Research Paper

Mono- and heterofunctionalized silica magnetic microparticles (SMMPs) as new carriers for immobilization of lipases

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In order to improve economic viability of an enzymatic process, the use of an operationally stable and low-cost biocatalyst is encouraged. Although the immobilization of lipases is widely reported, the search for new supports and immobilization protocols with better properties is still important. In this study, mono- and heterofunctionalized silica magnetic microparticles (SMMPs) were synthetized for immobilization of lipase B from Candida antarctica (CALB). The SMMPs were prepared in a micro-emulsion system containing sodium silicate and superparamagnetic iron oxide nanoparticles, followed by chemical modification with octyl groups and octyl plus aldehyde groups. These supports allowed the immobilization of CALB by hydrophobic adsorption or hydrophobic/covalent linkages, achieving immobilization yield of 88% and recovered activities of 128% and 59%, respectively. The performance of the magnetic biocatalysts was evaluated in the synthesis of xylose fatty acid esters (laurate or oleate) in tert-butyl alcohol medium, yielding around 60% conversion after 48 h under optimized conditions (xylose/fatty acid molar ratio of 1:0.2, 55 °C, and activity load of 37.5 U/g). The magnetic biocatalyst was used in 10 reaction cycles of 48 h at 46 °C maintaining high xylose conversions. Besides, the biocatalyst might be easily and quickly recovered from the reaction medium by an external magnetic field, an operational advantage in the case of viscous and complex media, e.g., medium containing insoluble sugars and molecular sieves.

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1. Introduction

Most of the enzymes, including lipases for synthesis in organic medium, have their stability and reusability improved by immobilization onto solid supports [1–3]. Although several immobilized lipases are commercially available (e.g., Novozym 435, Amano lipase PS, etc.), the search for new functionalized solid supports and immobilization protocols with better properties is still important. Recently, magnetic particles (e.g., silica magnetic particles) have been reported as carrier for immobilization of some lipases (e.g., lipase from Pseudomonas cepacia, Thermomyces lanuginosus, and Pseudomonas fluorescens) to be used in the synthesis of esters [4–6]. The use of magnetic particles is encouraged due to the fact that they can be easily and quickly separated from the reaction medium by applying an external magnetic field, facilitating the separation of the biocatalyst even in complex and viscous reaction medium. In this context, this work aimed at the synthesis and characterization of a new superparamagnetic biocatalyst, obtained by immobilization of lipase B from Candida antarctica (CALB) on silica magnetic microparticles (SMMPs) mono- or heterofunctionalized with octyl or octyl plus aldehyde groups. The performance of the biocatalysts was evaluated in the synthesis of xylose fatty acid esters in tert-butyl alcohol medium.

Sugar fatty acid esters (SFAEs) are non-toxic, biodegradable and non-ionic amphiphilic molecules obtained by the acylation of one or more hydroxyl groups of a carbohydrate (commonly mono- or diaccharides), using a fatty acid as acyl donor. The emulsifying properties of SFAEs allow their use in many commercial products and processes, like in the pharmaceutical and food industries [7]. Besides, some SFAEs present antimicrobial activity against common pathogens that cause food poisoning and spoilage [8,9].

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Although SFAEs derived from fructose, glucose or sucrose are synthesized in industrial scale by transesterification of methyl esters in the presence of alkaline or metallic catalysts, the reaction conditions are severe (temperature close to 100 °C), the selectivity is low, and by-products (such as methanol) are toxic [7]. The enzymatic route can overcome these drawbacks. Different acyl acceptors have been used to synthesize SFAEs via enzymatic catalysis [10–12]. Among the carbohydrates that have been used to produce SFAEs, xyllose has been sparsely used as acyl acceptor [13–16], but it may be an interesting choice, considering that the xylan-rich stream from the lignocellulosic pretreatment aiming to produce 2G-ethanol is an underused by-product.

2. Materials and methods

2.1. Materials

Immobilized lipase B from Candida antarctica (CALB IM – T2-350) was acquired from Chiral Vision (Leiden, Netherlands). This enzyme is covalently attached on acrylic resin activated with epoxy groups (ImmobeadTM 150/350-P). Soluble lipase B from Candida antarctica (CALB), molecular sieve UOP type 3 A (rod-shaped, size 1/16 in), tetrahydrated iron (II) chloride (FeCl2·4H2O), hexahydrated iron (III) chloride (FeCl3·6H2O), triethoxy(octyl)silane (OCTES), triethoxy(3-aminopropyl)silane (APTES), triton X-100 (TX), tributyrin 97% were acquired from Sigma Aldrich Co. (St. Louis, MO, USA). Ammonium sulfate (NH4)2SO4 was acquired from J. T. Baker (New Jersey, USA). Tert-butyyl alcohol, xyllose, sodium silicate (Na2SiO3) and lauric acid were acquired from Vetec (Rio de Janeiro, Brazil). Toluene, cyclohexane, ammonium hydroxide and oleic acid were acquired from Synth (São Paulo, Brazil). Hexane and acetone were acquired from Qhemes (São Paulo, Brazil). All the other reagents were of analytical grade.

2.2. Methods

2.2.1. Synthesis of mono- and heterofunctionalized SMMPs

The core-shell support was synthesized in a core-shell structure, i.e., an iron oxide magnetic core coated with a silica shell. The silica shell was chemically modified (as described below), allowing different immobilization methods.

2.2.1.1. Synthesis of the magnetic iron core. The iron oxide magnetic nanoparticles (core) were synthesized according to Kopp et al. [6]. Two iron aqueous solutions (350 mM FeCl2·6H2O and 200 mM FeCl3·4H2O) were prepared separately and then mixed together (200 mL of each) in a jacketed reactor at 60 °C under mechanical stirring (1000 rpm). Afterwards, 50 mL of NH4OH solution (28 wt%) was added in the reactor and the reaction was carried out for 1 h. The iron oxide magnetic nanoparticles were recovered using a magnet, washed with distilled water and stored until use.

2.2.1.2. Porous silica coating. The magnetic core was coated with porous silica according to Kopp et al. [6], yielding silica magnetic microparticles (SMMPs). Two solutions were prepared separately as following: solution A was prepared by adding 40 mL of 1.75 M Na2SiO3 solution in 140 mL of cyclohexane containing 20 mL of Triton X-100, and solution B was prepared by adding 40 mL of 1 M (NH4)2SO4 solution in 140 mL of cyclohexane containing 20 mL of Triton X-100. Thereafter, 15 g of iron oxide magnetic nanoparticles was added to the solution A, which was stirred forming a stable emulsion. Solution B was then slowly added and the mixture was kept at 25 °C for 1 h under 1000 rpm mechanical stirring. The support (SMMPs) was washed with distilled water and incubated in 0.1 M HCl for 1 h under stirring. The SMMPs was finally washed until neutral pH and dried at 60 °C for 12 h.

2.2.1.3. Surface activation of the SMMPs. The functionalization of SMMPs with octyl groups (SMMP-octyl) was carried out using OCTES as following: 1 g of SMMPs was suspended in 25 mL of toluene containing 1 mmol of OCTES. The suspension was kept under reflux for 5 h, filtered under vacuum, and the SMMP-octyl particles were washed with acetone and distilled water, followed by drying at 60 °C [6]. The heterofunctionalization of SMMPs with octyl plus glutaraldehyde groups (SMMP-octyl-glu) was carried out using OCTES and APTES, according an adaptation of the methodology described by Vescovi et al. [1]: 1 g of SMMPs was suspended in 25 mL of toluene containing 1.5 mmol of OCTES and 0.5 mmol of APTES, in order to add amino groups to the support. The suspension was kept under reflux for 5 h, filtered under vacuum, and the SMMP-octyl-aminoparticles were washed with acetone and distilled water, followed by drying. The activation with aldehyde groups was carried out according an adaptation of the methodology described by Vescovi et al. [1] as following: 1 g of dried SMMP-octyl-aminoparticles was added to 10 mL of 25% (v/v) glutaraldehyde solution. The suspension was kept under stirring for 1 h at room temperature, filtered under vacuum, and the activated support (SMMP-octyl-glu) was washed with distilled water.

2.2.1.4. Characterization of the SMMPs. The morphology of the SMMPs and the octyl coated SMMPs was investigated using a Philips XL30 FEG microscopy operating at 25 kV. Aqueous suspensions containing SMMPs were deposited onto an aluminum sample holder, dried at room temperature and gold-coated. The scanning electron microscopy (SEM) images were recorded at distinct magnifications. The particle diameter distribution (Feret’s diameter) was estimated by image analysis using the ImageJ software. The energy dispersive X-ray spectroscopy (EDS) was performed in the same equipment using an Oxford Tetra Link detector. Nitrogen adsorption-desorption isotherms were performed using an ASAP 2010 (Micrometrics). Isotherm results, in the appropriate ranges, were used for surface area and pore diameter calculations via the Brunauer–Emmett–Teller – BET and Barrett-Joyner–Halenda – BJH equations, respectively.

2.2.2. Immobilization of lipase B from Candida antarctica

The immobilization of CALB was carried out according to the protocol adapted from Blanco et al. [17]. One gram of the support (SMMP-octyl for hydrophobic adsorption or SMMP-octyl-glu for hydrophobic adsorption plus covalent linkage) was added to 2 mL of ethanol. The suspension was kept at 25 °C under mild agitation for one hour. Afterwards, 18 mL of the enzymatic solution (prepared in sodium phosphate solution 100 mM, pH 7.0) was added to the suspension. Enzymatic loads of 5 and 20 mg protein/g support were evaluated.

The enzyme immobilization was monitored by measurement of the hydrolytic activity (UH) in the supernatant solution, and also monitoring the activity of an enzyme solution incubated at the same conditions (control solution). The immobilization yield (Y) was calculated from Equation (1):

\[
Y = \frac{U_{H0} - U_{Hf}}{U_{H0}} \times 100\% 
\]

In Eq. (1), \(U_{H0}\) is the initial hydrolytic activity of the supernatant solution and \(U_{Hf}\) is the final hydrolytic activity of the supernatant solution, both expressed in U/mL.

Recovered activity (RA) was calculated from Eq. (2):

\[
RA = \frac{U_{Em} \cdot m_S}{U_{H0} \cdot V_{Em}} \times 100\% 
\]
In Eq. (2), \( U_{Ed} \) is the esterification activity of the derivative (in terms of \( U_{Ed}/g \) of biocatalyst), \( m_s \) is the mass of support (expressed in g), \( U_{Es} \) is the esterification activity of the enzyme solution (in terms of \( U_{Es}/mL \)), and \( V_E \) is the volume of the enzyme solution (expressed in mL) used in the immobilization.

Recovered activity was calculated based on esterification activity because CALB immobilized on functionalized SMMPs showed to be not suitable to hydrolyze tributyrin, probably due to the high hydrophobicity of the supports that could have delayed the tributyrin transfer from the bulk to the internal pore structure of the biocatalyst.

### 2.2.3. Lipase activities

#### 2.2.3.1. Hydrolytic activity

The hydrolytic activity of the enzymatic preparations was determined by the method of tributyrin hydrolysis, according to protocol adapted from Beisson et al. [18]. A tributyrin solution was prepared by mixing 5 mL of 100 mM sodium phosphate buffer, 13 mL of distilled water, and 2 mL of tributyrin. The solution was kept under stirring at 40 °C and an enzyme solution (100 \( \mu \)L) was added to initiate the reaction. The released butyric acid was titrated with 0.1 M KOH in a Titrino 718 automatic titrator (Metrohm) in order to maintain the pH of the reaction medium at 7.5. One unit of hydrolytic activity (\( U_p \)) was defined as the amount of enzyme which releases 1 mM of butyric acid per minute, at the assay conditions.

#### 2.2.3.2. Esterification activity

The esterification activity of the biocatalysts based on the synthesis of butyl butyrate was measured by the method described by Paula et al. [19]. A volume of 10 mL of substrate (0.1 M 1-butanol and 0.1 M butyric acid in heptane) was kept at 37 °C under 300 rpm stirring. A volume of 200 \( \mu \)L of the soluble enzyme or 200 mg of the derivative was added to the reaction medium. Samples were withdrawn at predefined time intervals to quantify 1-butanol consumption by gas chromatography in a 7890A GC Agilent, equipped with FID detector, using a HP-Innax column (30 m, 0.32 mm, 0.25 \( \mu \)m), with heating rate of 30 °C/min from 100 to 200 °C. The sample volume injected into the column was 1 \( \mu \)L, using nitrogen as carrier, at a feed rate of 1 mL/min.

One unit of esterification activity (\( U_E \)) was defined as the rate of formation of 1 mM of butyl butyrate per minute at the assay conditions.

#### 2.2.4. Synthesis of xylose fatty acid esters

The syntheses of xylose fatty acid esters were carried out by esterification of oleic acid or lauric acid with xylose, using tert-butyl alcohol as solvent, in a batch reactor. The operational variables evaluated in this work were temperature, fatty acid/xylose molar ratio, and enzymatic load (\( U_g/g \) of fatty acid). A factorial design of experiments was used to analyze the influence of the variables on xylose conversion after 48 h reaction, with three replicates on the central point. The levels of the variables and their codes are shown in Table 1.

- Table 1: Coded variables of the factorial design of experiments.

<table>
<thead>
<tr>
<th>Variable</th>
<th>−1.68</th>
<th>−1</th>
<th>0</th>
<th>1</th>
<th>1.68</th>
</tr>
</thead>
<tbody>
<tr>
<td>Temperature (°C)</td>
<td>37</td>
<td>40.6</td>
<td>46</td>
<td>51.3</td>
<td>55</td>
</tr>
<tr>
<td>Acid/xylose molar ratio</td>
<td>1:3</td>
<td>1:1.66</td>
<td>1:1</td>
<td>1:1.07</td>
<td>1:1.095</td>
</tr>
<tr>
<td>Enzymatic load (Ug/Hexyl)</td>
<td>25</td>
<td>30.1</td>
<td>37.5</td>
<td>44.9</td>
<td>50</td>
</tr>
</tbody>
</table>

The experiments related to this design were carried out for both derivatives (CALB-SMMP-ocetyl and CALB-SMMP-ocetyl-glu) and for the commercial immobilized *Candida antarctica* lipase B (CALB IM). The software Statistica was used to perform analyses of variances (ANOVA) of the results of the factorial design and to obtain regression models to describe mathematically the response variable (xylose conversion after 48 h) as a function of the operational variables. Charts which show the statistical influence of the parameters of a linear regression model were used for the description of the response variable obtained, (i.e. the Pareto charts were obtained after data analysis). Response surfaces based on these analyses were also obtained, considering the statistically significant coefficients (at a 95% confidence interval).

One gram of molecular sieves was used in all experiments. The concentration of fatty acid was fixed as 20 mM in all the experiments, hence different levels of fatty acid/xylose molar ratio corresponded to different initial xylose concentrations. Similarly, different levels of enzymatic load corresponded to different masses of the biocatalysts.

A fixed volume was sampled from the reaction medium and dried at 80 °C for 14 h to remove the solvent. The remaining solid (which contained the product and xylose) was then dissolved in the same volume of water to solubilize the non-converted xylose. Then, xylose concentration was measured by high efficiency liquid chromatography (HPLC), in a Waters chromatograph equipped with a refractive index detector, using a Shodex KS802 column at 80 °C, using Milli-Q water as mobile phase and injected sample volume of 1 \( \mu \)L. Conversion was calculated from the decrease in the xylose concentration, using a xylose calibration curve as reference.

Additional experiments were carried out at temperature and enzymatic load correspondent to the central point (46 °C and 37.5 \( U_g/g \)). However, different xylose concentrations were used (fatty acid/xylose molar ratio of 1:0.2, 1:0.14 and 1:0.1). As discussed below, the reason for these experiments was the fact that lower xylose concentrations yielded higher conversions. The experiment which yielded the highest conversion (37.5 \( U_g/g \) at 46 and 55 °C and fatty acid/xylose molar ratio of 1:0.2) was repeated, in order to quantify xylose consumption as a function of reaction time.

#### 2.2.5. Operational stability (Reuse assay)

The derivatives CALB-SMMP-ocetyl, CALB-SMMP-ocetyl-glu and CALB IM were used in ten 48 h-cycles of syntheses of xylose oleate and xylose laurate at 46 °C in 10 mL of tert-butyl alcohol as solvent, under 300 rpm mechanical stirring. Fatty acid/xylose molar ratio of 1:0.2, 20 mM fatty acid, 1 g of molecular sieves, and activity load of 37.5 \( U_g/g \) of fatty acid were used. After each 48 h reaction cycle, the biocatalyst was washed with hexane and reused in a new reaction cycle under the same conditions. The conversion of xylose was calculated by measurements of xylose by HPLC method as described above.

#### 3. Results and discussion

##### 3.1. Characterization of the SMMPs

SMMPs were produced and their surface modified using OCTES resulting in a new material containing octyl groups on its surface. The hydrophobic SMMP-ocetyl was analyzed by SEM images (Fig. 1). It is possible to observe that both, the SMMP and the SMMP-ocetyl particles present irregular shape and micrometric size with a short particle size distribution. The micro-structure of the materials was very similar to that observed by Kopp et al. [6]. The SMMP surface (Fig. 1B) presents a pore structure formed by small particles of nanometric size; these fundamental particles are magnetic nanoparticles covered with a silica layer [6]. The SMMP-ocetyl surface, in turn, presents a more uniform surface with some blocked pores (Fig. 1D); this can be an effect of the surface modification with octyl groups. The SMMP-ocetyl particle size distribution was calculated by image analysis using SEM images (Fig. 15, Supplementary data). The analysis confirms that the SMMP-ocetyl presents
a short particle size distribution with most of the particles between 10 and 20 μm, but with some bigger particles (>50 μm). This profile of particle size distribution is highly adequate to enzymes immobilization, avoiding decrease in enzymes activity caused by mass transfer effects.

The elemental composition of SMMP and SMMP-octyl were evaluated by EDS analysis, showing the following concentration of elements (%): for SMMP – Fe (49.83), Si (8.34), O (41.83), and C (0), and for SMMP-octyl – Fe (50.29), Si (10.31), O (37.22), and C (2.18).

As expected, both samples present a high concentration of iron from the magnetic nanoparticles and a moderate concentration of silicon from the silica layer. This balance of a high concentration of magnetic cores with a very thin layer of silica allows obtaining a material with high magnetic response [6]. The surface modification of SMMP with OCTES increased the silica content in the SMMP-octyl; in this sample was possible to detect approximately 2% of carbon, confirming the surface modification with octyl groups.

Fig. 2 S-A (Supplementary data) shows the nitrogen adsorption-desorption isotherm of the SMMP sample. The isotherm observed is an IUPAC type IV, assigned to mesoporous solids. However, the curve also shows some features of type II and III isotherms assigned to nonporous solids. These results can be explained by the fact that the sample contains mixed phases of porous silica and nonporous iron oxide nanoparticles. The porous size distribution (Fig. 2S-B, Supplementary data) showed that SMMP has large pore size distribution, containing mesoporous and macroporous [6]. The surface area calculated for SMMP was 148 m²/g (BET method) and the average pore size was 13.5 nm (BJH method). These features make the SMMP and the SMMP-octyl suitable to be used as carriers for immobilization of enzymes.

3.2. CALB immobilization

Fig. 2 shows the tributyrin hydrolytic activity in the supernatant vs. immobilization time of CALB on SMMP-octyl and SMMP-octyl-glu supports. Activity of the control solution (soluble enzyme at the immobilization conditions) shows that the soluble enzyme did not inactivate under the immobilization conditions (25 °C, pH 7.0). Hence the decrease of activity in the supernatant can be associated to the immobilization of the enzyme on the supports. The immobilization of CALB on SMMP-octyl in the presence of ethanol was faster than in its absence, as previously reported for CALB immobilization on silica coated with octyl groups [17]. Ethanol did not influence significantly the immobilization of CALB on SMMP-octyl-glu, suggesting that the mechanism of immobilization of CALB on this support was mainly by covalent interaction between very reactive glutaraldehyde moieties on the support surface and reactive nucleophiles on the enzyme surface, mainly deprotonated amino groups [1].

Table 2 shows the immobilization yields and the recovered activities of both magnetic biocatalysts. Immobilization yields were high for all supports (above 87%), however, the highest recovered activities were obtained for CALB immobilized on SMMPs
supports in the presence of ethanol, 59.2 and 128.2% for SMMP-octyl-glu and SMMP-octyl supports, respectively. The difference for the recovered activities could be explained by the catalytic mechanism of lipases, which undergoes conformational changes in its structure in the presence of hydrophobic surfaces (e.g., oil drops, air bubbles, hydrophobic supports, etc.), increasing its catalytic properties [17]. The addition of ethanol also slightly increases hydrophobicity of the support, and decrease the protein solubility in the support microenvironment which also affects positively the immobilization by hydrophobic adsorption. The highly hydrophobic SMMP-octyl could have favored the enzyme coupling (slow, as shown in Fig. 2) in its active form, while the SMMP-octyl-glu, less hydrophobic, favored the quick enzyme coupling by its deprotonated amino groups with the enzyme structure in its native form. Besides, the covalent linkage enzyme-support could impair large conformational changes in the enzyme structure required to the catalysis, decreasing its catalytic activity. Furthermore, some deleterious effect of the glutaraldehyde not could be discarded, such as, loss of activity due to distorted change in the tertiary structure of the enzyme or active site blockage [20]. Kopp et al. [6] also reported hyperactivation and high immobilization yield for the immobilization of lipase from Pseudomonas fluorescens onto silica magnetic microparticles (SMMPs) coated with octyl groups (163% recovered activity and 77.5% immobilization yield). The hydrophobic nature of the octyl coated SMMPs favored the opening of the lipase’s lid increasing its lipolytic activity. Therefore, octyl coated SMMPs compared with previous works are very suitable for immobilization/hyperactivation of lipases. Kalantari et al. [4] reported the immobilization of lipase from Pseudomonas cepacia onto magnetic silica particles to be used in the synthesis of biodiesel. The immobilization yields and recovered activities ranged from 49 to 62% and from 87 to 91%, respectively, depending on the silica pore structure (non-porous, mesoporous or macroporous) and its resulting diffusional delays. Khoobi et al. [5] reported the adsorption of Thermomyces lanuginosus lipase on polyethyleneimine or succinyl polyethyleneimine grafted onto silica coated magnetic particles to be used as biocatalyst in the synthesis of ethyl valerate (an aroma ester). The biocatalysts showed recovered activities ranging from 22 to 34%.

3.3. Esterification activity

Table 3 shows the esterification activities for commercial immobilized CALB and derivatives prepared in this work in presence of ethanol, offering to the supports the load of 20 mg protein/g. Activities of the biocatalysts CALB-SMMP-octyl and CALB-SMMP-octyl-glu were similar, although recovered activities were different for those biocatalysts. This difference indicates mass transfer delays into the internal surface of the biocatalyst, very common for high-loaded biocatalysts [1,2,4,21]. The esterification activity per gram of the commercial immobilized CALB was around 2.5-fold higher in comparison to the activities of the derivatives prepared in this work. Since the enzymatic load of the commercial biocatalyst is not known, a direct comparison between the biocatalysts is not feasible. In addition, because of its iron oxide magnetic core the SMMPs present a higher density in comparison with the commercial acrylic resin of the CALB IM derivative core [6], which hinders a direct comparison between the derivatives based only in the mass of the materials. The highest density of the CALB immobilized on SMMPs allows the reactor to be loaded with high enzyme activity, occupying less useful volume, an important advantage from an operational point of view with regard to a higher volumetric productivity.

3.4. Xylose ester syntheses

For the syntheses of xylose laurate, analysis of variance to the data of conversion after 48 h reaction as a function of temperature, fatty acid/xylose molar ratio, and enzymatic load showed that for the biocatalysts CALB IM (Fig. 3S, Supplementary data) and CALB-SMMP-octyl (Fig. 4S, Supplementary data), none of the parameters presented statistical significance at a confidence interval of 95% (all p values were less than 0.05). The mean conversion obtained by the model was 29.1% and 21.2%, respectively. On the other hand, for the biocatalyst CALB-SMMP-octyl-glu (Fig. 5S, Supplementary data) the quadratic term for the influence of temperature showed statistical significance at a 95% of confidence interval (p value > 0.05). When only this term is considered in the regression model obtained from the factorial design, the resulting equation for the xylose conversion (C, %) is:

$$C(\%) = (26.34 \pm 4.45) - (11.11 \pm 4.61) \times T^2$$

(3)
In this equation, T is the codified variable related to the temperature (ranging from −1.68 to 1.68, according to Table 1).

From response surfaces (Fig. 6S, Supplementary data), considering only the statistically significant factors, the maximum xylose conversion was achieved at the central point (46 °C). Therefore, this temperature was chosen for further syntheses in order to optimize the xylose conversion.

For the syntheses of xylose oleate, analysis of variance to the data of xylose conversion after 48 h reaction as a function of temperature, fatty acid/xylose molar ratio, and enzymatic load showed that for all the biocatalysts (Figs. 7S–9S, Supplementary data) the unique variable that statistically influenced the xylose conversion was the linear term related to fatty acid/xylose molar ratio. It can be observed that the effect of this variable over the xylose conversion was positive, i.e., xylose conversion linearly increases with a higher fatty acid/xylose molar ratio within the analyzed interval (see also Fig. 10S, Supplementary data).

Considering only this statistical significant variable, the resulting equations from the factorial design for the syntheses of xylose oleate catalyzed by CALB IM, CALB-SMMP-octyl-glu and CALB-SMMP-octyl were, respectively:

\[ C(\%) = (30.58 \pm 5.46) + (12.28 \pm 5.13) \times AXR \] (4)

\[ C(\%) = (19.20 \pm 2.92) + (8.43 \pm 2.74) \times AXR \] (5)

\[ C(\%) = (19.92 \pm 3.48) + (8.25 \pm 3.27) \times AXR \] (6)

In these equations AXR is the coded variable for the fatty acid/xylose molar ratio (ranging from −1.68 to 1.68, according to Table 1).

Hence, a linear increase in the conversion is expected for decreasing xylose concentration within the analyzed interval.

The question which remained unanswered after the factorial design was: is there a maximum conversion outside the factorial design intervals for lower xylose concentration? In this context, an analysis of the same reaction for higher fatty acid/xylose molar ratio was carried out, in order to assess whether the conversion could be increased for lower xylose concentration. Additional reactions were carried out at 46 °C (optimum temperature), activity of 37.5 U/g of fatty acid (central point of the factorial design, due to the fact that the conversion did not show to be dependent of the offered activity), and for fatty acid/xylose molar ratios of 1:0.2; 1:0.14 e 1:0.1. As shown in Fig. 3, maximum conversion was reached for fatty acid/xylose molar ratio of 1:0.2, for both fatty acids (lauric and oleic acid) and for all the biocatalysts (CALB IM, CALB-SMMP-octyl, and CALB-octyl-glu). It was previously reported that an excess of sugar increases the hydrophilicity of the microenvironment close to the enzyme due to sugar–enzyme interactions via hydrophilic groups. This hydrophilic microenvironment close to the enzyme could create problems of partitioning for the fatty acid molecules, negatively affecting the catalytic mechanism of the enzyme [22]. Besides, hygroscopic sugars may adsorb the essential water to the enzymatic activity, negatively affecting the synthesis of sugar fatty acid ester [23,24].

The xylose conversion-time profiles for the syntheses of xylose oleate and xylose laurate at predicted optimum conditions (46 °C, fatty acid/xylose molar ratio of 1:0.2, and activity load of 37.5 U/g acid) are shown in Fig. 4, and at a higher temperature (55 °C) are shown in Fig. 5.

As expected, the conversions using all the immobilized biocatalysts showed the same trend, i.e., they increased up to a constant value, which depended on the temperature. For 46 °C, the final conversions were approximately 55% for all the reactions, regardless of the fatty acid or the derivative. On the other hand, the conversions were approximately 65% for all reactions at 55 °C. At the tested conditions, the derivative was not able to differentiate the molecular structure of these fatty acids (oleic acid is an unsaturated fatty acid, whereas lauric acid is a saturated fatty acid).

Differently from the predicted by the factorial design, the conversion for the reaction carried out at 55 °C was higher than the conversion for 46 °C. Although the quadratic term of the temperature in the factorial design was the single statistically significant term within the analyzed interval, as discussed above, when the experimental and fitting errors are considered, this dependence might not be statistically significant, which justifies the conversion increase at higher temperatures.

The performance of the new biocatalysts of CALB immobilized on SMMPs was similar to the most popular one (Novozym 435) regardless of the reactional conditions. As reported by Abdulmalek et al. [14], the conversion in the synthesis of xylose caproate catalyzed by Novozym 435 (immobilized Candida antarctica B) at 60 °C ranged from 50 to 64% (depending on the solvent system) after 24 h reaction. Bidjou-Haiour and Klai [13] also reported the synthesis of xylose laurate catalyzed by Novozym 435. The reaction achieved 65% conversion at 60 °C after 48 h using ethyl methyl ketone as cosolvent. Higher conversions for the synthesis of xylose fatty acid esters were previously reported using xylose acetics. Xylose fatty acid esters were synthesized by the reaction between 1,2-O-isopropylidene-α-xylofuranose and different fatty acids (lauric and
stearic acids), catalyzed by lipase from *Mucor miehei* (Lipozyme IM-60), followed by deacetalization in order to remove the isopropylidene group [15]. Yields around 80% were obtained before the deacetalization. Overall yields were reduced to around 60% after deacetalization due to the purification process itself. The xylose acetals were also used by Ward et al. [16] for the synthesis of different xylose fatty acid esters (lauric, myristic, palmitic, stearic, arachidic, behenic, oleic, and arachidonic acids and a polyunsaturated fatty acid concentrate from cod liver oil). Six different commercial lipases were compared in the reaction and the commercial Novozym 435 presented the better results. Maximum conversions around 80% were obtained after 12 h, when lauric acid was used as acyl donor. Possibly, the reason for higher conversions reported in these studies is related to the use of xylose acetals instead of xylose itself (as used in this work), which possibly improves the esterification reaction by protecting hydroxyl groups from positions 1 and 2 of the xylose molecule. Nonetheless, this process is laborious and increases the cost of the ester production. Thus, an economic analysis of both processes (xylose or xylose acetals as acyl acceptor) should be led to verify their industrial feasibility.

Fig. 4. Xylose conversion-time profiles for the synthesis of (A) xylose oleate and (B) xylose laurate at 46 °C, 300 rpm, 20 mM xylose, 1 g of molecular sieves, using CALB IM (■), CALB-SMMP-octyl (○) e CALB-SMMP-octyl-glu (▲). Activity load of 37.5 U/g acid.

Fig. 5. Xylose conversion-time profiles for the synthesis of (a) xylose oleate and (b) xylose laurate at 55 °C, 300 rpm, 20 mM xylose, 1 g of molecular sieves, using CALB IM (■), CALB-SMMP-octyl (○) e CALB-SMMP-octyl-glu (▲). Activity load of 37.5 U/g acid.

3.5. Operational stability

Fig. 6 shows the reuse assays for the biocatalysts CALB-SMMP-octyl, CALB-SMMP-octyl-glu and CALB IM for 48 h-batches of syntheses of xylose oleate and laurate at 46 °C.

All biocatalysts, regardless of the immobilization mechanisms and physical and chemical nature of the supports, presented similar operational stability in the synthesis of xylose fatty esters, remaining active after ten 48 h-cycles. Regardless of the chemistry linking CALB and support (adsorption or covalent linkages) the biocatalysts were very suitable to be used in a medium with very low water concentration. SMMP-octyl and SMMP-octyl-glu showed to be competitive supports compared to commercial organic resins, because SMMPs can be easily recovered from a viscous and complex medium (i.e., containing insoluble sugars and molecular sieves, as in the case of SFAEs synthesis), reused, and regenerated by calcination to be again activated and to be used for immobilization of fresh enzyme [6].

4. Conclusions

Silica magnetic microparticles chemically modified with octyl and octyl plus glutaraldehyde groups showed to be promising carriers with magnetic properties for enzyme immobilization. The
immobilization of an industrially important lipase (CALB) on these supports was successfully used to prepare active and stable biocatalysts for the synthesis of xylool fatty acid esters. Under optimized conditions, xylool oleate and laurate were prepared with xylool conversions up to 65%. The biocatalysts prepared were competitive to the commercial ones, with better properties for a rapid and ease recovery from a complex reaction medium.

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Appendix A. Supplementary data

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References


