

Immune modulation induced by tuberculosis DNA vaccine protects non-obese diabetic mice from diabetes progression

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Introduction

Genetic immunization has been used to generate protective humoral and cell-mediated immune responses in a wide variety of animal models of infectious diseases, allergy, cancer and autoimmunity [1–5]. After single or multiple injections of DNA, cellular and humoral immune responses to the expressed protein are elicited, and long-lived memory lymphocytes are induced [6]. We have reported previously that a DNA plasmid (DNA_v), encoding the *Mycobacterium leprae* 65 kDa heat shock protein (DNA-HSP65), displayed prophylactic [7,8] as well as therapeutic effects in a murine model of tuberculosis [9,10]. The protection was attributed to the induction of a cellular immune response dominated by *M. leprae* Hsp65-specific T lymphocytes that produced interferon (IFN)- γ and were cytotoxic [11,12]. However, the

Summary

We have described previously the prophylactic and therapeutic effect of a DNA vaccine encoding the *Mycobacterium leprae* 65 kDa heat shock protein (DNA-HSP65) in experimental murine tuberculosis. However, the high homology of this protein to the corresponding mammalian 60 kDa heat shock protein (Hsp60), together with the CpG motifs in the plasmid vector, could trigger or exacerbate the development of autoimmune diseases. The non-obese diabetic (NOD) mouse develops insulin-dependent diabetes mellitus (IDDM) spontaneously as a consequence of an autoimmune process that leads to destruction of the insulin-producing β cells of the pancreas. IDDM is characterized by increased T helper 1 (Th1) cell responses toward several autoantigens, including Hsp60, glutamic acid decarboxylase and insulin. In the present study, we evaluated the potential of DNA-HSP65 injection to modulate diabetes in NOD mice. Our results show that DNA-HSP65 or DNA empty vector had no diabetogenic effect and actually protected NOD mice against the development of severe diabetes. However, this effect was more pronounced in DNA-HSP65-injected mice. The protective effect of DNA-HSP65 injection was associated with a clear shift in the cellular infiltration pattern in the pancreas. This change included reduction of CD4⁺ and CD8⁺ T cells infiltration, appearance of CD25⁺ cells influx and an increased staining for interleukin (IL)-10 in the islets. These results show that DNA-HSP65 can protect NOD mice against diabetes and can therefore be considered in the development of new immunotherapeutic strategies.

Keywords: cytokines, diabetes, DNA vaccine, heat shock protein (Hsp65)

principal argument against immune intervention with DNA-HSP65 in a clinical trial is that it could trigger an autoimmune response, because *M. leprae* Hsp65 has been shown to be 55% homologous to the equivalent mammalian protein [13]. Supporting this argument, other studies have shown humoral and cellular immune responses against mycobacterial Hsp65 in atherosclerosis [14,15], arthritis [16–19] and diabetes [20–23].

The non-obese diabetic (NOD) mouse develops insulin-dependent diabetes mellitus (IDDM) spontaneously as a consequence of an autoimmune process that leads to destruction of the insulin-producing β cells of the pancreas [24]. Several antigens have been identified as targets for diabetogenic T cells, including β cell-specific proteins such as insulin, non- β cell-restricted antigens such as glutamic acid decarboxylase (GAD), and even ubiquitous antigens such as

60 kDa heat shock protein (Hsp60) [24,25]. It has been shown that the onset of diabetes is preceded by an increase in T cell reactivity towards mycobacterial Hsp60 and human Hsp60 peptide, designated p277, which is located between amino acids 437 and 460 [21]. In contrast to the early T cell reactivity, antibodies to Hsp60 and p277 can be detected only late in the course of the disease, months after the onset of clinical diabetes, when the destructive process has terminated [26].

Despite the findings described above, the heat shock protein antigen has also been shown to participate in the protection against experimentally induced autoimmune diseases. Elias and Cohen showed that treatment of NOD mice with peptides derived from human Hsp60 (p277) emulsified in incomplete Freund's adjuvant inhibited the diabetogenic process [27]. Treated mice presented down-regulation of spontaneous T cell reactivity to p277 and induction of antibodies specific for Th2-associated isotypes [28,29]. In a more recent report, the same authors showed that NOD diabetes could be inhibited by vaccination with a DNA construct encoding human Hsp60. Diabetes prevention was attributed to decreased insulinitis and down-regulation of spontaneous proliferative T cell responses to Hsp60 [30].

We have shown recently that the DNA-HSP65 construct, in addition to prophylactic and therapeutic effect in experimental tuberculosis, is also protective against pristane-induced experimental arthritis. This protective effect was attributed to significant down-modulation in the production of the proinflammatory interleukin (IL)-12, together with up-modulation of the anti-inflammatory cytokine IL-10 [31].

Within this context, the present study was designed to determine the ability of DNA-HSP65 to induce up- or down-modulation of the inflammatory immune response in the spontaneous diabetes seen in NOD mice. In addition, we investigated the possible effector mechanisms associated with the immunomodulation elicited by DNA-HSP65 injection in pancreatic islets. Our results show that neither DNAv nor DNA-HSP65 started or accelerated the development of spontaneous diabetes in NOD mice. Injection of both DNA-HSP65 and DNAv protected NOD mice against destruction insulinitis, even though the vector effect was less striking. In comparison with controls and with mice injected with DNAv only, the DNA-HSP65-injected mice presented a marked decrease in CD4⁺ and CD8⁺ cell infiltrates, as well as more extensive staining for IL-10. In addition, a weaker staining for tumour necrosis factor (TNF)- α in the pancreatic islets associated with a significantly higher level of anti-Hsp65 IgG1 antibodies were observed in DNA-HSP65-injected animals. This protective effect of DNA-HSP65 injection in NOD mice seems to be related mainly to elicitation of a specific immune response, as injection of DNAv did not evoke a similar level of protection.

Materials and methods

Animals

NOD/Uni mice used in this study derived from the INSERM U-25 colony at the Hospital Necker (Paris, France) and had been maintained under germ-free conditions at the animal breeding centre of the State University of Campinas, São Paulo, Brazil [32]. In these animals, insulinitis begins around 4 weeks of age and overt hyperglycaemia is observed clearly at around 16 weeks. The cumulative IDDM incidence reaches 60% or even more at 18 weeks [32]. The animals were maintained on a 12-h light/dark cycle and given free access to food and autoclaved water. Thirty female NOD mice were arranged into three groups: control, injected with phosphate-buffered saline (PBS); DNAv, injected with the vector (pVAX); and DNA-HSP65, injected with the vaccine (pVAX-HSP65). All experiments were performed twice.

Plasmid purification and immunization

The DNA-HSP65 construct was derived from its corresponding vector, designated DNAv (pVAX; Invitrogen, Carlsbad, CA, USA), which was digested with BamHI (GIBCO-BRL, Gaithersburg, MD, USA) before insertion of a 3.3-kb fragment corresponding to the *M. leprae* Hsp65 gene and the cytomegalovirus intron A. DNAv without the Hsp65 gene was used as a control. DH5- α *Escherichia coli* transformed with pVAX alone or with pVAX carrying the Hsp65 gene (pVAX-HSP65) was grown in Luria-Bertani liquid medium (GIBCO-BRL) containing kanamycin (50 μ g/ml). The plasmids were purified with an Endofree plasmid Giga kit (Qiagen, Valencia, CA, USA). Plasmid concentration was determined by spectrophotometry at $\lambda = 260$ nm and at $\lambda = 280$ nm, using a GeneQuant II apparatus (Amersham Biosciences/GE Healthcare, Amersham, Bucks, UK). Four-week-old mice were immunized intramuscularly with three doses of 100 μ g of DNA-HSP65 at 2-week intervals. Control animals received the corresponding vector concentration or PBS.

Glucose determination

Mice were monitored for the onset of diabetes by measurements of urine glucose using test-strips (Elililly do Brazil Ltda, São Paulo, SP, Brazil) twice a week. The glucose concentration in blood obtained from a tail vein was measured using Prestige LX Smart System test-strips (Home Diagnostic, Inc., Fort Lauderdale, FL, USA). Consecutive readings of blood glucose levels = 200 mg/dL (12 mmol/l) accompanied by glycosuria on 2 consecutive days were considered to be diagnostic of diabetes onset [33]. The incidence is expressed as percentages.

Recombinant Hsp65 protein

E. coli BL21 transformed with plasmid containing the mycobacterial Hsp65 gene was cultured in Luria–Bertani medium containing ampicillin (100 µg/µl). Bacterial growth was monitored by spectrophotometry at λ 600 nm in a Shimadzu UV 1650 spectrophotometer. When the OD reached 0.6, the culture was induced with 0.1 M of isopropylthio-β-D-galactoside (IPTG) (GIBCO-BRL) and incubated at 30°C under agitation for 4 h. Protein purification was performed according to Portaro *et al.* [34].

Anti-Hsp65 antibodies

Individual sera were collected from 28-week-old NOD mice by retro-orbital puncture. In order to assess antigen-specific antibody levels, 96-well Nunc-Immuno plates (Maxisorp; Nalge Nunc International, Roskilde, Denmark) were coated with 0.1 ml of purified protein (recombinant Hsp65, 5 µg/ml) in coating solution (Na₂CO₃ 14.3 mM, NaHCO₃ 10.3 mM, NaN₃ 0.02%, pH 9.6), incubated at 4°C overnight and then blocked with 1% bovine serum albumin (BSA) in PBS for 60 min at 37°C. Individual serum samples from vaccinated and control mice were applied at different dilutions. After incubation for 2 h at 37°C, anti-mouse IgG1 and IgG2a biotin conjugates (clones A85-1 and R19-15, respectively; Pharmingen, San Diego, CA, USA) were added. After washing, the plates were incubated at room temperature for 30 min using the Strept-AB kit (Dako, Glostrup, Denmark), and H₂O₂ plus o-phenylenediamine dihydrochloride (Sigma, St Louis, MO, USA) were added. The reaction was stopped by addition of 50 µl of 16% sulphuric acid. The optical density was measured at 490 nm. Antibody titres are shown as the highest dilution of serum that gave an absorbance value of 0.5 and are expressed as log₁₀.

Histology and immunohistochemistry

Pancreata from 28-week-old mice were removed, and sections (4–5 µm thick) were cut from distinct portions of the gland. The sections were stained with haematoxylin–eosin (H&E; Merck, Whitehouse Station, NJ, USA) for evaluation of the insulinitis score using the following scale: 0, intact islet; 1, peri-insulinitis; 2, moderate insulinitis (< 50% of the islet infiltrated); 3, severe insulinitis (= 50% of the islet infiltrated); and 4, destructive insulinitis. At least 20 islets per pancreas were analysed. Staining for CD4, CD8, IL-10 and TNF-α was performed on acetone-fixed cryostat sections by incubation for 1 h with rat anti-mouse primary monoclonal antibody (PharMingen, San Jose, CA, USA) diluted to 1 : 200 in 3% BSA–PBS. This was followed by 1 h of incubation with biotin-conjugated rabbit anti-rat antibody (PharMingen). Staining for CD25 was performed directly with rat anti-mouse CD25 (the IL-2 receptor α chain) biotinylated

monoclonal antibody (PharMingen) diluted to 1 : 200 in 3% BSA–PBS. The colour was revealed using the 3,3'-diaminobenzidine (DAB) system (Vector kit; Vector Laboratories, Burlingame, CA, USA). As a control for non-specific staining, pancreas sections were treated without the anti-mouse primary monoclonal antibody.

Statistics

Statistical significance was determined by Fisher's test for diabetes incidence and insulinitis degree. For enzyme-linked immunosorbent assay (ELISA) the statistical significance was determined by one-way analysis of variance (ANOVA) followed by Tukey's test, and values of *P* < 0.05 were considered significant.

Results

DNA injection protected NOD mice against severe diabetes

In NOD mice that received DNA-HSP65 or DNA_v, the glycaemia levels were significantly lower than those levels observed in the control PBS group (Fig. 1a). By 28 weeks, only 33.3% of the mice injected with DNA-HSP65 and 50% from the DNA_v group became diabetic, in contrast to a 100% incidence of the disease in animals not injected with DNA. Moreover, diabetes onset was delayed in DNA-HSP65 and DNA_v-injected mice compared to the PBS control group (Fig. 1a). Figure 1b depicts the results obtained through histological examination of the pancreas. Despite the fact that the profile of diabetes incidence was similar in the two groups, DNA-HSP65 proved more potent in inducing a protective effect than did DNA_v. In both groups (DNA-HSP65 and DNA_v), animals presented strikingly higher proportions of insulinitis-free islets (approximately 33% and 17% greater, respectively, than that seen in the control PBS group). In the DNA-HSP65-injected mice, however, there were no heavily infiltrated areas or areas of destructive insulinitis, although such areas were identified in 28% and 8.98% of the non-DNA-injected (control) and DNA_v-injected mice, respectively.

DNA-HSP65 injection induces significant increase in anti-Hsp65 IgG1 levels

To analyse the possible contribution of a T helper 2 (Th2) type of immune response in mice protected by DNA-HSP65, anti-Hsp65 IgG1 and IgG2a isotypes were measured in the sera at 28 weeks, when there was a clear difference in diabetes incidence among the groups (Fig. 2). Basal levels of both isotypes were found in sera of DNA_v-injected mice, similar to the levels found in the control group not injected with DNA. As expected, DNA-HSP65-injected mice produced both IgG1- and IgG2a-specific antibodies. However, only

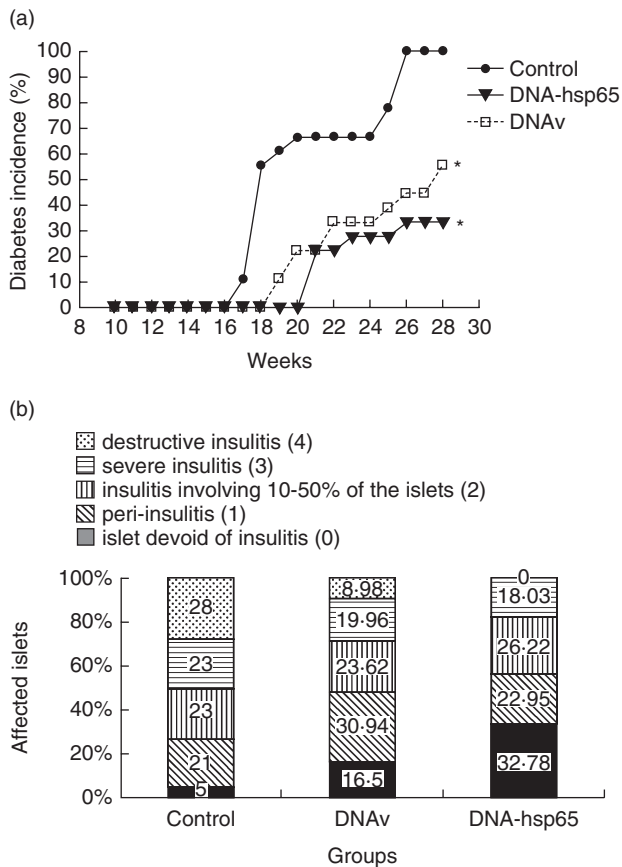


Fig. 1. Diabetes incidence and insulinitis severity in non-obese diabetic (NOD) mice injected with DNA. Female mice (4 weeks old) were inoculated intramuscularly (i.m.) with three 100- μ g doses of DNA-HSP65 (pVAX-HSP65) or DNAv (pVAX) at 15-day intervals. Controls received identical injections of phosphate-buffered saline (PBS). Each group consisted of 10 mice. (a) Serum glucose levels were assayed weekly until mice reached the age of 28 weeks. (b) Pancreata from 28-week-old NOD mice were removed for histological analysis. To quantify islet infiltration, at least 20 islets from three sections per pancreas were examined in a blinded fashion. The degree of insulinitis was evaluated using a semiquantitative scale: 0 = islet devoid of insulinitis; 1 = peri-insulinitis or insulinitis occupying up to 10% of the islets; 2 = insulinitis involving 10–50% of the islets; 3 = insulinitis involving more than 80% of the islets; and 4 = destructive insulinitis.

IgG1 were statistically higher than control groups. Despite the increased levels of anti-Hsp65 IgG2a detected in the serum of DNA-HSP65-injected mice, these were not statistically different of either DNAv or control groups.

DNA-HSP65-injected mice present decreased CD4⁺, CD8⁺ but not CD25⁺ cellular influx

As can be observed in Fig. 3, a pronounced infiltration by CD4⁺ and CD8⁺ cells was observed in the islets of 28-week-old control group mice (Fig. 3a,b). A visible decrease in the infiltration of both cell subpopulations was observed in mice injected previously with DNA-HSP65 (Fig. 3d,e). Despite

the marked infiltration of pancreatic islets, staining for CD8⁺ (Fig. 3h) but not for CD4⁺ (Fig. 3g) cells was also decreased in DNAv-injected mice. No staining for CD25⁺ was observed in the islets of the control group mice (Fig. 3c), while CD25⁺ cells were detected in the islets of DNA-HSP65-injected mice or DNAv-injected mice. Nevertheless, the CD25⁺ cells were clearly more evident in the pancreatic islets of DNA-HSP65 group mice than in those of DNAv group mice (Fig. 3f,i).

DNA-HSP65 alters cytokine pattern in the pancreas

We next investigated the presence of TNF- α and IL-10 in the pancreatic tissue. As can be seen in Fig. 4, there was an abundance of TNF- α in the islets of 28-week-old control group mice (Fig. 4a). A clearly different scenario was observed in the DNA-HSP65 group mice, in which the islets presented minimal TNF- α (Fig. 4c). In DNAv-injected mice, more extensive staining for TNF- α was observed when compared with the DNA-HSP65 group mice (Fig. 4e). In parallel with the decrease in local TNF- α , we observed that DNA injection clearly affected IL-10 production in islets. DNA-HSP65 and DNAv group mice presented an intense perivascular IL-10 staining (Fig. 4d,f). However, only DNA-HSP65-injected mice presented intra-islet IL-10 stain (Fig. 4d, in high left square). On the other hand, no IL-10 was detected in the islets of the control group (Fig. 4b).

Discussion

Vaccination with DNA is a recent and innovative means of protecting against experimental pathogenic infections

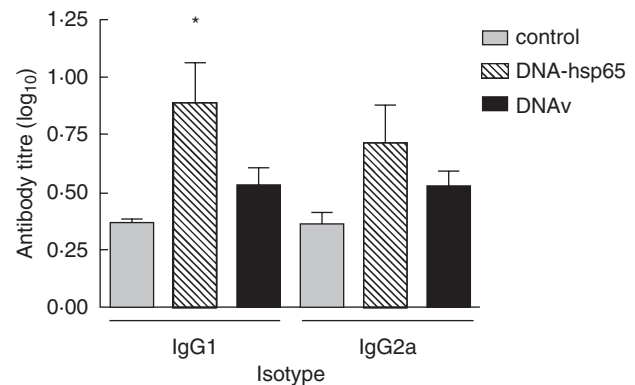


Fig. 2. Anti-Hsp65 IgG1 and IgG2a antibody levels. Female mice (4 weeks old) were inoculated intramuscularly (i.m.) with three 100- μ g doses of DNA-HSP65 (pVAX-HSP65) or DNAv (pVAX) at 15-day intervals. Controls received identical injections of phosphate-buffered saline (PBS). Serum samples were collected when the mice reached the age of 28 weeks. The anti-Hsp65 antibody levels were assayed individually using enzyme-linked immunosorbent assay (ELISA). Results are expressed as mean \pm standard deviation from two independent experiments. * $P < 0.05$ for DNA-HSP65 versus control group.

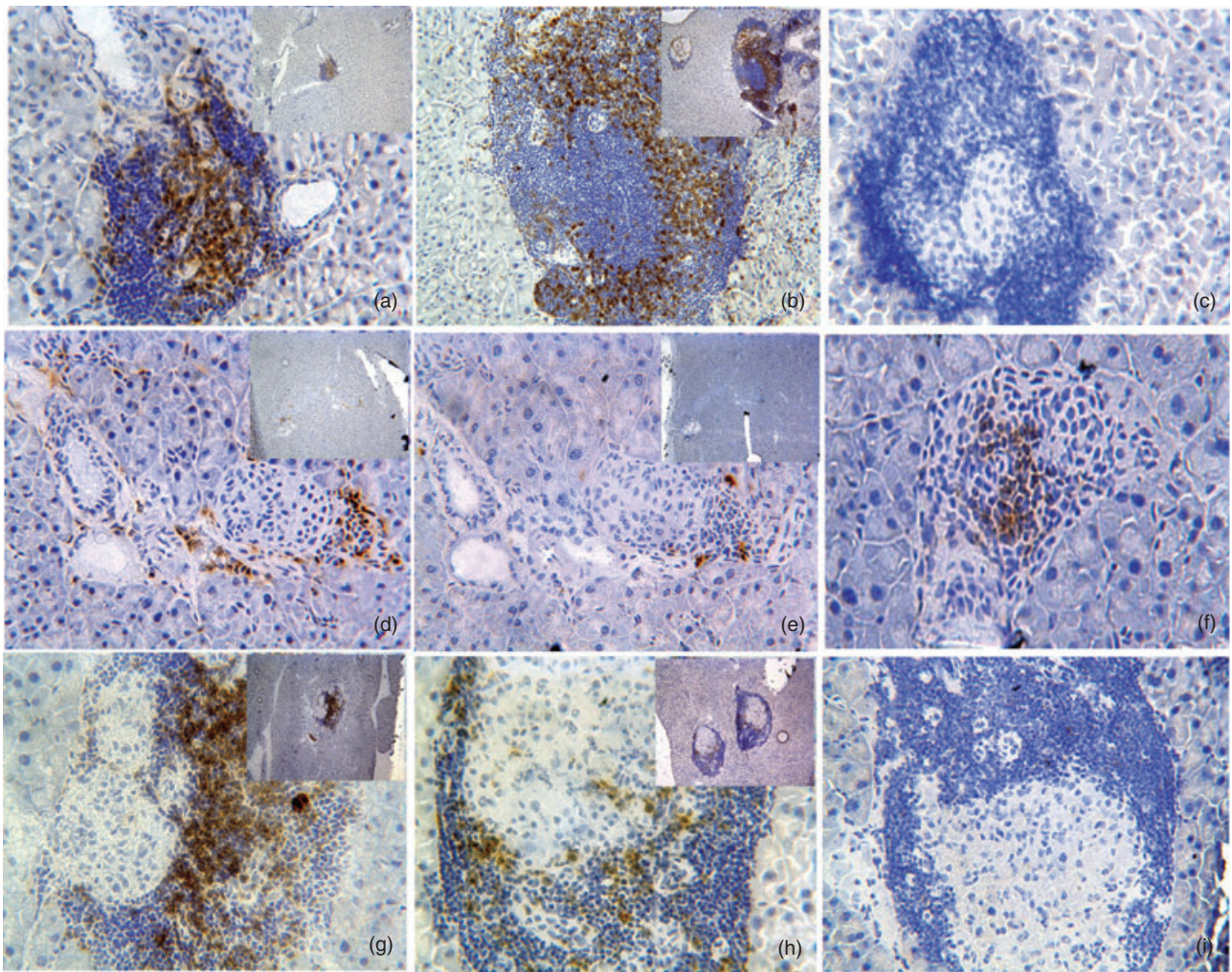


Fig. 3. CD4⁺, CD8⁺ and CD25⁺ cell influx into pancreatic islets. Female mice (4 weeks old) were inoculated intramuscularly (i.m.) with three 100- μ g doses of DNA-HSP65 (pVAX-HSP65) or DNAv (pVAX) at 15-day intervals. Controls received identical injections of phosphate-buffered saline (PBS). Pancreatic islets of 28-week-old mice were analysed. At least 20 islets from three sections per pancreas were examined. Samples from control group mice (a, b and c), DNA-HSP65 group mice (d, e and f) and DNAv group mice (g, h and i) were immunostained for CD4 (a, d and g), CD8 (b, e and h) and CD25 receptors (c, f and i). Original magnification, $\times 200$; insets show the panoramic views ($\times 40$).

and tumours [35–37]. Recently, it has also been used to prevent experimentally induced autoimmune diseases [5,38,39].

In the present study, we evaluated the potential of the DNA-HSP65 vaccine to induce (or not) mechanisms involved in the development of spontaneous diabetes. Interestingly, DNA-HSP65 was not diabetogenic. Our data show that prophylactic injection of DNA, either DNA-HSP65 or DNAv, did not accelerate the development of diabetes and indeed decreased the incidence of the disease. This conclusion was based on both glycaemic levels and analysis of pancreas histology. These results are considered very promising, and are in accordance with a previous study where DNA-HSP65, or its empty vector, did not induce arthritis in AIRmax mice, which are very prone to develop this autoimmune pathology after pristine inoculation [31].

Moreover, our results are in agreement with those described by Quintana *et al.*, who showed that injection of empty plasmid DNA or CpG oligonucleotides inhibited diabetes in NOD mice [30]. Those authors showed that a DNA construct, encoding or not encoding human Hsp60, protected against the development of diabetes in NOD mice. They concluded that this protection was attributable to increased levels of circulating IgG2b. Moreover, these authors showed elegantly that DNA vaccination with human Hsp60, but not mycobacterial Hsp65 or an empty vector, inhibited cyclophosphamide-accelerated diabetes in NOD mice [40], suggesting that the efficacy of the Hsp60 construct might be based on regulatory Hsp60 epitopes not shared with its mycobacterial counterpart, Hsp65.

Here, we found that 66.7% of the mice injected with DNA-HSP65 were protected from diabetes development, as

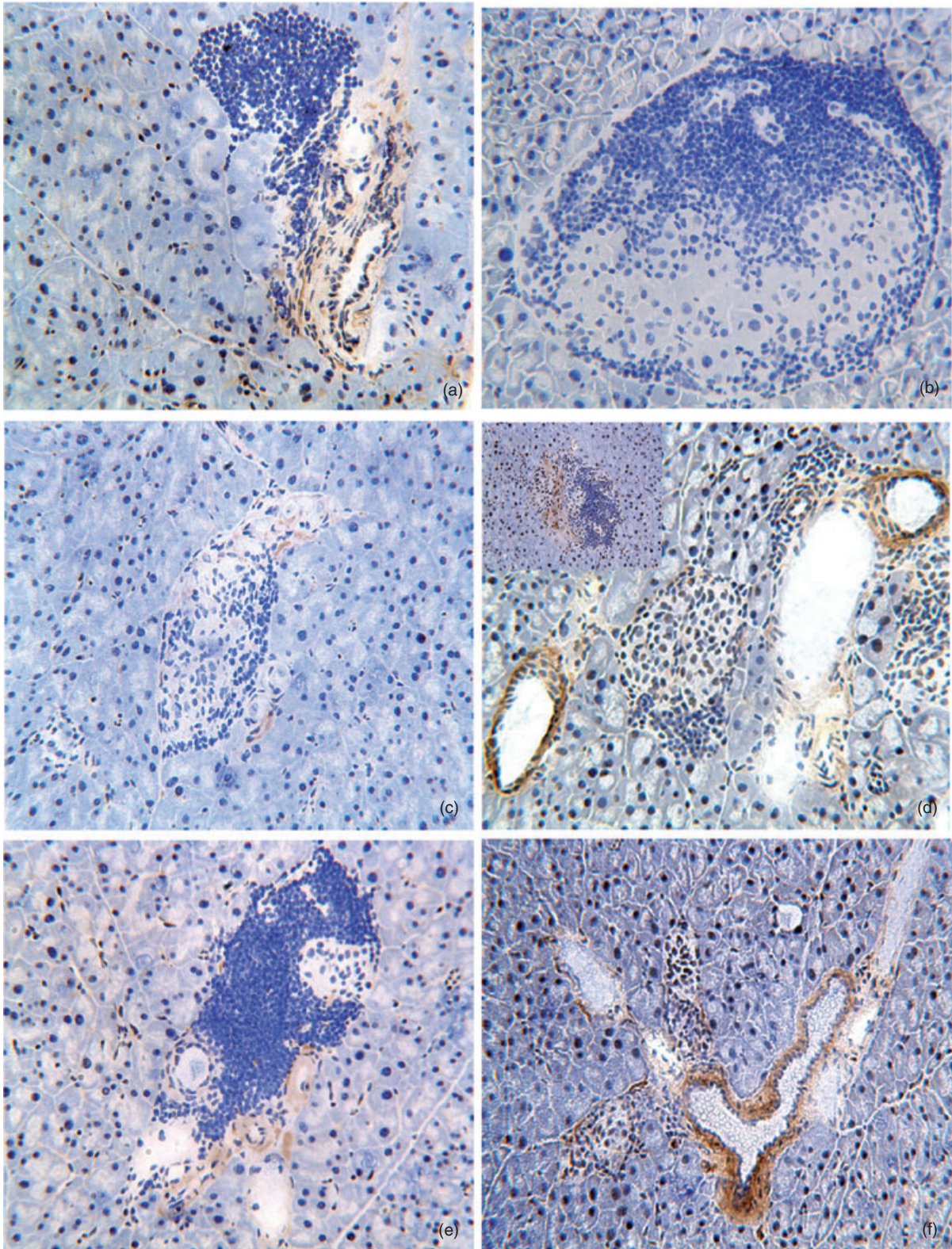


Fig. 4. Tumour necrosis factor (TNF)- α and interleukin (IL)-10 cytokine profiles in the pancreatic islets. Female mice (4 weeks old) were inoculated intramuscularly (i.m.) with three 100- μ g doses of DNA-HSP65 (pVAX-HSP65) or DNAv (pVAX) at 15-day intervals. Controls received identical injections of phosphate-buffered saline (PBS). Pancreatic islets of 28-week-old mice were removed, after which the staining for TNF- α (a, c and e) and IL-10 (b, d and f) was evaluated. Control group (a and b), DNA-HSP65 group (c and d) and DNAv group mice (e and f). At least 20 islets from three sections per pancreas were examined. Original magnification, $\times 200$.

evidenced by the fact that they presented no destructive insulinitis in the pancreatic islets, whereas 100% of the control group mice developed diabetes and presented destructive insulinitis. Despite the fact that the DNAv group mice also presented a lower incidence of diabetes than that seen in control group mice, they presented destructive insulinitis in the islets.

To unravel the mechanism by which this vaccine was preventing full-blown diabetes, we evaluated initially the amounts of specific IgG1 and IgG2a isotypes that could suggest a Th1/Th2 polarization. A clear polarization towards Th2, indicated by the higher IgG1 anti-Hsp65 antibody levels, was observed. This Th2 scenario could modulate or block immune response to other autoantigens from the pancreas and therefore delayed diabetes development [40].

It is well known that IDDM is a multi-step process that leads to destruction of the spontaneously insulin-producing β cells of the pancreas in NOD mice. Participation of both T cell subsets [41–43] and proinflammatory cytokines [44] seem to be essential to the development of diabetes in this model. On the other hand, immunization with microbial or mammalian stress proteins or heat-shock proteins in models of experimental autoimmunity has been observed to lead to increased disease resistance. Comparisons of microbial heat-shock proteins with other conserved immunogenic proteins of bacterial origin have indicated a unique capacity for heat-shock proteins to induce a regulatory phenotype in T cells, as reflected by the production of IL-10 [45].

To understand the possible mechanisms by which DNA-HSP65 triggers the partial arrest of diabetes development in NOD mice, we determined CD4⁺ and CD8⁺ cell influx into the pancreatic islets. In DNA-HSP65-injected mice, the higher numbers of conserved islets were accompanied by less influx of CD4⁺ and CD8⁺ cells in comparison with the control and DNAv group mice. In parallel with the diminished inflammatory response, islets of DNA-HSP65 group mice presented a focal distribution of CD25⁺ cells which was absent in the control and DNAv group mice.

In recent years, much evidence has been accumulated demonstrating that a unique population of CD4⁺ T cells can suppress autoaggressive T cell responses to host antigen, both *in vitro* and *in vivo* [46–48]. Although the phenotype of these T regulatory cells has yet to be defined fully, it is generally accepted that they express constitutively CD25 [47]. The mechanisms by which T regulatory cells suppress autoaggressive responses are not completely known, although cell–cell contact and the production of anti-inflammatory cytokines, such as IL-10, have been implicated [46,48–51].

Our results are in line with this hypothesis. First of all, we observed that DNA-HSP65-injected mice presented a clear reduction in insulinitis. This reduction coincided not only with a reduction in both CD4⁺ and CD8⁺ but with the appearance of CD25⁺ cells in the islets. In addition, these

findings also coincided with a reduction of TNF- α and an increase of IL-10 expression in the pancreas, the pathogenic and protective roles of which, respectively, in diabetes have been well accepted [49].

Two strong indications of the protection afforded by DNA vaccination in various models of experimentally induced autoimmune diseases include the generation of antigen-specific regulatory cells [5,38] and the induction of anergy in autoreactive T cells [39]. With this in mind, we propose that the presence of CD25⁺ cells, together with the increased staining for IL-10, indicate that regulatory T cells can be involved in DNA-HSP65-mediated protection. This finding suggests the hypothesis that DNA-HSP65 injection promotes the induction and infiltration of regulatory T cells into the islets, blocking further influx of inflammatory cells. In addition, less extensive staining for TNF- α in the islets of DNA-HSP65 group mice in relation to control and DNAv group mice also supports the hypothesis that regulatory T cells mediate down-modulation of the inflammatory immune response.

It should be borne in mind that the regulatory T cell effect might be dependent on the activation of a specific cellular immune response, as the protective effect mediated by DNA-HSP65 was more pronounced than that mediated by DNAv. In this context, T cell receptor (TCR) engagement would be essential for the activation of regulatory T cells. However, they perform their effector function in a non-specific way. Our data suggest that this non-specific effector role depends on the secretion of soluble mediators, such as IL-10, and seems to be independent of cell contact. Despite these original data we are conscious that whether the immune modulation played by DNA-HSP65 in NOD mice was attributed by skewing to a Th2 pattern, or by induction of T regulatory cells, remains to be investigated. With this in mind, the role of DNA-HSP65 immunization in the increase of natural T regulatory cells frequency is under investigation.

In conclusion, the data presented in this study encourage us to invest in exploring the regulatory potential of the DNA-HSP65 construct. Our findings have significant implications for the development of new immunotherapy strategies for combating autoimmune diseases.

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