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Potassium fertilization increases water-use efficiency for stem biomass production without affecting intrinsic water-use efficiency in *Eucalyptus* grandis plantations



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ABSTRACT

Adaptive strategies to improve tree water-use efficiency (WUE) are required to meet the global demand for wood in a future drier climate. A large-scale throughfall exclusion experiment was set up in Brazil to study the interaction between water status and potassium (K) or sodium (Na) availability on the ecophysiology of *Eucalyptus grandis* trees. This experiment focused primarily on the changes in aboveground net primary production, stand water use, phloem sap and leaf δ^{13} C, net CO₂ assimilation and stomatal conductance. The correlations between these response variables were determined to gain insight into the factors controlling water-use efficiency in tropical eucalypt plantations. The intrinsic WUE in individual leaves (the ratio of net CO₂ assimilation to stomatal conductance) was estimated at a very short time scale from the leaf gas exchange. Sap flow measurements were carried out to assess the WUE for stemwood production (the ratio of wood biomass increment to stand water use).

Averaged over the two water supply regimes, the stemwood biomass 3 years after planting was 173% higher in trees fertilized with K and 79% higher in trees fertilized with Na than in trees with no K and Na addition. Excluding 37% of the throughfall reduced stemwood production only for trees fertilized with K. Total canopy transpiration between 1 and 3 years after planting increased from about 750 to 1300 mm y⁻¹ in response to K fertilization with a low influence of the water supply regime. K fertilization increased WUE for stemwood production by approx. 60% with or without throughfall exclusion. There was a strong positive correlation between phloem sap δ^{13} C and short-term leaf-level intrinsic WUE. Whatever the water and nutrient supply regime, the gas exchange WUE estimates were not correlated with WUE for stemwood production. The allocation pattern in response to nutrient and water supply appeared to be a major driver of WUE for stemwood production. In areas with very deep tropical soils and annual rainfall <1500 mm, our results suggest that breeding programs selecting the eucalypt clones with the highest growth rates tend to select the genotypes with the highest water-use efficiency for wood production.

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1. Introduction

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In the future, climate changes are likely to result in a reduction in rainfall in most tropical regions where fast-growing tree plantations have been established (IPCC, 2013; Hawkins and Sutton,

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2012), which will affect tree growth and patterns of water use (Wu et al., 2011). As high productivity implies high levels of water use, these planted forests are likely to be particularly affected by severe drought periods (Allen et al., 2010). Given the general increase in world demand for wood products (FAO, 2014), adaptive strategies providing both high growth potential and tolerance to water deficit are urgently needed to manage productive planted forests. Throughfall exclusion experiments using plastic panels to prevent a percentage of the total canopy throughfall from reaching the soil have been undertaken to examine the tree response to drought in temperate (e.g. Hanson et al., 2001) and tropical forests (e.g. da Costa et al., 2010). However, large-scale throughfall exclusion experiments have never been carried out in tropical planted forests to study the physiological adjustment mechanisms of trees in response to a combination of nutrient availability and water stress (Wu et al., 2011).

Tree water-use efficiency (WUE) is a critical parameter used for evaluating tree performance and exploring options for saving water (Monclus et al., 2006; Cernusak et al., 2007; King et al., 2013; Lévesque et al., 2014). Greater understanding of the mechanisms driving tree WUE is required for adapting management practices in areas subject to water shortage. Water-use efficiency is a conceptually simple parameter that, in general terms, defines the ability of the ecosystem to capture carbon and produce biomass as a function of water use. Measuring WUE is, however, methodologically challenging because this trait can be estimated in various ways and on various spatial and temporal scales (Hsiao, 1973; Binkley et al., 2004; Ripullone et al., 2004; Seibt et al., 2008). At a very short time scale, the intrinsic WUE in individual leaves (WUE_i, the ratio of the net CO₂ assimilation, A, to the stomatal conductance, g_s) can be calculated using measurements of the instantaneous gas exchange through the leaves (Osmond et al., 1980). Leaf WUE can also be estimated at longer time scale using the isotope signature of the carbon incorporated in the leaves over their deployment (leaf δ^{13} C, proxy of integrated CO₂ assimilation over stomatal conductance), assuming a strong positive correlation between leaf $\delta^{13}C$ and WUE; as observed in cereals (Farguhar and Richards, 1984) and trees (Ponton et al., 2002; Monclus et al., 2006; Cernusak et al., 2007). However, the time series of leaf $\delta^{13}C$ cannot be used on its own as a reliable indicator of changes in plant WUE without independent estimates of gas exchange and environmental conditions occurring over leaf construction (Seibt et al., 2008). The phloem sap δ^{13} C (proxy of crown CO₂ assimilation over tree water use) has been used as an integrative indicator of short term changes (few last days) in WUE at canopy level (Cernusak et al., 2003, 2007, 2013; Keitel et al., 2003, 2006; Merchant et al., 2010; Rascher et al., 2010). As phloem sugar concentration has been found to be closely correlated with phloem sap δ^{13} C, phloem sugar concentration has been proposed as a reliable surrogate for phloem sap δ^{13} C and, therefore, for WUE in eucalypt trees (Tausz et al., 2008). At stand scale, water-use efficiency for stemwood production, defined as the ratio of stemwood biomass increment to water transpired over the same period, is a highly integrative indicator taking into account all events occurring during biomass accumulation (Law et al., 2002). Because intrinsic WUE in individual leaves and WUE to produce wood may respond differently to environmental conditions (Lindroth and Cienciala, 1996; Binkley et al., 2004; Niu et al., 2011), WUE must be studied at various scales to provide more information on the sources of spatio-temporal variations.

WUE is implicitly sensitive to environmental conditions and consequently to environmental changes. Water and nutrient availability strongly affect growth as well as resource use efficiency and biomass partitioning in planted forests (Binkley et al., 2004; Stape

et al., 2004; Beer et al., 2009; White et al., 2014). An increase in resource availability is likely to increase tree productivity and WUE for wood production by shifting the A:g_s ratio in favor of A (increasing WUE_i) and/or by shifting biomass partitioning to aboveground tree components (Litton et al., 2007; Ryan et al., 2010). Whereas a water deficit often leads to an increase in WUE_i through stomatal closure (Bréda et al., 2006; Ainsworth and Long, 2005), it tends to lead to a decrease in WUE for wood production by increasing the fraction of the CO₂ assimilated that is allocated to the roots (Litton and Giardina, 2008; Franklin et al., 2012). Olbrich et al. (1993) showed that large differences in WUE for wood production among four Eucalyptus grandis clones growing at the same site in South Africa were the result of differences in growth rates rather than transpiration rates. However, there are few comprehensive field studies combining measurements of WUE at different scales with different water supply regimes in tree plantations (White et al., 2009a, 2014; Albaugh et al., 2013). Wavs of improving tree WUE in areas subject to water deficit must be found, which requires a quantitative understanding of the physiological responses to water stress (Dvorak, 2012; Marguerit et al., 2014). Nutrient supply may improve WUE in plants subject to water shortages (Cakmak, 2005). Potassium (K) and sodium (Na) fertilizations increased WUE_i in cacao plants (Gattward et al., 2012) and olive trees (Erel et al., 2014). Although high concentrations of salt in soils significantly reduce the yields of agricultural crops (Munns, 2005), Na may replace K for various physiological functions (Wakeel et al., 2011; Kronzucker et al., 2013; Erel et al., 2015). In a E. grandis plantation on highly weathered tropical soils, K and Na supply increases tree growth, wood production, leaf gas exchange and stomatal sensitivity to water deficit of trees (Battie-Laclau et al., 2014a,b), and reduces the fraction of carbon allocated belowground (Epron et al., 2012). Na supply alleviates the functional and structural limitations on CO₂ assimilation rates in E. grandis trees growing in K-deficient soils (Battie-Laclau et al., 2014b), as also shown recently for olive trees (Erel et al., 2014). K and Na supply might, therefore, be an appropriate means of improving WUE for wood production where there is a shortage of water. However, the effects of K and Na availability on the mechanisms controlling WUE for wood production have yet to be determined.

Within the *Eucalyptus* genus, the highly productive *E. grandis* species is most commonly planted worldwide in moist, warm subtropical regions (Harwood, 2011). This study set out to gain insights into the effects of K and Na availability on the WUE of *E. grandis* trees under contrasting water supply regimes. We tested the hypotheses that (1) fertilizations that increase tree growth increase WUE for stemwood production by increasing both intrinsic WUE (the ratio of the net CO₂ assimilation to the stomatal conductance in individual leaves) and aboveground biomass partitioning for stemwood production, and (2) WUE at leaf and canopy levels can be predicted from leaf and phloem sap δ^{13} C, as well as from sugar concentrations in phloem sap. However, the first hypothesis was only tested for the control treatment and K-fertilized trees as sap flow and consequently, WUE for wood production, were not measured for Na-fertilized trees.

2. Materials and methods

2.1. Study area

The experiment was conducted at the Itatinga Experimental Station of the University of São Paulo in Brazil (23°02′S; 48°38′W). Over the previous 15 years, the mean annual rainfall was 1360 mm. The mean monthly temperature was 15 °C during

the dry season from June to September, and 25 °C during the rainy season from October to May. The time series for the daily rainfall, vapor pressure deficit (VPD) and soil water content over the study period can be found in Battie-Laclau et al. (2014b).

The experimental site was located on a hilltop (slope <3%) at an altitude of 850 m. The soils were very deep ferralsols (>15 m) on Cretaceous sandstone, Marília formation, Bauru group, with a clay content ranging from 14% in the A₁ horizon to 23% in deep soil layers. The mineral content was dominated by quartz, kaolonite and oxyhydroxides and the soil was acid (pH between 4.5 and 5). Exchangeable K and Na concentrations were on average 0.02 cmol_c kg⁻¹ in the upper soil layer and <0.01 cmol_c kg⁻¹ from 5 cm to 1500 cm (Laclau et al., 2010).

2.2. Experimental design

A split-plot experimental design was set up in June 2010 with a highly productive *E. grandis* clone used in commercial plantations by the Suzano Company (Brazil). There were six treatments (three types of nutrient supply × two water regimes) replicated in three blocks. The whole-plot factor was the water supply regime ("exclusion" plots, -W, *vs* "exclusion-free" plots, +W) and the split-plot factor was the fertilization regime (control, C; Na fertilization, +Na; K fertilization, +K). The treatments were:

C–W: control, without K and Na fertilization, and ${\sim}37\%$ of throughfall excluded,

+Na–W: 0.45 mol Na m^{-2} applied as NaCl, and ${\sim}37\%$ of throughfall excluded,

+K–W: 0.45 mol K m⁻² applied as KCl, non-limiting in terms of the availability of K for tree growth (Almeida et al., 2010), and \sim 37% of throughfall excluded,

C+W: control, without K and Na fertilization, and no throughfall exclusion,

+Na+W: 0.45 mol Na m^{-2} applied as NaCl and no throughfall exclusion,

+K+W: 0.45 mol K $\rm m^{-2}$ applied as KCl and no throughfall exclusion.

The individual plots were 864 m² in area, with 144 trees per plot at a spacing of 2 m × 3 m. One plot for each treatment was 50% bigger to allow destructive sampling without disturbing tree growth in the inner plots where measurements were taken. The KCl and NaCl fertilizations were applied in a single dose 3 months after planting. All the plots in the experiment were fertilized with other nutrients when the trees were planted (3.3 g P m⁻², 200 g m⁻² of dolomitic lime and micro nutrients) and after 3 months (12 g N m⁻²). Field trials at the study site and in nearby areas on the same type of soil showed that the amounts of N, P, Ca, Mg and micronutrients applied in this experiment were not limiting for tree growth (Laclau et al., 2009; Gonçalves et al., 2008). The Na content of the KCl fertilizer was 1.0% and the K content of the NaCl fertilizer was 0.05% (<15 mg K m⁻² applied in the NaCl fertilizer).

Throughfall was partially excluded in the –W plots from September 2010 onwards, using panels made of clear greenhouse plastic sheets mounted on wooden frames at heights varying between 1.6 and 0.5 m. Plastic sheets covered 37% of the area in the –W plots and the throughfall exclusion amounted to ~450 mm y⁻¹ in the –W plots. The time series of soil water content down to a depth of 6 m reported by Battie-Laclau et al. (2014b) showed that throughfall exclusion significantly decreased soil water storage. A scaffold tower was used to access the crown of four trees in the central part of each plot (18 towers in total in the 3 blocks).

2.3. Biomass sampling

Tree height and circumference at breast height (CBH) were measured every 6 months on 36 trees within each plot (excluding a minimum of three buffer rows). The aboveground biomass was estimated at 11, 23 and 35 months by sampling eight trees representative of the range of cross-sectional areas in each treatment. The trees were separated into compartments: leaves, live branches, dead branches, stemwood (diameter > 2 cm at the thinner end) and stem bark. The diameters, lengths and weights were measured in the field. Subsamples were taken from all the compartments and dried until they were at constant weight. The dry biomass of each compartment in each tree was calculated proportionally to the subsamples. The foliage biomass was determined for each sampled tree by weighing all the leaves in the field and randomly subsampling 90 leaves (30 leaves from the upper, middle and lower thirds of the crown). The subsamples were then weighed again after oven drying at 65 °C for 48 h to estimate the water content for each crown section. The total tree leaf biomass was obtained by summing the leaf biomass of each of the three crown sections. The dry weight of each compartment i of tree i, $B_{i,i}$, was modeled as:

$$B_{i,j} = c_j + b_j X_i^{d_j} + \varepsilon_{i,j} \tag{1}$$

where X_i is the independent variable (either D^2 or D^2H ; D and H were, respectively, the diameter at breast height and the total tree height); c_j , b_j , and d_j are the parameters to be estimated, and $\varepsilon_{i,j}$ the residual errors not explained by the models. Observations were assumed to be uncorrelated: trees in the same stand were selected as far as possible from each other to reduce potential competition between them. Eq. (1) was fitted for each age and treatment ($R^2 > 0.9$ for most cases) using the PROC NLP procedure in SAS (see Sicard et al., 2006 for more details about the fitting steps and procedure).

Allometric equations were defined for each compartment and age and applied to the plot inventories to estimate the biomasses per hectare. The aboveground net primary production (ANPP) was assessed during the first three years after planting as the sum of the increase in aboveground biomass and the litterfall. Litterfall was collected monthly in all the plots, oven-dried at 65 °C and weighed. There were six litter-traps (52×52 cm) in each plot in each of the three blocks (18 litter-traps per treatment) at various distances from the trees to give a representative assessment of the litterfall. Only leaves were collected because dead branches and bark only began to fall during the fourth year after planting. Annual leaf net primary production (leaf NPP) was estimated in each plot summing the difference in leaf biomass between two successive years with the leaf litterfall over the same period.

2.4. Leaf water potential

The predawn leaf water potential (Ψ_{pdwn} , a proxy for soil water potential in the wettest soil layers explored by roots) of the four trees accessed by scaffold tower within each plot (72 trees in total) was measured monthly on sunny days (between 05:00 and 07:00) using a nitrogen pressure chamber (PMS Instrument Company, Albany, OR, USA). Measurements were taken on one fully expanded leaf per tree (approximately two months old) in the upper third of the canopy, on the northern side of each tree (12 leaves per treatment). Diurnal measurements of the leaf water potential (Ψ_w) were taken between 07:00 and 18:00 (five to six measurements throughout the day for all treatments in block 1) on one fully expanded leaf per tree in the upper third of the canopy, on the northern side (full sun) of each tree (four leaves per treatment and per measurement) on sunny days at 295 (2011 rainy season), 468 (2011 dry season), 666 (2012 rainy season) and 821 (2012 dry season) days after planting.

2.5. Leaf $\delta^{13}C$

Forty-eight fully expanded leaves approximately two months old (four leaves from each of the four trees accessed by scaffold towers in each plot) were collected between 17:00 and 18:00 for each treatment on the northern side in full sun in the upper third of the crown at 10, 16, 22 and 28 months. The leaves were ovendried at 65 °C until they reached constant weight and ground (one composite sample per plot at each sampling date) for chemical and isotopic analysis. The dried material was milled to 1 mm and then ashed at 550 °C. The leaf δ^{13} C was determined by continuous flow isotope ratio mass spectrometry (CF-IRMS), using a Thermo Delta Plus mass spectrometer (Finnigan MAT, Bremen, Germany) coupled to a Carlo Erba NC2500 elemental analyzer (CE Instruments Milan, Italy). Certified reference samples were used to check the quality of the analyses. For all plant samples, the results were expressed as parts per mil (%) difference from the Pee Dee Belemnite standard and calculated as follows:

$$\delta^{13}C = \frac{({}^{13}C/{}^{12}C \text{ sample} - {}^{13}C/{}^{12}C \text{ standard})}{{}^{13}C/{}^{12}C \text{ standard}} 10^3$$
(2)

2.6. Leaf gas exchange measurements, WUE_i calculation

The leaf gas exchange (net CO₂ assimilation and stomatal conductance) was measured monthly on two-month-old fully expanded leaves, sampled from the north side of the canopy and in the upper third of the crown of the four trees per plot accessed by scaffold tower (in block 1). The leaf gas exchange was measured on one leaf per tree between 12:00 and 14:00 on sunny days (four leaves per treatment) at the same time as the $\Psi_{\rm ndw}$ on adjacent leaves on the same branches. The midday net CO₂ assimilation (A_{midday}) and stomatal conductance ($g_{smidday}$) were measured at a photosynthetic photon flux density (PPFD) of >1300 μ mol m⁻² s⁻¹ (>93% of the PPFD for light-saturated photosynthesis in *E. grandis* at the study site), at a near constant ambient CO₂ concentration ($C_a \approx 380 \ \mu mol \ mol^{-1}$) using a portable gas exchange system (LI-COR 6200, LI-COR Inc., Lincoln, NE, USA) and a 1149 cm³ chamber equipped with a LI-COR quantum sensor. The midday intrinsic WUE (WUE_i) was calculated as the ratio of $A_{\rm midday}$ to $g_{\rm smidday}$.

The diurnal time course of leaf gas exchange was needed to study the relationship between mean values of intrinsic WUE in the leaves (measured at midday every month) and WUE for wood production (photosynthesis and transpiration integrated over long periods). Diurnal measurements of leaf gas exchange were taken at the same time as the diurnal measurements of Ψ_w (295, 468, 666 and 821 days after planting) on adjacent leaves on the same branches between 07:00 and 18:00 (five to six measurements throughout the day in all treatments in block 1) on one fully expanded leaf per tree (four leaves per treatment and per measurement).

2.7. Collection of phloem sap and determination of total sugars concentration and $\delta^{13}{\rm C}$

Phloem sap was collected routinely from a series of horizontal incisions as described by Pate and Arthur (1998) in six trees selected at random in each plot. Bulk samples of 20–40 μ l were collected between 16:00 and 18:00, using a series of two or three cuts around the trunk at approx. 1.3 m above ground level. Sap droplets were collected using a micropipette. The total sugar

concentration in the phloem sap was measured immediately upon collection using a temperature-compensated hand refractometer calibrated in the range 0–32% (w/v) sucrose (Pate and Arthur, 1998). The rest of the sap sample was kept in 1.5-ml Eppendorf vials and frozen rapidly in a portable refrigeration unit. Samples were then filtered through disposable 0.2 μ m Millipore filters and stored at –20 °C before δ^{13} C analysis by CF-IRMS. The samples were lyophilized and analyzed using a Thermo Delta Plus mass spectrometer (Finnigan MAT, Bremen, Germany) coupled to a Carlo Erba NC2500 elemental analyzer (CE Instruments Milan, Italy). The δ^{13} C was taken to be an integrative indicator of WUE (Farquhar et al., 1989). The analytical precision was ±0.1‰ (standard deviation for 20 replicate samples).

2.8. Stand transpiration and WUE for stemwood production

A preliminary study was carried out for 18 *E. grandis* trees to establish a calibration equation for the heat dissipation sensors (Granier, 1985). Three trees of different canopy classes (suppressed, intermediate and dominant) were sampled 18, 29, 45, 54, 65 and 72 months after planting. The 18 trees were fitted with three sap flow sensors (length 2 cm) for about one week, then cut at the bottom of the trunk (under water) and immediately placed in a bucket. The sensors were calibrated using the time series for the tree transpiration measured as the change in the water level inside the buckets over 4–6 days after cutting and the sapwood area measured by dye injection in the same trees. This preliminary study made it possible to define a single calibration equation for all the sampled trees (slope = 0.97 and R^2 = 0.94 between predicted and measured values of tree transpiration, Delgado-Rojas et al., 2010).

The sap flow density (v, g H₂O m⁻² sapwood s⁻¹) was measured from July 2011 to June 2013 in 10-13 trees in each treatment (C+W, +K+W, C-W and +K-W) throughout the range of crosssectional areas. Each tree was fitted with a sensor $\sim 0.2 \text{ m}$ above the ground when it was 1 year old. The sap flow sensors were protected from external temperature variations and water intrusion by a reflective foil. All the sensors were moved to \sim 1.3 m above the ground when the trees were 1.7 years old. The sensor output voltage was recorded every 30 s and the average value was stored every 30 min (CR1000 dataloggers and AM16/32 multiplexers, Campbell Scientific Inc., Logan, UT, USA). All the sensors were checked every 1-3 weeks and replaced if out of range readings were recorded or if they were physically damaged. The sensors were moved to newly drilled holes in another position round the trunk (selected at random) within 3 months after installation to prevent the sap flow being underestimated owing to fast tree growth. The sap flow density was calculated using the calibration equation determined in the preliminary study described above (Delgado-Rojas et al., 2010). The sapwood area \sim 1.3 m above the ground was determined by injecting dye into 8 trees felled at 1, 2 and 3 years of age in each treatment (a total of 96 trees) and the allometric relationships between the tree CBH and sapwood area were determined for each treatment and each age and applied to the trees being studied. The estimated sap flow for each tree was calculated as the product of the sap flow density and the sapwood area estimated from successive measurements of CBH over the study period. The sensors were assumed to measure the instantaneous sap velocity integrated over the average sapwood thickness of each tree.

For each tree fitted with a sap flow sensor in each treatment, the relationship between the daily total transpiration (dependent variable) and CBH^2 (independent variable) was determined by linear regression (adapted from Kunert et al., 2012). Missing values (4–9% depending on the treatment) were estimated to give a minimum of 6 values for tree transpiration per treatment and per

day when there were sensor failures, based on regression between a simple estimate of daily evapotranspiration (ET₀; Allen et al., 1998) and measurements of daily transpiration for the same tree before and after sensor failure. The mean R^2 values for all the regressions between daily total transpiration and CBH² over the study period were between 0.70 and 0.75 for the 4 treatments. These regressions were then used to estimate the daily stand transpiration from the CBH of all the trees in each inner subplot (linearly interpolated between 3 and 4 measurements of CBH per year).

The WUE of wood production was calculated annually in each inner subplot as the stemwood biomass produced divided by the total amount of water transpired over the same period.

2.9. Data analysis

Mixed-effect models were used to test the effects of water supply, fertilization, stand age, and the interactions between water supply and fertilization, water supply and tree age, and fertilization and stand age (as fixed effects) on annual aboveground net primary production (ANPP), annual leaf production, annual stemwood production, percentage of stemwood in the annual ANPP, phloem sap δ^{13} C, leaf δ^{13} C, annual stand transpiration and WUE for stemwood production. Blocks and water supply × block were considered as random effects to take account of the split-plot design. The repeated measures option was used for tree age and the residuals were modeled by a first-order autoregressive correlation model to account for the correlations between sampling dates. Linear and non linear regressions were fitted using Proc reg and Proc nlin, respectively (SAS v.9.2, Cary, NC, USA). A value of 0.05 was used as the level of significance for all tests.

3. Results

3.1. Aboveground net primary production (ANPP)

Na and K fertilization increased the ANPP over the study period by a factor of 1.5 and 2.2, respectively (Fig. 1a). A significant interaction between the water supply regime and fertilization showed that applying K and Na was less effective at increasing ANPP in -W plots than in +W plots (Table 1). The effects of fertilization on the annual ANPP increased with the age of the trees (there was a significant interaction between fertilization and tree age). The effects of throughfall exclusion on the ANPP also increased with tree age (the interaction between the water supply regime and tree age was significant). The effects of fertilization and the water supply regime on the leaf production increased with tree age (Fig. 1b). However, the differences between treatments were much less pronounced for the leaf production than for the ANPP and the stemwood production. The stemwood production was affected more by the fertilization regime than by throughfall exclusion, for all tree ages (Fig. 1c). The stemwood production increased from $0.12 \text{ kg m}^{-2} \text{ y}^{-1}$ during the first year after planting to $0.85\ \text{kg}\ \text{m}^{-2}\ \text{y}^{-1}$ in the third year in C plots, but increased from 0.26 kg m^{-2} y^{-1} in the first year to 2.38 kg m^{-2} y^{-1} in the third year in +K plots, averaged over the two water supply regimes. The fraction of ANPP partitioned to stemwood production increased from 22% in the first year after planting, to 50% in the second year and 75% in the third year on average for all treatments (Fig. 1d). The partitioning of ANPP to stemwood production was higher in fertilized plots with a significant interaction between fertilization and tree age. By contrast, the effect of throughfall exclusion on the partitioning of ANPP to stemwood production was low during the first 3 years after planting.

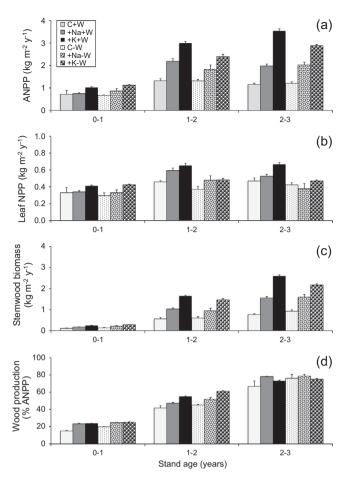


Fig. 1. Aboveground net primary production (ANPP) (a), leaf net primary production (b), stemwood production (c) and proportion of wood production in annual ANPP (d) in *Eucalyptus grandis* stands. C, +Na and +K refer to the Control, Nafertilized and K-fertilized plots respectively. +W and -W refer to undisturbed rainfall and exclusion of 37% of throughfall, respectively. Vertical bars indicate standard errors between blocks (n = 3).

3.2. Stand water use

The total annual canopy transpiration over the second and third years after planting was 739 mm y⁻¹ in C+W plots, 1351 mm y⁻¹ in +K+W plots, 774 mm y⁻¹ in C–W plots and 1278 mm y⁻¹ in +K–W plots (Fig. 2), showing that K fertilization had a strong effect whereas the water supply regime had a weak or moderate effect, except for the +K–W treatment during the drought of the second year (Appendix 1). While K fertilization multiplied the total canopy transpiration by 1.7 for both water supply regimes over the study period, throughfall exclusion had little effect on canopy transpiration. The predawn leaf water potential reached a minimum of -2 MPa in +K–W plots, at the end of the second dry season (Appendix 1). In dry periods, the canopy transpiration was reduced earlier in +K–W than in +K+W plots, but this effect was not seen in –K plots.

3.3. Water use efficiency for stemwood production

While K fertilization increased WUE for stemwood production by 60% over the second and third years after planting, throughfall exclusion had no significant effect (Fig. 3a and Table 1). Throughfall exclusion reduced WUE for stemwood production only in +K plots (the interaction between the water supply regime and K fertilization was significant). WUE for stemwood production ranged from

Table 1

Effects of fertilization regime (Fert: control, supply of Na, supply of K), water supply regime (W: undisturbed rainfall vs 37% of throughfall exclusion), stand age (Age), interaction between fertilization and water supply (Fert × W), fertilization regime and stand age (Fert × Age), and water supply and stand age (W × Age) on annual aboveground net primary production (ANPP), annual leaf net primary production (NPP_{ieaf}), annual stemwood net primary production (NPP_{stemwood}), percentage of wood in the annual ANPP (%P_W in ANPP), phloem sap δ^{13} C and leaf δ^{13} C, annual stand transpiration and WUE of stemwood production (WUE_p) for *Eucalyptus grandis* trees in a split-plot design. *** and *** indicate significant effects at *P* < 0.05, 0.01 and 0.001, respectively.

	Fert	W	$\text{Fert}\times W$	Age	$Fert \times Age$	$W\timesAge$
ANPP	F _{2.36} = 188.36***	$F_{1,2} = 8.05$	F _{2,36} = 4.77*	F _{2.36} = 327.21***	F _{4,36} = 37.41***	F _{2.36} = 6.89**
NPP _{leaf}	$F_{2.36} = 17.84^{***}$	F _{1.2} = 25.50*	$F_{2,36} = 0.96$	$F_{2.36} = 46.55^{***}$	$F_{4.36} = 2.82^*$	$F_{2.36} = 7.47^{**}$
NPPstemwood	$F_{2,36} = 198.13^{***}$	$F_{1,2} = 1.14$	$F_{2,36} = 5.11^*$	$F_{2,36} = 1031.49^{***}$	$F_{4,36} = 84.95^{***}$	$F_{2,36} = 2.48$
%P _w in ANPP	$F_{2,36} = 20.76^{***}$	$F_{1,2} = 12.36$	$F_{2,36} = 1.64$	F _{2,36} = 1057.55***	F _{4,36} = 7.99***	$F_{2,36} = 0.24$
Phloem sap δ ¹³ C	$F_{2,106} = 0.08$	F _{1,2} = 48.83*	F _{2,106} = 4.34*	$F_{7,106} = 205.04^{***}$	$F_{14,106} = 6.40^{***}$	F _{7,106} = 11.47***
Leaf δ^{13} C	$F_{2.50} = 6.60^{**}$	$F_{1,2} = 9.24$	$F_{2,50} = 0.07$	$F_{3.50} = 78.40^{***}$	$F_{6,50} = 1.21$	F _{3.50} = 3.04*
Transpiration	$F_{1,13} = 255.62^{***}$	$F_{1,2} = 0.30$	$F_{1,13} = 2.39$	F _{1,13} = 10.90**	F _{1.13} = 6.90*	F _{1.13} = 9.90**
WUE	$F_{1,13} = 823.67^{***}$	$F_{1,2} = 2.03$	F _{1,13} = 34.94***	$F_{1,13} = 64.81^{***}$	F _{1.13} = 17.91***	$F_{1.13} = 1.87$

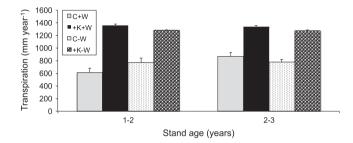


Fig. 2. Total annual canopy transpiration in the second and the third years after planting the *Eucalyptus grandis* trees. C and +K refer to the Control and K-fertilized plots, respectively. +W and -W refer to undisturbed rainfall and exclusion of 37% of throughfall, respectively. Vertical bars indicate standard errors between blocks (n = 3).

0.8 to 1.2 kg of stemwood m⁻³ of transpired water in the second year after planting and from 0.9 to 1.9 kg m⁻³ in the third year, depending on the treatment. WUE for stemwood production was about 50% higher in the third year after planting than in the second year in +K plots. The mean annual values of intrinsic WUE (WUE_i, the ratio of the net CO₂ assimilation to the stomatal conductance in the leaves) were not correlated with WUE for stemwood production (Fig. 3b). The mean annual WUE_i values were close to 40 µmol CO₂ mol⁻¹ H₂O for all water supply and fertilization regimes, and were not correlated with the stand ANPP (Fig. 3c).

3.4. Phloem sap $\delta^{13}C$ and leaf $\delta^{13}C$

There was a strong positive correlation between the phloem sap δ^{13} C and the total sugar concentrations in the phloem sap (Fig. 4a), as well as between the phloem sap δ^{13} C and WUE_{*i*} (Fig. 4b). The phloem sap δ^{13} C depended more on the season than the leaf δ^{13} C over the study period (Fig. 4c). Phloem sap δ^{13} C values were highest during the dry periods for all the treatments (467 and 830 days after planting). The effect of the treatments on the δ^{13} C values was different for the leaves and phloem sap. Throughfall exclusion increased the phloem sap δ^{13} C, but its effect on leaf δ^{13} C was not significant (Table 1). Fertilization increased the leaf δ^{13} C but had no significant effect on the phloem sap δ^{13} C.

3.5. Diurnal variations of leaf water potential, g_s , A and WUE_i,

The leaf water potential (Ψ_w) decreased early in the morning to reach minimum values at midday with a slow recovery in the afternoon (Fig. 5). The sharpest decrease in Ψ_w during the morning occurred for trees with K- and Na-fertilization during the dry seasons. Although the fertilization and water supply regimes strongly influenced A and g_s during the rainy season, WUE_i was little

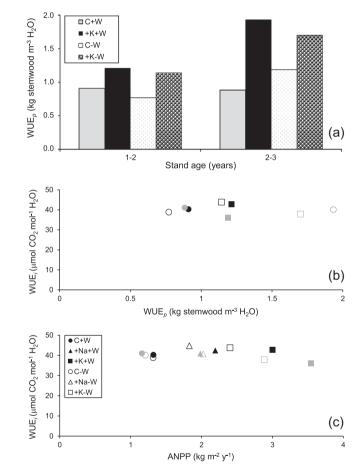


Fig. 3. Water-use efficiency for stemwood production (WUE_p, ratio of stemwood biomass accumulation to stand water use) in the second and the third years after planting the *Eucalyptus grandis* trees (a), relationship between mean intrinsic midday water-use efficiency (WUE_i, ratio of instantaneous net CO₂ assimilation rate to stomatal conductance) and water-use efficiency for stemwood production (WUE_p) (b) and between mean intrinsic water-use efficiency (WUE_i) and above-ground net primary productivity (ANPP) (c), in the second (black symbols) and third (gray symbols) year after planting the *Eucalyptus grandis* trees. C, +Na and +K refer to the Control, Na-fertilized and K-fertilized plots, respectively. +W and –W refer to undisturbed rainfall and exclusion of 37% of throughfall, respectively. WUE for stemwood production was not estimated in +Na +W and +Na–W because the sap flow was not measured.

affected. Fertilization had little effect on *A* and g_s during the dry season but reduced WUE_{*i*}. WUE_{*i*} remained steady during the day on all the measurement dates, except during the driest period (end of the 2012 dry season) where WUE_{*i*} at midday was low in the most water-deficient treatments (+Na–W and +K–W) and high

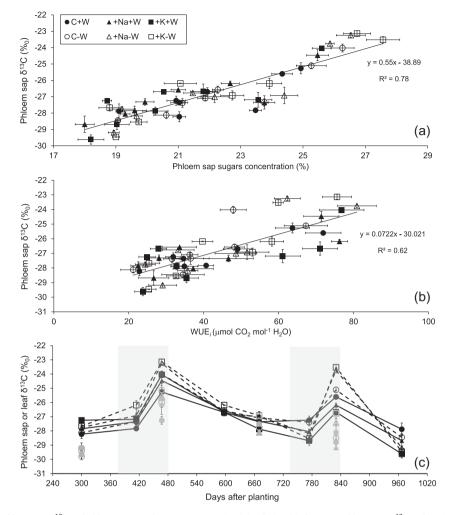


Fig. 4. Relationship between phloem sap δ^{13} C and phloem sap total sugar concentration (a), relationship between phloem sap δ^{13} C and intrinsic midday water-use efficiency (WUE_i, ratio of instantaneous net CO₂ assimilation rate to stomatal conductance) (b), and time series of phloem sap δ^{13} C (black symbols) and leaf δ^{13} C (gray symbols) (c) in *Eucalyptus grandis* trees. C, +Na and +K refer to the Control, Na-fertilized and K-fertilized plots, respectively. +W and –W refer to undisturbed rainfall and exclusion of 37% of throughfall, respectively. Vertical and horizontal bars indicate standard errors between blocks (*n* = 3). The shaded areas represent the dry season (July–September).

in the other treatments. Both WUE_i values and the diurnal range of WUE_i were higher during the dry seasons than during the rainy seasons, for all treatments.

3.6. Seasonal variations of predawn leaf water potential and midday WUE_i

Midday WUE_i depended strongly on the season with a sharp increase during dry periods (Fig. 6a). There was a strong correlation between A and g_s for all measurements ($R^2 = 0.89$) showing that the midday WUE_i was not affected by the water supply and fertilization regimes (Fig. 6b). The midday WUE_i as well as the slope of the correlation between A and g_s (dA/dg_s) decreased rapidly with increasing g_s . WUE_i was strongly correlated to predawn leaf water potential (Fig. 7a) as well as VPD (Fig. 7b), irrespective of the water supply and fertilization regimes.

4. Discussion

4.1. Effects of fertilization and throughfall exclusion on tree growth

K, and to a lesser extent Na supply, increased stemwood production. Previous studies in this experimental setup showed that gross primary production (GPP) was also greatly increased by K and Na fertilization as a result of lower stomatal and mesophyll resistance to CO_2 diffusion and higher photosynthetic capacity in the leaves (Battie-Laclau et al., 2014a), as well as an increase in total leaf area and light use efficiency (Christina et al., 2015). K fertilization has been shown to increase leaf area and ANPP in K-deficient soils and replacing K by Na in some physiological processes, which has been studied for several decades in C₄ species (Maathuis, 2014), has been recently shown to be possible for some C₃ species such as cacao (Gattward et al., 2012), eucalypts (Battie-Laclau et al., 2013, 2014a) and olive trees (Erel et al., 2014). Studies have also demonstrated increases in sugar maple crown vigor and growth in response to cation fertilization (Moore and Ouimet, 2006; Bal et al., 2015).

Throughfall exclusion reduced the beneficial effect of K fertilization on the aboveground net primary production. *E. grandis* trees cope with drought by reducing their total leaf area (Whitehead and Beadle, 2004; le Maire et al., 2011), which reduces light absorption and consequently whole tree carbon assimilation (Christina et al., 2015). K-fertilized trees were more affected by drought than K-deficient trees with lower LAI and *g*_s, values and consequently lower tree growth rates and lower water demand than K-fertilized trees (Battie-Laclau et al., 2014b). Increased growth of *E. globulus* trees in response to N fertilization was also associated with an increase in water stress during dry periods

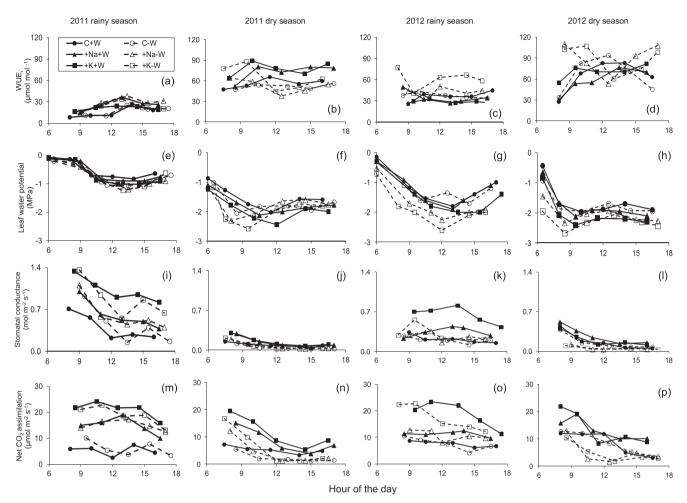


Fig. 5. Diurnal changes of intrinsic water-use efficiency (WUE_i, ratio of instantaneous net CO₂ assimilation rate to stomatal conductance) (a–d), leaf water potential (e–h), stomatal conductance (i–l) and net CO₂ assimilation rate (m–p) of *E. grandis* trees 295 (2011 rainy season), 468 (2011 dry season), 666 (2012 rainy season) and 821 (2012 dry season) days after planting. Each value is the mean of four measurements made on fully expanded leaves on sunny days. C, +Na and +K refer to the Control, Na-fertilized and K-fertilized plots, respectively. +W and –W refer to undisturbed rainfall and exclusion of 37% of throughfall, respectively.

(White et al., 2009b, 2014). Strong positive correlations between biomass production, tree water requirements and soil water availability are well documented (Brando et al., 2008; Muller et al., 2011; Lemoine et al., 2013). Consequently, supplying nutrients to maximize tree growth may increase tree water requirements and lead to water shortage in severe drought. In this experiment, the effect of throughfall exclusion on wood production in the first two years of the experiment might have been reduced as fine roots were able to access large quantities of water stored in deep soil layers (Christina et al., 2011; Laclau et al., 2013). However, deep soil water stocks rapidly decreased in the +K plots as the stand aged (Battie-Laclau et al., 2014b), affecting tree growth in the third year after planting.

In addition to a direct effect of fertilization regimes on physiological mechanisms that are dependent on K and Na, instant leaf photosynthesis might also be indirectly influenced by N and P nutrition. However, N and P concentrations in fully expanded young leaves were lower in +K–W plots than in the other treatments at most of the sampling dates (data not shown), whereas instant leaf photosynthesis was much higher in +K–W than in C plots (Battie-Laclau et al., 2014b), which suggests that soil N and P availability was adequate in all the treatments. The amounts of N and P applied were similar in all the treatments and the high ANPP values for the third year after planting in +K+W plots compared to other eucalypt plantations in Brazil (Ryan et al., 2010) confirm that soil N and P availability was not limiting for tree growth in this experiment (Gonçalves et al., 2008; Laclau et al., 2009).

4.2. Mechanisms accounting for an increase in WUE for stemwood production in response to K fertilization

The study partially confirmed our first hypothesis. The various treatments affected WUE for stemwood production through changes in allocation patterns but short-term leaf-level WUE_i was not affected. The strong effect of K fertilization on WUE for stemwood production resulted from a greater increase in wood production than in water use. The lack of correlation between annual values of WUE for stemwood production and WUE_i indicates that most of the variability in WUE for stemwood production comes from mechanisms occurring after the phase of leaf gas exchange. There are at least three reasons for the lack of a connection between short-term leaf-level WUE_i and annual WUE for stemwood production: (1) maintenance of a fairly constant intercellular CO₂ concentration (and little difference in photosynthesis/stomatal conductance over most of the operating range), (2) large differences in leaf area between the control and +K or +Na treatments giving them a much higher canopy photosynthesis, and (3) changes in the partitioning of canopy photosynthesis between wood and other tree components. There

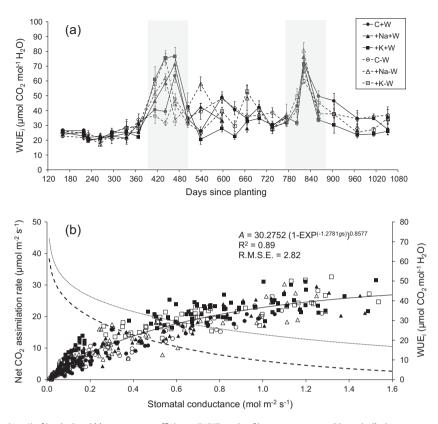


Fig. 6. Mean and standard errors (n = 4) of intrinsic midday water-use efficiency (WUE_i, ratio of instantaneous net CO₂ assimilation rate to stomatal conductance) throughout the study period (a), and relationship between carbon assimilation rate and stomatal conductance for all the leaves measured in the experiment (b). The shaded areas in Fig. 6a represent the dry season (July–September). C, +Na and +K refer to the Control, Na-fertilized and K-fertilized plots, respectively. +W and –W refer to undisturbed rainfall and exclusion of 37% of throughfall, respectively. The solid line in Fig. 6b is the best fit between *A* and g_s , the thin dotted line shows dA/dg_s the rate of carbon gain per unit increase in stomatal conductance, and the thick dotted line shows A/g_s (WUE_i, µmol mol⁻¹).

was a significant increase in the fraction of ANPP allocated to wood production in response to fertilization. Leaf longevity is about twice as high in K-fertilized than in K-deficient E. grandis trees whereas the total leaf production does not change, leading to much higher LAI and ANPP values in K-fertilized trees (Laclau et al., 2009; Epron et al., 2012). The lower proportion of ANPP flowing to foliage production in K-fertilized than in Kdeficient trees helps to increase GPP partitioning to wood NPP. An adjacent experiment at the study site showed that K and Na fertilization decreases the proportion of GPP allocated belowground in E. grandis plantations (Epron et al., 2012). Total belowground carbon fluxes (the sum of root production, root respiration, and mycorrhizal production and respiration) from 4 to 6 years after planting accounted for 31% of GPP in K-deficient trees and only 21-23% in K- and Na-fertilized trees. K and Na fertilization significantly increased the fraction of GPP allocated to stemwood NPP in this experiment. There is growing evidence that management practices that increase GPP in eucalypt plantations also increase the fraction of GPP allocated to wood NPP by decreasing the fraction of GPP allocated belowground. This pattern was also shown in response to fertilization (NPK and micronutrients) in Eucalyptus saligna plantations in Hawaï (Giardina et al., 2003), as well as in response to irrigation in clonal Eucalyptus plantations at four sites in Brazil (Ryan et al., 2010). Similarly, the fraction of GPP allocated to stem NPP increased across a gradient of productivity in a commercial E. grandis plantation in Brazil (Campoe et al., 2012). WUE for stemwood production in our study ranged from 1.1 to 1.9 kg of stemwood m^{-3} of transpired water for K-fertilized trees, which is consistent with other estimates in eucalypt plantations (Stape et al., 2004).

4.3. Effects of water and nutrient supply regimes on WUE_i and on δ^{13} C

In agreement with our second hypothesis, phloem δ^{13} C values as well as sugar concentrations in phloem sap (and to a lesser extent leaf δ^{13} C) were good predictors of WUE_i. E. grandis trees responded to water shortage during dry periods by increasing the leaf WUE (i.e. high midday WUE_i) and crown WUE (i.e. the phloem δ^{13} C values which integrate the diurnal variations of WUE_i within the crown were less negative). The moderate variability in WUE_i throughout the day (except during the driest period that occurred for only a few days during the 3 years of the study) shows that midday WUE_i can be considered to be a reasonable proxy of daily WUE_i, contrary to poplar genotypes in a pot experiment (Rasheed et al., 2013, 2015). Instant leaf net CO₂ assimilation rate (A) was close to maximum values at midday during the rainy season, showing that midday measurements represent a substantial amount of the daily photosynthesis and, therefore, that phloem δ^{13} C values should be well correlated to WUE_i measurements at midday. Although low A values at midday during the dry season indicate that phloem δ^{13} C values were mainly influenced by A in the morning, the generally stable diurnal patterns of WUE_i suggest that phloem δ^{13} C should be well correlated with midday WUE_i. The increase in WUE, during dry periods was attributed to a stronger decrease in stomatal conductance (g_s) than in A in E. grandis leaves (Battie-Laclau et al., 2014a,b). This pattern is well documented for grasslands (Niu et al., 2011) and woody species (Bauerle et al., 2006; Nagakura et al., 2004; Battipaglia et al., 2013; Lévesque et al., 2014). However, excluding \sim 450 mm y⁻¹ of throughfall was insufficient to increase the mean WUE_i over the first three years after planting.

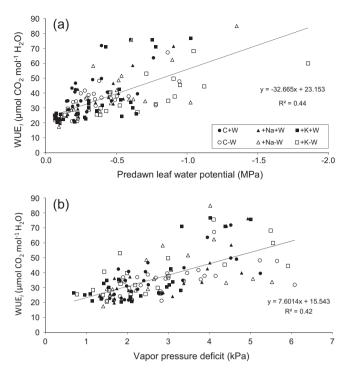


Fig. 7. Relationships between intrinsic midday water-use efficiency (WUE_{*i*}, ratio of instantaneous net CO₂ assimilation rate to stomatal conductance) and predawn leaf water potential (a) and *vapor pressure deficit in the air* (b). C, +Na and +K refer to the Control, Na-fertilized and K-fertilized plots, respectively. +W and -W refer to undisturbed rainfall and exclusion of 37% of throughfall, respectively.

WUE_i was little affected by the K and Na fertilization regimes over the study period. A preliminary study in this experiment showed that leaf anatomy, A, g_s, and mesophyll conductance were strongly affected by K fertilization, and to a lesser extent by Na fertilization (Battie-Laclau et al., 2014a). However, relative limitations of A caused by g_s, mesophyll conductance, and biochemical photosynthetic capacity (maximum rates of carboxylation and electron transport) were not influenced by K and Na fertilization in this study on E. grandis trees, unlike the response of Robinia pseudoacacia seedlings to N supply (Liu et al., 2013). This may explain why the relationship between A and g_s was similar for all treatments and thus the weak influence of K and Na fertilization on WUE_i. A meta-analysis showed that WUE_i is systematically correlated with $V_{\rm cmax}/g_{\rm s}$ and $g_{\rm m}/g_{\rm s}$ ratios across species, habitats, and environmental conditions (Gago et al., 2014). For eucalypts and some other tree species, coordination between g_s and photosynthetic capacity (Cernusak et al., 2011) or the adjustment of the ratio of leaf area to water-conducting tissue (Hutley et al., 2011) were found to damp the response of the intercellular to ambient carbon dioxide concentration (C_i/C_a) ratio and carbon isotopic discrimination to resource availability gradients (Cernusak et al., 2013). In C₃ species, the slope of the relationship between A and g_s (dA/dg_s) rapidly decreases with increasing g_s at low g_s values, but flattens at conductances above $0.4 \text{ mol m}^{-2} \text{ s}^{-1}$ (Hetherington and Woodward, 2003). Therefore, plants such as *E. grandis* trees with high stomatal conductances are expected to show lower dependence of WUE_i on conductance than species with lower stomatal conductance. WUE_i shows high variability only during short dry periods (e.g. at the end of the dry season), when g_s decreases to values well below 0.4 mol m⁻² s⁻¹. Although in this experiment K and Na fertilization did not have a significant effect on WUE, in *E. grandis* trees. K and Na fertilization clearly increased WUE_i in cacao plants (Gattward et al., 2012) and olive trees (Erel et al., 2014). Different effects of K and Na fertilization on leaf WUE may indicate that there are

significant differences between species in the response of stomatal control mechanisms and/or photosynthetic capacity to K and Na availability (Erel et al., 2014).

4.4. Consequences for tree breeding

The lack of correlation between WUE for stemwood production and WUE_i in our study suggests that proxies of WUE_i (e.g. leaf or phloem δ^{13} C) cannot be used to estimate WUE for stemwood production. Earlier studies also showed that an increase in WUE_i under water stress was not correlated with tree growth (Andreu et al., 2011; Battipaglia et al., 2013; Lévesque et al., 2014). In a literature review, Seibt et al. (2008) stress that WUE_i, WUE for stemwood production and leaf δ^{13} C can vary independently as they are affected differently by changes in environmental conditions and plant properties. Contrary to WUE_i, WUE for stemwood production is strongly influenced by many non-photosynthetic factors, such as the proportion of assimilated carbon lost by respiration (around 50% of GPP), allocation to symbionts, exudation, turnover, litter and by the water vapor lost independently of photosynthesis (e.g. transpiration from stems, cuticles) (Farguhar et al., 1989). Differences in allocation to compartments with rapid turnover (leaves, fine roots) and slow turnover (stemwood) and differences in respiration rates induced by the treatments may have a significant effect on WUE for stemwood production. Water stress and nutrient deficiencies generally decrease the carbon use efficiency (Giardina et al., 2003; Callister and Adams, 2006; Vicca et al., 2012; Mitchell et al., 2013; Zhang et al., 2014) and the partitioning of assimilated carbon to wood production (Keith et al., 1997; Litton et al., 2007; Ryan et al., 2010), thus reducing WUE for stemwood production.

Our results suggest that breeding programs selecting the clones with the highest growth rates in deep tropical soils tend to select the genotypes with the highest water-use efficiency for stemwood production. Large amounts of fertilizer are commonly applied in clonal tests and water is the main factor limiting tree growth in the most productive eucalypt plantations (Stape et al., 2010). A lack of deep drainage has been shown after canopy closure for highly productive eucalypt plantations growing in deep tropical soils with an annual rainfall of approx. 1500 mm (e.g. Laclau et al., 2013). This pattern suugests that all the clones can use approximately the same amount of water (stored in the soil after clear cutting + rainfall from planting to harvesting) and that the clones with the highest biomass production in clonal tests are, therefore, those with the highest WUE for stemwood production. There is growing evidence that resource use efficiency for stem biomass production is positively correlated with tree growth rates in tropical eucalypt plantations, via changes in allocation patterns. Changes in light use efficiency (Binkley et al., 2010; Campoe et al., 2013), water use efficiency and nitrogen use efficiency along forest production gradients (Binkley et al., 2004), suggest that intensive management practices increasing the productivity of eucalypt plantations could reduce the resources required to produce a given quantity of wood. However, the most productive trees also have the highest transpiration rates, which might increase the risk of hydraulic failure under conditions of extreme water deficit (White et al., 2009b; Battie-Laclau et al., 2014b). Increasing WUE for biomass production might, therefore, not be a relevant criterion for breeding programs in regions where there is a major risk of tree mortality during drought.

5. Conclusion

While different fertilization and water supply regimes had little effect on intrinsic WUE, favorable growing conditions clearly increased WUE for stemwood production. Allocation patterns in response to nutrient and water supply appeared to be a major driver of WUE for stemwood production. Consequently, leaf and phloem δ^{13} C, which are common proxies of WUE at leaf level, were unable to predict large changes in WUE for stemwood production of our E. grandis clone. These results contribute to improving our understanding of the mechanisms governing the response of WUE to changes in nutrient and water availability. They also may be helpful for taking account of nutrient limitations in process-based models and for identifying the most relevant traits for breeding strategies in a context of climate change.

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Appendix A. Supplementary material

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.foreco.2016.01. 004.

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