



## Full Length Article

# Broadened band C-telecom and intense upconversion emission of $\text{Er}^{3+}/\text{Yb}^{3+}$ co-doped $\text{CaYAlO}_4$ luminescent material obtained by an easy route

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## ABSTRACT

This work reports on photoluminescence properties of  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped  $\text{CaYAlO}_4$  in powder form, synthesized by an easy route using citric acid as ligand to form complex precursor. The 1.2 mol% of  $\text{Yb}^{3+}$  was fixed, while the amount of  $\text{Er}^{3+}$  changed in 0.5, 1.5 and 3 mol% in order to evaluate the photoluminescence properties as a function of the  $\text{Er}^{3+}$  concentration. The structural and thermal properties of the viscous solutions and powder materials obtained after the heat-treatment at 1000, 1100 and 1200 °C for 4 h were evaluated by XRD, FTIR and TG/DTA analysis. The results showed the formation of pure  $\text{CaYAlO}_4$  tetragonal crystalline phase after heat-treatment at 1100 °C and 1200 °C. Intense emission in the visible region under excitation at 980 nm was attributed to upconversion process, from  $\text{Er}^{3+}$  intra-configurational f–f transitions. The emissions were assigned to the transitions  $^2\text{H}_{11/2} \rightarrow ^4\text{I}_{15/2}$  and  $^4\text{S}_{3/2} \rightarrow ^4\text{I}_{15/2}$  (green region), and  $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$  (red region) energy levels. The ratio between emission band integrated areas assigned to the red and green emissions increased as a function of  $\text{Er}^{3+}$  concentration. Under excitation at 980 nm with 100 mW of power pump, the materials also showed intense and broadening emission with maximum at 1520 nm with FWHM of 84.74 nm for the sample  $\text{CaYAlO}_4:1.5\% \text{Er}^{3+}/1.2\% \text{Yb}^{3+}$  heat-treated at 1000 °C for 4 h. The photoluminescence properties showed that these materials are promising for use in C-telecom band as optical amplifier biological marker or/and solid-state laser devices under excitation at 980 nm.

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## 1. Introduction

Photoluminescent materials are typically composed by inorganic solids, consisting of a host matrix and small amounts of impurities intentionally added in order to give rise to the emission of radiation in specific regions of the electromagnetic spectrum. Among those impurities are the rare earth ions ( $\text{RE}^{3+}$ ) [1–10]. The photoluminescent properties of  $\text{RE}^{3+}$  come from the splitting of 4f levels assigned to the inter-electronic interactions, the spin-orbit coupling and the ligand field effect on these ions, when they are present in a host matrix [11].

Among the  $\text{RE}^{3+}$  most extensively studied in recent years,  $\text{Er}^{3+}$  plays a central role, since its spectroscopic properties allow its application in several technological devices. The intense emission in the near infrared region around 1550 nm presented by  $\text{Er}^{3+}$ , under excitation at 980 nm, enables the development of optical

amplifiers operating at C-band telecommunications (1520–1570 nm), which corresponds to the region with the lower energy loss in silicate based materials [12–15]. Furthermore, the full-width at half maximum (FWHM) of the emission band around 1550 nm is an important spectroscopic parameter. Manzani et al. prepared optical fibers based on tellurite glasses, with base composition of  $70\text{TeO}_2\text{--}15\text{GeO}_2\text{--}5\text{K}_2\text{O--}10\text{Bi}_2\text{O}_3$  and obtained high FWHM, about 92 nm [16]. Filho et al. obtained a FWHM of 53 nm for the ternary system  $\text{Al}_2\text{O}_3\text{--SiO}_2\text{--GeO}_2$  synthesized from the modified Pechini's method [14].

Apart from the characteristic emission of the  $\text{Er}^{3+}$  around 1550 nm, emissions in visible region resulting from energy transfer mechanisms, such as energy upconversion (UC) upon excitation in the near infrared (980 nm) can be detected. This process allows the development of bio-photonic materials used in biological labeling [17,18], shorter wavelength solid-state lasers, operating in the visible region under excitation in the near infrared [19,20], and increase the efficiency of energy conversion devices such as solar cells [21,22]. Some studies reported in the literature regard UC in doped materials containing only  $\text{Er}^{3+}$  [23]. However,

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due to its small absorption cross-section, [25]  $\text{Yb}^{3+}$  has been used as a sensitizer, efficiently absorbing energy at 980 nm and transferring it to a neighboring  $\text{Er}^{3+}$ .

As energy transfer processes and photoluminescence are dependent on distance between the neighboring of  $\text{RE}^{3+}$  and the composition and structure of the host matrix in which these ions are allocated, the choice of a suitable host matrix becomes of great importance. Among the main features that a host must present stand out: good solubility of  $\text{RE}^{3+}$ ; chemical and thermal stability; and especially low phonon's energy. A host that meets these requirements is  $\text{CaYAlO}_4$ . Such crystalline compound presents low phonon's energy, around  $322\text{ cm}^{-1}$  [24], that is lower than in others hosts, such as  $\text{NaGd}(\text{WO}_4)_2$ , and  $\text{NaY}(\text{MoO}_4)_2$  (around  $900\text{ cm}^{-1}$ ) [25,26],  $\text{LaPO}_4$  (about  $1050\text{ cm}^{-1}$ ) [27] and  $\text{YVO}_4$  ( $890\text{ cm}^{-1}$ ) [28]. It has a tetragonal structure formed by  $[\text{AlO}_6]^{3-}$  octahedron presenting an inversion center  $i$ , while the  $\text{Ca}^{2+}$  and  $\text{Y}^{3+}$  are randomly located over the same sites of  $\text{C}_{4v}$  symmetry [29–32]. This random distribution of ions with different charges creates an inhomogeneous environment so that the  $\text{RE}^{3+}$  spectra occupying the positions of  $\text{Y}^{3+}$  in the  $\text{CaYAlO}_4$  structure generally exhibit broad bands. This feature is particularly important for the emission in the region of 1550 nm for  $\text{Er}^{3+}$ . Furthermore, this matrix is more stable than sulfides, fluorides or nitrides and presents good thermal and mechanical properties, which make it a great candidate for use in lasers biological markers and others optical applications. The thermal expansion coefficients of  $\text{CaYAlO}_4$  in the range from 20 to 1200 K are  $8 \times 10^{-6}\text{ K}^{-1}$  and  $11 \times 10^{-6}\text{ K}^{-1}$  for the  $a$ - and  $c$ -axis, respectively. The Mohs hardness is around 6 [32].

Some works in the literature have described the use of  $\text{CaYAlO}_4$  as host of  $\text{Er}^{3+}$  with potential application in photonic devices prepared from Czochralski method [33]. Souriau et al. described the spectroscopic behavior of single crystals of  $\text{CaYAlO}_4:\text{Er}^{3+}/\text{Yb}^{3+}$  obtained by means of this technique [34]. Lv et al. obtained single crystals of  $\text{CaYAlO}_4:\text{Ho}^{3+}/\text{Er}^{3+}$  and  $\text{CaYAlO}_4:\text{Eu}^{3+}/\text{Er}^{3+}$  and carried out a study on application of this system as laser in the medium infrared region, around  $2.7\text{ }\mu\text{m}$  [24]. Zhou et al. synthesized a single crystal of  $\text{CaYAlO}_4:\text{Er}^{3+}$  and analyzed their spectroscopic properties in the visible and infrared region [29]. However, to the best of our knowledge, there is no report in the literature that describes the obtaining of  $\text{CaYAlO}_4:\text{Er}^{3+}/\text{Yb}^{3+}$  from the complexing of cations in solution, using citric acid as ligand. This chemical route allows a good homogenization of the precursor solution, due to the ease complexation of metals with citric acid, besides presenting a low cost of synthesis [35–37].

Therefore, this paper presents on synthesis of  $\text{CaYAlO}_4$  containing  $\text{Er}^{3+}$  and  $\text{Yb}^{3+}$  in different concentrations, based on the use of citric acid to form complexes precursors. The study of the photoluminescence and structural properties of these materials were performed and related to the concentration of  $\text{RE}^{3+}$  and to the different heat-treatment temperatures.

## 2. Experimental

The starting materials for the synthesis of  $\text{CaYAlO}_4$ :  $X\%\text{Er}^{3+}/1.2\%\text{Yb}^{3+}$  (where  $X=0.5, 1.5$  and  $3$ ) included  $\text{Y}_2\text{O}_3$ ,  $\text{Er}_2\text{O}_3$  and  $\text{Yb}_2\text{O}_3$  (Aldrich –99.999%),  $\text{CaCO}_3$  (Dynamic –99%),  $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$  (Synth –99%) and citric acid (Dynamic –99.5%). All reagents were used without further purification. The experimental procedure was based on previous work of our group [38]. Initially, stoichiometric amounts of  $\text{Y}_2\text{O}_3$ ,  $\text{CaCO}_3$  and  $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$  were solubilized separately in  $1\text{ mol L}^{-1}$  HCl solutions and subsequently transferred to a single beaker. The  $\text{Er}_2\text{O}_3$  and  $\text{Yb}_2\text{O}_3$  were also solubilized in aqueous solutions of hydrochloric acid ( $1\text{ mol L}^{-1}$ ), and then, standardized at room temperature by titration with

EDTA ( $0.01\text{ mol L}^{-1}$ ). Next, an exact volume of each solution containing corresponding  $\text{RE}^{3+}$ , to get materials with changing of  $\text{Er}^{3+}$  and  $\text{Yb}^{3+}$  concentration was pipetted into the beaker containing the  $\text{Y}^{3+}$ ,  $\text{Ca}^{2+}$  and  $\text{Al}^{3+}$  solubilized. After, the mass of citric acid corresponding 5 times to the total number of moles of metals in solution was dissolved in deionized water and transferred to the beaker containing the  $\text{Y}^{3+}$ ,  $\text{Ca}^{2+}$ ,  $\text{Al}^{3+}$ ,  $\text{Er}^{3+}$  and  $\text{Yb}^{3+}$  to form the citrate complexes precursors. The complexes solutions were kept under magnetic stirring at  $70\text{ }^\circ\text{C}$  for 6 h, which leads to an increasing of solutions viscosities. For each concentration of  $\text{Er}^{3+}$ , one viscous solution was prepared. It is noteworthy the viscous solutions were obtained carefully so, they presented the same visual aspect. Then, all viscous solutions were calcined at  $200\text{ }^\circ\text{C}$  for 4 h and  $400\text{ }^\circ\text{C}$  for 4 h to dry the precursor. The precursors obtained were fully ground in agate mortar and heat-treated at 1000, 1100 and  $1200\text{ }^\circ\text{C}$  in air atmosphere for 4 h to obtain the materials in powder form.

The viscous solutions obtained were submitted to thermogravimetric analysis (TG) and differential thermal analysis (DTA) by using a thermobalance Shimadzu model DTG –60 H, from room temperature up to  $1100\text{ }^\circ\text{C}$ , with heating rate of  $10\text{ }^\circ\text{C min}^{-1}$  under air atmosphere. The crystalline structure was evaluated by means of X-ray diffraction (XRD) by a Shimadzu XRD 6000 diffractometer with  $\text{Cu K}\alpha$  radiation ( $\lambda=1.5418\text{ \AA}$ ), graphite monochromator, scan speed of  $0.75\text{ deg. min}^{-1}$  with  $2\theta$  ranging from  $20^\circ$  to  $80^\circ$ . Fourier Transform Infrared Spectroscopy (FTIR) was performed by a spectrophotometer Perkin Elmer Spectrum GX. The samples were processed in pellets diluted in KBr and kept under pressure of 10t for 1 min. The spectra were obtained between  $4000$  and  $400\text{ cm}^{-1}$  range with  $4\text{ cm}^{-1}$  of resolution. The emission spectra between 1400 and 1700 nm of the  $\text{CaYAlO}_4$  samples containing  $\text{Er}^{3+}$  and  $\text{Yb}^{3+}$  were recorded by using a Fluorolog Horiba Jobin Yvon, with an InGaAs detector sensitive from 800 to 1700 nm with excitation at 980 nm using fiber couple laser diode with 100 mW of power. The upconversion emission spectra in the region between 500 and 700 nm were carried out in the same spectrometer; however a photomultiplier tube was used to monitor the emission in visible range. A diode laser operating at 980 nm was used as an excitation source, varying the power excitation from 100 to 500 mW with steps of 100 mW.

## 3. Results and discussion

The viscous solutions obtained as precursor of the materials were submitted to TG and DTA analysis to investigate the thermal decomposition profiles and thermal stability of the materials. Fig. 1 shows the thermograms of the samples containing  $X\text{ mol}\%$  of  $\text{Er}^{3+}$  (where  $X=0.5, 1.5$  and  $3$ ) and  $1.2\text{ mol}\%$  of  $\text{Yb}^{3+}$ . According to the results, all solutions showed a similar thermal decomposition profile, with mass losses occurring in three distinct steps. The first one occurs from room temperature to about  $160\text{ }^\circ\text{C}$ , that is related to the dehydration of viscous solutions and to losses of citric acid uncomplexed [35,36], corresponding to approximately 54% of the mass losses. Next, takes place a mass loss between  $160\text{ }^\circ\text{C}$  and  $250\text{ }^\circ\text{C}$ , which could be assigned to the beginning of thermal decomposition of the metal-citrate species [37], as shown by small endothermic event at  $195\text{ }^\circ\text{C}$ . This step corresponds to losses of mass of approximately 25%. The last loss of mass, approximately 18%, which occurs from  $360\text{ }^\circ\text{C}$ , is associated to the organic matter oxidation [35–37], as can be seen by the presence of exothermic peaks between  $400\text{ }^\circ\text{C}$  and  $566\text{ }^\circ\text{C}$ . The final yield of thermal decomposition is around 3% of the initial mass used in the analysis. This low value is associated to the large amount of citric acid regarding to the amount of metals in solution. This procedure was performed to promote better homogeneity of ions distribution

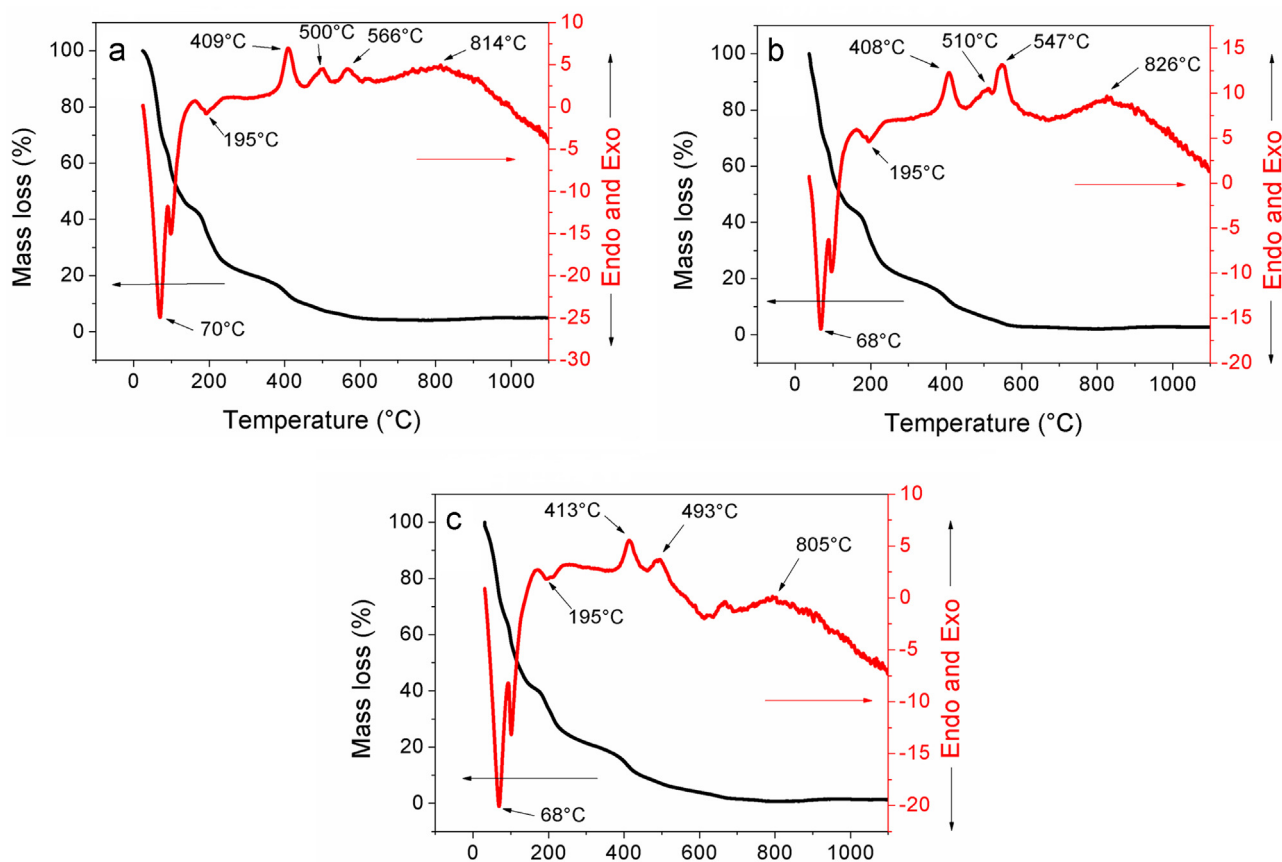


Fig. 1. TG and DTA analysis of the viscous solutions containing (a) 0.5 mol%, (b) 1.5 mol% and (c) 3 mol% of  $\text{Er}^{3+}$  and 1.2 mol% of  $\text{Yb}^{3+}$ .

present in the precursor solution carefully prepared. Above 800 °C several exothermic events occurs without any corresponding mass loss, which can be associated to the beginning of  $\text{CaYAlO}_4$  nucleation stage of particles. Based on results observed in the TG and DTA analysis, all viscous solutions were calcined at 200 °C for 4 h and 400 °C for 4 h, followed by heat-treatment at 1000, 1100 and 1200 °C for 4 h to obtain  $\text{CaYAlO}_4$  containing  $\text{Er}^{3+}$  and  $\text{Yb}^{3+}$ .

Fig. 2 shows XRD patterns of the materials obtained after the heat-treatment at 1000, 1100 and 1200 °C for 4 h. The results show the formation of the  $\text{CaYAlO}_4$  with tetragonal crystal structure belonging to the space group  $I4/mmm$ , according to database code ICSD: 72104. Only XRD patterns of the materials heat-treated at 1000 °C for 4 h (Fig. 2a) it is possible to observe the presence of diffraction peaks that are not assigned to  $\text{CaYAlO}_4$  structure. These peaks indicate the presence of crystalline structure, known as the secondary phase, and assigned to the monoclinic structure of  $\text{Y}_4\text{Al}_2\text{O}_9$  (ICSD 63650).

Meanwhile, the samples heat-treated at 1100 and 1200 °C do not show peaks assigned to the secondary phase, indicating that the presence of secondary phases was reduced. Only peaks corresponding to the pure  $\text{CaYAlO}_4$  structure were observed. The volume of crystallinity amount of all samples increases as a function of heat-treatment temperature. No diffraction peak attributed to any phase containing erbium or ytterbium, as  $\text{Er}_2\text{O}_3$  or  $\text{Yb}_2\text{O}_3$ , was detected, indicating the insertion of  $\text{RE}^{3+}$  in the crystal structure of  $\text{CaYAlO}_4$ . As suggested by Kustov et al. [32] and Geng et al. [39]  $\text{Y}^{3+}$  can be replaced by  $\text{RE}^{3+}$  in the  $\text{C}_{4v}$  symmetry sites, this substitution is favored by the fact that  $\text{Er}^{3+}$ ,  $\text{Yb}^{3+}$  and  $\text{Y}^{3+}$  have similar ionic radii, 1.144, 1.125 and 1.159 Å, respectively, and same number of oxidation. Another type of insert which cannot be completely disregarded is the location of  $\text{RE}^{3+}$  within the interstices of the crystalline structure.

Fig. 3 shows the FTIR spectra of citric acid, viscous solution obtained during the synthesis and  $\text{CaYAlO}_4:1.5\% \text{Er}^{3+}/1.2\% \text{Yb}^{3+}$  heat-treated at 1000, 1100 and 1200 °C for 4 h. It is important to observe that all the samples heat-treated showed same characteristic bands in the FTIR spectra and any change in position of bands was not observed by varying the concentration of  $\text{Er}^{3+}$ . We observe that the bands assigned to citric acid are also present in the spectrum of the viscous solutions, which confirm the presence of citrate molecules unalloyed. The stretching of O–H bonds appears at  $3343 \text{ cm}^{-1}$ . The band around  $1740 \text{ cm}^{-1}$  may be attributed to the stretching of C=O groups, while C–O group stretching band can be observed at  $1113 \text{ cm}^{-1}$  [40]. Bands between 473 and  $811 \text{ cm}^{-1}$  are assigned to the stretching of the metal–oxygen bonds. The band around  $809 \text{ cm}^{-1}$  may be attributed to the stretching of the Al–O bonds [41], while the band around  $473 \text{ cm}^{-1}$  is attributable to vibration of Y–O bonds [42]. These vibrations confirm the presence of metal–oxygen bonds present in  $\text{CaYAlO}_4$  structure. The FTIR spectra of the samples after the heat-treatments indicate that the carbonates and O–H groups, derived from citric acid and water, decrease as the annealing temperature increases. Full elimination of these organic groups is very important for the photoluminescence processes, because these species act as fluorescence-quenching centers, due to their vibration frequencies. For example, O–H elimination is very important for those materials which exhibit emission around 1550 nm, once the energy of only two vibrations of O–H groups is enough to deactivate the  $^4\text{I}_{13/2}$  excited state of  $\text{Er}^{3+}$ , which leads to a suppression of the emission due to non-radiative decay by multiphonon relaxation [43].

The upconversion (UC) emission spectra of the samples heat-treated at 1200 °C are shown in Fig. 4. The analyzes were performed in the visible region, specifically between 500 and 700 nm using

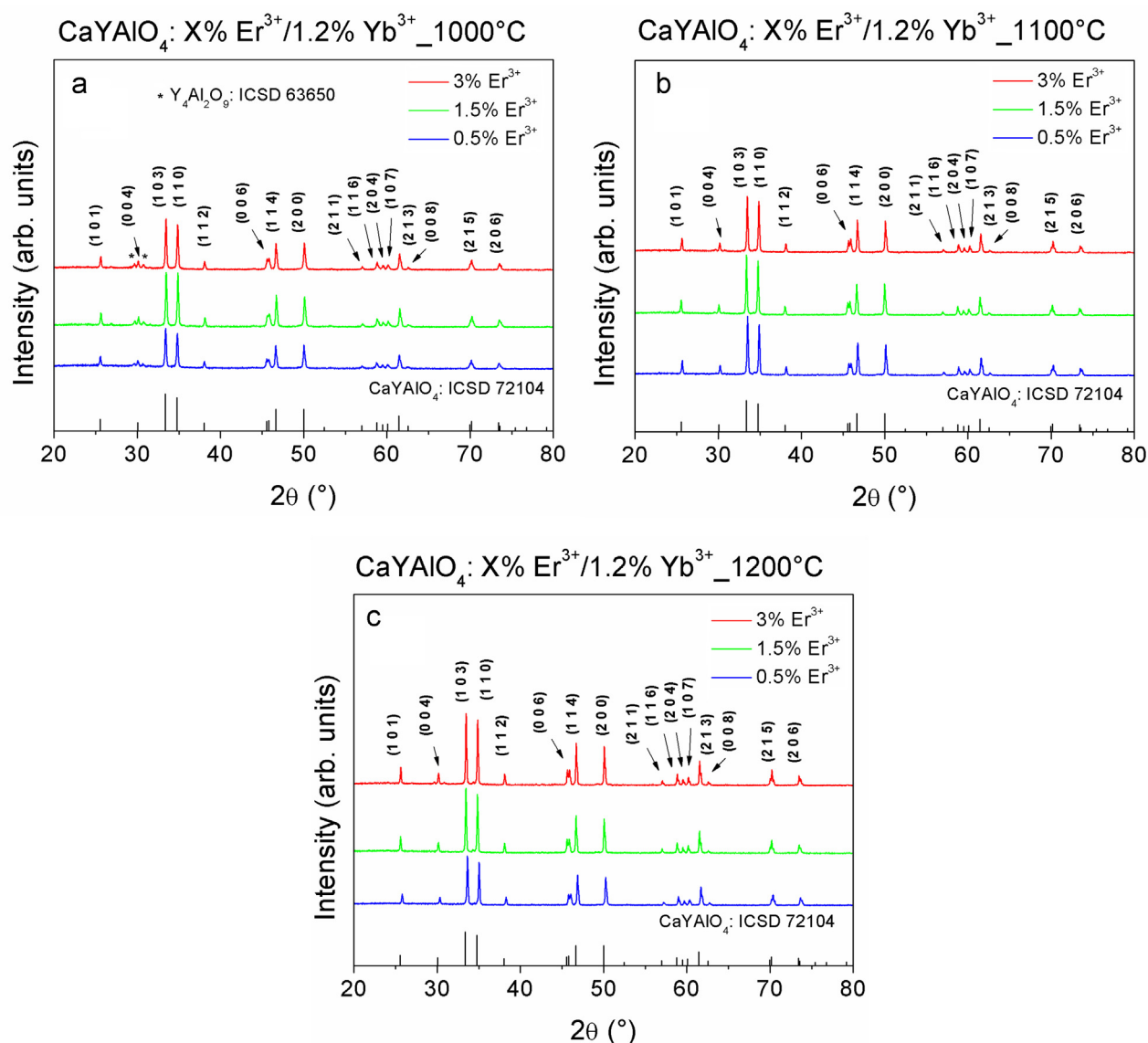


Fig. 2. The XRD patterns of the samples obtained after the heat-treatment at (a) 1000, (b) 1100 and (c) 1200 °C for 4 h in air atmosphere.

excitation at 980 nm and power excitation from 100 up to 500 mW, with steps of 100 mW. All emissions observed are attributed to the intraconfigurational f–f transitions of  $\text{Er}^{3+}$ . In all emission spectra, it can be observed basically the presence of three bands, two in the green region ( $^2\text{H}_{11/2} \rightarrow ^4\text{I}_{15/2}$  and  $^4\text{S}_{3/2} \rightarrow ^4\text{I}_{15/2}$ ), with maximum emission peak at 529 and 550 nm, respectively, and another in the red region, with a maximum emission peak at 663 nm, attributed to the transition  $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$ . A behavior observed for all samples is that the emission in the green region is more intense than the emission in the red region. Furthermore, it is possible to observe that, increasing the concentration of  $\text{Er}^{3+}$  leads to an increase of emission attributed to the transition  $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$ , relative to the emission assigned to transitions  $^2\text{H}_{11/2} \rightarrow ^4\text{I}_{15/2}$  and  $^4\text{S}_{3/2} \rightarrow ^4\text{I}_{15/2}$ .

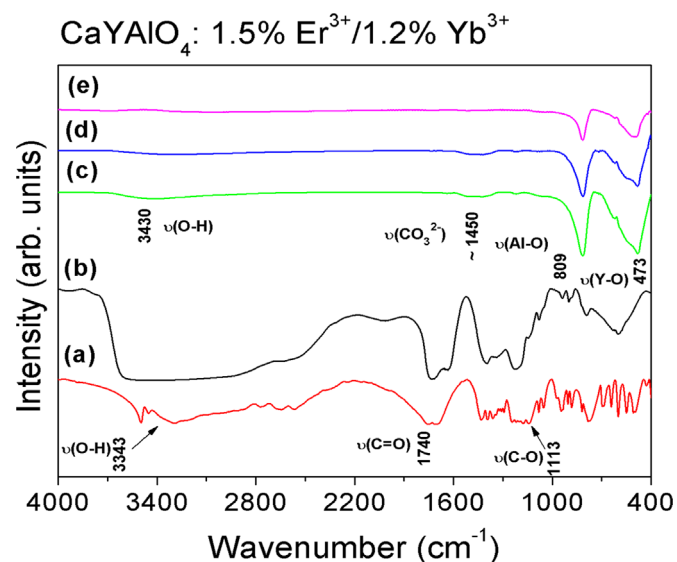
A comparative UC emission spectrum between samples with different concentrations of  $\text{Er}^{3+}$  was also performed. As can be seen in Fig. 5, the UC emission intensity gradually increases with increasing concentration of  $\text{Er}^{3+}$ . The highest UC emission intensity was achieved for the sample containing 3 mol% of  $\text{Er}^{3+}$ . This same behavior was observed in all powers excitation. This may suggest that UC emission efficiency increases with increasing concentration of  $\text{Er}^{3+}$ .

The relationship between the area of each UC emission and the pump power helps us to understand the mechanisms of energy

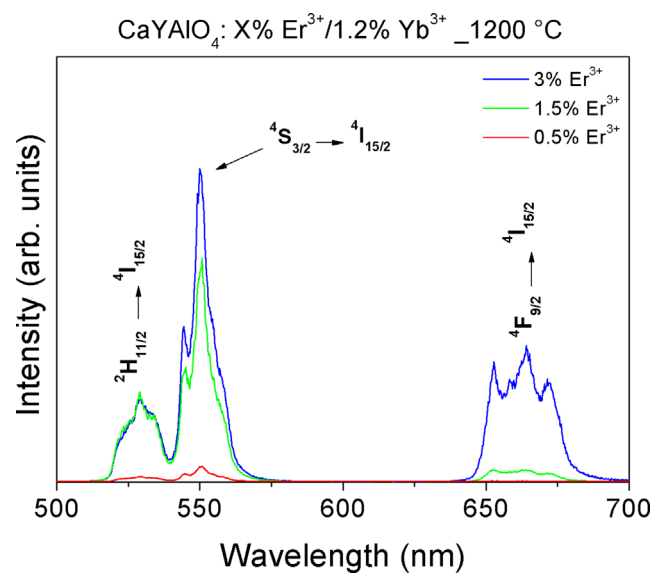
transfer and identify the number of photons involved in the absorption process. The area of the UC emissions band is related to the power excitation pump according to  $I \propto P^n$ , where  $I$  is the area of UC emission,  $P$  is the power of the excitation source and  $n$  is the number of photons involved in the excitation process [44]. The number of photons ( $n$ ) is deduced by means of the slope of the curve  $\log(I)$  versus  $\log(\text{pump power})$ . Fig. 6 shows the logarithmic plots of the integrated area of the emissions as function of the excitation power for  $\text{CaYAIO}_4:3\% \text{Er}^{3+}/1.2\% \text{Yb}^{3+}$  heat-treated at 1200 °C. The slope of the green emission was 1.94, whereas the slope for the red emission was 1.98, indicating that two photons need to be absorbed for generating the emission in different regions. Both linear adjustments showed excellent correlation coefficients  $R$ , around 0.999.

For UC to occur it is necessary that the excited levels  $^2\text{H}_{11/2}$ ,  $^4\text{S}_{3/2}$  and  $^4\text{F}_{9/2}$  are populated. The two most common excitation mechanisms involved in UC are Excited State Absorption (ESA) and the Energy Transfer Upconversion (ETU) [45]. Fig. 7 shows the energy levels diagram for  $\text{Er}^{3+}$  and  $\text{Yb}^{3+}$  and the mechanisms involved in the UC process. The ESA involves the absorption of one photon by an  $\text{Er}^{3+}$ , promoting the electronic excitation from the ground state  $^4\text{I}_{15/2}$ , to the excited state  $^4\text{I}_{11/2}$ , in a process known as

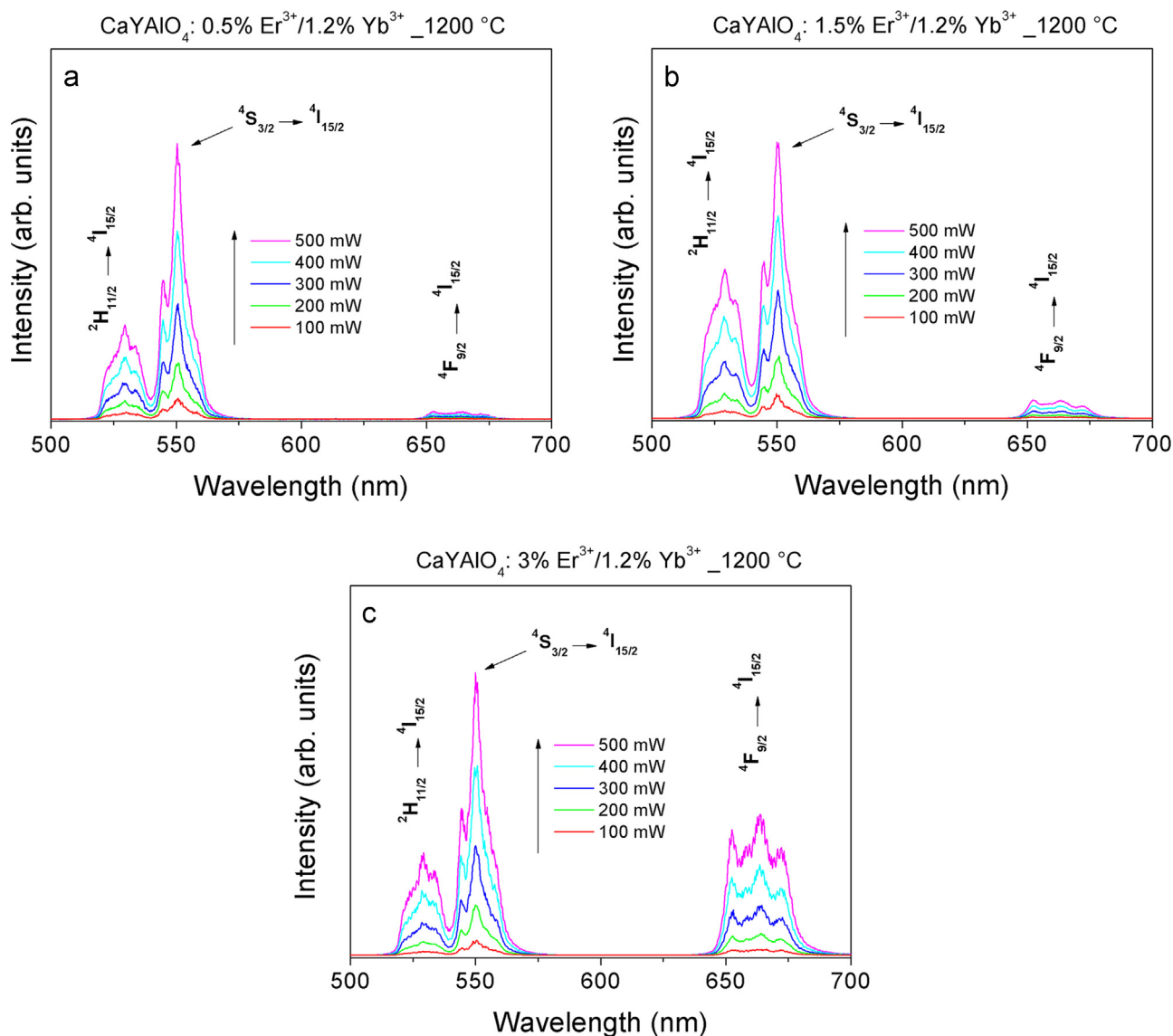




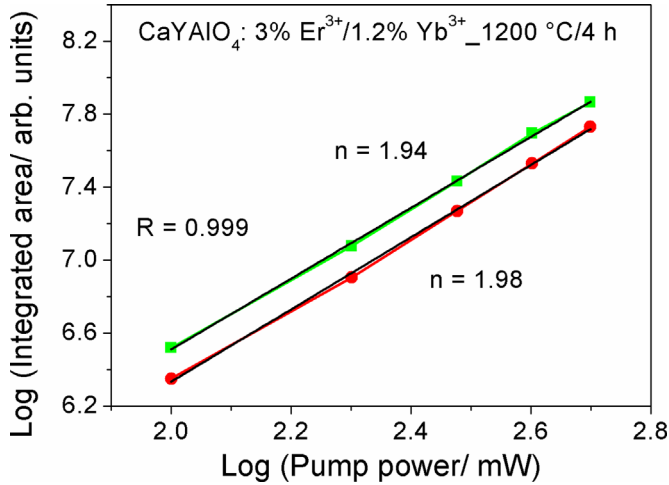
**Fig. 3.** FTIR analysis of (a) citric acid, (b) viscous solution and the synthesized CaYAlO<sub>4</sub>:1.5% Er<sup>3+</sup>/1.2% Yb<sup>3+</sup> after heat-treatment at (c) 1000, (d) 1100 and (e) 1200 °C for 4 h in air atmosphere.



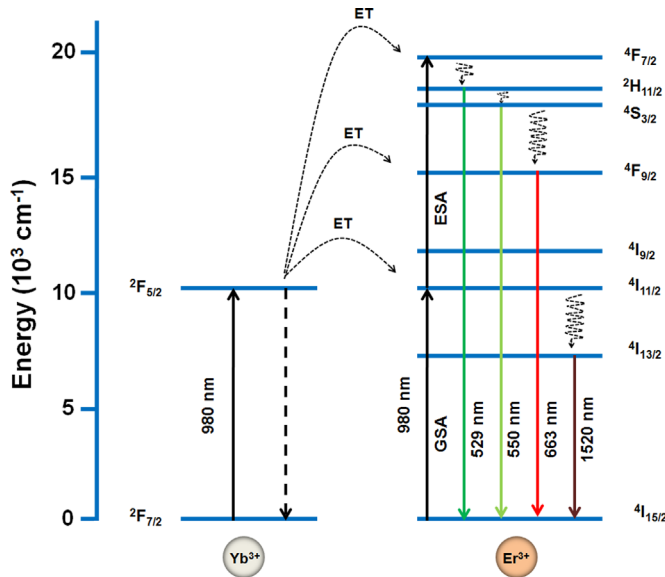
**Fig. 5.** UC emission spectrum of samples with different concentrations of Er<sup>3+</sup> heat-treated at 1200 °C. The excitation power was 100 mW.



**Fig. 4.** UC emission spectra of CaYAlO<sub>4</sub>:Er<sup>3+</sup>/Yb<sup>3+</sup> samples heat-treated at 1200 °C with different concentrations of Er<sup>3+</sup>: (a) 0.5 mol%, (b) 1.5 mol% and (c) 3 mol%.



**Fig. 6.** Dependence of upconversion emission intensities for  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped  $\text{CaYAlO}_4$  as a function of power pump at 980 nm.



**Fig. 7.** Energy levels diagram of  $\text{Er}^{3+}$  and  $\text{Yb}^{3+}$  with the characteristics ETU, ESA and UC emissions in  $\text{CaYAlO}_4$  under excitation at 980 nm.

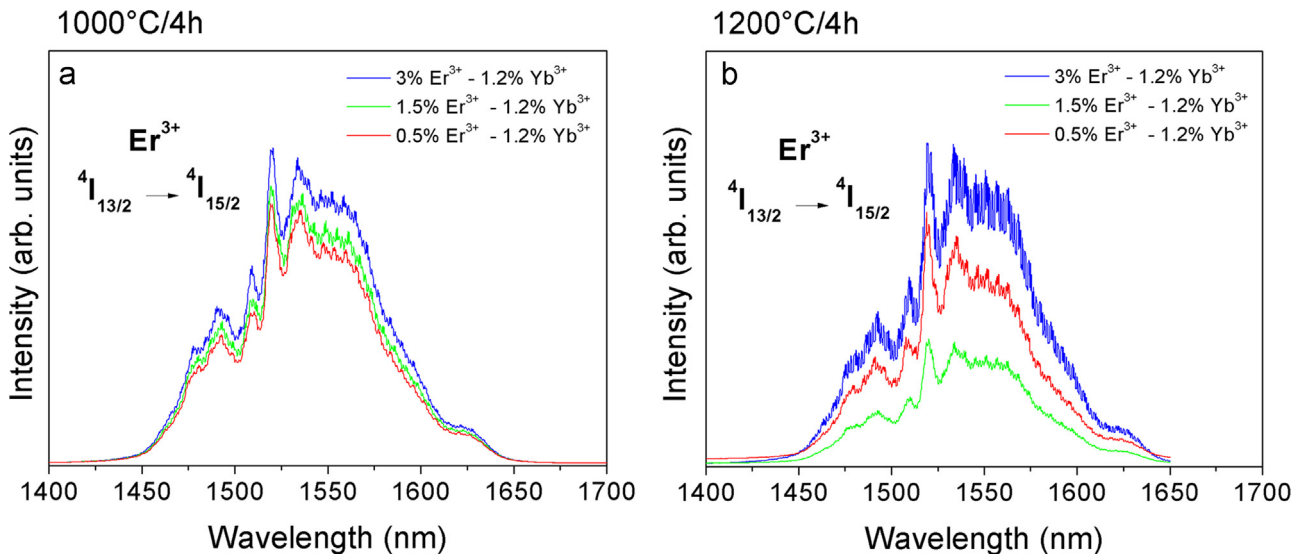
Ground State Absorption (GSA). Due to the long lifetime of the excited state  $^4\text{I}_{11/2}$ , another photon can be absorbed by the  $\text{Er}^{3+}$  and a population inversion can be promoted, causing more energetic levels, such as the  $^4\text{F}_{7/2}$ , being populated. Later, through non-radiative decays, the  $\text{Er}^{3+}$  can decay to the excited levels  $^2\text{H}_{11/2}$ ,  $^4\text{S}_{3/2}$  and  $^4\text{F}_{9/2}$  and, from there, emitting light at 529, 550 and 663 nm, respectively. On the other hand, ETU is a process in which energy transfer occurs between two close ions. In this case,  $\text{Yb}^{3+}$  acts as a sensitizer and absorbs radiation at 980 nm from the excitation laser, more efficiently than  $\text{Er}^{3+}$ , once its absorption cross-section at 980 nm is higher than that for  $\text{Er}^{3+}$ . Then, by decaying, the excited state  $^2\text{F}_{5/2}$  of  $\text{Yb}^{3+}$  transfers its energy to  $^4\text{I}_{11/2}$  level of  $\text{Er}^{3+}$ . This process is possible due to the fact that these two levels are resonant in terms of energy. Next, a second photon promotes the electronic excitation of  $\text{Er}^{3+}$  from the level  $^4\text{I}_{11/2}$  up to more energetic states, such as  $^4\text{F}_{7/2}$ . Finally, in the same way as it happens for the ESA, those high energy levels can decay for lower levels by non-radiative processes, leading to light emission from the states  $^2\text{H}_{11/2}$ ,  $^4\text{S}_{3/2}$  and  $^4\text{F}_{9/2}$ . According to Anderson et al., ETU process is more efficient than ESA process [46], and with the presence of the  $\text{Yb}^{3+}$  as sensitizers to transfer energy to  $\text{Er}^{3+}$ , ETU is certainly prevailing mechanism in this system.

As previously noted, all materials showed emission mainly in the green region, and with increasing concentration of  $\text{Er}^{3+}$ , there is an increase in the ratio between the area of the band attributed to the emission in the red region ( $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$ ) and the emissions in the green region ( $^2\text{H}_{11/2} \rightarrow ^4\text{I}_{15/2}$  and  $^4\text{S}_{3/2} \rightarrow ^4\text{I}_{15/2}$ ). This may be associated with the presence of  $\text{RE}^{3+}$  on the surface of the crystals, which would allow the increase of non-radiative mechanisms by clustering. Thus, the non-radiative processes between the transitions  $^4\text{F}_{7/2} \rightarrow ^4\text{F}_{9/2}$ ,  $^2\text{H}_{11/2} \rightarrow ^4\text{F}_{9/2}$  and  $^4\text{S}_{3/2} \rightarrow ^4\text{F}_{9/2}$  may occur more intensely for higher concentrations of  $\text{Er}^{3+}$ , which increases the transition  $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$  attributed to the emissions in the red

**Table 1**

Values of the FWHM (nm) of the emission assigned to the  $^4\text{I}_{13/2} \rightarrow ^4\text{I}_{15/2}$  of the  $\text{Er}^{3+}$ .

1000 °C		1200 °C	
% of $\text{Er}^{3+}$	FWHM (nm)	% of $\text{Er}^{3+}$	FWHM (nm)
0.5	72.12	0.5	68.59
1.5	84.74	1.5	67.51
3	69.82	3	64.42



**Fig. 8.** Infrared emission of  $\text{Er}^{3+}/\text{Yb}^{3+}$  co-doped  $\text{CaYAlO}_4$  obtained at (a) 1000 and (b) 1200 °C under excitation at 980 nm fixing the power source at 100 mW.

region. Furthermore, as shown by FTIR, organic groups, such as O–H, which promote an increase of non-radiative processes, are virtually eliminated during the heat-treatment process, which implies that, the increasing of the intensity for red emissions is associated with a reduction of the distances between the  $\text{RE}^{3+}$ , by the increasing of their concentration.

Fig. 8 shows the emission spectra from 1400 to 1700 nm, for samples heat-treated at 1000 °C and 1200 °C under excitation at 980 nm with 100 mW of power. All samples showed intense emission in the near infrared region, with maximum peak emission at 1520 nm, attributed to the transition  $^4\text{I}_{13/2} \rightarrow ^4\text{I}_{15/2}$  of  $\text{Er}^{3+}$ . This emission presents great technological interest, once these materials could find applications as optical amplifiers for C-telecom band. Under excitation at 980 nm,  $\text{Yb}^{3+}$  undergoes an electronic excitation from its ground state  $^2\text{F}_{7/2}$  to the excited state  $^2\text{F}_{5/2}$ . This follows energy transfer to the state  $^4\text{I}_{11/2}$  of  $\text{Er}^{3+}$ . From there and through non-radiative decay processes the  $^4\text{I}_{13/2}$  state can be populated, giving rise to the emission around 1520 nm. An interesting result observed for all samples is the broadening of the emission bands in that region. Table 1 shows the values of FWHM calculated for samples heat-treated at 1000 and 1200 °C. The values are between 64.62 and 84.74 nm. It is possible to observe that the increase of the heat-treatment temperature causes a reduction in the FWHM values, indicating that the symmetry around  $\text{Er}^{3+}$  becomes less distorted.

The values of FWHM of the emission around 1520 nm presented here are higher than those reported by some systems containing binary and ternary oxides, such as  $\text{SiO}_2\text{--HfO}_2$  (FWHM=50 nm) [47] and  $\text{SiO}_2\text{--Al}_2\text{O}_3\text{--GeO}_2$  (FWHM=53 nm) [14] and of the same order of magnitude to other binary systems such as  $\text{Ta}_2\text{O}_5\text{--SiO}_2$  (FWHM=92 nm) [48] and glasses tellurium-based (FWHM=92 nm) [16] and tellurium and tungsten based (FWHM=77 nm) [49]. In those systems the enlargement of the band emission can be associated to the inhomogeneous environment of  $\text{Er}^{3+}$ , resulting in broadened emission bands in the near infrared region. In the case of  $\text{CaYAlO}_4$ , it is no different. As previously discussed, in its crystalline structure, the  $\text{Y}^{3+}$  and  $\text{Ca}^{2+}$  are randomly positioned on equivalent sites of  $\text{C}_{4v}$  symmetry. Thus, when  $\text{Er}^{3+}$  is added in the crystal structure and occupies the  $\text{Y}^{3+}$  position, the non-homogeneous environment caused by differences in charge of the  $\text{Y}^{3+}$  and  $\text{Ca}^{2+}$  may cause a broadening of the emission band in the region around 1520 nm. Such broadening makes this a promising material for use in optical amplifiers operating in the third telecommunications window and in energy conversion devices, such as solar cells as well.

#### 4. Conclusions

In summary,  $\text{CaYAlO}_4\text{:Er}^{3+}/\text{Yb}^{3+}$  photoluminescent co-doped material was successfully synthesized by using citric acid as a ligand to form complex solution precursor. The XRD results showed that pure  $\text{CaYAlO}_4$  phase could be obtained after the heat-treatment at 1100 and 1200 °C for 4 h. All obtained compositions showed intense UC emission under excitation at 980 nm, with bands centered at 529, 550 and 663 nm, attributed to the transitions  $^2\text{H}_{11/2} \rightarrow ^4\text{I}_{15/2}$ ,  $^4\text{S}_{3/2} \rightarrow ^4\text{I}_{15/2}$  and  $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$ , respectively. Although the UC emission in the green region had been more intense than UC emission in the red region, it was observed that with increasing concentration of  $\text{Er}^{3+}$  there is an increase in the ratio between the area of the bands attributed to the emission in the red region ( $^4\text{F}_{9/2} \rightarrow ^4\text{I}_{15/2}$ ) and the emissions in the green region ( $^2\text{H}_{11/2} \rightarrow ^4\text{I}_{15/2}$  and  $^4\text{S}_{3/2} \rightarrow ^4\text{I}_{15/2}$ ), indicating that there is an intensification of the non-radiative decay mechanisms with increasing of  $\text{Er}^{3+}$  concentration. The samples also showed intense emission located around 1520 nm, attributed to the transition  $^4\text{I}_{13/2}$

$2 \rightarrow ^4\text{I}_{15/2}$ , with FWHM up to 84.74 nm for  $\text{CaYAlO}_4\text{:1.5% Er}^{3+}/\text{1.2% Yb}^{3+}$  heat-treated at 1000 °C for 4 h. The photoluminescence properties showed by these materials make them promising for use in C-telecom band, biological marker, and energy conversion devices, such as solar concentrators and solid-state lasers.

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