

**UNIVERSIDADE ESTADUAL PAULISTA “JÚLIO DE MESQUITA FILHO”
FACULDADE DE MEDICINA
CAMPUS DE BOTUCATU**

Marcela Rodrigues de Camargo

**Efeito protetor *in vivo* de vacinas de células dendríticas
sensibilizadas com RNA de células MC-38 pré-tratadas com
agentes antineoplásicos em concentrações efetivas mínimas**

Botucatu – SP

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Tese apresentada à Faculdade de Medicina, Universidade Estadual Paulista “Julio de Mesquita Filho”, Campus de Botucatu, para obtenção do título de Doutor em Patologia.

Botucatu – SP

2014

FICHA CATALOGRÁFICA ELABORADA PELA SEÇÃO TÉC. AQUIS. TRATAMENTO DA INFORM.
DIVISÃO DE BIBLIOTECA E DOCUMENTAÇÃO - CAMPUS DE BOTUCATU - UNESP
BIBLIOTECÁRIA RESPONSÁVEL: ROSEMEIRE APARECIDA VICENTE - CRB 8/5651

Camargo, Marcela Rodrigues de.

Efeito protetor in vivo de vacinas de células dendríticas sensibilizadas com RNA de células MC-38 pré-tratadas com agentes antineoplásicos em doses efetivas mínimas / Marcela Rodrigues de Camargo. - Botucatu, 2014

Tese (doutorado) - Universidade Estadual Paulista, Faculdade de Medicina de Botucatu

Orientador: Ramon Kaneno

Capes: 21102007

1. Cólon - Câncer. 2. Reto - Câncer. 3. Agentes antineoplásicos. 4. Células dendríticas. 5. Quimioterapia. 6. Vacinas.

Palavras-chave: Cancer colorretal; Células dendríticas; Vacina.



Dedicatória

Dedico este trabalho

Ao meu marido Pedro

por compartilhar ideais, ser meu parceiro de luta, de caminhada, de vida!

Aos meus pais José Luiz e Vanderli

por serem sempre meu porto seguro, minha fonte de inspiração e exemplo!

*Dedico aos meus **amigos**, por estarem sempre ao meu lado, iluminado
e guiando meu caminho!*



Agradecimientos

Agradeço meu orientador Dr. Ramon
Kaneno, por me permitir errar, me ajudar
acertar e me dar suporte para caminhar!

Agradeço também a Carolina Gorgulho,
Cecília Pessoa, Juliana Frederico, Pedro
Vannini, Fabiana Zambuzi, Vitoria Galvão,
Carolina Almeida e Graziela Romagnolli, pela
dedicação e amor ao trabalho realizado, pela
amizade, paciência, carinho, confiança,
alegrias e tristezas compartilhadas!

Obrigada família, por acreditar em mim até mesmo quando eu já não acreditava mais!

Agradeço as companheiras de estrada, Ana Paula, Tatiana, Bruna, Priscila, Miriane e Helga pelas risadas, desabaços e palavras de força nos momentos difíceis;

Aos meus amigos de intercâmbio, por me acolherem, me apoiarem e mais do que tudo, estarem comigo em uma das experiências mais diferentes da minha vida!

À Dra Suzanne Ostrand-Rosenberg e amigos do laboratório da UMBC, por permitirem o desenvolvimento de parte dessa tese e por essa experiência fantástica;

Agradeço os funcionários e amigos dos Departamentos de Patologia, de Microbiologia e Imunologia e também aos parceiros do Hospital Amaral Carvalho, sem vocês nada seria possível!

À Fundação de Amparo à Pesquisa do Estado de São Paulo (FAPESP), pelo suporte financeiro que permitiu a realização deste trabalho. (processos nº 2009/18331-8; 2010/06013-9; 2012/17824-3);

Ao Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq) pela bolsa pela bolsa em parte deste trabalho. (processo nº555857/2010-07);

À Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (Capes) pela bolsa em parte deste trabalho.

Agradeço enfim todos aqueles que de uma forma ou de outra participaram dessa etapa da minha vida, me ajudando, confortando, orientando, desafiando... Por me fazer sorrir, por me fazer chorar, gritar, emudecer e até mesmo me oferecendo um cantinho pra dormir ou comer!!!

OBRIGADA A TODOS!!!

*O caminho pode ser longo e difícil, mas tudo fica melhor
quando não se está sozinho!*



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Capítulo 1

*Introduction: Immunological aspects of
colorectal cancer*

Introduction: Immunological aspects of colorectal cancer

Cancer represents one of the major causes of mortality worldwide [1] and it was estimated that this disease accounted for a total of 1,660,290 new cancer cases and 580,350 cancer deaths in the United States in 2013 [2]. Lung, breast, prostate, colon-recto and stomach cancers are the main causes of cancer related deaths [3]. Colorectal cancer (CRC) ranks the third main type of cancer worldwide [1, 4] with 40,340 new cases expected in 2013 [5] and growing incidences in developing countries. In Brazil it was estimated to reach 30,000 cases in 2012 [6].

CRC is classified as sporadic or familial disease, the former covering 75-85% of patients. Therefore, besides the inheritance of genetic predisposing features, prevalent in familial form, this disease is strongly influenced by environmental factors or life style, such as a diet based on high red meat and low vegetable and fruits intake, low physical activity, high body mass index [7], smoking and high alcohol intake [8]. There is also a strong association between bowel inflammatory conditions and the development of colon carcinogenesis. In this aspect, the procarcinogenic role of inflammation in CRC includes its strong association with Crohn's disease and ulcerative colitis [9-12]

The current therapy for this disease is based on the surgery (partial or total colectomy) usually associated with chemotherapy with fluoropyrimidine, oxaliplatin, or irinotecan [13]. Traditionally chemotherapy is based on the administration of maximum tolerated dose (MTD) regimen, as neoadjuvant or adjuvant therapies for pre- or postoperative treatments, respectively [14]. This schedule frequently promotes deep myelosuppression followed by depressive effect on the functions of the immune system,

impairing both the innate and adaptive immunity [15]. This suppressive effect can facilitate the escape of drug-resistant tumor cells, increasing the possibility of relapsing disease.

An alternative schedule to avoid severe side effects - the metronomic chemotherapy - is based on the more frequent administration of lower doses of conventional drugs. This approach aims to keep effective serum levels of such drugs, preventing the development of drug resistance. Several currently used chemotherapeutic agents applied in low concentrations may also display direct stimulating effect on immune cells and fewer collateral effects [16] increasing tumor immunogenicity and/or activating the immunocompetent cells (chemoimmunomodulation) [15, 17, 18].

Paclitaxel (PAC) is one of the drugs tested for this purpose which low and ultra low doses are able to alter the tumor microenvironment and increase the antitumor efficacy of intralesionally inoculated DC vaccines by increasing CD4⁺ and CD8⁺ T cells and IFN-gamma production at the tumor site [19]. This drug can change the immunogenicity of human colon cancer cell lines by modulating the antigen processing machinery proteins in tumor cells [17] and stimulate the differentiation of myeloid-derived suppressor cells (MDSC) towards dendritic cells [20]. Sevko et al. [21] showed that paclitaxel applied in ultra-low dose on C57BL/6 mice immunized with tyrosinase related protein (TRP)-2 - a model of melanoma antigen- in combination with the peptide vaccination, strongly increased the frequencies of TRP-2 specific T-cells in the spleen. The treatment also decreases the levels of regulatory T-cells and immature myeloid cells, and strongly increases the amount of effector CD8⁺, CD4⁺ and NK T-cells, suggesting that this agent in ultra-low, non-cytotoxic doses may potentially enhance the efficacy of anti-tumor vaccinations by neutralizing immunosuppressive T(reg) and MDSC populations in tumor-bearing hosts.

MDSC are the precursors of macrophages, granulocytes and dendritic cells in the bone marrow. However, they have also been associated with decreased antitumor response in different models, since they are able to strongly suppress $CD4^+$ and $CD8^+$ T cells by producing inhibitor cytokines IL-10 and TGF- β [22-24]. These cytokines both directly prevent T cell activation [25], and promote the development of Tregs, as well as polarize immunity towards a tumor-promoting Th2 phenotype [26, 27]. In addition, MDSC can interfere on the expression of L-selectins, preventing the homing of T cell to lymph nodes, where they would become activated [28], while their rise at the tumor microenvironment can facilitate their escape, since they affect the function of effective CTLs and NK cells [29, 30].

Low dose of cyclophosphamide was also studied in this context and it was observed that it restores T and NK cell function in patients at end-stage cancer [30], and stimulates the generation of TH1 type cytokines while suppress the production of suppressive ones [31, 32]. Low dose of cyclophosphamide in combination with IL-12 therapy, selectively suppresses inhibitory cell subsets of MDSCs and Treg cells in MC-38 tumor-bearing mice through IFN- γ production [33].

Another chemotherapeutic and anti-mitotic drug docetaxel, is able to target MDSCs with preferential apoptosis of M2 cells and polarization of surviving cells towards M1 profile that restores T cell function and decreases tumor growth *in vivo*. Spleen $CD4^+$ and $CD8^+$ T cells isolated from docetaxel-treated mice spontaneously produced more IFN γ and displayed tumor-specific cytotoxicity than T cells from untreated tumor-bearing mice [34].

5-fluorouracil (5-FU) a chemotherapeutic agent that target the thymidylate syntase, an essential precursor for DNA biosynthesis, is the main drug used to control colon cancer, however, there are only few studies on it chemoimmunomodulatory activity when

administrated in low dose to animals or patients, or even it *in vitro* modulatory effect on dendritic cells.

Due to the high incidence of metastasis and relapsing disease, immunotherapy - including passive antibody therapy and active therapeutic vaccination - should be considered for many patients [35-37]. There are several monoclonal antibodies approved by the Food and Drug Administration (FDA) for passive immunotherapy, such as the anti-angiogenic antibody bevacizumab, and the anti-epidermal growth factor agents – cetuximab and panitumumab) that improve the benefits of conventional chemotherapy.

Bevacizumab or Avastin® is an inhibitor of vascular endothelial growth factor receptor-2 (VEGFR-2) developed for the treatment of primary and metastatic colorectal, lung and breast cancer [38]. In 2004 the FDA approved its use in a combination with 5-fluorouracil (5-FU)-based chemotherapy as a first-line treatment of metastatic colorectal cancer [39]. This antibody has been used for treating colorectal cancer in combination with cytotoxic agents in several other schedules, such as irinotecan/5-fluorouracil/leucovorin (FOLFIRI) that resulted in increased IL-2 and IFN-gamma production [40], and the overall survival of the patients [41, 42]. Bevacizumab was also combined with fluoropyrimidine and oxaliplatin (FOLFOX), improving in about 5 months the survival of CCR patients [43, 44]. However, some phase III trials showed that FOLFOX chemotherapy schedule alone is more effective than its combination with Bevacizumab [45]. In another approach, combining capecitabine and irinotecan (XELIRI) were combined with this antibody it was observed a 11.5-month survival and a favorably decrease of neutropenia and diarrhea events [46, 47].

Despite the successful treatment of some patient, combination of this antibody is not free of risks. In fact, VEGF inhibition due to a long term use of bevacizumab highly

increases the incidence of hypertension [48]. Patients with stage IV colorectal cancer treated with FOLFIRI and Bevacizumab showed increased risk of stroke, although they did not manifest cardiac events [49].

The anti-EGFR (Epidermal Growth Factor) Cetuximab or Erbitux® is also approved for clinical application. It is a humanized antibody that includes a human IgG1 κ and murine Fv that targets EGFR and disrupts cell-signaling pathways, altering the ratio of Bax to Bcl-2 expression. It causes an increased expression of caspases and decreased tumor cells proliferation due to apoptosis [50, 51]. Nevertheless, Cetuximab therapy is very questionable, since some authors observed that metastatic colorectal cancer patients with KRAS mutations (that can affect 35-45% of patients) are resistant to the treatment with this antibody [52, 53].

EGFR can be targeted with another monoclonal antibody called Panitumumab, a fully human IgG2 κ anti EGFR approved for monotherapy as a third-line treatment of progressing colorectal cancer (resistant to previous chemotherapeutic schedules) [54]. Preclinical studies showed that Panitumumab binds to the EGF receptors reducing their signaling and inducing apoptosis. This antibody also binds to VEGF (vascular endothelial growth factor) and is able to decrease angiogenesis [55, 56]. In a phase III trial study, metastatic colon cancer patients with wild type K-RAS gene (WT-KRAS) treated with this antibody showed longer overall survival and increased progression free survival, supporting its efficacy [57, 58]. Similar results were observed in a phase II trials study in which such a monotherapy reached an overall survival of 13.5 months, while patients with mutated K-RAS (MT-KRAS) reached only 7,25 months. Combination of this antibody with FOLFIRI chemotherapy increased the progression-free survival from 3.9 (FOLFIRI control) to 5.9 months [59]. Better results were obtained by Mitchell, 2010 [60] who

reported that treatment of WT and MT-KRAS patients with Panitumumab plus FOLFIRI improved the median PFS from 5.5 to 26, and from 3.3 to 19 months, respectively. However, other authors did not achieved similarly promising results with it combination with FOLFOX chemotherapeutic schedule [61].

Administration of monoclonal antibodies is frequently followed by side events such as erythema, acneiform dermatitis, pruritus, hypomagnesemia, skin exfoliation, fatigue, paronychia, abdominal pain, anorexia, nausea, diarrhea, skin rash and fissures [56, 62]. Limitations of passive immunotherapy require the continuous search for the knowledge on tumor:immune system relationship for the development of active immunotherapeutic interventions. In this aspect, one of the most prominent proposals is the development of dendritic cell-based therapeutic vaccines (DC-Vax) which main objective is to *in vivo* stimulate the adaptive immune system to achieve tumor regression [63-65].

DCs are the main professional antigen presenting cells [66],[67] and are found in most tissues [68]. Immature DCs are efficiently driven by chemotactic signals to lymphoid organs where they mature and improve their ability to present antigens for naïve T cells, and trigger an efficient immune response [68, 69]. DCs constitutively show class I and class II MHC molecules, and regularly process tumor antigens by the endocytic route for presenting peptides in association with MHC II molecules. Some of these peptides can also be associated with MHC I molecules through cross priming or cross-presentation phenomenon [65, 70, 71]. DC maturation is featured by a reduced antigen processing capacity, increased expression of MHC and costimulatory and adhesion molecules, increased expression of cytokine receptors, and reorganization of the cytoskeleton [72].

The central role of DCs on antigen presentation and induction of antitumor immunity supported the proposals of DCs-based therapeutic antitumor vaccines [73-75].

DC-vax show good results against cancer and can be prepared by different protocols that range from simple preparation of tumor cell lysates by rapid freezing and thawing [76], to the generation of hybrid cells by fusing DCs to tumor cells [77], and DC transfection with tumor RNA [78].

Results of studies on DC loaded with tumor cells lysates are controversial, since some studies have shown that this approach promotes a poor protective role, whereas other authors have been successful with their DC preparation. He *et al.* [79] observed that lysate-pulsed DC produced a poor protective effect against the development of CT26 cells, despite their ability to stimulate CTL activity, as well as INF- γ production during a CTL assay. Rather, these DC are likely to skew CD4 responses away from an optimal DC maturation and TH1 responsiveness with limited upregulation of CD80 and CD86 even after stimulation with LPS [80]. Actually, lysate-pulsed DC showed a more immature phenotype than unpulsed controls, and dramatically reduced the production of IL-12 p70 and TNF- α while increasing IL-10. In addition, they observed that whole cell lysate inhibits the TLR-induced activation and IFN- γ production following co-culturing with T lymphocytes. Lysate was also unable to induce stimulation of specific CD8 cells against B16.OVA target cells [79].

Moreover, in previous results reported by our group, we demonstrated that human DC loaded with colon cancer cell lysates efficiently stimulated allogeneic responsiveness (MLR) and induced CTL *in vitro* [81, 82]. Our results are in agreement with Larmonier *et al.* 2006, who successfully induced DC maturation and activation by pulsing them with cell lysate. Aiming to compare three different methods for preparation of tumor lysate, tumor cells were induced to necrosis by the quick freeze-thaw process, induction of syncytia by transfection with fusogenic viral vector, or treatment of HSV-transfected cells with

ganciclovir [83]. Results have shown that the manner by which tumor cells were killed did not affect the expression of CD11c, CD80 and CD86 by pulsed DC, since all methods were able to enhance the expression of these markers, as well as the expression of NF- κ B and STAT1 and the production of IL-12. All the methods for antigen preparations were equally efficient at inducing T cell proliferation. Lysate-pulsed DC are even able to inhibit the growth of liver metastasis of colon cancer cells in the murine model of MC-106 cells [84].

In fact, some details can make the difference in the effectiveness of lysate-pulsed DC vaccines. For instance, the inhibitory effect of lysate on DC maturation can be reduced when tumor cells are stressed by heating at 42°C for 25 min prior to the cell lysate preparation [85]. It is hypothesized that the expression of heat shock proteins by tumor cells can prevent the suppressive effect of cell lysate by increasing DC maturation as also evidenced by others [86-88].

Sonication of freeze/thawing lysates is another proposed method for preparing tumor antigens. Rossowska *et al.* [89] observed that DC loaded with this preparation already migrate to tumor-draining lymph nodes at the first day after injection, and the number of DC increased gradually at the tumor site until the fifth day. Most of the injected DC survived until the seventh day at the injection site whereas only Ag-loaded DC induced apoptosis and necrosis of tumor tissue.

Aiming to compare different methods for loading DC with tumor antigens, it was observed that lysate obtained from solid tumor cells homogenate poorly effects the ability of DC to stimulate antitumor activity [90]. Stressed tumor cells were obtained by freeze/thaw cycles or by irradiation at 30Gy, with the irradiation being more useful than the freeze-and-thaw process. However, for these authors, the best method for loading DC was their fusion with live tumor cells. They observed that irradiation of tumor cells with

30Gy was effective at stopping their proliferative ability and did not affect their usefulness for preparing tumor-DC hybrids. Results have shown that such hybrids produced 100% efficiency at protecting mice from tumor development.

Fusion technology was also used by Kao *et al.* 2006 [91], who prepared a vaccine by fusing DC with CCCT 26 cells. Although this vaccine was able to prevent growth of a lethal inoculum of tumor cells and induced a strong *in vitro* CTL response, it did not present a therapeutic role, failing to inhibit the growth of preexisting tumor, even after LPS-induced maturation. A TH-2–dominant response was observed in vaccinated animals, which showed a prevalence of IL-5-producing cells over IFN- γ -producing ones and increased IL-4- and IL-10-producing cells. Since the authors did not observe numerical differences in either TGF- β -producing cells or in CD4⁺/CD25⁺ cells, they concluded that their vaccine induces an aberrant TH2 response rather than increasing Treg cells. Although human DC fused with SW480 tumor cells have induced the development of specific CD8⁺ T cell response and secretion of IFN- γ , the authors did not test them in a clinical *in vivo* trial [92].

Transfection of DC with RNA of known tumor antigens is another strategy for DC loading, with the carcinoembrionic antigen (CEA) being one of the preferred targets for this purpose in colon cancer [96-100]. Ojima *et al.* [101] worked with murine MC-38 tumor cells transfected with human CEA by an adenoviral vector, whereas DC were transfected to express GM-CSF, IL-12 or CEA. *In vivo* experiments showed that the antitumor response induced by transfected DC is dependent on CD4⁺ cells rather than CD8⁺ or NK cells. However, when both CD8⁺ and NK cells were depleted, host resistance was completely abrogated. The role of these effector cells was confirmed by immunofluorescence microscopy, showing heavy tumor infiltration by both NK and CD8⁺,

which surround CEA⁺ target cells. This infiltration is dependent on IL-12 and GM-CSF since DC transfected with CEA alone showed only discrete infiltration by CD8⁺ cells.

Anti-idiotypic antibodies can mimic CEA for DC loading to induce specific anti-CEA cytotoxic T lymphocytes and reject CEA-transfected MC-38 cells [102]. This CTL response mediated class I-restricted lysis, IFN- γ and TNF- α production, and FAS-L expression, as well as TNF-related apoptosis-inducing ligand (TRAIL) response. *In vivo*, antitumor activity was dependent on CD4⁺ and CD8⁺ cells, while the expression of memory phenotype (Ly-6C⁺ and CD44⁺) was increased.

Rains et al (2001) [103] pulsed DC with RNA from autologous colorectal cancer cell to generate a vaccine and three months later 7 of 13 patients had reduced serum levels of carcinoembryonic antigen (CEA). It was observed that DCs derived from peripheral blood mononuclear cells (PBMC) transfected with RNA of colorectal cancer, induce antitumor response by cytolytic T cells through antigen presentation via MHC class – I [78], demonstrating the importance of the participation of CTL in antitumor response [104]. As expected, expression of class I MHC molecules highly correlates with the overall tumor immunogenicity that is a singular marker to predict the quality of response of patients to this modality of immunotherapy

Immunization of patients with DC vaccine in a phase I/II clinical trial showed that the vaccine was effective for 16.7% of patients in the phase I study and for 23% of them in phase II [93]. Messenger RNA from both the TAT protein transduction domain and calreticulin increase the immunogenicity of CEA and the effectiveness of mRNA-pulsed human DC. It is interesting that DC transfection with calreticulin mRNA seems to be associated with activation of CD4⁺ T cells whereas TAT protein mRNA shows preferential stimulation of CD8⁺ cells [105]. Since mRNA represents only 5% of total cell RNA, *in*

in vitro amplification of mRNA was shown to be feasible for producing immunogenically active CEA-encoding mRNA [94].

Instead of using mRNA for known specific antigens such as CEA and Her2/neu, Nencione A *et al.* 2003 [78] transfected DC with total tumor RNA using an EGFP-encoding vector. They observed that DC transfected with RNA from colon cancer cells were able to induce a CTL response and that effector cells were able to recognize both the original tumor cell line used for RNA preparation (SW480) and two other cell lines, HCT-116 (colon cancer) and A498 (renal cancer). Supporting this strategy, a clinical trial using total RNA extracted from metastatic tumor cells for pulsing autologous DC (4 injections, every 4 weeks) was shown to be feasible for inducing a specific T response against CEA [106].

Systematic association of chemotherapy and immunotherapy strategies is a relatively new subject, though intuitive, especially concerning the use of low and ultra/low chemotherapy schedules. The current challenge is to find how different drugs interact with different immunotherapeutic approaches and the ideal concentrations of low/ultra low dosage, in order to combine them with immunotherapy to reduce side effects and improve the antitumor status

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Capítulo 2

*Artigo - Transfection of murine dendritic cells
with RNA from colon cancer cells
pretreated with 5-fluorouracil activate DC
and increases TNF- α production*

Transfection of murine dendritic cells with RNA from colon cancer cells pretreated with 5-fluorouracil activate DC and increases INF-g production

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Research area: Tumor Immunology

ABSTRACT

We have recently observed that treatment of colon tumor cells with low concentration of paclitaxel increased the expression of several genes associated with antigen-presenting machinery. Since 5-fluorouracil (5-FU) is the main antineoplastic agent for colon cancer, in this study we aimed to evaluate immunomodulatory properties analyzing: a) if the DC transfection with drug-treated tumor cells RNA, enhances the effectiveness of DC-based vaccine; b) if the modulatory effects of vaccine can be observed *in vivo*, and c) if the combination of DC with low dose chemotherapy schedule improves the antitumor responsiveness. To achieve these goals s.c. MC-38 bearing C57/Bl-6 mice were treated with DC sensitized with RNA from tumor cells pre-treated with the minimum effective concentration (MEC) of 5-fluorouracil. Our results of studies show that vaccination with tumor RNA-transfected DC delays the tumor growth, increases the percentage of CD86⁺ (35%) CD40⁺ (63%) and MHC class II⁺ (47%) DC and significantly increases the *in vitro* production of IFN- γ . These results suggest that treatment of tumor cells with 5-FU induces transcriptional changes that can be transferred to DC by RNA transfection, enhancing their ability to stimulate the antitumor response

Keywords: chemotherapy; colorrectal cancer; dendritic cell; immunomodulation; vaccine.

1. INTRODUCTION

Colorectal cancer (CRC) ranks the third place in cancer frequency in the world, and is the second cause of mortality among cancer patients in USA (1, 2). Malignant transformation of intestinal epithelial cells is has different causes, including genetic factors, exposition to food and environmental compounds and previous inflammatory diseases such as Crohn's disease and colites (3-5). The incidence of CRC in Crohn's disease patients, is about 20 times higher than in subjects free of this condition and, it develops on average 20 years earlier in those patients than in the general population (5, 6). CRC leads to the development of both local and systemic immune response that may delay tumor progression (7, 8). Special attention is paid to cytotoxic T cells that specifically recognize and kill tumor cells in a MHC-restricted fashion (7, 9). Dendritic cells (DCs) are essential for the establishment of this specific antitumor immune response, but in most CRC patients, these cells are suppressed or remain poorly differentiated in the tumor site, whose density is three times lower than in normal colonic mucosa. Expressions of DCs activation markers or co-stimulatory structures are also in lower frequency, especially in metastatic tumors (10).

Examination of the relationship between the density of DCs and intratumoral cytokine expression, shows that tumors with high proportion of infiltrating T cells expressing TNF, have a higher number of mature DCs (10), indicating the role of this cytokine in the maturation and antigen presentation function. The DC:CD4 and DC:CD8 interactions in the tumor border, is related with a better prognosis and reduced metastasis in CRC patients, reinforcing the view of the central role of DCs in control of antitumor immunity (11, 12). Thus, treatments for patients with CRC should be conducted to restore immune system ability of recognition and specific reaction against tumor antigens. In this scenario, DCs

are described as an important tool for immunotherapy against cancer (Hafid, 2010), especially through therapeutic vaccines development (DC-vax), prepared with DC by different protocols.

One of the approaches for its generation is transfection of tumor nucleic acids into DC, as previously reported by Nencioni *et al* (2003) (9) and Boczkowski *et al.* (2000) (13) who achieved a protective immunity against CRC in tumor-bearing mice, using tumor RNA. We have previously observed that DCs can be modulated *in vitro* by exposure to ultralow concentrations of antineoplastic agents (14). Thus, although drugs in such concentrations are not able to induce apoptosis or necrosis of tumor cells, they can modulate the production of cytokines at the tumor microenvironment, and stimulate the *in vitro* maturation and function of DC (15, 16). Recently, we have observed that sensitization of human DCs with tumor lysate is enhanced by previous incubation with low concentration of Paclitaxel (PAC) (14). In addition, the pre-treatment of tumor cells with low concentrations of PAC, increases their immunogenicity, facilitating the generation of specific cytotoxic T lymphocytes (CTL) (17). In the clinical field some centers propose the metronomic chemotherapy - based on the more frequent administration of moderate doses of chemotherapeutic agents - that contrasts with the maximal tolerable dose treatment, which are usually followed by severe side effects (18).

Aiming to continue this line of investigation, we consider that it is necessary to *in vivo* evaluated the feasibility of chemoimmunomodulation. Since 5-fluorouracil (5-FU) is the main antineoplastic agent for colon cancer, this project was designed to evaluate the immunomodulatory role of DC transfected with RNA from tumor cells, pre-treated with the minimum effective dose of 5-fluorouracil. Our results showed the transfection increases the CD40, CD86 and MHCII expression on DC, and that animals treated with vaccine *in vitro* produced higher levels of IFN- γ .

2. MATERIAL AND METHODS

2.1 Animals

C57Bl/6 mice from the animal Facility at University of São Paulo, Ribeirão Preto, were separated into four groups of four animals and maintained at the Department of Pathology, Faculty of Medicine of Botucatu - UNESP, under controlled temperature and humidity, water and food supply *ad libitum* and 12/12 h light/dark periods. All the proceedings followed the rules of Brazilian College of Animal Experimentation and were approved by the local Ethics Committee.

2.2 MC-38 cells maintenance and treatment with 5-fluorouracil

Murine colorrectal tumor MC-38 cells were maintained in complete culture medium (10% fetal bovine serum, 2 mM L-glutamine, 1% nonessential amino acids, 25 mM HEPES, sodium pyruvate and 40mg/l gentamycin) at 37 ° C, under 5% CO² for 24-72 h. Cells were detached by treatment with trypsin for 3 min and washed with complete medium or PBS (when used to obtain RNA).

MC -38 cells at 2x10⁴cells/ml were cultured in a 96 flat bottomed well culture plate with different concentrations of 5-fluorouracil and 0.5 mM corresponded to a citostatic concentration, called by us as minimum effective concentration (MEC).

2.3 Tumor RNA extraction

Forty million cells were cultured for 72h with the minimum effective concentration of 5-FU. Cells were detached with trypsin, washed with PBS and the pellet stored at -80°C. Total RNA was isolated from tumor cell culture after TRIZOL extraction using RNeasy

TM Maxi anion-exchange spin columns (Qiagen) according to the protocol provided by the manufacturer and quantified by spectrophotometry at NanoVue (GE Healthcare).

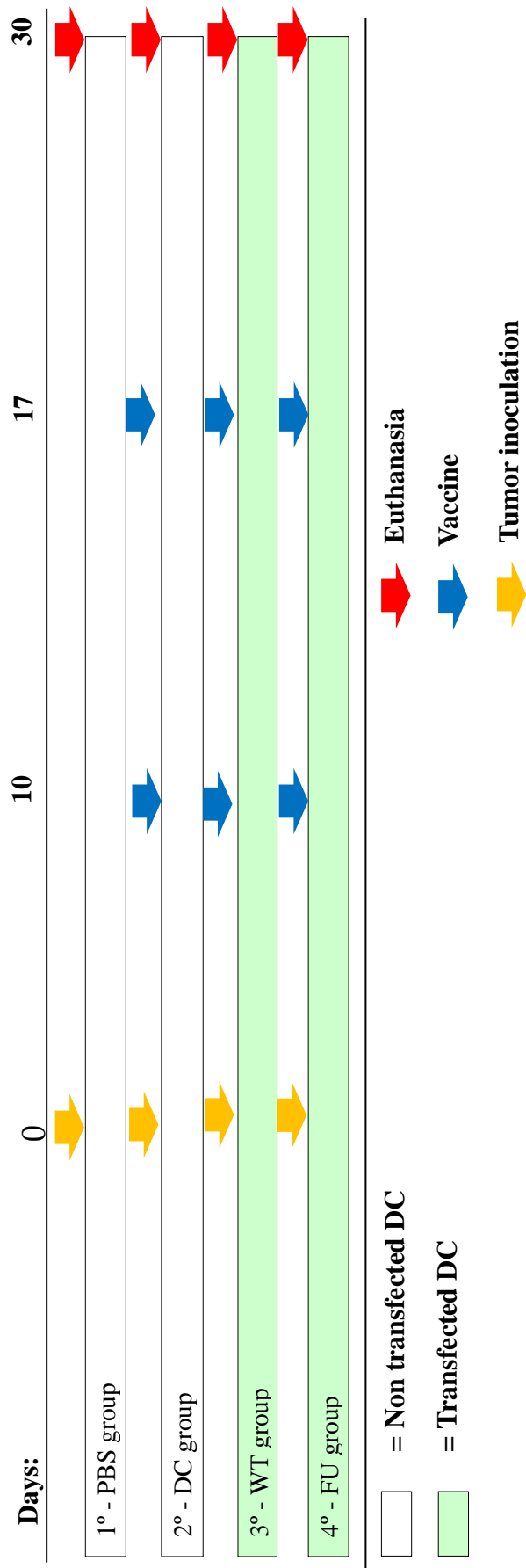
2.4. Preparation of DCs suspension

Bone marrow was collected from the tibiae and femurs of healthy mice for the generation of myeloid DC. Erythrocytes were lysed with hemolytic solution and T and B lymphocytes were depleted with anti-CD4, CD8, and B220 antibodies plus complement. Cells were cultured in 6-well culture plates with 80 ng/ml of GM-CSF and IL-4 at 37°C in a 5% CO₂ chamber. After 6 days, immature DCs were collected, washed with fresh RPMI, adjusted to 2.4x10⁶/ml and resuspended in AIM-V medium. DCs were transfected (lipotransfection) with tumor total RNA (5µg/10⁶cells) using TransMessenger Kit (Qiagen) adapted for murine dendritic cells. After 24 h, DCs preparations were stained and analyzed by flow cytometry (FACS Calibur) for surface expression of MHC class II molecules, co-stimulatory (CD80), and maturation marker (CD40) (Biologend antibodies) . As control, we used non transfected dendritic cells (DC) and DCs transfected with RNA from wild type MC-38 cells (WT) or just PBS.

2.5 Animal treatment

Transfected DCs were harvested, washed with PBS and adjusted to 10⁷ cells/ml for s.c. inoculation in tumor site. Animals were treated with about 10⁶DC/100µl administered by the 10th and 17th days after tumor implantation. Freshly prepared DC were inoculated at the first application and frozen cells were inoculated at the second.

Experimental Design



- **PBS:** untreated tumor-bearing mice
- **DC:** non transfected dendritic cells
- **WT:** dendritic cells transfected with RNA from wild type tumor cells
- **FU:** dendritic cells transfected with RNA from tumor cells pre-treated with 5-fluorouracil.

2.6 Euthanasia and material collection

Animals were euthanized in a CO₂ chamber thirty days after tumor inoculation for spleen and tumor mass excision. A small tumor fragment of each animal was fixed in 10% formalin for 48 h, washed in running water and kept in 70% ethanol for subsequent histological processing.

2.7 Mixed lymphocyte reaction (MLR)

For mixed lymphocyte reaction, allogeneic T lymphocytes were obtained from BALB/C mice spleens. Spleen cells were resuspended in complete medium and incubated in a nylon wool chamber for 30 minutes. The column was then washed with 15ml of complete medium to remove non-adherent lymphocytes. T lymphocyte enriched suspensions was set to 10⁶ cells/ml and cryopreserved.

In order to verify the ability of DCs to promote proliferation of allogeneic T lymphocyte, co-cultures were prepared with the following DCs:

- FU: DCs transfected with RNA from MC-38 treated with minimal effective concentration of 5-fluorouracil.
- WT: DCs transfected with RNA from wild type MC-38 cells.
- DC: non-transfected DCs were used as controls.

DCs were adjusted to 10⁶ cells/ml and distributed into 96 flat-bottomed well plates and co-cultured with allogeneic T lymphocytes at 1:1, 1:3, 1:10, 1: 30, 1:100 and 1:300 DC:T ratio. The culture was incubated for 4 days under an atmosphere of 5% CO₂ at 37° C for further analysis by MTT assay.

2.8 Cytokine determination

Development of specific antitumor T lymphocytes by tumor-bearing mice was measured by the ability of spleen cells to produce IFN- γ following contact with tumor target cells. Then, spleen cells were co-cultured with MC-38 cells and supernatant were collected 24 h later and frozen for further analysis. Levels of IFN- γ and IL-10 were measured by ELISA MAX Deluxe Set, BioLegend according to the instructions of the manufacturer.

2.9 Histological Analysis

The tumors mass were excised, fixed in formalin (10% formaldehyde) for 48 h, washed in running water for 24 h and kept in ethanol 70% until their inclusion in paraffin. Samples were dyied by H&E for morphological observation.

2.10 Statistical Analysis

Groups were tested for homogeneity of variances (Bartlett test) and analyzed by ANOVA statistical test using Graph pad Instat statistical program. Differences among the groups were considered significant when $p < 0.05$.

3. RESULTS

3.1 Effect of transfection on DC phenotype

Flow cytometry analyses of lipotransfected cells revealed an increase of 63% for CD 40⁺, 35% for CD86⁺ and 47% for MHCII⁺ in the expression of 5-FU group cells compared to DC group (Fig 1). This positive effect shows that previous exposure of the tumor cells to 5-FU, associated with the DCs lipotransfection RNA for construction of the vaccine is able to increase the expression of all markers analyzed in most of the groups and transfection with RNA of wild type tumor cells (WT) did not induce the same phenomenon.

Table 1: Flow cytometry analysis of transfected (WT and FU) and no-transfected dendritic cells

| Cells | % CD11c ⁺ /CD40 ⁺ | MFI of CD11c ⁺ /CD40 ⁺ | % CD11c ⁺ /CD86 ⁺ | MFI of CD11c ⁺ /CD86 ⁺ | % CD11c ⁺ /MHCII ⁺ | MFI of CD11c ⁺ /MHCII ⁺ |
|-------|--|---|--|---|---|--|
| DC | 37,665 | 48,1 | 39,825 | 215,67 | 51,29 | 312,60 |
| WT | 49,71 | 34,2 | 46,8 | 109,18 | 75,11 | 201,76 |
| FU | 61,395 | 35,71 | 53,44 | 85,65 | 75,345 | 210,79 |

Phenotype of transfected (WT and FU) and no-transfected dendritic cells (DC) after 6 days of culture. Results expressed as percentage of total cells and mean of fluorescence intensity (MFI). Mean ± SD of two independent experiments.

3.2 Mixed Lymphocyte Reaction

When the ability of DCs to stimulate allogeneic lymphocytes in culture was evaluated, DC transfected with tumor RNA showed a harmed antigen presenting function compared with control DC. This effect seems to be not due to the pretreatment of the tumor cells with 5-FU, since the same cells transfected with RNA from untreated tumor (WT) was also effective for promoting lymphocyte proliferation (Fig 1). Non transfected DC promoted the highest allogeneic proliferative response, indicating that transfection of tumor RNA into DC was not able to improve their ability to promote alloresponse by lymphocytes.

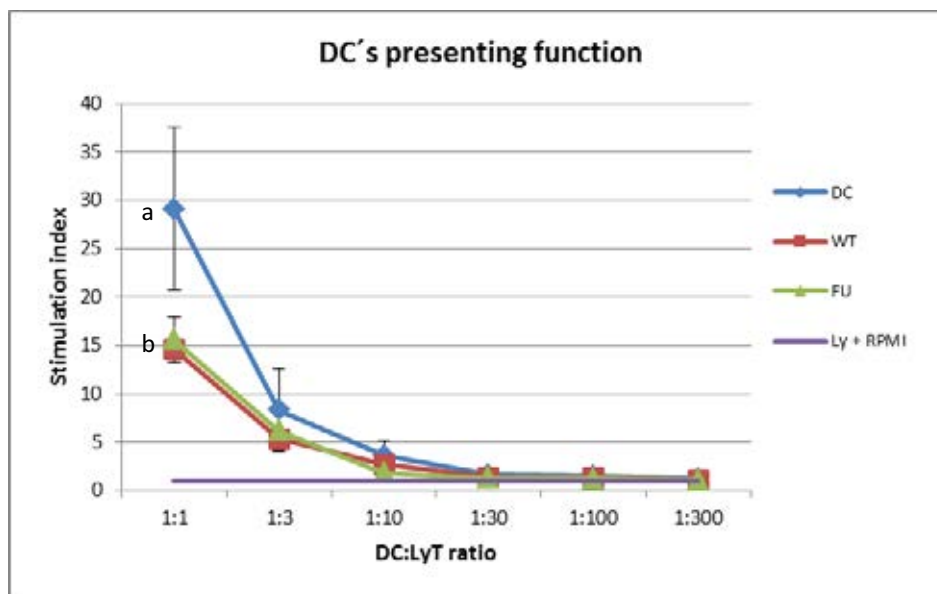


Fig 1: Ability of transfected (WT and FU) and no-transfected dendritic cells (DC) after 6 days of culture on T cells proliferation. Cells co-cultured with spleen cells from allogeneic mice. Average of two experiments performed independently. Data expressed as stimulation index and analyzed by ANOVA and Tukey-Kramer Multiple Comparisons Test, $a \neq b$, $p < 0.05$. $N = 8$.

3.3 *In vitro* production of IFN- γ and IL-10

Quantification of cytokines in the supernatant of spleen cells co-cultured with MC-38 cells, showed that the FU vaccine is more effective *in vivo* since it generate an specific anti tumor T lymphocyte response, as evidenced by IFN-g production (Fig 2).

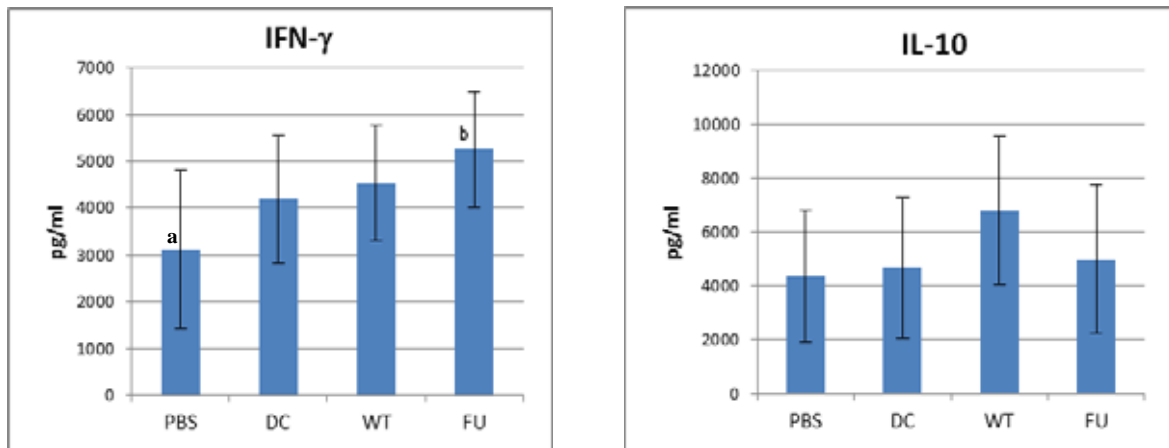


Fig 2: *In vitro* IFN- γ and IL-10 levels at the supernatants of spleen cells co-cultured with MC-38 by 24h and analyzed by ELISA. Mean of two experiments performed independently. Statistical analysis by ANOVA and Tukey-Kramer Multiple Comparisons Test, $a \neq b$, $p < 0.05$. N = 8.

3.4 Tumor growth

Measurement of the tumor area of each animal was performed once a week for 30 days to calculate the tumor growth, that was significantly decreased by inoculation of DC, independently of it sensibilization with tumor RNA. In fact, tumor growth in DC, WT and FU groups was lower than in PBS control, but there was no difference among the experimental groups (Fig.3).

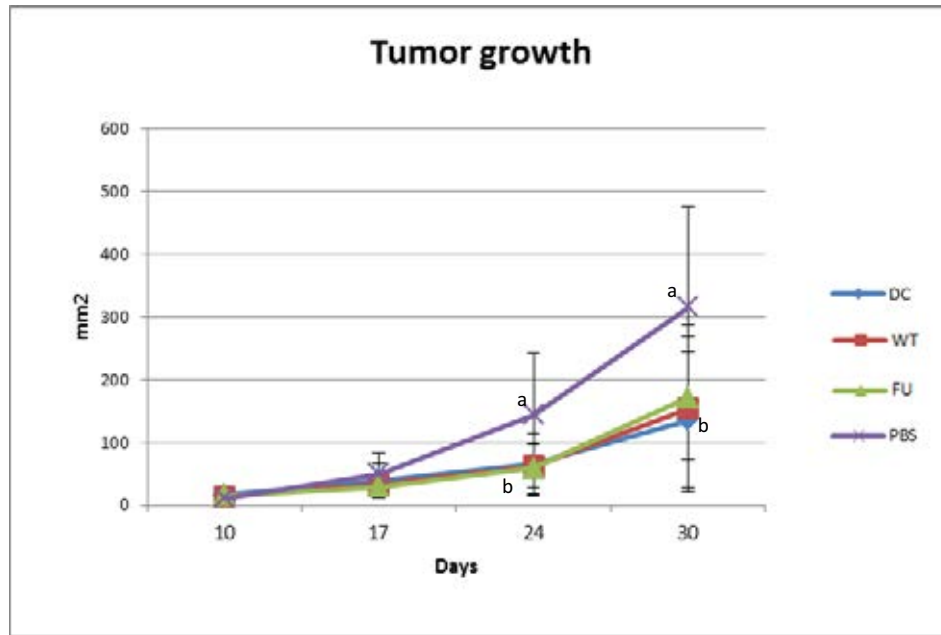


Fig 3: Effect of dendritic cell vaccine on tumor growth. Once a week measurement of tumor area during 30 days. Mean \pm SD of two experiments performed independently. Statistical analysis by ANOVA and Tukey-Kramer Multiple Comparisons Test, $a \neq b$, $p < 0.05$. $N = 8$.

3.5 Histopathological Analysis of tumor growth

Histopathological analysis showed extensive areas of necrosis, ulceration and diffuse inflammatory infiltration in tumor tissue of PBS group. FU group showed rare mitotic figures and high amount of cells with morphology of PMN cells. Inflammatory infiltration was predominantly around the vessels and diffusely spread over necrotic areas (Fig.4). Despite the rich PMN infiltration the animals of this group showed less frequency of tumor ulceration.

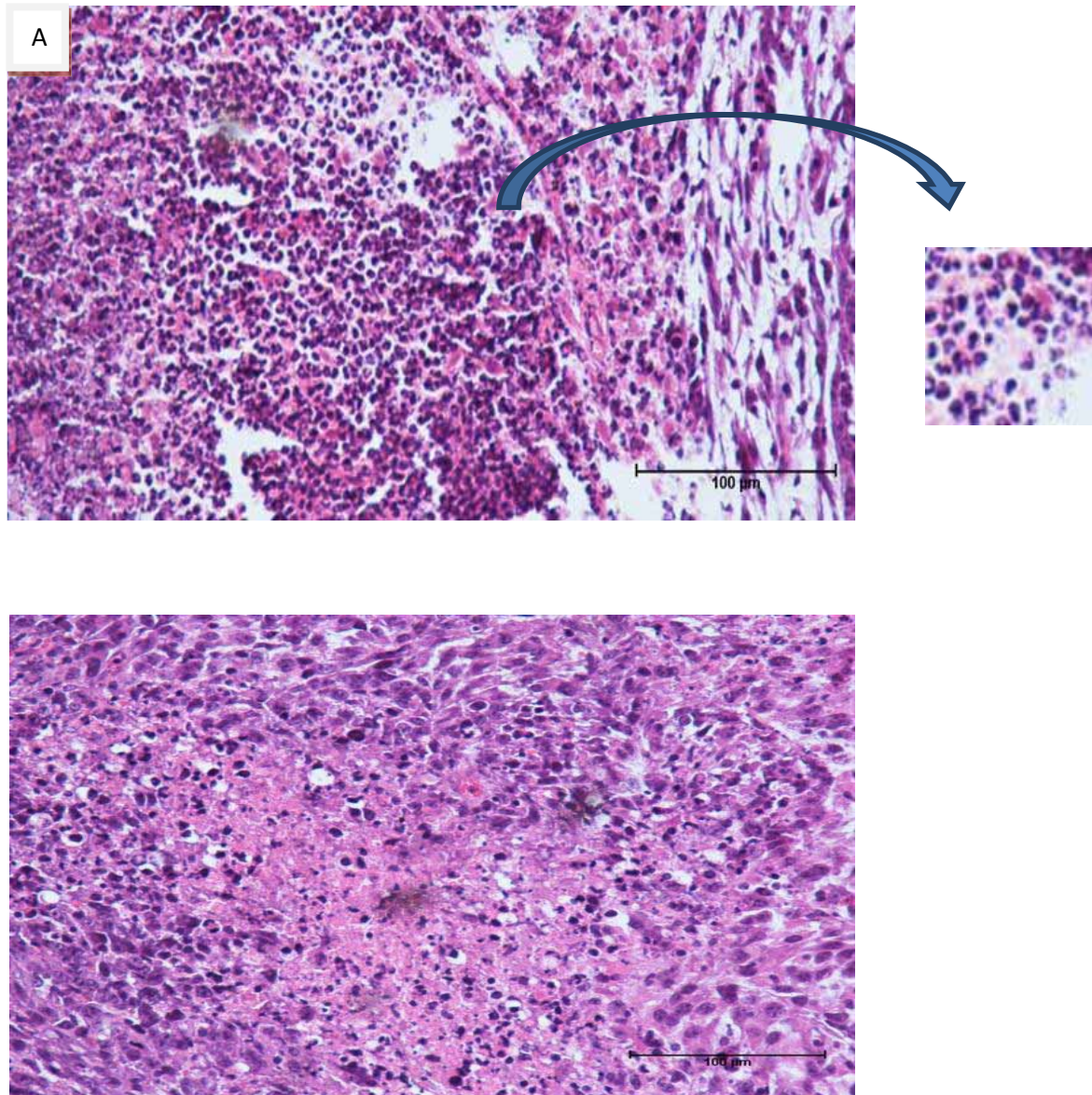


Fig 4: **A:** Inflammatory infiltration at the periphery of necrotic area of the tumor, growing in animals treated with DC transfected with MC-38 RNA pre-treated with 5-FU. **B:** Necrotic area of the tumor, growing in animals treated with PBS.

4. DISCUSSION

Previous studies of our group showing that low concentration of paclitaxel (PAC), is able to increase the immunogenicity of colon cancer cells (17), and increase maturation and functions of human DCs, (14, 16), stimulated us to investigate the feasibility of *in vivo* modulation of antitumor resistance. In the present study we observed that RNA of MC-38 cells pretreated with minimum effective concentration of 5-FU, increases the expression of CD40, CD86 and MHCII expression when transfected in DCs. Increased expression of MHC molecules, is one of the main features of DC maturation (19, 20), as well as upregulation of co-stimulatory molecules such as CD40 and CD80 on the cell surface. This upregulation is necessary for activation of T-cells at draining lymph nodes and initiate immune responses (21-23). Mature DCs are able to migrate more rapidly to secondary lymphoid organs, where they can rapidly initiate anti-tumor T-cell responses (24, 25). On the other hand, splenocytes from animals treated with vaccine showed higher production of IFN- γ , a cytokine that promote cell-mediated responses, which play a key role against tumor (26, 27). The increase in expression of cell surface molecules and a significative pro-inflammatory cytokine production, suggests that our transfection facilitate the maturation and activation of DC, thus improving the antigen presenting ability. It supports our concept that treating tumor cells with drugs before extracting RNA for transfection becomes the vaccine more efficient to fight colorectal cancer cells. However, significant increase was observed in the MLR activities of non transfected group. We observed an effective lymphoproliferative response to control untransfected DC, but not at RNA transfected groups (WT or Fu-MEC). At the same time, observing intensity of fluoresce mean of the results, we found that our control group DC presented more fluorescence intensity for CD40, CD86 and MHCII than transfected groups demonstrating that an upregulation on cell surface receptors enhance the ability of DCs to activate T cells (28-30). According to

(31). In this line, our *in vivo* results showed no difference on antitumor activity among transfected and no transfected DC preparations, suggesting that the transfection methodology may affect vaccine efficiency. Furthermore, we found the presence of PMNs morphologically-like cells around necrosis area of vaccine treated animals. Activity of neutrophils is classically considered a tumor enhancing factor, especially when these cells are associated with chronic inflammatory responses (32). Tumor immunosuppression can be directed by many factors, but specially through myeloid cells, including myeloid derived suppressor cells (MDSC), and tumor-associated neutrophils (TAN) (33, 34). These myeloid cells have been shown to promote tumor progression by direct immune suppression and by production of angiogenic factors, matrix-degrading enzymes and growth factors (32). However, neutrophils are morphologically similar to myeloid-derived suppressor cells (MDSC), a group of cells with potent immunosuppressive activity (35). These cells are generated from bone marrow precursor cells during the inflammatory activity of tumor microenvironment and have the ability to alter the antigen-specific responses of effector lymphocytes (36, 37). If these infiltrating polymorphonuclear cells are MDSC, instead of neutrophils, we hypothesize that these tumor antigen loaded DC are modulated *in situ*, even showing stimulatory features *in vitro*. Therefore, further studies are required to clear the actual role of chemomodulated DC on the development of MDSC.

5. CONCLUSION

Our results indicate that transfection induces phenotypic and *in vitro* functional changes in DCs used for vaccination, but were not able to *in vivo* significantly decrease the tumor growth.

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Capítulo 3

Artigo - Chemoinmunomodulation by low concentration of 5-fluorouracil associated with DC vaccine against murine colorectal cancer

**Chemoimmunomodulation by low concentration of 5-fluorouracil associated with DC
vaccine against murine colorectal cancer**

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Research area: Tumor Immunology

ABSTRACT

We have recently observed that human dendritic cells (DCs) treated with ultra low doses of antitumor chemotherapeutic agents gain the phenotype of mature and activated cells, and increases the antigen presentation activity. Thus, the present project was designed to evaluate whether the *in vitro* modulatory effects of chemotherapeutics also occur *in vivo* using the experimental model of murine colorectal cancer MC-38 cells. Considering that one of the schedules for antitumor chemotherapy is based on metronomic administration of antitumor agents, we *in vivo* evaluated the association of DC vaccines with the minimum effective dose of the chemotherapeutic drug 5-fluorouracil (5-FU). Then, C57BL/6 mice were subcutaneously inoculated with syngeneic MC-38 cells. Ten days later they were treated with DC vaccines associated with chemotherapeutic treatment twice a week. Thirty days after tumor implantation, animals were evaluated for the infiltration of MDSC, macrophages, and T cell subsets in the tumor site. The results showed that combination of DC vaccine with low dose 5-FU induced tumor regression in 77% of the animals of this group and induced the lowest number of circulating MDSC. Our results suggest that low dose 5-FU enhances the effectivity of DC vaccine to fight tumor development.

Keywords: chemotherapy; colorectal cancer; dendritic cell; immunomodulation; vaccine.

1. INTRODUCTION

The incidence and mortality by colon cancer reach the whole world and the highest incidence rates are found in Australia and New Zealand, Europe, and North America (1). The standard treatment has been 5-fluorouracil (5-FU) chemotherapy alone, but additional treatment options, including combination chemotherapies and immunotherapies have been used (2, 3).

Therapeutic dendritic cell (DC) vaccination against cancer is a strategy for activating the immune system to recognize and destroy tumor cells (4). Since DCs are able to activate naive CD4⁺ T helper cells and CD8⁺ cytotoxic T lymphocytes (5). Patients with colorectal cancer (CRC), who have high numbers of NK cells, CD4⁺ and CD8⁺ T cells in their peripheral blood or tumor tissue, have higher survival rate compared with patients with lower numbers of these cells (6, 7). Similar results were observed on CRC-bearing mice with stronger anti-tumor responsiveness in animals with high numbers of CD8, CD11 and NK cells at tumor site (8). Special attention is paid to cytotoxic T cells, which specifically recognize and kill tumor cells in a MHC-restricted fashion (9, 10). In breast carcinoma, for example, T cells are the predominant infiltrating population, found in 81% of the tumor mass, while B cells are found in only 50% (11). L-selectin (CD62L) also helps the immune system by playing an important role in the lymphocytes extravasations from blood and lymphatic vessels for homing lymph nodes and tumor sites (12-14). In addition, low levels of this molecule trigger an inefficient immune response against tumor (15).

Generation of a T cell antitumor response is highly dependent on the role of DCs and we have observed that sensitization of human DCs with tumor lysate is enhanced by previous incubation with low concentration of paclitaxel (16). Similarly, the pre-treatment

of tumor cells with low concentrations of paclitaxel, increases their immunogenicity, facilitating the generation of specific cytotoxic T lymphocytes (17).

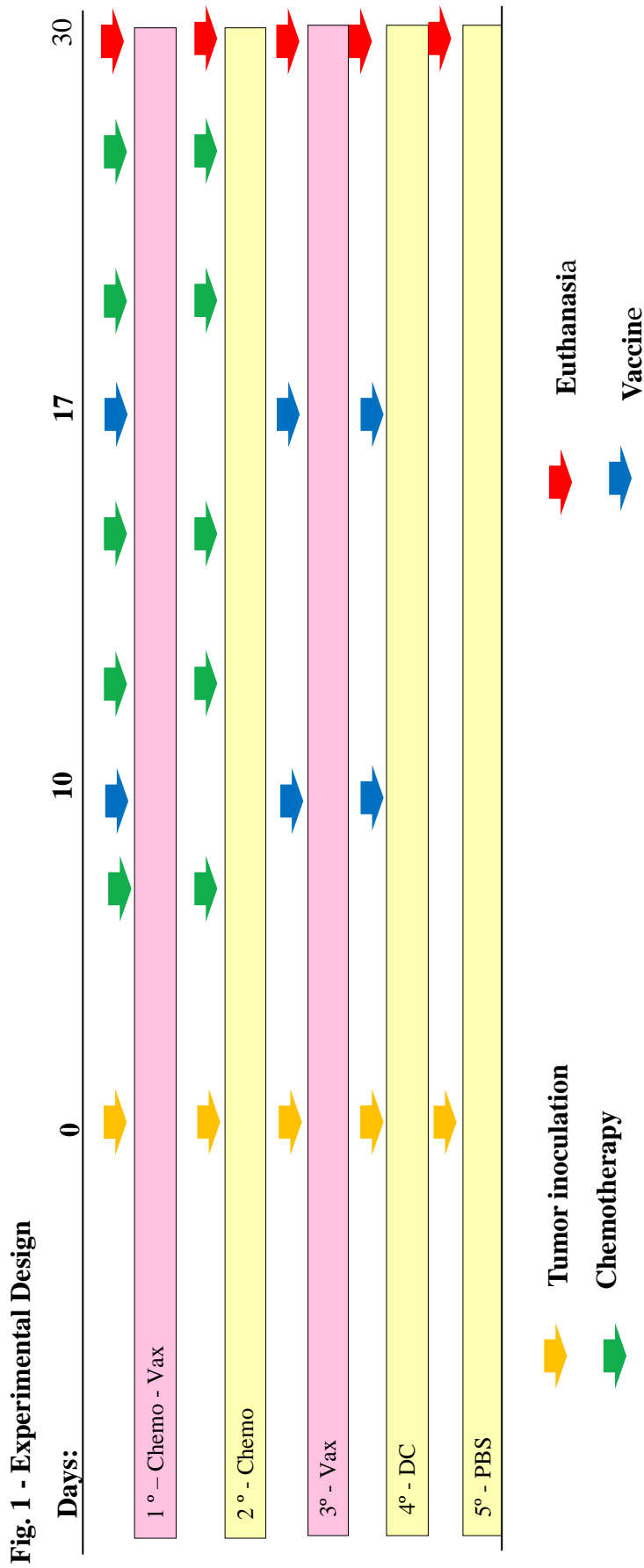
Mature DCs increase IFN- γ production and modulate Th1 responses (18), while DC pulsed with allogeneic tumor antigen increases the *in vivo* anti-tumor response (19, 20). However, DC vaccines can be hindered by tumor-induced regulatory cytokines and immune suppressive cells that create an anti-inflammatory microenvironment (21). This scenario can be due to the activity of regulatory T cells (Treg) or myeloid-derived suppressor cells (MDSC) that strongly suppress CD4⁺ and CD8⁺ T cells (22-24). In fact, CD4⁺/CD25⁺/FOXP3⁺ Tregs account for 5-15% tumor infiltrating CD4⁺ T cells that are able to suppress the function of other immune cells by producing IL-10 and TGF- β . Toomey *et al.* 2008 (25), observed that the down regulation of Treg cells, decreases tumor burden and increases the survival of tumor-bearing mice.

Myeloid derived suppressor cells (MDSC) have also been associated with decreased antitumor response in different tumor models. In the bone marrow, these cells are the precursors of macrophages, granulocytes and dendritic cells and directly suppress T cell activation (26) and produce IL-10, which promotes development of Tregs (27, 28), and prevent T cells homing into the lymph nodes (15). In the C26 murine adenocarcinoma model increased numbers of MDSC inhibit immune cell responsiveness to IFNs, hindering the development of an effective antitumor activity (29). The antibody-mediated depletion of MDSC increases the antitumor activity against lung cancer enhancing APC activity and increasing the frequency and activity of both NK and T cells in the tumor (30). According to Srivastava *et al.*, 2012, (31), solid tumors are infiltrated by MDSCs that maintain an immune-suppressive network in the tumor microenvironment. Splenic MDSC isolated from MC-38-bearing mice inhibit IFN- γ production by specific lymphocytes measured by

anti-OVA responsiveness (32), whereas depletion of those cells delays tumor progression and facilitates its rejection (26). Considering that targeting MDSCs may have a long-term benefit for anticancer therapies, this study aimed to evaluate if combinatory therapy of low dose 5-FU with DC-vaccine decreases the level of tumor infiltrating MDSC and Tregs. Our results showed that low dose of chemotherapy agents associated with DC vaccine may help to prevent immunosuppression and delay the tumor growth.

2. EXPERIMENTAL DESIGN

As designed at the Fig. 1, C57BL/6 mice were s.c. inoculated with MC-38 cells. Mice were treated twice a week with minimum effective concentration of 5-FU plus folic acid, and received two doses of DC vaccine during the experiment (once a week). Peripheral blood was analyzed for MDSC and T cells at day zero, 15 and 30, when the animals were euthanized to characterize the spleen populations and tumor infiltrating cells.



- **Chemo - Vax:** animals receiving 5-FU (minimum effective concentration) plus folic acid and DC vaccine pulsed with MC-38 lysate.
- **Chemo:** animals receiving 5-FU (minimum effective concentration) plus folic acid.
- **Vax:** animals receiving only DC vaccine pulsed with MC-38 lysate.
- **DC:** non pulsed dendritic cells.
- **PBS:** animals receiving PBS only

3. MATERIAL AND METHODS

3.1 DC vaccine

Bone marrow was collected from the tibiae and femurs of healthy mice for generation of myeloid DC. Erythrocytes were lysed with hemolytic Gey's solution and T and B lymphocytes were depleted with anti-CD4, CD8 and B220 magnetic beads (Miltenyi, Inc.). Cell suspension was transferred to 6-well culture plates, and cultured with 80 ng/ml of GM-CSF and IL-4 at 37°C in a 5% CO₂ chamber. After 3 days, non-adherent and loosely adherent cells were harvested, splitted into 6 well culture plates and cytokines concentration was adjusted again. Three days later MC-38 cell lysate was added (100 µg protein/10⁶ cells, that is equivalent to 5:1 tumor:DC proportion), and maintained by additional 24h. The resulting lysate loaded DCs were inoculated s.c. into the peritumoral area (10⁶ cells/100µl per animal).

3.2 MC-38 lysate

A murine colorectal cancer MC-38 tumor cells were detached with trypsin solution and frozen at -80°C. The cells were lysed by 5 cycles of fast freezing and thawing and the resulting lysate centrifuged at 13000 rpm for 15 min. The supernatant were collected, quantified for protein concentration by Bradford's technique, and cryopreserved at -80°C.

3.3 Isolation of tumor infiltrating cells and spleen cell suspensions

MC-38 tumor masses from 3 animals/group were excised, dissociated, and digested with collagenase type IV (1%), hyaluronidase type IV (0,1%) and deoxyribonuclease I (300u/ml) for 1 h at 37°C. Cell suspensions were washed once with complete culture

medium and twice with PBS. Resulting cells were phenotyped and quantified for MDSC, macrophages and T cell subsets by flow cytometry.

Spleen cells of tumor-bearing mice were dissociated in order to obtain single-cell suspensions. Erythrocytes were depleted by treatment with hemolytic Gay's solution and cell suspensions were analyzed by flow cytometry and co-cultured with MC-38 for 24h. The supernatants were frozen for cytokines quantification.

3.4 Antibodies and flow cytometry

Tumor-infiltrating, spleen and blood cells were labeled with mAbs for flow cytometry analysis. MDSC were characterized as CD11b⁺/Gr1⁺ cells (FITC/PE fluorochromes), macrophages as F4/80⁺ (Pac Blue) cells and T lymphocytes subsets were analyzed using anti-CD3(Pac Blue), CD4 (APC-Cy7), CD8 (PERCP-Cy5), CD25 (FITC) and FOXP3 (ALEXA 647) mAb. Effector cytolytic T cells expressing L-selectin were evaluated with anti-CD62L (PE), purchased from BD Pharmingen or Biolegend, Inc. All samples were labeled with isotype matched mAbs as a negative control and data acquired at a CyAn ADP flow cytometer (Beckman Counter) using the Summit software.

3.5 Confocal microscopy

Frozen tumor tissue (18 µm) were air-dried, fixed in cold acetone for 2 min at room temperature, rehydrated in PBS, stained with anti-mouse Abs to CD11b and Gr-1 (BD Pharmingen), followed by Alexa fluor 647 or Alexa fluor 700 -conjugated secondary Abs (Invitrogen), cover-slipped with VectaShield DAPI mounting medium (Vector Laboratories), and examined using the inverted Leica SP5 ATOF microscope and

photographed with CCD SPOT RT Camera (Diagnostic Instruments). Intensity of fluorescence was analyzed in three power fields by LAS AF Leica program.

3.6 Statistical analysis

Statistical analysis made by Dunn and Kruskal-Wallis Test were performed after Bartlett's Test, using Graph pad InStat and Prism 5 statistical programs. Differences among the groups were considered significant when $p \leq 0.05$.

4. RESULTS

4.1 Combination of chemotherapy and DC vaccine delays the tumor growth

Tumor growth was weekly evaluated during 30 days. Combination of low dose of 5-Fu + folic acid and DC vaccine (Chemo-Vax) resulted in a tumor growth 77% lower than untreated mice (PBS) (Fig 2). Animals treated with just 5-FU + folic acid (Chemo), vaccine (Vax) or unloaded DC (DC) also slightly delayed the tumor growth but no significant differences were found among these groups.

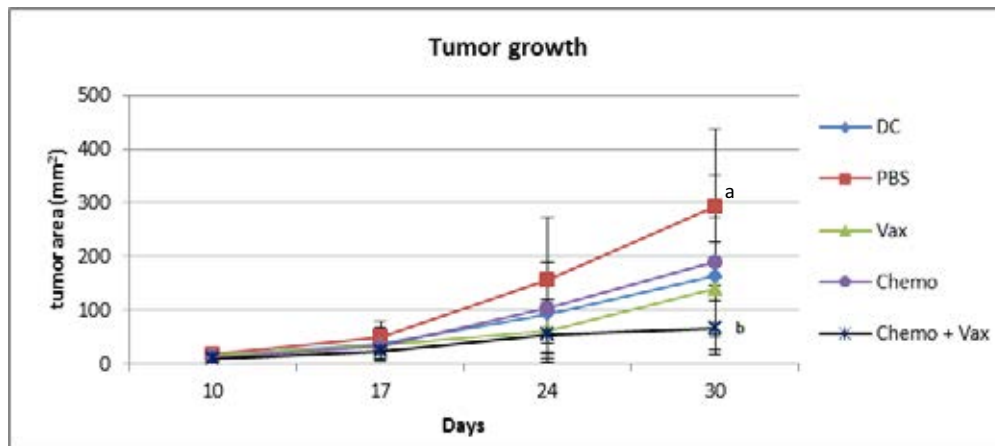


Fig 1: Tumor growth in animals receiving DC vaccine combined or not with 5-fluorouracil. **Chemo-Vax**: animals receiving 5-FU plus folic acid and DC vaccine. **Chemo**: animals receiving 5-FU plus folic acid. **Vax**: animals receiving only DC vaccine pulsed with MC-38 lysate. **DC**: unloaded dendritic cells. **PBS**: animals receiving PBS. Data analyzed by ANOVA and Tukey-Kramer Multiple Comparisons Test. $a \neq b$; $p < 0.01$. $N = 6$.

4.2 Cell surface phenotype of dendritic cells and vaccine

The culture conditions for generation of tumor lysate loaded DC yielded cells with slightly increased CD40 expression (3%), compared with unloaded DC. The percentage of contaminating macrophages was just 10% in the culture conditions, assessed by measuring macrophage-related surface molecule F4/80⁺ cells. No relevant changes were observed on the other analyzed markers (Table 1).

Flow cytometry analysis of transfected (WT and FU) and no-transfected dendritic cells

| Cells | % of CD11c ⁺ /CD40 ⁺ | MFI of CD11c ⁺ /CD40 ⁺ | % of CD11c ⁺ /CD86 ⁺ | MFI of CD11c ⁺ /CD86 ⁺ | % of CD11c ⁺ /MHCI ⁺ | MFI of CD11c ⁺ /MHCI ⁺ |
|----------------------------|--|--|--|--|--|--|
| 1 st experiment | DC | 10,62 | 14,48 | 9,03 | 39,81 | 1371,69 |
| | Vaccine | 13,22 | 17,35 | 8,84 | 51,26 | 1898,59 |
| 2 nd experiment | DC | 19,31 | 46 | 16,56 | 101,82 | 3036,36 |
| | Vaccine | 23,43 | 42,79 | 17,38 | 76,27 | 1643,19 |

Table 1: Flow cytometry of dendritic cells after 6 days of culture and vaccine after lysate stimulation. Results expressed as percentage of total cells and mean of fluorescence intensity (MFI). Mean ± SD of two independent experiments. Acquisition of 10.000 events at flow cytometer.

4.3 White blood cells screening

In order to evaluate if administration of 5-FU or in combination with Vax would be able to promote systemic immunological changes, white blood cells were evaluated 3 times during the experiment. Cells were stained and analyzed by flow cytometry for MDSC, lymphocytes subsets and L-selectin expressing cytotoxic T cells (CD62L).

Fig. 3A shows that tumor implantation increased the number of circulating MDSC (CD11b+/Gr1+ cells) at the 30th day. It is interesting to note that the highest number of circulating MDSC was observed in the animals treated with 5-FU + folic acid (Chemo), whereas its combination with vaccine (Chemo-Vax) slightly modulated this response.

On the other hand, the number of both CD4+ and CD8+ cells decreased at the 30th day (Fig. 3B and C). This apparent downmodulation of T cell responsiveness was not followed by increased number of Treg (CD3+/CD4+/CD25+/FOXP3+ cells), that showed some variations at the 15th day, but reached normal levels at the 30th day (Fig. 3D).

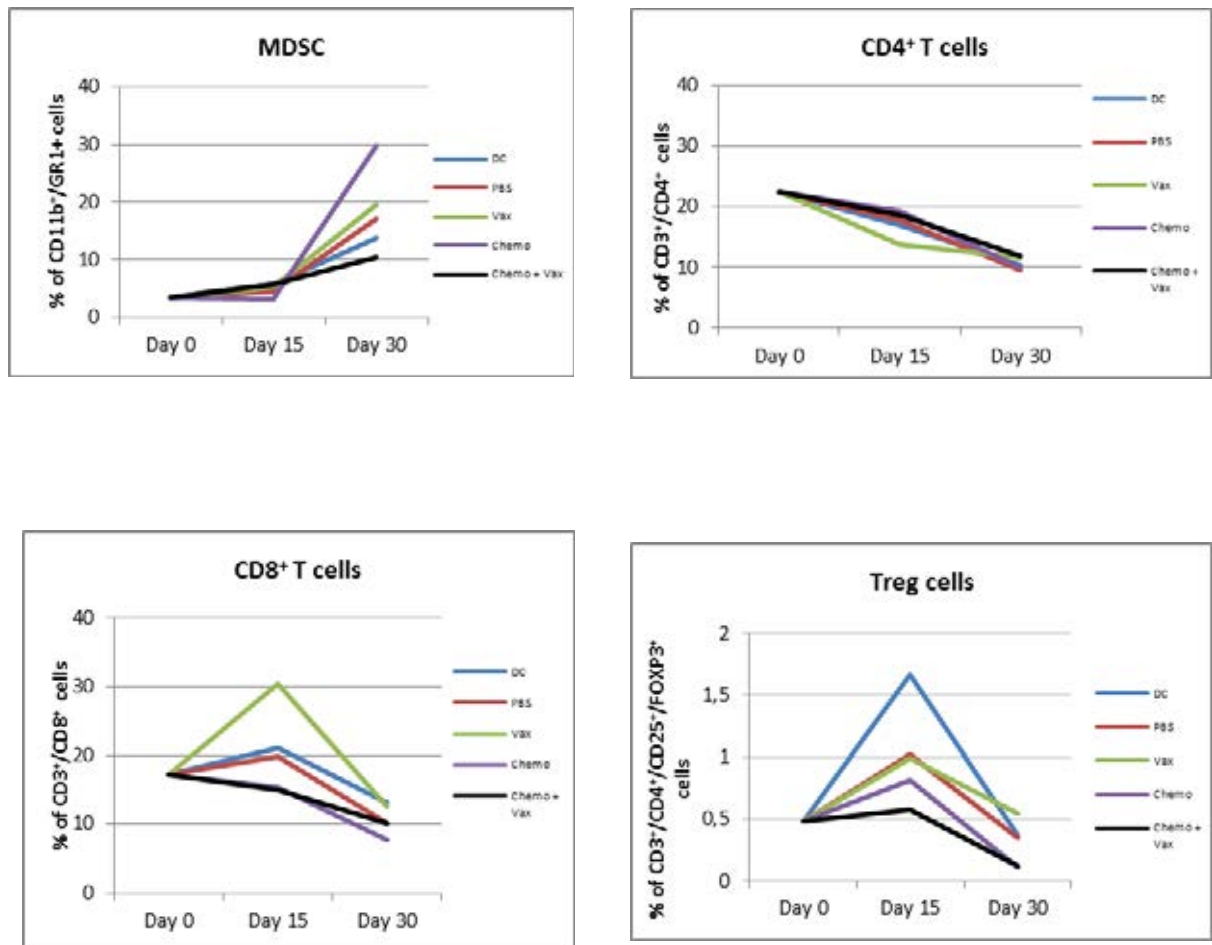


Fig. 3: Percentage of circulating MDSC (CD11b⁺/Gr1⁺) (A), Th (CD3⁺/CD4⁺) (B), Tc (CD3⁺/CD8⁺) (C) and Treg (CD3⁺/CD4⁺/CD25⁺/FOXP3⁺) cells at the peripheral blood, analyzed by flow cytometry. Statistical analysis made by Dunn and Kruskal-Wallis Test. Median of two independent experiments; a≠b; p<0.05; N=3-6.

Considering that L-selectin actively take part in the T cell homing at lymphoid organs and infiltration into the tumor site (12) and that effector and memory cells differentially express this molecule (CD62L) (33) we asked if Chemo or Chemo-Vax treatment would enhance the generation of effector CD8⁺/CD62L⁺ lymphocytes. Although the differences were not significant, results at the Fig. 4 suggest that PBS group induce high numbers of activated effector CD8⁺ cells, while both Chemo and Chemo-Vax

showed the lowest numbers at this time. However, at the 30th day all the groups restored the normal numbers.

The numbers of monocytes tended to increase in the PBS and Vax groups by the 15th day, but unfortunately we were not able to evaluate their numbers at the 30th day (data not shown).

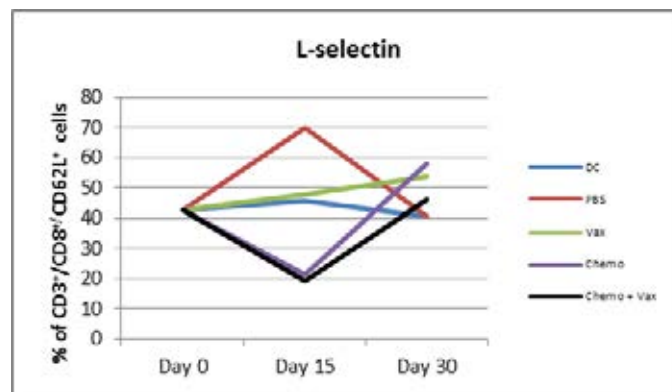


Fig. 4: L-selectin expressing lymphocytes were quantified in the peripheral blood at the days 0, 15 and 30 by flow cytometry. Data was expressed as median percentage of CD3⁺/CD8⁺/CD62L⁺ cells. Statistical analysis made by Dunn and Kruskal-Wallis Test. Median of two independent experiments, N=3-6.

4.4 Production of Arginase, ROS and iNOS by MDSC

Activation of MDSC promotes upregulated expression of immune suppressive factors such as arginase and iNOS and increases the production of ROS. In order to obtain additional data of *in vivo* effects of combinatory therapy on the functions of these cells, arginase, ROS and iNOS expression were analyzed in circulating MDSC at the 30th day. Fig 5A shows that the administration of 5-FU plus Vax in tumor-bearing mice increases iNOS production (Chemo and Chemo-Vax groups), arginase (Fig. 5B) and ROS (Fig. 5C). Suggesting an upregulation of MDSC. Although 5-FU seems to induce overexpression of

iNOS (Fig. 5A) itself, the combination with Vax shows more intense effect on all of these parameters.

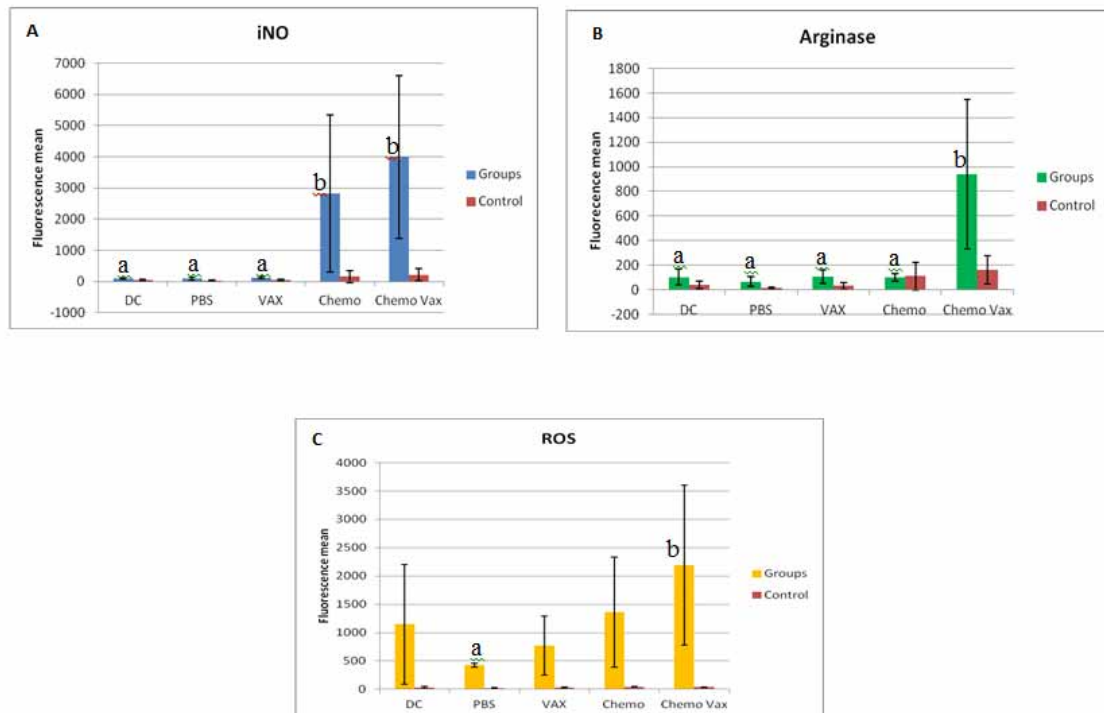


Fig. 5: Production of iNOS (A), arginase (B) and ROS (C) by CD11b⁺/Gr1⁺ peripheral blood cells of tumor bearing mice. Data analyzed by flow cytometry at day 30 after tumor implantation and expressed as fluorescence mean. Control of each group refers to non stimulated cells. Statistical analysis made by ANOVA and Tukey-Kramer Multiple Comparisons Test, a≠b; p<0.05. N=6.

In order to have a wider view on the systemic effect of the treatments mice, spleens of tumor-bearing mice were also analyzed on immunocompetent cells. As can be observed at the Fig. 6A, none of the treatments induced relevant changes in the lymphocyte subsets.

Interestingly, we observed a higher number of CD62L-expressing lymphocytes in the Chemo-Vax than in DC group (Fig. 6B). The number of these cells in Chemo group was comparable to Chemo-Vax suggesting that 5-FU is able to increase the CD62L expression itself, but no statistical significance was found.

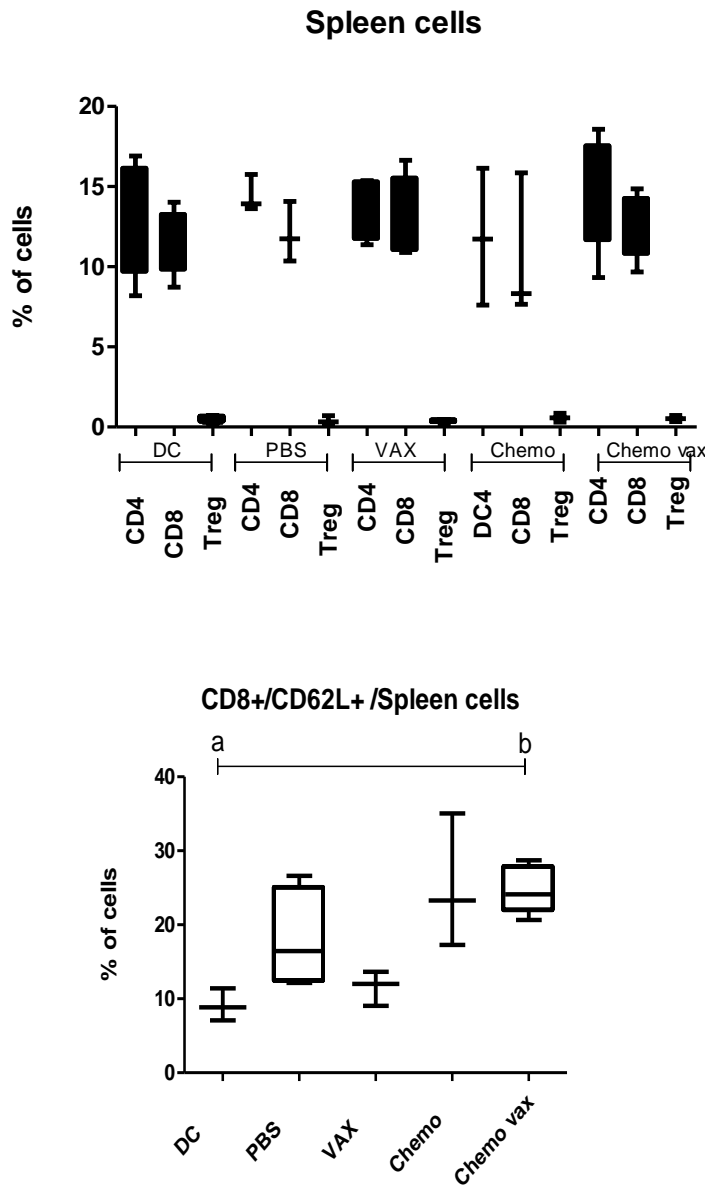


Fig. 6: Phenotypic analysis of spleen cells performed from tumor bearing mice by flow cytometry. **A:** Analysis for T cells, CD4⁺, CD8⁺, CD3⁺, Treg. **B:** CD8⁺ T cells were analyzed for L-selectin (CD62L) marker. Comparison between all groups on 30th day of experiment. Statistical analysis made by Dunn and Kruskal-Wallis Test, , a≠b; p<0.05.N=3-6.

4.5 Cytokines

Since higher number of CD8⁺/CD62L⁺ cells were observed in Chemo-Vax group, we decided to evaluate if spleen cells of these animals were able to preferentially produce IFN- γ . Then, spleen cells were co-cultured with MC-38 target cells for 24h and supernatants were analyzed for IL-10, IL-12 and IFN- γ , and compared with spontaneous cytokine production. Results at Fig. 7 shows that neither chemotherapy nor its combination with vaccine was able to modulate the IFN- γ and IL-10 production. No measurable quantities of IL-12 were found in the supernatants (data not shown).

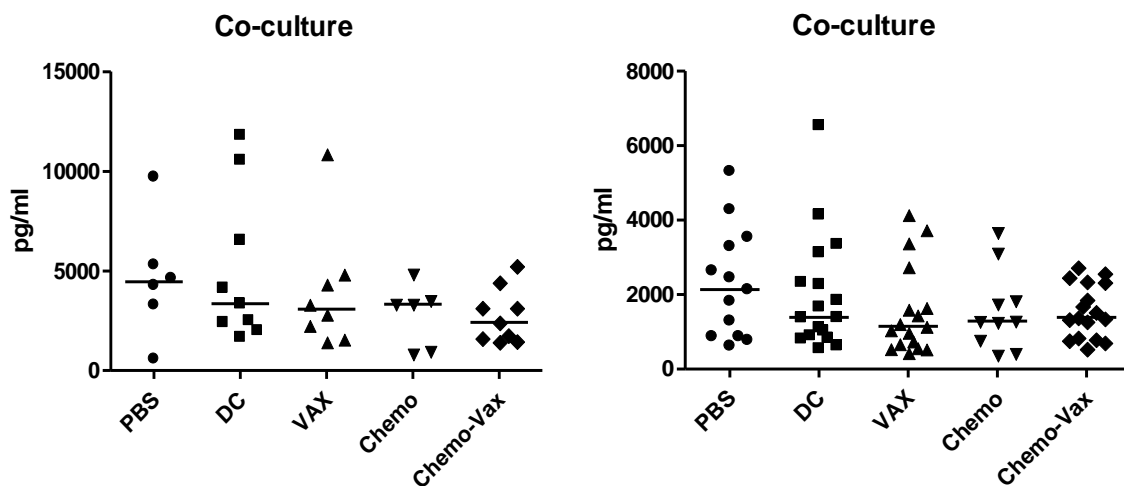


Fig. 7: **A:** *In vitro* production of IFN- γ (A) and IL-10 (B) by spleen cells of tumor-bearing mice, co-cultured with MC-38 target cells for 24h. Culture supernatants were analyzed by ELISA. Statistical analysis made by Dunn and Kruskal-Wallis Test, N=3-6.

4.6 Analysis of tumor infiltrating cells

Analysing tumor infiltrating cells we found CD4⁺ and CD8⁺ cells, as well as a small proportion of Treg (Fig 8A). High percentages of MDSC and macrophages were also observed at the tumor site (Fig. 8C). Chemo and Chemo-Vax treated animals showed a slightly higher number of both CD4 and CD8 lymphocytes, MDSC and macrophages (non significant). Just a low number of L-selectin expressing lymphocytes infiltrated the tumor tissue (Fig. 8B).

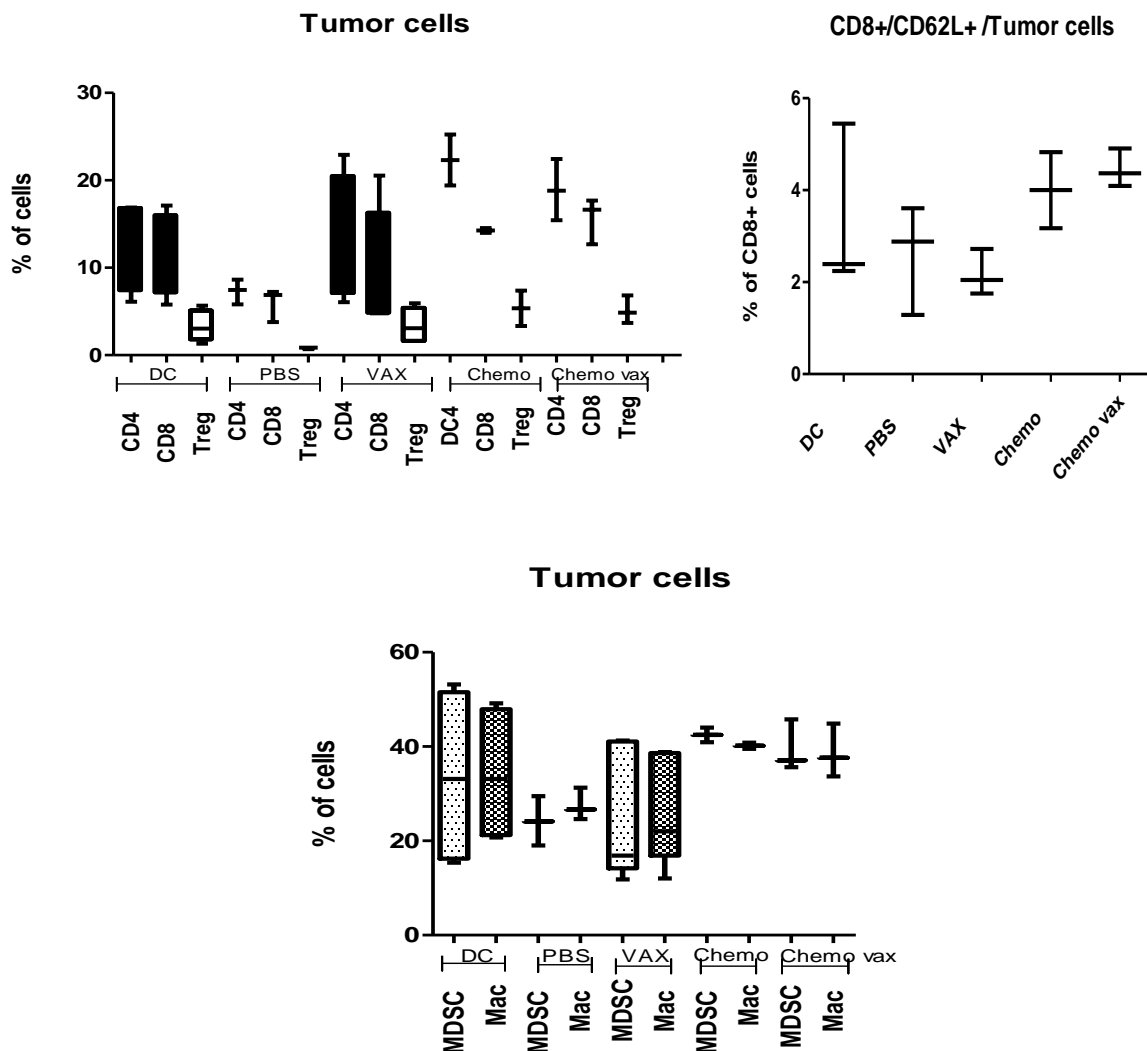


Fig 8: Phenotypic analysis of tumor infiltrating cells showing the T lymphocytes subsets **A**: Analysis for Th, Tc and Treg cells; **B**: CD8⁺ T cells expressing L-selectin (CD62L); **C**: Analysis for MDSC and macrophages. Comparison between all groups on 30th day of experiment. Statistical analysis made by Dunn and Kruskal-Wallis Test, N=3-6.

4.7 Identification of CD11b⁺/Gr1⁺tumor-infiltrating cells by confocal microscopy

Tumor infiltration by MDSC was also analyzed by confocal microscopy, using the anti-CD11b and anti-Gr1 antibodies. Analysis of different regions of tumor tissue indicated higher frequency of colocalization at the peripheral area of all samples (data not shown).

Medians of colocalization spots – considering the peripheral, median and deeper areas of each tumor sample, showed that all the groups have similar amounts of CD11b⁺/Gr1⁺ cells infiltrating the tumor microenvironment (Fig. 9A). Analysis of just the peripheral region (more intensely labeled) shows that vaccine (Vax and Chemo-Vax groups) was able to decrease the intensity of CD11b⁺/Gr1⁺ labelling (Figs. 9B and 10).

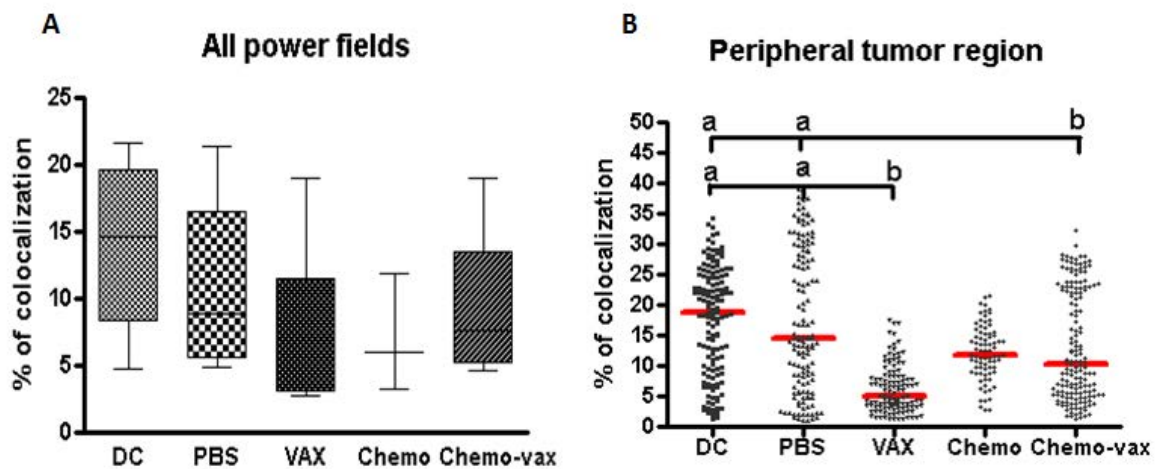


Fig 9: Percentage of CD11b⁺/Gr1⁺ by tumor infiltrating cells. **A:** Analysis of three power fields per group. **B:** Analysis of peripheral power field per animal. Data analyzed by Dunn and Kruskal-Wallis Test. a≠b, p<0,05. N=6.

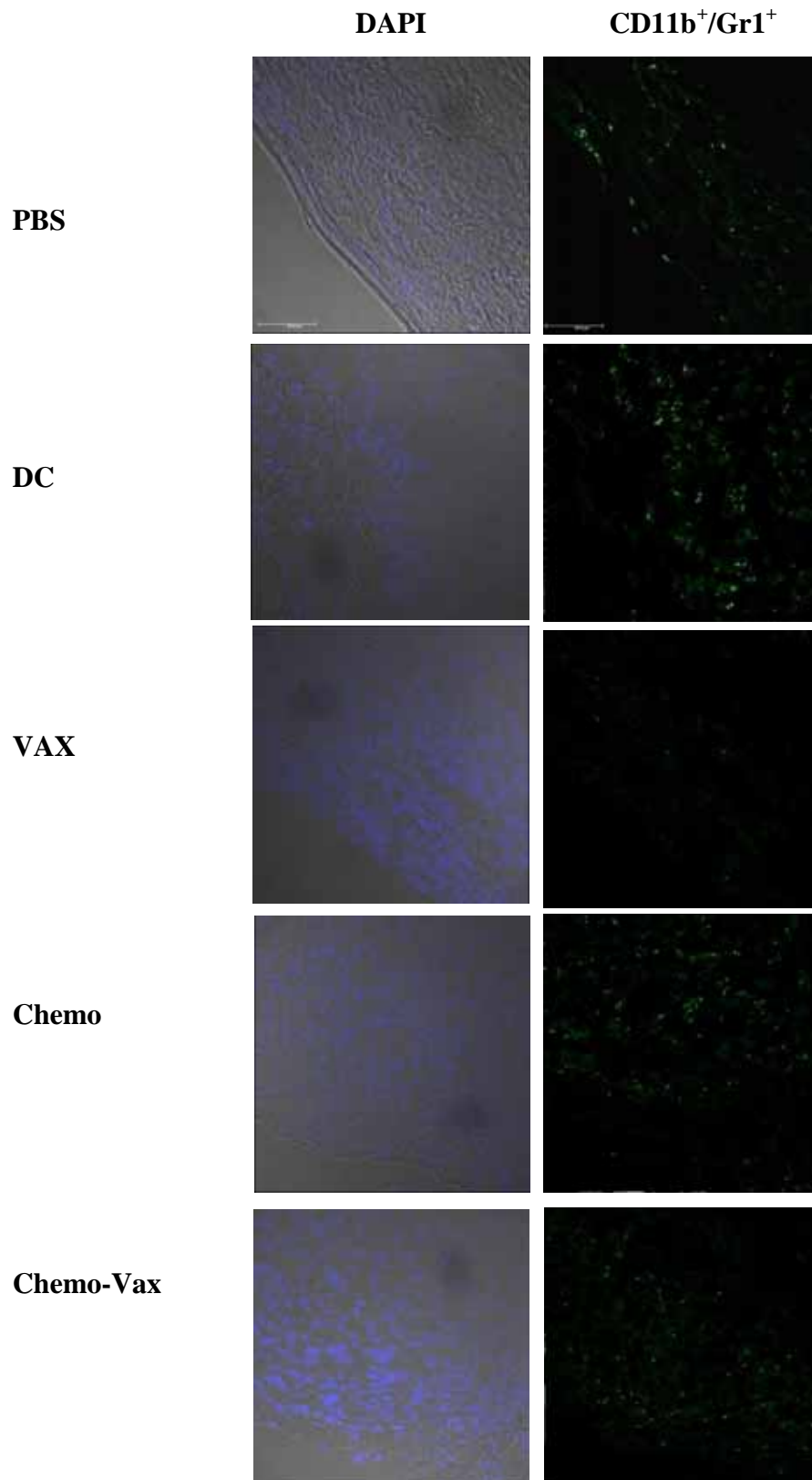


Fig. 10: Confocal microscopic analysis shows staining of CD11b + (green) with Gr1 + (white dots) cells at the peripheral area of the tumor mass. Lens 64x (numeric open 1.30) oil immersion, allowing a resolution of up to ~ 200nm on x, y and ~ 400nm on z axis.

5. DISCUSSION

Previous studies of our group showed that low concentrations of chemotherapeutic agents increase the immunogenicity of tumor cells (17), and stimulate maturation and functions of human DCs (16), stimulating us to investigate the feasibility of *in vivo* modulate antitumor resistance combining low dose chemotherapy with DC vaccine. In the present study we firstly observed that non-cytotoxic dose of 5-FU plus conventional concentration of folic acid, enhanced the protective effect of DC-based vaccination decreasing in 70% the tumor mass as compared with PBS-treated tumor-bearing control.

We have used tumor-lisate pulsed DCs because this antigen loading process can activate both MHC class I-restricted CD8⁺ T cells and MHC class II-restricted CD4⁺ T cells (34, 35) since proteins processed through endocytic route can be cross-presented by MHC class I molecules (36). Lysate loaded DC did not show intense changes on the expression of maturation marker CD40, and even the expression of MHC class II⁺ cells was similar to unloaded DC. It is interesting that despite this lack of maturation, combined administration of 5-FU and vaccine delayed the tumor growth in 70% of the tumor mass. This results suggest that administration of low dose of 5-FU is able to cause immunogenic death of tumor cells, which antigens could be endocytosized and *in vivo* processed by those immature DC inoculated at the peritumoral site. Immunogenic death seems to be an important aspect for the generation of an effective antitumor response, involving the expression of danger signals such as heat shock family proteins (HSPs) (37) and high mobility group box protein 1 (HMGB1) (38). We have previously observed that exposition of human colon cancer cells to low concentration of paclitaxel and doxorubicin increased the levels of transcription of HSPs genes (17), that induced respective HSP 70 and 90 protein synthesis (unpublished data). We have also observed that 5-FU is able to increase

the immunogenicity of mRNA of human colon cancer cell line HCT-116 (unpublished data). Therefore, it is possible that similar phenomenon is inducible *in vivo*, enhancing the protective role of DC vaccine.

We observed that the numbers of CD4⁺ and CD8⁺ circulating cells decreased as the tumor grow, while MDSC increased. Murine MDSC are describe as CD11b⁺/Gr1⁺ cells (39), that are hypothesized to originate in the bone marrow of tumor bearing mice (26, 40). These cells populate the blood and peripheral lymphoid organs during the tumor growth and enter the tumor site where they play an immunomodulatory / immunosuppressive role (26,40). Therefore, the rise of MDSC we observed in the blood of tumor-bearing mice would be expected as well as in the spleen. Although there was no statistically significant difference, administration of 5-FU seems to increase the number of circulating MDSC, whereas combination with Vax would be able to prevent this rising.

Tongu *et al.* (41) observed that combination of low doses of cyclophosphamide and gemcitabine suppressed growing of CT26 colon cancer cells in Balb/c mice. This treatment decreased the percentages of tumor-infiltrating Gr-1^{high}/CD11b⁺ MDSCs. In accordance with these results, it was observed that depletion of this population prevents tumor growth in murine models (42). In our case, treatment with just 5-FU was not enough for decrease the tumor growth, nor to modulate the number of blood MDSC, however, both the model (CT-26 in Balb/c vs MC-38 in C57Bl/6) are different and we worked with a different drug and, probably with different dose/animal body relation.

Contrasting with the findings in peripheral blood, analysis of spleen cells showed that both Chemo and Chemo-Vax groups have similarly high levels of MDSC. This increased frequency suggests they would have infiltrated the tumor site to induce local modulation and enhancement of it growth. However, we found just a small number of CD11b⁺/Gr1⁺ cells in the tumor infiltrate (1-5.5%) that seems to be not enough to affect

the tumor growth in Chemo-Vax group, despite the apparent higher frequency they have shown.

Activated MDSC upregulate the expression of suppressive factors such as arginase (Arg) and iNOS and increase the production of ROS (43), that are able to modify the peptide recognition by T-cell receptors, inhibit JAK – STAT, and the expression of MHC-II (44-46). In addition, production of peroxynitrite (a chemical reaction between NO and superoxide anion O_2^-) by MDSC induces nitration of the T cells receptor (TCR) that modifies the specific peptide binding sites and prevent cell responsiveness (47). In our study all these factors are upregulated in Chemo-Vax group, indicating that MDSC are activated. However, since there was not an expansion of this population and just a small number infiltrated the tumor site, the activity of circulating cells seems to be not enough to *in situ* suppress the antitumor response. This view is supported by the observation that the treatments did not change the profile of lymphocyte subsets.

Our next question was whether the increased number of MDSC in the spleen would influence the type of lymphocyte subsets populating this organ or their migration to the tumor site. Our results showed no relevant alterations in the Th, Tc and Treg populations in the spleen or at the tumor mass. Effective antitumor responsiveness involves migration of immunocompetent cells for the lymphoid organs and further migration of effector memory T lymphocytes to the tumor site. Such migration and cell homing is dependent on the expression of L-selectin (CD62L) by leukocytes that promotes extravasion from blood to lymphoid organs through the high endothelial vascular cells (HEV). (12-14, 48). On the other hand, L selectin also take part in the infiltration of effector memory cells into the tumor site.

It is estimated that the density of L-selectin ranges from 50,000 to 70,000 molecules per cell, indicating their strong contribution to signalling during leukocyte recruitment (49). L-selectin-dependent leukocytes have been used as a therapeutic target in many inflammatory diseases (33, 50, 51) while a low level impairs the immune response against tumor (15). In the present study, we observed that expression of L-selectin on CD8⁺ cells from Chemo-Vax group is higher than in DC group suggesting that the combination of 5-FU chemotherapy and our vaccine enhance the homing of T cells to lymphoid organs and tumor sites. According to Chao *et al*, 1997 and Raffler, 2005 (33, 52) murine CD8⁺ cells stimulated with anti-CD3 antibody presented initial loss of surface L-selectin due to cleavage and all cells became L-selectin^{low/negative} some days later. Activated cells rapidly divide and differentiate into L-selectin^{low/positive} effector memory cells that migrate to inflammatory sites. After that, most T cells undergo apoptosis but some of them evolve into memory cells.

MDSC can be downregulate, L-selectin on naïve T cells inhibiting their activation by interfering with the traffic pattern (53). In this study we observed that Chemo-Vax schedule downregulates peripheral blood MDSC while upregulated them at the spleen. Despite this rising, we found increased levels of CD8⁺/CD62L⁺ cells in this organ suggesting that MDSCs were not able to induce systemic immunosuppression.

Besides L-selectin regulation, other factors such as prostaglandins, GM-CSF, TGF- β , S100A8/A9 and several other molecules involved in STAT 3 signaling pathway regulate the expansion of MDSC at the tumor microenvironment (27, 54-56). Immunossuppressive activity of MDSCs at tumor sites can be decreased by combinatory immunotherapy as demonstrated that administration of noncytotoxic dose of paclitaxel in murine melanoma model, significantly decreased accumulation and immunosuppressive activities of tumor-

infiltrating MDSC restoring CD8⁺ T cell effectors functions associated with the inhibition of p38 MAPK activity, TNF- α and production, and S100A9 expression in MDSCs (57). Other study (58) reported that combinatory treatment of 5-FU as adjuvant to CD40L immunogene therapy was able to shift myeloid-derived suppressor cell phenotype into a less suppressive population achieving a survival rate of 68 % and specific MB49 bladder tumor immunity. In addition, Vincent *et al* 2010, (59) showed that 5-FU can selectively target MDSCs by triggering their apoptotic cell death. Depletion of these cells triggers increased production of IFN- γ by tumor-specific CD8⁺ infiltrating T cells and promoted a T-dependent antitumor effect. Moreover, Sinha *et al* 2011, (60) showed that once T cells are activated, they are able to lead MDSC to apoptosis via caspase 3.

In summary, our results show that combinatory therapy in C57Bl/6 mice was able to delay the tumor growth in 70% compared with animals that did not receive this treatment. Combinatory therapy activated MDSC but did not promote cell expansion, allowing increased CD62L expression on CD8⁺ cells and maintenance of their traffic. Our data suggest that chemoimmunomodulation can induce a tumor-specific immune response against MC-38 response *in vivo*. Chemoimmunomodulation is a growing concept that deserves to be better exploited, in order to pave a new direction for tumor therapy. Low dose chemotherapy associated with DC vaccine is able to improve the communication between cells and system against tumor growth by recruiting and activating a T cell-mediated antitumor response and inhibiting immunosuppressive cells.

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