

The mean scores of the Reciproc instruments before use at 2 mm from the tip and from 2 to 4 mm, were 1.76 and 1.61, respectively; and the mean scores for the same lengths after use were 1.84 and 1.85, respectively ( $p > 0.05$ ).

The mean values of the WaveOne instruments before use in the classification score, at 2 mm from the tip and from 2 to 4 mm, were 2.85 and 3.0, respectively; the mean values of the lengths after use were 2.54 and 2.69, respectively ( $p < 0.05$ ).

Table 2 indicates the results of mean scores before and after use in the tip up to 4 mm from the active part in the instruments regarding the classification score of defects and deformation.

The mean values of the sample posts were compared two by two and revealed that both evaluated lengths of the WaveOne instruments prior to use presented a larger number of defects and deformation than the Reciproc instruments ( $p < 0.01$ ).

Cross-comparisons between WaveOne and Reciproc instruments at both lengths after use showed that the Reciproc instruments had a significantly better performance ( $p < 0.01$ ) regarding the original physical characteristics.

Figures 1 and 2 are examples of superficial irregularities and debris.

## Discussion

Alongside the improvement of surgical techniques, the available instruments have also been modified to allow better shaping of the root canal, greater elasticity and flexibility, reducing possible deformation of the root canal and providing safety regarding the absence of fracture. The instruments used in this study are for single use, a characteristic that could prevent deterioration of the metal due to prolonged clinical use, which reduces, but does not eliminate, the risk of fatigue or fracture (14,15).

The cumulative effect of multiple clinical uses on the incidence of fatigue fracture of reciprocating instruments have been investigated by different authors. Burklein et

al. (16) showed that single-use Reciproc R25 instrument could be safely used in 4 root canals without fracture, while Gavini et al. (15) reported that these instruments can resist 1787.78 cycles on average, the double than usual, in reciprocating motion before fracture.

Although manufacturers recommend using the Reciproc and WaveOne files in a single tooth, Park et al. (17) and Caballero et al. (18) concluded that the Reciproc files could be used in 5 or 9 root canals, respectively, without causing anatomical deformities. These results should be evaluated with caution as mechanical deformations of the file can reduce its biomechanical efficiency.

The Reciproc system has S-shaped cross-sectional design that occupies the whole active part of the instrument, while in the WaveOne system has radial surface at the tip that changes to a convex triangular shape in the middle. During instrumentation of curved canals, the instruments with a triangular cross-section, greater flexibility, are claimed by the manufacturer to offer greater distribution of stress throughout its length and the lowest concentrations of stress when compared with the S-shaped, square or rectangular cross-sectional design, being less vulnerable to plastic deformations. However, from the findings of the present study, the Reciproc files presented a lower rate of defects and deformations compared with those observed in the cutting blades of the WaveOne file in a previous study (19).

The presence of debris (excess or metal particles) and superficial defects in the cutting edges of new instruments due to machining, as well as defects and deformations in the morphology of the files, have been reported as observed in this study. Chianello et al. (10) observed that no new Ni-Ti instrument was free from imperfections and most presented from 2 to 7 types of surface defects.

It is important to point out that the presence of imperfections found in new instruments may contribute to the deterioration of the instrument or can lead to larger defects (9). The improvement of surface finishing of these instruments could reduce deterioration in multiple uses.

Although the reciprocating instruments used in the present study have been previously sterilized by the manufacturer, all samples presented debris on their cutting blades before and after use. A previous study comparing preoperatively the ProTaper, Waveone and Reciproc instruments showed similar structural defects, confirming that the manufacturing process of Ni-Ti instruments usually results in excessive metal and irregular surface, which are characterized by irregular edges, grooves, burrs and microcavities.

The WaveOne files showed a larger number of defects and deformations (irregular edge, groove, burr), differing statistically from the Reciproc files. These results agree

Table 2. Mean scores attributed before and after instrument use up to 4 mm of their active part

Length/moment	Reciproc	WaveOne	p value
0-2 mm/before use	1.76 ±0.59	2.85±0.38	$p < 0.01$
2-4 mm/before use	1.61±0.50	3.0±0.0	$p < 0.01$
0-2 mm/after use	1.84±0.37	2.54±0.52	$p < 0.01$
2-4 mm/after use	1.85±0.38	2.69±0.63	$p < 0.01$

with those of Pedulla et al. (21) Plotino et al. (22), who demonstrated that the Reciproc files have better physical properties than WaveOne, particularly regarding cyclic fatigue resistance. Fatma and Ozgur (20) also observed postoperatively more irregular surfaces in the Primary WaveOne instrument. This fact could also be further

evidenced by the higher mean scores obtained when analyzing the defects and deformations before and after use of the Primary WaveOne instruments, which showed one to five areas with defects and deformations when compared with the Reciproc files.

With respect to the mean scores, it was observed that the

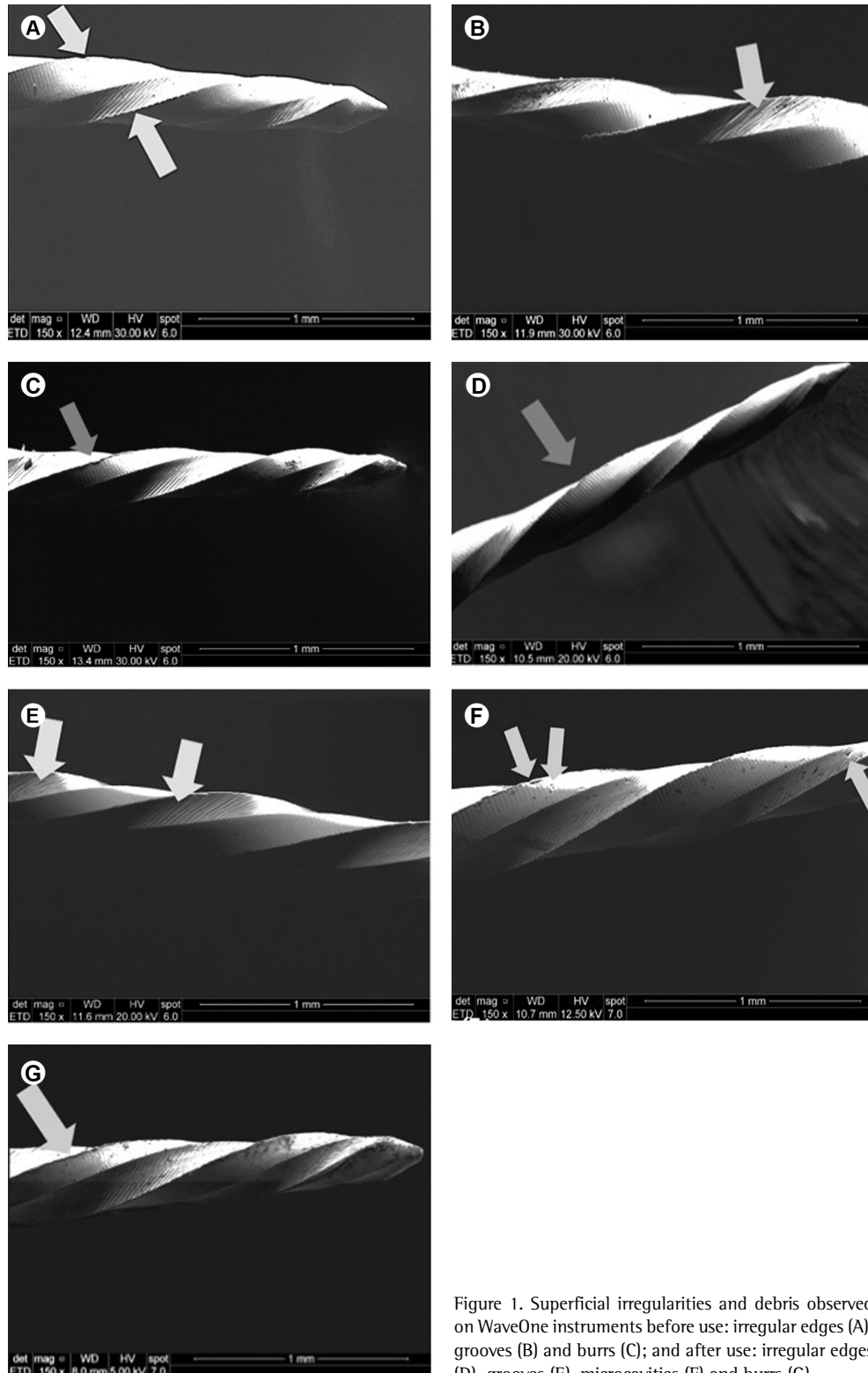


Figure 1. Superficial irregularities and debris observed on WaveOne instruments before use: irregular edges (A), grooves (B) and burrs (C); and after use: irregular edges (D), grooves (E), microcavities (F) and burrs (G).

scores of both lengths increased after the Reciproc files were used, although no statistical significance was found. As for the WaveOne files, the mean scores decreased and this may be attributed to the fact that the reciprocating systems move back and forth, increasing contact time of the instrument's cutting blades with the dentin walls, which by itself determines wear of blades (14,23), thus producing possible wear of the irregular edges and burrs seen prior to use. This reinforces the manufacturer's recommendation to use each instrument in a single molar and then discard it.

None of the 26 evaluated endodontic instruments presented fracture, different from observed by Kim et al. (8). Caballero et al. (18) observed fractures in Reciproc R25 files soon after the 12th use. Probably fracture did not occur in the present study because the instruments were used only

once, as recommended by the manufacturers, and because preparation was performed by a single operator, a specialist in endodontics with experience in reciprocating systems.

The operator's experience seems to influence the fracture resistance of the instrument, although a recent study showed that the level of experience of the operator seems to have no effect on the life of the Primary WaveOne file during preparation of curved root canals (24).

Based on these findings, it may be concluded that all researched reciprocating files showed debris before and after instrumentation of the root canal system, and that WaveOne files showed more defects and deformations than the Reciproc files before and after use in the two investigated lengths.

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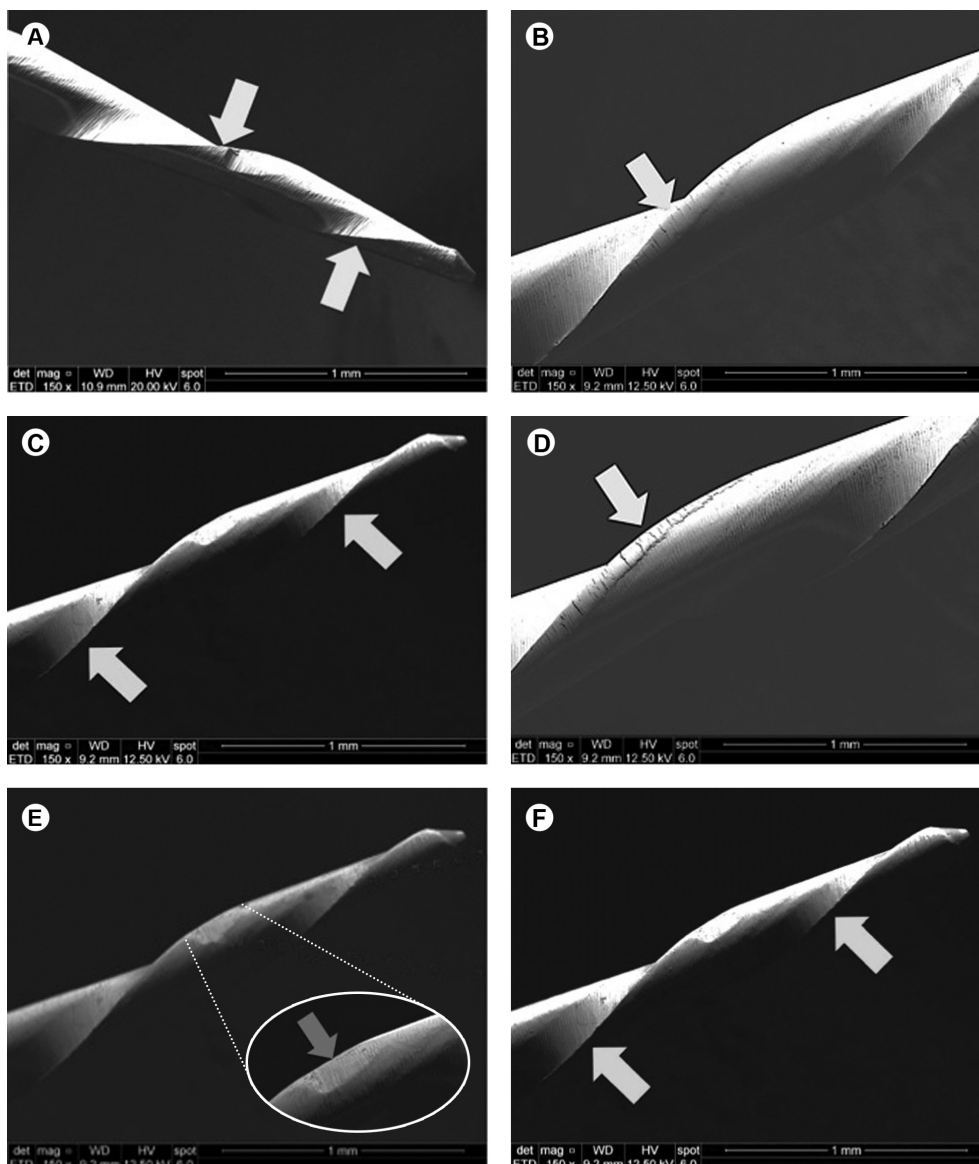


Figure 2. Superficial irregularities and debris observed on Reciproc instruments before use: irregular edges (A) and grooves (B); and after use: irregular edges (C), grooves (D), microcavities (E) and burrs (F).

## Resumo

Analisou-se por meio de microscopia eletrônica de varredura) MEV, a presença de debris, defeitos e deformações dos instrumentos endodônticos recíprocos antes e após o preparo químico-mecânico (PQM). Vinte e seis instrumentos foram divididos em 2 grupos: WaveOne (n=13) e Reciproc (n=13), eletromicrografados previamente ao PQM com aumento de 150x, a 2 e 4 mm da ponta. Os instrumentos foram utilizados no preparo de canais radiculares mesiais de 26 molares permanentes inferiores humanos extraídos. Após, foram lavados em cuba ultrassônica e submetidos a nova análise microscópica para visualizar detritos e deformações tendo como critérios um escore que avaliou a presença ou não de borda irregular, ranhura, microcavidade e rebarba. Após análise em MEV e de posse dos escores dos avaliadores, os dados coletados foram submetidos à análise estatística descritiva pelos testes de Kruskal-Wallis e Mann Whitney, ao nível de significância de 5%. Todos os instrumentos analisados apresentaram detritos antes e após o uso. Houve diferença estatisticamente significativa quanto a defeitos e deformações entre os grupos ( $p < 0,05$ ). A presença de defeitos e deformações foi maior nos instrumentos WaveOne, enquanto que para os instrumentos Reciproc tal índice foi menor.

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