Effects of humidity on the electrical properties of thermal inkjet-printed films of copper tetrasulfonated phthalocyanine (CuTsPc) onto paper substrates

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Received: 29 October 2014/Accepted: 4 December 2014/Published online: 12 December 2014 © Springer Science+Business Media New York 2014

Abstract The effects of humidity on the electrical properties of thermal inkjet-printed copper tetrasulfonated phthalocyanine (CuTsPc) films onto paper substrates are reported. DC electrical measurements revealed that sample resistance decreases from $10^{12}~\Omega$ in moderate vacuum to approximately $10^7~\Omega$ at highly water-saturated atmosphere. This behavior is attributed to the creation of water pathways on the cellulose fibers that allow ionic species such as impurities, protons (H⁺), and dissociated Na⁺ ions from the CuTsPc molecules, to flow. The contribution of the CuTsPc molecules and their dissociated Na⁺ ions on the sample resistance was elucidated analyzing the electrical response of bare and printed paper substrates. An increase of relative humidity levels (RH) from 10 % to 40–45 %

revealed an increase of current of four orders of magnitude for printed samples, whereas less than one order of magnitude was registered for bare paper substrates. Electrical measurements as a function of temperature have shown that moisture can inhibit the semiconducting properties of CuTsPc. Thermal gravimetric studies revealed that approximately 11 % of mass accounting to the presence of water is released above 70 °C, the same temperature in which the sample recovers its dry-state semiconducting behavior. The semiconducting properties of CuTsPc can also be observed upon white light illumination, whereas the charge carrier extraction is governed by the RH level. These results point out the important role of humidity on the electrical properties of paper-based organic, electronic, and optoelectronic devices.

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Introduction

Metallophthalocyanines (MPcs) are organic macrocyclic semiconductors that have been used in several electronic devices such as light-emitting diodes [1, 2], photovoltaic cells [3–7], and field-effect transistors [8–10]. In these applications, MPcs are usually deposited as thin films by thermal evaporation with very precise control since molecular organization plays an important role on the film electrical properties [11, 12]. However, the current trend of organic electronics toward the production of large area and low-cost devices from solution-processable materials using printing techniques might restrict the use of MPcs due to their low solubility in many common organic solvents [13– 17]. Hence, the use of substituted phthalocyanine derivatives such as water-soluble tetrasulfonated phthalocyanines (TsPc) represents a very attractive alternative for printed electronics.

Nevertheless, despite the good perspectives for TsPcbased electronics, the number of reports related to this topic is rather limited [6, 18–23], principally involving the production of devices using printing methods [22, 23]. Most of applications exploiting the water solubility of TsPc molecules are based on layer-by-layer (LbL) assembly for sensors [19, 24-26]. LbL has also been used to fabricated TsPc-based field-effect transistors [18] and organic solar cells [19], whereas other deposition strategies include the electrodeposition of copper TsPc-based films for dye-sensitized solar cells [6] and spin coating of nickel, aluminum, cobalt, zinc, and copper TsPcs for organic field-effect transistors [21, 27]. Regarding the publications using printed TsPcs, Lian et al. produced memory devices using copper TsPc and poly(3,4-ehthylenedioxythiophene:polystyrene sulfonate) (PEDOT:PSS) onto plastic substrates [22], whereas Kim et al. reported the preparation of several TsPcs inks for color filters in liquid crystal displays [23].

An interesting and novel strategy for printed electronics relies on the deposition of electronic materials onto paper substrates [28–30]. The use of paper as a platform for device manufacturing, known as paper electronics [28–30], is very attractive due to several properties offered by paper substrates [29]. Paper is by far the cheapest available flexible substrate [29] (paper is around 20 times cheaper than polyethylene terephthalate—PET, for example), it is highly available in variety of forms, mechanically robust, it can be easily cut and folded, and it is also environmentalfriendly [28, 29]. Depending on the envisioned application other characteristics exhibited by paper as opacity, chemical and thermal stability, weightlessness, and porosity can also be explored. Due to all advantages offered by paper electronics, many reports in literature deal with the fabrication of paper-based organic devices for energy storage [31–33], as transistors [34–38], photodiodes [39], and solar cells [40]. However, just a few articles describe the production of paper-based organic devices using printing technologies [32, 36, 37]. To the best of our knowledge, the fabrication of TsPc-printed devices onto paper substrates is one of the issues on paper electronics that has not been exploited yet.

To fill this gap and to address more information about the feasibility of TsPc-based printed devices, we report the thermal inkjet printing of copper tetrasulfonated phthalocyanine (CuTsPc) onto paper substrates and discuss the effects of humidity on sample electrical properties. The use of printing methods, in specific the thermal inkjet one, is attractive due to the possibility to use desktop printers for real cost-effective device fabrication [41]. Although this method has, as the main limitation, the use of inks with specific properties [41, 42] (viz., viscosity, boiling point, and surface tension), water-soluble CuTsPc molecules have shown to be suitable candidates for the fabrication of

electronic devices. Moreover, the well-known compatibility between inkjet desktop printers and paper sheets allows rapid device prototyping at low cost.

In this work, we describe the preparation and printing of a CuTsPc-based ink onto paper substrates and the evaluation of sample electrical characteristics under different humidity conditions. The sample overall electrical response was attributed to ionic and electronic contributions from CuTsPc molecules and the paper itself. Current–temperature measurements and complementary thermogravimetric (TGA) and differential scanning calorimetric (DSC) analyses revealed the occurrence of two phenomena involving the entrapment of water molecules within the CuTsPc structure, which affects its semiconducting properties. The sample electrical response was analyzed also under illumination and its photoconductive properties are discussed.

Experimental details

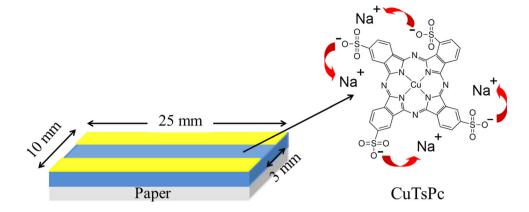
CuTsPc ink preparation and printing

The inkjet printing of electronic materials using commercial desktop printers demands the use of solutions with properties (viz., surface tension, viscosity, and boiling point) similar to those of standard inks [41]. For this purpose, we prepared CuTsPc aqueous solutions (20 mg mL⁻¹) dissolving copper phthalocyanine-3,4',4",4"'-tetrasulfonic acid tetrasodium salt powder, $M_{\rm W}$ 984.25 g mol⁻¹ and dye content 85 % (Sigma-Aldrich Ltd), in a mixture of water and ethylene glycol with a ratio of 2:1 (v:v). The resulting ink was left in a magnetic stirrer for 30 min followed by sonication during 15 min to assist its solubilization. Finally, the CuTsPc ink was carefully filtered using a PTFE syringe filter (pore size of 0.45 µm).

Before receiving the CuTsPc ink, the printer cartridge (Hewlett-Packard number 21) was opened and the original ink, internal sponge, and filter were removed. The cartridge was cleaned with n-methyl-2-pyrrolidone (NMP), isopropyl alcohol, and deionized water (1:1:1 v:v:v) and left in ultrasonic bath for 20 min to remove all residues. The samples were designed as $10 \times 25 \text{ mm}$ strips using Microsoft Word® software. The printing parameters were set to RGB (0,0,0), at the lowest speed and maximum printing quality, which means the largest number of pixels per inch that can be achieved by the printer. Successive printings (1–10 prints) of CuTsPc were carried out using a commercial Hewlett-Packard Deskjet 2460 printer onto bond paper substrates at a fixed position. Lastly, gold electrodes (70 nm-thick) were thermally evaporated at the sample edges, 3 mm spaced each other. The final sample architecture and the chemical structure of CuTsPc are depicted in Fig. 1.



Fig. 1 Inkjet-printed CuTsPc on paper substrates: sample dimensions and CuTsPc molecular structure



Electrical and thermal characterization of printed CuTsPc films

The influence of different relative humidity (RH) levels on the electrical properties of thermal inkjet-printed CuTsPc films onto paper was investigated by means of currentvoltage (I-V) and current-time (I-t) measurements in dark and upon white light exposure. Electrical measurements were carried out using a Keithley 617 source meter unit in a home-made sample holder under moderate vacuum $(\sim 10^{-1} \text{ Torr})$, with RH at approximately 10 %, 40–45 %, and at high moisture content. Low RH levels (~10 %) were achieved adding silica gel beads into the sample holder, whereas high RH level was obtained using an opened water-filled vial in the sample holder. Measurements at medium RH levels (40-45 %) were performed exposing the sample to the laboratory atmosphere and monitoring the room humidity using a thermo hygrometer. Prior to the electrical characterization in vacuum and RH ~ 10 %, the sample was left overnight in the presence of silica for drying. For other RH values the waiting time was approximately 4-5 h.

To elucidate the humidity effects on the electrical properties of CuTsPc films, thermogravimetry (TGA) and differential scanning calorimetry (DSC) analyses were performed. The TGA was carried out with a TGA Netzsch equipment (model 209), heating the CuTsPc powder (5 mg) in alumina crucible using purified nitrogen gas (15 mL min⁻¹) at a heating rate of 10 °C min⁻¹ from 27 to 700 °C. The DSC measurements were carried out using a Netzsch, DSC 204—Phoenix in alumina crucible with lid pierced at the center. The heating rate 10 °C min⁻¹ was employed over a temperature range of -140 °C at 500 °C in inert N₂ atmosphere with a flow of 25 mL min⁻¹.

Current-time measurements upon light exposure were performed using a commercial fluorescent lamp bulb (white light 23 W) interspaced from sample by a 2 cm-thick transparent acrylic window to avoid thermal effects from the bulb. I-t currents were registered during 15 min

of illumination applying 0.5 V DC bias at RH approximately 10 % and 40–45 %.

Results and discussion

The humidity effects on the electrical properties of inkjet-printed CuTsPc onto paper were initially investigated as a function of the number of prints. To that I–V measurements (in dark) were performed sweeping DC bias from -1.0 to +1.0 V for samples containing from 1 to 10 prints of CuTsPc over the same position on the paper substrate. The sample resistance was calculated from Ohm's law and the result is shown in Fig. 2.

From Fig. 2a one can observe that resistance decreases progressively from $10^{12} \Omega$ (in vacuum) to $10^7 \Omega$, independently of the number of prints, as the RH levels increase up to water-saturated atmosphere. This behavior is consistent with the exponential decay of cellulose resistivity as a function of RH reported by Murphy [43]. As water molecules adsorbs to hydroxyl groups of the cellulose fibers, a water pathway is created and H⁺ ions and ionic species from impurities can flow [29]. Though, the charge transport within paper is not completely understood and comparisons about their electrical characteristics must be careful because cellulose-based materials are very different in composition and can also present anisotropic properties [29]. Figure 2a also shows rather constant resistances for different number of prints. A detailed inspection in the obtained values (for RH $\sim 45\%$) revealed a decay in resistance for the first five prints followed by a constant trend as the number of prints further increases, as illustrated in Fig. 2b. This effect occurs for all tested conditions (result not shown). The inkjet printing of CuTsPc covers progressively the paper fibers causing a decrease in the sample electrical resistance due to the semiconducting properties of phthalocyanine molecules [44, 45] but also to the addition of water and dissociated Na⁺ ions from the CuTsPc ink into the paper. The constant



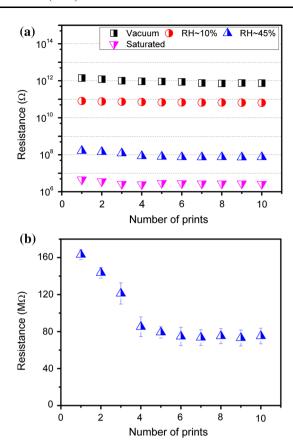


Fig. 2 Electrical resistance of CuTsPc-printed samples **a** as a function of the number of prints for different humidity levels and **b** as a function of the number of prints for RH $\sim 40-45$ %

behavior of the resistance values after the fifth print suggests that each printing step further increases the fibers coverage and make the ink penetrates deeper into the paper volume. After the fifth print the fiber capability to absorb ink reaches a limit and ink uptake only occurs within deeper regions in the paper that do not contribute to the sample overall electrical response.

To distinguish the contributions from paper and CuTsPc on the sample electrical response in the presence of moisture, we performed current–time measurements (in dark) on CuTsPc-covered (5 prints) and uncovered paper. Measurements were carried out applying 0.5 V for 10,000 s while exposing the sample to a rapid increase of humidity (from approximately 10 % to 40–45 %). These results are shown in Fig. 3.

At RH approximately 10 %, CuTsPc-covered and uncovered paper exhibited current values of 10^{-11} A in agreement with results shown in Fig. 2. The sudden raise of RH to \sim 40–45 % provokes an increase of current in both samples. For bare paper the current change is rather small (less than 1 order of magnitude), whereas CuTsPc-covered paper showed current variations of 4 orders of magnitude. The response time (τ) of CuTsPc-covered and uncovered

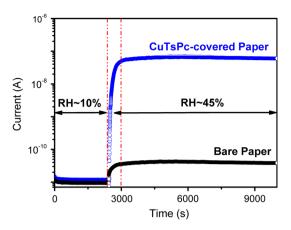


Fig. 3 Current-time response (in dark) of CuTsPc-covered (5 prints) and uncovered paper upon RH change from 10 to 45 %

paper toward moisture absorption was estimated fitting a first-order exponential curve (data not shown), whereas $\tau = 400$ and 480 s, respectively. The similarity between τ values suggests that water uptake is dominated mainly by the paper, as expected. The slightly smaller values of τ for CuTsPc-printed paper can be related to the swelling of fibers caused by the printing procedure, which can make moisture flowing faster inwards the paper [29]. The most relevant information from Fig. 3 is that humidity interacts with CuTsPc and plays some role on its electrical conductivity. The electronic conductivity of MPcs is known to be dependent on the presence of atmospherical chemical species, mainly oxidizing and reducing gases [46-49]. Molecular oxygen (O₂), for instance, has been assigned to promote coordinative interactions with phthalocyanine metal centers, which withdraw its electrons to generate positive charge carries (holes) in the material bulk [50]. Otherwise MPcs are insulating in high vacuum and dark environments [46, 51]. Regarding humidity effects, Bohrer et al. observed electrical current changes on cobalt and metal-free phthalocyaninebased chemiresistors due to the device exposure to water vapor, whereas Karimov and co-workers registered capacitance changes on copper phthalocyanine-coated electrodes for different RH values [51, 52]. According to Bohrer et al. vapor molecules in general interact at phthalocyanine free sites but can also compete for O₂-bound sites [51, 53–55] and Karimov et al. expect that water molecules can act as p-type dopants in MPcs similar to the O₂ effect [52]. Harbeck et al., using quartz crystal microbalance sensors and Fourier transform infrared (FTIR) spectroscopy, observed that water sorption in fact occurs at the core of substituted MPcs as well as weak hydrogen bonds in N-H groups of metal-free phthalocyanines [54]. Concerning sulfonatesubstituted MPcs, Jarota et al. identified possible sites of interaction for water in aluminum-chloride TsPc using infrared absorption and Raman spectroscopies [53]. These



sites include the phthalocvanine metal center, the nitrogen atoms in the macrocycle, and the sulfonyl substituents [53]. Jarota et al. also highlight the importance in understanding the mechanisms of interaction between the water and phthalocyanine macrocycles and its effect on the electronic, conduction, and charge transfer states of MPcs. Therefore, in addition to a possible water doping effect in MPcs, sulfonate-substituted MPcs are usually salts or acids then one can expect a strong dependence of TsPcs conductivity on humidity due to dissociation of ionic groups. Here, as we use CuTsPc, dissociated Na⁺ ions are deposited during material printing and moisture assist their flow across the sample, similarly to the effect described for ionic impurities within bare paper. However, for CuTsPc-printed paper this effect is more pronounced due to the large amount of deposited Na⁺ ions (4 ions for each CuTsPc molecule).

The humidity effects on the electrical properties of CuTsPc-printed paper substrates were also investigated measuring the sample resistance as a function of temperature, as illustrated in Fig. 4. The measurements were carried out in moderate vacuum, RH approximately 10 % and 40–45 % for temperatures ranging from 30 to 150 °C.

From Fig. 4, one can observe that resistance decreases as the temperature rises from 30 to 150 °C in vacuum and at RH ~ 10 %. Here, the typical insulating behavior of MPcs in vacuum is not observed since it requires the presence of high vacuum environments. The electrical response of CuTsPc-printed films on paper is more suitable to the behavior of semiconducting materials in which an increase of temperature promotes charge carriers to the conduction band and thus reduces the sample resistance. Here, the resistance values acquired in vacuum are shifted downwards for measurements taken at RH ~ 10 % characterized by the same slope for a linear fit (data not shown). This suggests that resistance changes from vacuum to RH ~ 10 % are just related to the water content within the sample and not to additional phenomena. For RH

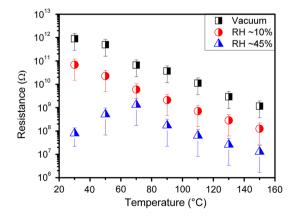


Fig. 4 Electrical resistance of CuTsPc-printed samples (5 prints) as a function of temperature for different RH levels

~40–45 % resistance initially increases but starts to fall above 70 °C. At this humidity level the electrical current is dominated by ionic transport, the rise of temperature in the range 30–70 °C possibly disturbs the ionic mobility, increasing slightly the sample resistance. At 70 °C water starts to be removed from the sample and the dry-state semiconducting behavior of CuTsPc is recovered. This statement is supported by TGA, its first derivative (DTG) and DSC curves of the CuTsPc powder shown in Fig. 5.

From Fig. 5, TGA/DTG curves revealed a mass loss of approximately 11 % corresponding to the peak at 67 °C and the shoulder at 132 °C. The DSC curve indicates that an endothermic event is occurring at 93 °C for such peak and at 131 °C for the shoulder, which are related to water removal from the CuTsPc. This is the water content that affects the electrical properties of CuTsPc. Additional water molecules (1.1 % of mass) strongly interacting with the CuTsPc moiety are released up to approximately 400 °C. Beyond this temperature the organic structure of CuTsPc starts to degrade, which corresponds to DTG maximum at 500 °C [56]. This event extends up to 650 °C and corresponds to a mass loss of 67 %.

The electrical properties of inkjet-printed CuTsPc samples were also evaluated by current–time measurements upon white light illumination at RH approximately 10 % and 40–45 %, as shown in Fig. 6. Prior to the light exposure the sample was held at 0.5 V to allow the current to reach relatively constant values since truly steady-state currents in paper-based devices can occur in a timescale of several hours [29].

As the sample is illuminated, one can observe an increase of current for both RH levels. The initial current variation provided by the light exposure is similar for the two conditions (current peak equal to 1.45 % of the current values in dark). Afterward, the initial current decays and the sample response behaves differently according to the RH. It is well-known that MPcs and their derivatives show intrinsic photoconductivity and photovoltaic effects [3, 45,

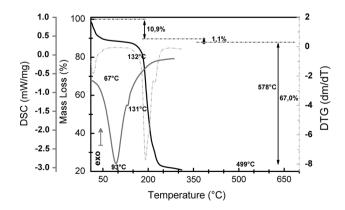


Fig. 5 Thermal analyses of CuTsPc powder. TGA (black), DTG (light gray) and DSC (dark gray) curves



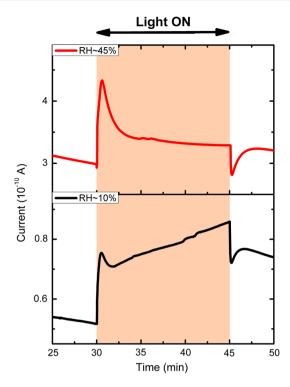


Fig. 6 Current-time response of CuTsPc-printed samples (5 prints) upon white light exposure for RH 10 and 45 %

57]. Here, the sample photocurrent increases continuously at RH ~ 10 %, whereas a steady-state behavior is reached for RH 45 % (Fig. 6). These two situations can be illustrated as a charging capacitor in which the area under the curve corresponds to the stored charge. Indeed, Karimov et al. observed capacitive changes on non-substituted CuPc-covered electrodes and exploited such effect in sensing devices [52]. From Fig. 6, one can observe also that once the light is turned off the current decreases and recovers its initial in-dark drift. At higher moisture content (RH $\sim 40-45$ %) the ionic conductivity prevails in the sample and charge storage is not effective as for measurements taken in RH 10 %. Based on the work of Nam et al. to explain the photocurrent response of heme proteinbased diodes [58, 59], we believe the same model can be taken here to explain the electrical response of CuTsPcprinted films on paper, shown in Fig. 6. For Nam et al. the protein heme group acts as a semiconductor that absorbs light and produces excitons, whereas the protein amino acid surroundings are intrinsically insulating and represent a potential barrier for the photogenerated charges. Here, the semiconducting CuTsPc molecules are embedded into paper and photogenerated electron-hole pairs (excitons) are confined by the paper insulating fibers. However, the insulating characteristics of paper fibers is strongly affected by RH, thus depending the humidity levels the extraction photogenerated charges can be more or less effective. Therefore, to exploit the semiconducting properties of CuTsPc on paper-based electronics one has to consider the strong influence of humidity on the device electronic and optoelectronic properties.

Conclusions

In the present work, we demonstrate the feasibility of the thermal inkjet printing of CuTsPc films onto paper substrates and the effects related to humidity on the sample electrical properties. DC measurements revealed that sample resistance decreases sharply from $10^{12} \Omega$ in moderate vacuum to approximately $10^7 \Omega$ at highly water-saturated atmosphere. The sample electrical response was attributed to electronic charge carriers from the CuTsPc molecules and ionic species such as paper impurities and Na⁺ ions dissociated from CuTsPc molecule. The strong dependence of the sample resistance on RH levels is related mainly to its ionic conduction. Additionally, resistance values decrease as the number of prints increase from 1–5, followed by a constant behavior as the number of prints further rises. This effect might be related to the ink penetration into the paper that reaches a limit on its absorptivity. The effects of humidity on the electrical properties of CuTsPc were also investigated as a function of temperature. From this analysis, we observed the semiconducting properties of CuTsPc arise above approximately 70 °C that corresponds to water release (11 % of mass) from the sample, as corroborated by TGA/ DTG and DSC measurements. Upon white light illumination, the semiconducting properties of CuTsPc molecules can be also observed regardless of humidity, whereas the charge carriers extraction is governed by the RH level. The results presented here show that electronic and optoelectronic applications exploiting the semiconducting properties of CuTsPc in paper electronics must take into account effects from the presence of humidity.

Acknowledgements The authors thank FAPESP and INEO (Brazil) for the financial support.

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