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Sperm ultrastructure of shrimps from the family Penaeidae (Crustacea: Dendrobranchiata) in a phylogenetic context



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ABSTRACT

We describe the sperm ultrastructure of six penaeid species, including at least one member of each tribe (Penaeini, Parapenaeini and Trachypenaeini). Fragments of the vas deferens of the Penaeidae Farfantepenaeus brasiliensis, Farfantepenaeus paulensis, Litopenaeus schmitti, Parapenaeus americanus, Rimapenaeus constrictus and Xiphopenaeus kroyeri were fixed and processed according to the routine for transmission electron microscopy. The morphological results were contextualized in an evolutionary perspective using molecular markers for the phylogenetic reconstruction of this group. A phylogram was proposed by Bayesian inference based on 1007 bp of 33 sequences of the combined genes (16S rDNA and COI mtDNA) from 27 dendrobranchiate specimens. Our findings show that morphological differences in the sperm ultrastructures of members among the tribes of Penaeidae can be used as a baseline to understand their evolutionary relationships. Individuals from the Penaeini tribe show plesiomorphic characteristics in the sperm ultrastructure compared to the Trachypenaeini tribe from which they were derived, such as shrimp from family Sicyoniidae. The morphological complexity of the sperm of the different penaeid members corroborated with the genetic phylogeny, which showed different clades for each tribe and the close relationship with Sicyoniidae. The sperm features of the selected species studied here reflected their evolutionary history. These features confirm the previous phylogenetic hypothesis and question the monophyly of Penaeidae, which should be verified in the future with a more complete set of representative members of each tribe.

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1. Introduction

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The family Penaeidae Rafinesque, 1815 is comprised of 215 shrimp species classified into 33 genera (Pérez-Farfante and Kensley, 1997; De Grave and Fransen, 2011), which can be split into three tribes: Penaeini, Parapenaeini and Trachypenaeini (Burkenroad, 1983). These shrimp play an important role in the

marine ecosystem and some of them are commercially important (Pérez-Farfante, 1988). On the Brazilian coast, there are 61 species of the suborder Dendrobranchiata. Four of these species are the most common and are very important economically: *Farfantepenaeus brasiliensis* (Latreille, 1817), *Farfantepenaeus paulensis* (Pérez Farfante, 1967), *Litopenaeus schmitti* (Burkenroad, 1936) and *Xiphopenaeus kroyeri* (Heller, 1862) (Costa et al., 2003).

The number of studies on reproductive aspects in penaeid available in the literature is relatively high. To summarize, there are studies concerning sperm transference, activation, capacitation (Bauer, 1991; Alfaro et al., 2003, 2007), reproductive condition,

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male reproductive system morphology, spermatophore formation (Malek and Bawab, 1974; Chow et al., 1991; Bauer and Min, 1993; Alfaro-Montoya, 2010) and the sperm ultrastructure of other members of the Superfamily Penaeoidea (Clark et al., 1973; Kleve et al., 1980; Medina et al., 1994a, b; Alfaro et al., 2003; Scelzo and Medina, 2003; Braga et al., 2013a, b; Camargo et al., 2016). However, the approach regarding the sperm ultrastructure is still incipient considering the great diversity of this family. Despite a promising perspective, there are not enough descriptions of the sperm ultrastructure for species that occur along the Brazilian coast.

Studies on sperm ultrastructure confirmed that these characteristics showed good phylogenetic signals and were very efficient tools to support taxonomic issues involving phylogenetic relationships among decapod crustaceans (Jamieson, 1991; Medina et al., 1994a, b; Medina, 1995; Jamieson and Tudge, 2000; Tudge, 2009). However, there is a gap in the knowledge on the sperm ultrastructure of the diverse shrimps of the suborder Dendrobranchiata (Table 1), and only 4.6% of the 533 recognized species of this group show the described sperm morphology (Jamieson and Tudge, 2000; Tudge, 2009). Additionally, only 5.5% of the penaeid species have undergone spermiotaxonomic evaluation.

According to Medina et al. (2006a), the members of the Aristeidae Wood-Mason and Alcock, 1891 show primitive sperm morphology and consequently are considered to be the basal branch in the phylogenetic hypothesis of the group. The members do not have an extension of the acrosome that forms the spike, which is considered the exception to the typical morphology of Penaeoidea and for some species of Sergestoidea Dana, 1852 (Medina et al., 2006a). Conversely, the members of Sicyoniidae Ortmann, 1898 exhibit a complex subacrosomal region, which is considered an intermediary ultrastructural trait between Aristeidae and Penaeidae (Kleve et al., 1980; Medina et al., 1994a, b; Scelzo and Medina, 2003; Braga et al., 2013a). The latter includes individuals with simpler elements of the subacrosomal region, similar to the

species of Solenoceridae Wood-Mason and Alcock, 1891, which is the most derivative group (Scelzo and Medina, 2003; Braga et al., 2013a).

In contrast, Ma et al. (2009) proposed a phylogeny based on nuclear genes, with two evolutionary lineages for the members of the superfamily Penaeoidea. In this study, the Trachypenaeini tribe of the Penaeidae family and the Sicvoniidae family were positioned in the same clade, while Aristeidae, Benthesicvmidae Wood-Mason and Alcock, 1891 and Solenoceridae were located together in a separate clade. However, the sperm ultrastructure of the species of Trachypenaeini was only described under the scanning electron microscopy (Bauer and Min, 1993; Alfaro et al., 2003) and, no information is available concerning how complex the sperm is under the transmission electron microscope. Therefore, there is no consensus between the phylogenetic hypotheses based on morphological or molecular data. One of the reasons for the incongruence among the relationships between members of the superfamily Penaeoidea is the lack of correlation between the morphological descriptions and molecular information.

Thus, the sperm ultrastructure of six species of Penaeidae from the Brazilian coast, including at least one member each of the Parapenaeini, Penaeini and Trachypenaeini tribes, were described and compared with the literature. Furthermore, the results were correlated with the phylogenetic hypothesis proposed by the mitochondrial genes (16S rDNA and COI mtDNA) from some species of the superfamily Penaeoidea to explore the biological diversity in an evolutionary context.

2. Materials and methodology

2.1. Biological samples

The mature males of the Penaeini (*F. brasiliensis*, *F. paulensis* and *L. schmitti*) and Trachypenaeini [*Rimapenaeus constrictus* (Stimpson, 1871) and *X. kroyeri*] used in the morphological analysis were

Table 1

Species of Dendrobranchiata	shrimp with described	sperm ultrastructure.

Family	Species	Source
Penaeidae		
Penaeini	Farfantepenaeus brasiliensis (Latreille, 1817)	Present study
	Farfantepenaeus paulensis (Pérez-Farfante, 1967)	Braga et al. (2013b), present study
	Farfantepenaeus aztecus (Ives, 1891)	Clark et al. (1973)
	Litopenaeus occidentalis (Streets, 1871)	Alfaro et al. (2007)
	Litopenaeus schmitti (Burkenroad, 1936)	Fransozo et al. (2016), present study
	Litopenaeus stylirostris (Stimpson, 1871)	Alfaro et al. (2007)
	Litopenaeus vannamei (Boone, 1931)	Alfaro et al. (2007)
	Litopenaeus setiferus (Linnaeus, 1767)	Krol et al. (1992)
	Marsupenaeus japonicus (Spence Bate, 1888)	Medina et al. (1994a)
	Penaeus kerathurus Forskal, 1175	Medina et al. (1994a)
Parapenaeini	Artemesia longinaris Spence Bate, 1888	Scelzo and Medina (2003)
	Parapenaeus americanus Rathbun, 1901	Present study
	Parapenaeus longirostris (Lucas, 1846)	Medina (1994)
	Penaeopis serrata Spence Bate, 1881	Medina et al. (1994b)
Trachypenaeini	Rimapenaeus constrictus (Stimpson, 1871)	Present study
	Xiphopenaeus kroyeri (Heller, 1862)	Present study
Aristeidae	Aristaeomorpha foliacea (Risso, 1827)	Medina (1995)
	Aristeopsis edwardsiana (Johnson, 1867)	Medina et al. (2006a)
	Aristeus antennatus Risso, 1816	Demestre and Fortuñu (1992)
	Aristeus varidens Holthuis, 1952	Medina et al. (2006a)
Solenoceridae	Pleoticus muelleri (Spence Bate, 1816)	Medina et al. (2006b)
	Solenocera africana Stebbing, 1917	Medina et al. (2006b)
	Solenocera membranacea (Risso, 1816)	Medina et al. (2006b)
Sicyoniidae	Sicyonia carinata (Brünnich, 1768)	Medina et al. (1994b)
	Sicyonia dorsalis Kingsley, 1878	Camargo et al. (2016)
	Sicyonia ingentis (Burkenroad, 1938)	Kleve et al. (1980)
	Sicyonia typica (Boeck, 1864)	Camargo et al. (2016)
Sergestidae	Sergestes arcticus (Krøyer, 1855)	Medina (1995)
	Peisos petrunkevitchi Burkenroad, 1945	Scelzo and Medina (2004)

collected from July, 2011 to August 2015 in the Cananéia and Ubatuba regions, São Paulo, Brazil ($23^{\circ}27'24''S/45^{\circ}01'20''W$ and $25^{\circ}07'385''S/47^{\circ}52'508''W$, respectively) by double-rig shrimp trawling. All individuals were identified according to Costa et al. (2003) and transported alive to the Invertebrates Morphology Laboratory (IML) at the Department of Biology of the Faculty of Agricultural and Veterinary Sciences at the Jaboticabal Campus (FCAV) at the University of São Paulo State (UNESP). The species of the tribe Parapenaeini (*Parapenaeus americanus* Rathbun, 1901) was collected in São Sebastião, São Paulo Brazil ($24^{\circ}08'76''S/45^{\circ}02'16''W$), also by trawling using the research vessel "Soloncy Moura" at a 78 m depth and submitted to a transmission electron microscopic procedure on board. All animals (n = 64) were coldanesthetized and dissected to remove the male reproductive system.

2.2. Sperm ultrastructure

The distal vas deferens fragments (1 mm³) were fixed for 3–4 h in Karnovsky fixative solution for marine shrimp (2.5% glutaraldehyde and 2% paraformaldehyde) in a 0.1 M sodium cacodylate buffer (pH 7.6) containing 5% sucrose (Ro et al., 1990). Then, the samples were washed three times with the same buffer (pH 7.4) and post-fixed in 1% cacodylate-buffered osmium tetroxide (pH 7.6) containing 5% sucrose. The samples were "en bloc" stained with 1% aqueous uranyl acetate (overnight), dehydrated in an ascending acetone series, embedded and included in Epon-Araldite resin. Thin and ultrathin sections were obtained with a Leica UC7 ultramicrotome and contrasted with 2% uranyl acetate in water for 45 min and 0.4% lead citrate in 0.1 N NaOH for 10 min (Reynolds, 1963; Venable and Coggeshall, 1965). Images were obtained, taken and digitalized using a JEOL – JEM 1010 transmission electron microscope operated with an 80 kV electron beam.

2.3. Sequences and phylogenetic analysis

Most of the sequences were generated by us at the Laboratory of Bioecology and Crustacean Systematics (LBSC) following the molecular protocol established by Mantelatto et al. (2007), and are referenced in Table 2. Partial 16S rDNA and COI mtDNA sequences from Dendrobranchiata specimens were deposited in GenBank; the accession numbers are available in Table 2, including the sequences of the six species described here in terms of sperm ultrastructure. All sequences were aligned for each gene dataset using Clustal W in the BioEdit program (Thompson et al., 1994) and concatenated by Crimson Editor (available http://www.crimsoneditor.com/english/ download.html). In the 16S gene alignment, 460 bp are included, and for the COI gene, 547 bp are included. The best-fit model of nucleotide substitution for each gene dataset was calculated using the Akaike information criterion (AIC) and Bavesian information criterion (BIC) by iModeltest (Posada, 2008). The phylogenetic hypothesis was generated based on the concatenated genes and estimated by Bayesian inference (BI) (Huelsenbeck et al., 2001). The data were partitioned by gene and codon positions for COI with separate parameters for each partition in the BI. The analysis was performed in the MrBayes 3.1 program (Huelsenbeck and Ronquist, 2001) configured to use the GTR + G + I model. Each Bayesian search was performed for 2,000,000 generations (sampling every 500) using four chains (default heating parameters) and a 15% burn-in value. The included Bayesian sets of trees were sampled after the likelihood scores reached convergence and the mean split difference values were less than 0.01. The levels of branch support were obtained using the posterior probability method. A 50% majority rule consensus tree was obtained from the remaining saved trees. All saved trees were edited using FigTree (Rambaut, 2009).

3. Results

3.1. Tribe Penaeini

3.1.1. Sperm ultrastructure of F. brasiliensis

Sperm of *F. brasiliensis* is dispersed in the secretion at the distal region of the posterior vas deferens without any spermatophore wall (Fig. 1A). The sperm length of *F. brasiliensis* measures approximately $11.90 \pm 1.75 \mu m$ (n = 15). The longitudinal section of the sperm can be divided into two regions: the acrosomal vesicle, formed by the spike and acrosomal cap, and the main body (Fig. 1B). The spike is long with a smooth surface and is internally composed of bundles of fibrils (Fig. 1C). There is dilation in the base of the spike insertion region of the main body, which forms a cubic electron-dense figure (Fig. 1D). The main body is spherical and displays two projections of the acrosomal cap that move towards the opposite poles of the spike (Fig. 1B, E). The acrosomal cap is filled with moderate electron-dense material (Fig. 1B, D, E). This material completely surrounds the apical region of the main body. The subacrosomal space is slightly concave and there are many

Table 2

Sequences of the partial mitochondrial genes (16S and COI) from the Dendrobranchiata species used in the proposed phylogeny. The catalog and Genbank accession numbers are listed, as well as the authors who sent the sequences to GenBank.

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Species	Specimen voucher 16S	GenBank 16S	Specimen voucher COI	GenBank COI	Authors
Farfantepenaeus brasiliensis (1) Farfantepenaeus brasiliensis (2) Farfantepenaeus paulensis Farfantepenaeus subtilis Litopenaeus schmitti Litopenaeus vannamei (1) Litopenaeus vannamei (2) Parapenaeus americanus (1) Parapenaeus americanus (2) Penaeopsis serrata Rimapenaeus constrictus Sicyonia dorsalis Sicyonia typica Xiphopenaeus kroyeri	voucher 16S VI063 Fbra_BSE VI062 Fbra_BSE Lvan03 BSE MNHN IU_2014- 4028 CCDB 5827 BATO 054(EPC) CCDB 3639 UCR 2469-02 CCDB 341 	16S HM014403 HM014402 AF192057 AF192061 AF192082 AY264914 HM014412 KP059267 KX196539 AY601733 KF783863 KX196478 KT935434 AF192090	voucher COI CCDB 4532:84 CCDB 4532:55 CCDB 4679 CCDB 4717 CCDB 3457 MNHN IU-2014-4028 CCDB 5827 FCFOPC050-01 UCR 2469-02 CCDB 341 CCDB5018	COI KF989423 KF989421 KF989461 KF989462 KX196596 AY781297 JN165705 KX196597 JQ306266 AY622212 KX196550 KX196577 KX196599	Bremer et al., 2010; Teodoro et al., 2016 Bremer et al., 2010; Teodoro et al., 2016 Maggioni et al., 2001; Teodoro et al., 2016 Maggioni et al., 2001; Teodoro et al., 2016 Maggioni et al., 2001; Rossi, 2016 Quan et al., 2004; Zheng et al., 2005 Bremer et al., 2010; Badhul et al., unpublished Yang et al., 2015 Rossi, 2016 Vazquez-Bader et al., 2004; Matzen-da-Silva et al., 2011 Chan et al., 2008; Carvalho-Batista et al., 2014 Rossi, 2016 Camargo et al., 2016; Rossi, 2016 Maggioni et al., 2001; Rossi, 2016
Acetes americanus	CCDB 4939	KX196538	CCDB 4939	KX196595	Rossi, 2016
Peisos petrunkevitchi	CCDB 4940	KX196540	CCDB 4940	KX196598	Rossi, 2016
Sergia robusta	_	_	ISDPX79-05	10306179	Matzen-da-Silva et al., 2011
			J==	,	



Fig. 1. Sperm ultrastructure of *Farfantepenaeus brasiliensis*. (A) Distal region of the vas deferens showing free sperm immersed among the secretions. (B) Longitudinal section of the sperm showing the acrosomal vesicle composed of the spike and acrosomal cap above the main body with a round nucleus. Note the rectangular electron-dense area below the spike-acrosomal cap connection (arrow). (C) Detail of the spike internally filled with bundles of fibrils (arrow). (D) Dilatation in the region of the spike insertion. In this area, the spike base shows an electron-dense rectangular area (white arrow). The subacrosomal space is slightly concave, and a thin band of electron-dense granules can be observed (black arrow). (E) Nucleus filled with many granules and very thin strands of chromatin. The cytoplasm is accumulated in the nuclear region. The white arrows hows the thin band of electron-dense granules. (F) Details of the nuclear pole showing the cytoplasm with numerous degenerated mitochondria (black arrows). Ac, acrosomal cap; Av, acrosomal vesicle; Cy, cytoplasm; MB, main body; N, nucleus; S, spike; Se, secretion of distal vas deferens; Ss, subacrosomal region; SPZ, sperm. Scale bars: 2 µm (A, B, E); 1 µm (F); 500 nm (C, D).

electron-dense granules beneath the spike (Fig. 1D). The main body is marked by the presence of the bulky central nucleus filled with granular chromatin and some fibrous elements (Fig. 1E). The main part of the cytoplasm is found on the nuclear pole (opposite the spike) and is filled with membranous organelles resembling degenerated mitochondria (Fig. 1F).

3.1.2. Sperm ultrastructure of F. paulensis

Similar to the sperm of *F. brasiliensis*, the sperm of *F. paulensis* are free in the secretion of the distal vas deferens and are not enclosed by a spermatophore wall. The sperm length is approximately $10.00 \pm 1.41 \ \mu m \ (n = 8)$. The longitudinal section shows the main body and the acrosomal vesicle, which consists of the acrosomal cap and spike (Fig. 2A). The base of the spike is internal to the main

body, and there is also a dilatation of its base forming a triangular electron-dense figure (Fig. 2B). The main body is spherical, and the acrosomal cap runs toward the opposite pole of the spike and ends at the equator of the main body (Fig. 2A). The nucleus is composed of bulky and granular chromatin and slender filaments (Fig. 2A). The subacrosomal space is also slightly concave and contains electron-dense granules (Fig. 2B). The cytoplasm, which is also concentrated in the nuclear pole, contains many degenerated mitochondria and electron-dense granules (Fig. 2C).

3.1.3. Sperm ultrastructure of L. schmitti

The sperm of *L. schmitti* is free in the secretion of the distal vas deferens. In the longitudinal section, the sperm consists of the acrosomal cap and long spike, and both together form the



Fig. 2. Sperm ultrastructure of *Farfantepenaeus paulensis* (A–C) and *Litopenaeus schmitti* (D–F). (A) Sperm longitudinal section showing the acrosomal vesicle consisting of the spike and acrosomal cap. The main body is rounded and shows the nucleus with some granules and thin chromatin filaments (arrow). Note the thin strand of cytoplasm around the nucleus facing the spike pole (arrowheads). (B) Spike base showing the triangular electron-dense structure (white arrow). Note that the acrosomal cap shows two projections filled with low electron-dense material and that the subacrosomal is slightly concave and contains electron-dense granules (black arrow). (C) Detail of the cytoplasm at the nuclear pole filled with degenerated mitochondria (black arrow). (D) Sperm sagittal section showing the spike and acrosomal cap that form the acrosomal vesicle above the main body. The spike is long and shows a conspicuous swelling at its base, with two electron-dense dots on both sides (arrowheads). (E) Detail of the subacrosomal space detail. Note the two electron-dense dots on both edges of the spike base (arrowheads). Note the round filamentous network in the central region (asterisk). (F) Spike base and the subacrosomal space detail. Note the two electron-dense dots on both edges of the acrosomal vesicle are less electron-dense than the spike base (arrow). Ac, acrosomal cap: Av, acrosomal vesicle; Ch, chromatin; Cy, cytoplasm; MB, main body; N, nucleus; S, spike; Se, secretion of distal vas deferens; Ss, subacrosomal region; SPZ, sperm. Scale bars: 2 µm (A); 1000 nm (B); 200 nm (C); 500 nm (D, E, F).

acrosomal vesicle (Fig. 2D). The sperm measurements are approximately 11.06 \pm 1.66 μ m (n = 15), and the main body is spherical (Fig. 2D). The main body shows the nucleus and the thin cytoplasm forming the nuclear pole in opposition to the spike (Fig. 2D). The base of a spike is enlarged and shows two electron-dense dots at the edge of this spike region (Fig. 2D–F). The subacrosomal region is concave, and a filamentous network can be observed in the center (Fig. 2D–F). The subacrosomal space and chromatin are separated by an electron-lucent space (Fig. 2F). Then, the acrosomal cap forms lateral expansions on both sides of the main body that cover 2/3 of the main body (Fig. 2D). The round nucleus is located in the central part of the main body and shows filamentous chromatin

with several electron-dense granules dispersed in the nucleoplasm (Fig. 2D, F). The cytoplasm is small and concentrated in the nuclear pole opposite the spike (Fig. 2D).

3.2. Tribe Parapenaeini

3.2.1. Sperm ultrastructure of P. americanus

The sperm of *P. americanus* is free in the secretions of the distal vas deferens. The spermatozoa length measures $9.00 \pm 1.20 \ \mu m$ (n = 6). The main body is spheroidal, and the longitudinal sections, show the central nucleus and acrosomal vesicle formed by the acrosomal cap and a thin spike (Fig. 3A). The spike is long



Fig. 3. Sperm ultrastructure of *Parapenaeus americanus*. (A) Longitudinal section of sperm showing the spherical main body with the nucleus and acrosomal vesicle formed with the acrosomal cap and spike. (B) Detail of the smooth and electron-dense spike (arrow). (C) Detail of the spike and acrosomal cap connection. Note the thin electron-dense line (arrow). The asterisks depict the electron-dense bands on both sides of the acrosomal cap. (D) Longitudinal section of the acrosomal cap showing the subacrosomal region. A thick electron-dense band lines the apical cap, whereas the center of the apical cap is less homogeneously electron-dense. Note the many central fibrils attached to both the central protuberance and the nuclear envelope at the subacrosomal space. Note the electron-dense bands on both sides of the acrosomal face (arrowheats). (F) Details of the subacrosomal fibrils attached to be the central protuberance showing differential electron density from the apical cap face (arrow) to the subacrosomal face (arrowheats). (F) Details of the subacrosomal fibrils attached to the central protuberance (arrow). Note that the peripheral subacrosomal region (arrowheads), whereas the peripheral area is more electron-lucent (asterisk). Note the electron-dense membrane that delimits the nucleus (arrow). (H) Details of the nucleus. The asterisk shows the peripheral electron-lucent area. Note the absence of the peripheral cytoplasm. Ac, acrosomal cap; Av, acrosomal vesicle; Cp, central protuberance; MB, main body; N, nucleus; S, spike; Ss, subacrosomal region; SPZ, sperm. Scale bars: 2 μm (A, B, H); 1 μm (C, D, G); 200 nm (F); 500 nm (E).

(Fig. 3A–C), and there is a thin electron-dense band in the spike insertion of the main body that connects the spike to the acrosomal cap (Fig. 3C). The acrosomal cap is wide and shows two lateral projections from the base of the spike covering 1/3 of the main body above the subacrosomal space of the spermatozoa (Fig. 3A, D). On each side of the apical cap, there is a thick band of electron-dense material turned to the spike pole lined on the apical cap, whereas the center of the apical cap is reduced and homogeneously electron-dense (Fig. 3C and D). The acrosomal cap shows a central protuberance facing the wide subacrosomal space (Fig. 3A, C, D).

This central protuberance shows that the spike face is less electrondense, whereas the nuclear face, which is turned to the subacrosomal space, is electron-dense; both faces are rounded (Fig. 3D and E). The nuclear face of the central protuberance is less electrondense; many fibrils are attached to it and extend to the disrupted nuclear envelope (Fig. 3F). These fibrils occupy the central part of the subacrosomal space, whereas the peripheral zone of the subacrosomal space is electron-lucent and shows few materials (Fig. 3E–G). The nucleus is filled with chromatin and granular material (Fig. 3H).

3.3. Tribe Trachypenaeini

3.3.1. Sperm ultrastructure of R. constrictus

Sperm of *R. constrictus* is compacted inside a spermatophoric structure composed of a matrix surrounded by an electron-dense wall (Fig. 4A). The sperm measurement is approximately $13.03 \pm 1.55 \,\mu\text{m}$ (n = 10) in length. These cells are very complex and show a fusiform-elongated main body divided into a nuclear area, which is covered by the acrosomal cap, and a posterior elongated region (Fig. 4B). The acrosomal vesicle is formed by a long eccentric spike and the acrosomal cap (Fig. 4B). The spike is very long and

consists of bundles of fibrils attached to the acrosomal cap in a parallel angle marked with an electron-dense area inserted into the electron-dense central part of the acrosomal cap (Fig. 4C and D). The acrosomal cap shows an electron-lucent area and then becomes very thin and slightly electron-dense running to the posterior elongated region covering the nuclear region (Fig. 4D–F). The posterior margin of the acrosomal cap ends as a round margin close to the cytoplasmic organelles of the posterior elongated region (Fig. 4E and F). The subacrosomal region is filled with granular material that is connected to the nuclear envelope and the acrosome cap, forming a slightly striated plate observed in both the



Fig. 4. Sperm ultrastructure of *Rimapenaeus constrictus*. (A) Mass sperm immersed in the spermatophore. Note that the sperm is surrounded by a matrix delimited by a thin electron-dense wall. (B) Longitudinal section of the sperm showing the posterior elongated region, the main body with the nucleus and the acrosomal vesicle composed of the long spike and the acrosomal cap. (C) Oblique section of the acrosomal vesicle. The spike is anchored to the electron-dense area of the acrosomal cap. Note the slender and curved sperm spike with bundles of fibrils (black arrow). (D) Longitudinal section of the acrosomal vesicle and nucleus. In the insert of the spike, the acrosomal cap is electron-dense (arrow) and has an electron-lucent area running to the posterior elongated region covering the nuclear region. Notice that the nucleus is triangular. (E) Details of the acrosomal cap, which becomes very thin and slightly electron-dense after the electron-lucent area (arrowheads). The white arrow shows the plasmatic membrane of the sperm. (F) Detail of the posterior margin of the acrosomal cap that ends as a round margin close to the cytoplasmic organelles (arrowheads) and details of the plasmatic membrane of the sperm (black arrow). (G) Oblique section of the subacrosomal region. Note that the electron-dense line is slightly striated plate (arrowheads). The asterisk shows the electron-dense area of the acrosomal cap. (H) Details of the plate of the subacrosomal region. Note that the electron-dense line is slightly striated (arrow head). (I) Transverse section of the nucleus surrounded by the acrosomal cap. Note the electron-dense line is slightly striated plate (arrow head); N, nucleus; Pr, posterior elongated region; S, spike; SPZ, sperm; SF, spermatophores. Scale bars: 2 µm (A); 100 nm (D, I, J); 500 nm (B, C, E, F, G, H).

longitudinal and transverse sections (Fig. 4G, H, I). The nucleus is filled with thin chromatin, and the nuclear shape is slightly triangular in the area facing the spike region and rounded to the face turned to the posterior elongated region (Fig. 4B, D, G, I). However, in the transverse sections, the nucleus shows four round lobes, and the electron-dense and thin striated plate is associated with the continuous nuclear envelope (Fig. 4H). The posterior elongated region is conical and shows a complex of membranes and degenerated mitochondria extending to the nuclear main body (Fig. 4B, J).

3.3.2. Sperm ultrastructure of X. kroyeri

Sperm of *X. kroyeri* is enclosed in a spermatophoric structure surrounded by a thick electron-dense wall (Fig. 5A). The sperm

length measurements are approximately $13.5 \pm 1.09 \mu m$ (n = 10) and show an elongated and fusiform main body (Fig. 5B). The main body is also divided into the nuclear area and posterior elongated area (Fig. 5B). The nucleus is almost covered by the acrosomal vesicle, which shows the acrosomal cap and a long spike (Fig. 5C). The spike is filled with bundles of filaments and is inserted in parallel in contact to the electron-dense area of the acrosomal cap (Fig. 5C). The acrosomal cap is enlarged in the spike pole, which is the electron-dense area, and becomes thinner and less electron-dense running down to the posterior elongated region covering the main part of the nuclear area (Fig. 5D). The acrosomal cap ends as a round margin without reaching the posterior elongated region (Fig. 5E). The subacrosomal region connects the nuclear envelope to



Fig. 5. Sperm ultrastructure of *Xiphopenaeus kroyeri*. (A) General aspects of sperm in the spermatophore structure. Note the thick electron-dense wall enclosing the granular electron-dense matrix secretion around the sperm. (B) Sperm in a longitudinal section showing the acrosomal vesicle with the acrosomal cap and spike. The main body depicts the nucleus and the very elongated posterior region. (C) Oblique section of the acrosomal vesicle showing the parallel attachment to the spike in the acrossomal cap. The acrosomal cap has an electron-dense area (asterisk) and becomes thinner and less electron-dense running down to the posterior elongated region (black arrow). Note the granular electron-dense plate in subacrosomal region (arrowheads). (D) Detail of the acrosomal cap showing the less electron-dense area (white arrowheads). The black arrowheads show the plasmatic membrane of the sperm. (E) Detail of the acrosomal cap membrane (black arrowheads), nuclear envelope (black arrow) and plasmatic membrane of the sperm (white arrowheads). (F) Longitudinal section of the subacrosomal region with the granular electron-dense plate (arrowheads). Note the electron-dense area of the acrosomal cap (asterisk). (G) Posterior elongated region with the membrane network (arrow) reaching the supranuclear cytoplasmic region and degenerated mitochondria. Ac, acrosomal cap; Av, acrosomal vesicle; MB, main body; N, nucleus; Nu, nucleous; Pr, posterior elongated region; S, spike; SF, spermatophores; Se, secretion; SPZ, sperm; SW, wall of the spermatophore. Scale bars: 2 µm (A, B, F); 0,5 µm (C, E); 500 µm (D, G).

the acrosome cap through a thin granular electron-dense plate, which is the only material found in the subacrosomal space (Fig. 5C, F). The nucleus is round and filled with fibrous chromatin and a well and large developed central nucleolus (Fig. 5B, F). The elon-gated posterior region is rich in a complex network of membranes and many degenerated mitochondria (Fig. 5G).

3.4. DNA analysis

In total, 33 sequences of 27 Dendrobranchiata specimens were retrieved from GenBank (Table 2). Among them, 24 sequences were from members of the three tribes of Penaeidae (Parapenaeini, Penaeini and Trachypenaeini). Our dataset included 1007 bp of two mitochondrial genes, 460 bp of the partial 16S gene and 547 bp of the partial COI gene. The best models chosen for each alignment were: GTR + I + G and HKY + I + G for AIC and BIC, respectively.

The phylogenetic tree was based on concatenated data and tested by Bayesian inference, which showed a good phylogenetic signal. Generally, the branches received high posterior probabilities, and the tribes were positioned in different clades. Clade Penaeini showed that *F. paulensis* nested *F. brasiliensis* (99.97%) and the sister group of species from the genus *Litopenaeus* (*L. vannamei* and *L. schmitti*). Representatives of Sicyoniidae appeared in the same clade as members from Trachypenaeini (58.86%), and this clade was the sister group of the Parapenaeini (93.5%). The clade of the latter tribe received high posterior probabilities (99.83%) (Fig. 6).

The tree was rooted with the species of family Sergestidae (99.70%), as was suggested by Scelzo and Medina (2004) and Medina et al. (2006a, b). Therefore, we added sequences of the *Acetes americanus* Ortmann, 1893, *Peisos petrunkevitchi* Burkenroad, 1945 and *Sergia robusta* (Smith, 1882) to act as outgroups (Fig. 6).

4. Discussion

The description of the sperm ultrastructure for the six shrimps that occur on the Brazilian coast is enlightening in terms of understanding the relationships among the phylogeny of the Penaeidae. Additionally, the sperm ultrastructure of the tribe Trachypenaeini species was presented for the first time for both *R. constrictus* and *X. kroyeri*. Individuals of Penaeidae showed sperm with a round main body, usually filled with the nucleus, and acrosomal vesicles that included the acrosomal cap and spike (Jamieson, 1991; Medina et al., 1994a, b; Medina, 1995; Jamieson and Tudge, 2000; Tudge, 2009; Braga et al., 2013a, b). However, we found some variations in the sperm ultrastructure according to the tribe (Fig. 7 and Table 3). The main difference among the members of the tribes was the main body morphology.

The members of Penaeini showed sperm with a spherical main body, whereas the sperm were bulged in Parapenaeini and elongated in Trachypenaeini (Bauer and Min, 1993; Medina et al., 1994a, b; Alfaro et al., 2003; Scelzo and Medina, 2003; Braga et al., 2013a). As previously described, a spherical main body was found in the



Fig. 6. Phylogram of relationships among some members of the superfamily Penaeoidea, inferred by Bayesian likelihood analysis of the combined genes (16S rDNA and COI mtDNA). Numbers associated with the nodes are Bayesian posterior probabilities above 0.5. Three penaeid tribes: Parapenaeini, Penaeini and Trachypenaeini and a general scheme of the sperm of each tribe are indicated.



Fig. 7. Comparative scheme of the sperm found for the tribe of Penaeidae. Diagram based on the sperm ultrastructures found for Farfantepenaeus brasiliensis (Penaeini), Parapenaeus americanus (Parapenaeini) and Xiphopenaeus kroyeri (Trachypenaeini).

Table 3	
Comparative sperm ultrastructural traits of three tribes of the Penae	idae family.

Characteristic	Penaeini	Parapenaeini	Trachypenaeini
Acrosomal cap	Short ^a	Short ^a	Elongated ^b
Central protuberance	Absent ^a	Present ^b	Absent ^a
Electron-dense plate	Absent ^a	Absent ^a	Present ^b
Main body	Round ^a	Spheroidal ^a	Elongated ^b
Posterior elongated region	Absent ^a	Absent ^a	Present ^b
Spike	Straight ^a	Straight ^a	Curved ^b
Spermatophore	Absent ^a	Absent ^a	Present ^b
Sperm morphology	Round ^a	Round ^a	Elongated ^b

^a Plesiomorphic characteristic.

^b Apomorphic characteristic.

tribe Penaeini species analyzed in our study (F. brasiliensis, F. paulensis and L. schmitti). The same rounded pattern was observed in other species of the tribe, such as Farfantepenaeus aztecus (Ives, 1891) (Clark et al., 1973 as Penaeus aztecus), Litopenaeus occidentalis (Streets, 1871), L. stylirostris (Stimpson, 1871), L. vannamei (Boone, 1931) (Clark et al., 1973; Alfaro et al., 2007), Melicertus kerathurus (Forskal, 1175) (Medina et al., 1994a as Penaeus kerathurus), Penaeus monodon Fabricius, 1798 and Marsupenaeus japonicus (Spence Bate, 1888) (Medina et al., 1994a as Penaeus japonicus) (Clark et al., 1973; Medina et al., 1994a; Alfaro et al., 2007; Kruevaisayawan et al., 2008). Scanning electron microscopy studies also described the spherical main body of Farfantepenaeus duorarum (Burkenroad, 1939) (Bauer and Cash, 1991 as Penaeus duorarum) and F. subtilis (Pérez-Farfante, 1967) (Bauer and Cash, 1991; Castelo-Branco et al., 2014). Furthermore, the spherical morphology of the F. paulensis and L. schmitti spermatozoa corroborated the descriptions reported by Braga et al. (2013b) and Fransozo et al. (2016), respectively.

According to the literature, members from the tribe Parapenaeini present a bulged main body instead of the regularly spherical body observed in *Parapenaeus longirostris* (Lucas, 1846) and *Penaeopsis serrata* Spence Bate, 1881 (Medina, 1994; Medina et al., 1994b; Scelzo and Medina, 2003; Braga et al., 2013a). However, in our analysis, we observed that *P. americanus* had a spheroidal main body similar to that observed in *Artemesia longinaris* Spence Bate, 1888 (Scelzo and Medina, 2003).

Moreover, the species of the tribe Trachypenaeini analyzed here, such as *R. constrictus* and *X. kroyeri*, had an elongated main body

similar to observations in other representatives of the group, including *Xiphopenaeus riveti* (Heller, 1862) (Alfaro et al., 2003 as *X. kroyeri*), *Rimapenaeus similis* (Smith, 1885) (Bauer and Min, 1993 as *Trachypenaeus similis*) and *Rimapenaeus byrdi* (Burkenroad, 1934) (Alfaro et al., 2003 as *Trachypenaeus byrdi*), based on scanning electron microscopy (Bauer and Min, 1993; Alfaro et al., 2003).

The rounded main body of the sperm is considered a plesiomorphic characteristic (Medina et al., 1994a, b; Scelzo and Medina, 2003; Ma et al., 2009; Braga et al., 2013a). Therefore, a diversification of the main body shape within the Penaeidae would be a basal condition. This characteristic is found in individuals of the tribe Penaeini (Braga et al., 2013a), whereas members of the Parapenaeini show sperm with a spheroidal main body and shrimp of the Trachypenaeini have an elongated main body (Medina et al., 1994a, b; Scelzo and Medina, 2003; Braga et al., 2013a). Thus, the elongated main body could be considered an apomorphic status in shrimp from Trachypenaeini (Ma et al., 2009; Braga et al., 2013a).

The sperm of members of the tribe Penaeini are characterized by the acrosomal cap overlaying the main body. Additionally, the subacrosomal space is concave and simpler compared to the other tribes. Individuals from Penaeini show free sperm in the secretions from the vas deferens. The sperm is only packaged in the spermatophore when expelled from the male in the terminal ampoule (Bauer, 1991). Species of Parapenaeini and Penaeini also show free sperm in vas deferens secretions. The synapomorphy of the Parapenaeini is the central protuberance at the base of the acrosomal cap of the sperm, as was observed in other species of the tribe described in the literature (P. longirostris, P. serrata and A. longinaris) (Medina, 1994; Medina et al., 1994b; Scelzo and Medina, 2003, 2004; Braga et al., 2013a) and confirmed here in P. americanus. Thus, our ultrastructural findings suggest the validity of tribe Parapenaeini as argued earlier by Medina (1995) and Scelzo and Medina (2003).

Similarly, the sperm of individuals of the tribe Trachypenaeini showed a main body with a posterior elongated region, which was very complex and was formed by many membranes and degenerate mitochondria. Additionally, the sperm present a very filamentous and slender spike, which is curved, and a very thin acrossomal cap running to the posterior elongated region covering the nuclear region, which can be considered a synapomorphy of the Trachypenaeini. The slender and curved spike was described using scanning electron microscopy for the first time by Bauer and Min (1993) as a thin structure called the filament. However, Alfaro et al. (2003) described the same structure and called it the spike. Following its description for the first time at the ultrastructural level, the region of the spermatozoa of Trachypenaeini considered the filament by Bauer and Min (1993) was called the spike in this study due to the presence of the acrosomal cap with vesicles in the area of the spike insertion; this morphology is typical for the Dendrobranchiata shrimp. Thus, the spike described in this study is synonymous to the filament described for *R. similis* (Bauer and Min, 1993) as *T. similis*) (Bauer and Min, 1993).

The nucleus shows an electron-dense plate connected to the acrosomal cap surrounded by a continuous nuclear envelope, which is different from all other Penaeidae (Medina et al., 1994a, b; Jamieson and Tudge, 2000; Braga et al., 2013a, b) and at the same time similar to the structure found for the family Sicyoniidae (Kleve et al., 1980; Medina, 1995; Camargo et al., 2016). Another synapomorphy found in the tribe Trachypenaeini is that the sperm is packed in spermatophores similar to those found in Brachyura (Bauer and Min, 1993). The same pattern of sperm encapsulated by spermatophores was described based on scanning electron microscopy in other representatives of the group, such as *X. kroyeri* (Alfaro et al., 2003 as *X. riveti*), *R. similis* (Bauer and Min, 1993 as *T. similis*) and *R. byrdi* (Alfaro et al., 2003 as *T. byrdi*) (Bauer and Min, 1993).

Although we found some common characteristics among species of the same tribe, we also detected characteristics unique to each genus in this study. Thus, the different acrosomal cap structure may represent an autapomorphy for each genus of the tribe. The genera of the genus *Litopenaeus* and *Farfantepenaeus*, showed differences in the acrosomal cap despite their proximity. The species of *Litopenaeus* presented an acrosomal cap that occupied twothirds of the main body, with condensation of the acrosomal content in the cytoplasmic face to, form lateral extensions on both sides of the main body. Moreover, these species showed the filamentous network found in the subacrosomal region, as observed in *L. schmitti, L. vannamei, L. stylirostris* and *L. occidentalis*, which is a synapomorphy of the genus (Dougherty and Dougherty, 1989; Alfaro et al., 2007). However, the circular filamentous network found in the subacrosomal space was exclusive to *L. schmitti*.

Species of the Farfantepenaeus genus present two projections of the acrosomal vesicle in the main body but do not overlap most of the cytoplasmic face, as observed in the species of Litopenaeus. The round nucleus is at the opposite pole of the spike, and the cytoplasm is filled with vesicles, which confirm the description assigned to F. paulensis (Braga et al., 2013b). Despite the similarities between the F. paulensis and F. brasiliensis species, the main difference found was the presence of electron-dense material in the central region of the acrosomal cap, which was triangular in F. paulensis and cubic in F. brasiliensis. According to Braga et al. (2013b), large vesicles were not found in the cytoplasm in F. brasiliensis, and only small vesicles were observed; this finding differed from F. paulensis, which exhibits that depicts two types of vesicles in the cytoplasm. The presence of large or small vesicles represented degenerated organelles, such as mitochondria (Tudge, 2009). However, these vesicles finding cannot be considered a robust characteristic among species because they can vary from sperm to sperm. Furthermore, in F. brasiliensis, morphological differences were observed between the sperm stored in the thelycum and the sperm found in the terminal ampoule (Braga et al., 2014).

The sperm stored in the thelycum had a less concave acrosomal cap and a more electron-dense subacrosomal region (Braga et al., 2014). However, in this study, the sperm collected in the distal vas deferens had the same morphology as those stored in the thelycum, as observed by Braga et al. (2014). In our opinion, the final morphology of the mature sperm in *Farfantepenaeus* is

reached in the distal vas deferens immediately before the terminal ampoule. In our material, the ampoule of both congener species of *Farfantepenaeus* showed an absence of sperm. The triangular region in the central part of the acrosomal cap and the general appearance of the acrosomal vesicle of *F. paulensis* is very similar to reports for *M. japonicus* (Medina et al., 1994a as *Penaeus japonicus*), with the exception of the membrane in the subacrosomal region of this latter species (Medina et al., 1994a). To summarize, our findings of the sperm ultrastructure support the phylogenetic proximity of both the *F. paulensis* and *F. brasiliensis* species and species of the genus *Litopenaeus*. These shrimp also share a complex form of spermatophore despite the lack of some morphological structures, such as wings, in *Farfantepenaeus* (Bauer and Cash, 1991; Pérez-Farfante and Kensley, 1997).

Artemesia longinaris and *P. serrata* have a similar morphology of the acrosomal cap and cytoplasm, and the central protuberance of both of species is rounded and salient (Medina, 1994; Medina et al., 1994b; Scelzo and Medina, 2003). Additionally, *A. longinaris* has a spheroidal main body, whereas the main body is not regular in *P. serrata* (Medina et al., 1994b; Scelzo and Medina, 2003). *Parapenaeus americanus* and *P. longirostris* have a flatter central protuberance, but the configuration of the acrosomal cap is different. In *P. longirostris*, the acrosomal cap is shorter, the lateral projections are thicker, and the spike is more robust, particularly the insertion of the acrosomal cap. Conversely, *P. americanus* has thinner lateral projections, and the spike is slender, whereas the main body is rounded with a rather bulky nucleus.

The configuration of the acrosomal cap and the morphology of the sperm nucleus are different between the two species Trachypenaeini studied in the present work. In *R. constrictus*, the acrosomal cap has a central area, which is the electron-lucent vesicle surrounding 1/2 of the nuclear surface. The nuclear shape is slightly triangular in the area facing the spike region and rounded in the area facing the posterior elongated region. In contrast, in *X. kroyeri*, the acrosomal cap shows a central core granule similar to a figure in the shape of an inverted "U", and two thin vesicles formed by moderated electron-dense material can be observed on the external edges running above 1/3 of the spike pole of the nucleus. The nucleus is round and filled with thin chromatin and a large central nucleolus is found.

The tribes suggested by Burkenroad (1983) were based on the morphology external to the members of each group. Our findings showed that there were also differences in the sperm ultrastructure. These results are in agreement with previous molecular phylogenetic hypotheses (Ma et al., 2009; present study). Inside the evolutionary lineage of the Penaeid-like shrimp, the tribe Penaeini is in a separate branch from the other two tribes. This tribe could be considered the most well-established tribe. Members from the tribe Parapenaeini should have diversified from the same ancestor as the tribe Trachypenaeini and Sicyonia genus. The tribe Trachypenaeini was considered the most derived and underwent late diversification compared to the other tribes (Ma et al., 2009; Braga et al., 2013a). These results corroborate our morphological analysis because the sperm from the tribe Penaeini has the simplest pattern; moreover the presence of the main body is rounded, and the subacrosomal region is simple, which can be considered plesiomorphic characteristics (Ma et al., 2009; Braga et al., 2013a). Additionally, the tribe Trachypenaeini has large and complex sperm with an elongated posterior main body, a very thin acrosomal cap running to the posterior elongated region, a nuclear plate, a continuous nuclear envelope and a very distinctive spike, which is quite different from the morphological pattern observed in the Penaeid-like lineage shrimp (Ma et al., 2009; Braga et al., 2013a, b).

In the molecular phylogenetic hypotheses of our group and Ma et al. (2009), the family Penaeidae seems to be paraphyletic because

representatives from other families belonging to the superfamily Penaeoidea are closely related. Moreover, this finding can be observed in our morphological analysis, because the members of the tribe Trachypenaeini have sperm with a large cytoplasmic complexity, which is also found in species of Sicyoniidae (Kleve et al., 1980; Medina et al., 1994a, b; Ma et al., 2009; Braga et al., 2013a; Camargo et al., 2016).

According to Bauer (1991), the complex spermatophore and open thelycum can be associated with a primitive condition. However, species of the genus Farfantepenaeus show a complex spermatophore and closed thelycum (Bauer and Min, 1993; Pérez-Farfante and Kensley, 1997). The derived condition is the closed thelycum and simple sperm masses, as described in shrimp of the genus Sicyonia and from the tribe Trachypenaeini (Pérez-Farfante and Kensley, 1997). Moreover, species of Rimapenaeus and *Xiphopenaeus* are considered to have an intermediate degree of the spermatophore structure with round spermatophores immersed in adhesive seminal fluid (Bauer and Cash, 1991; Bauer and Min, 1993). Thus, the closed thelycum, spermatophores and the sperm ultrastructure can be additional characteristics to support the proximity between the two groups, thereby corroborating the molecular phylogeny proposed here and by Ma et al. (2009).

In conclusion, there are extraordinary differences among the sperm of the members of the penaeid tribes that could be related to the paraphyletic pattern of this group. As suggested by Ma et al. (2009), these tribes could be treated as having the same taxonomic rank as Sicyoniidae. Moreover, some our findings confirmed the relationships among the tribes and other shrimp of the Penaeoidea. We demonstrated that the use of spermiotaxonomy supported the molecular phylogeny. Because there are many questions about the relationships among the members of the suborder Dendrobranchiata, our approach in an integrative analysis of sperm ultrastructure and molecular data and provides a new perspective that will contribute to the understanding and reclassification of the group in the near future.

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