



UNIVERSIDADE ESTADUAL PAULISTA “JÚLIO DE MESQUITA FILHO”  
FACULDADE DE MEDICINA DE BOTUCATU

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COLONIZAÇÃO POR *Staphylococcus aureus* EM PESSOAS VIVENDO COM HIV/AIDS ACOMPANHADAS EM UM SERVIÇO AMBULATORIAL DE REFERÊNCIA EM BOTUCATU (SP): PREVALÊNCIA, RESISTÊNCIA À METICILINA E EPIDEMIOLOGIA MOLECULAR.

Dissertação Apresentada no Programa de Pós-Graduação em Doenças Tropicais da Faculdade de Medicina de Botucatu, Universidade Estadual Paulista, para obtenção do Título de Mestre.

Orientador:  
Prof. Dr. Carlos Magno C. B. Fortaleza

Botucatu, 2016

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1. *Staphylococcus aureus*. 2. *Staphylococcus aureus* Resistente à Meticilina. 3. HIV (Vírus). 4. AIDS (Doença). 5. Epidemiologia molecular.

Palavras-chave: AIDS; Colonização; HIV; MRSA; *Staphylococcus aureus*.

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## 57 RESUMO

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58

59 *Staphylococcus aureus* resistente à metilina (*Methicillin-resistant S. aureus*,  
60 MRSA) é cada vez mais reconhecido como uma ameaça para pessoas vivendo  
61 com HIV/AIDS (PVHA). No entanto, a magnitude da colonização por MRSA varia  
62 entre diferentes países e regiões geográficas. Nós realizamos um estudo que teve  
63 por objetivo identificar a prevalência e os fatores de risco para colonização por *S.*  
64 *aureus* como um todo e MRSA em PVHA residindo em cidades de pequeno porte  
65 do interior do Estado de São Paulo. Isolados de MRSA foram caracterizados por  
66 Eletroforese em Gel de Campo Pulsado (*Pulsed-Field Gel Electrophoresis*, PFGE) e  
67 tiveram o Cassete Cromossômico Estafilocócico (*Staphylococcal Chromosome*  
68 *Cassete*, SCC) *mec* tipado. Análise espacial foi realizada para identificar agregados  
69 geográficos e correlação com indicadores socioeconômicos. No primeiro  
70 momento, realizamos um estudo de prevalência pontual coletando *swab* nasal e  
71 de orofaringe de 368 PVHA atendidas em ambulatório de referência em  
72 Botucatu, SP. Sessenta e sete sujeitos residentes na cidade sede foram seguidos  
73 com coletas em dois outros momentos, e tiveram seus contactantes domiciliares  
74 também investigados para colonização. As taxas de prevalência de *S. aureus* e  
75 MRSA no primeiro levantamento foram 25,8% e 2,7%. A colonização por *S.*  
76 *aureus* foi negativamente associada com o uso de antibióticos beta-lactâmicos e  
77 drogas ilícitas. Por outro lado, fatores de risco para MRSA incluíam uso de crack e  
78 internação hospitalar recente. Inquéritos repetidos identificaram novos casos de  
79 colonização por MRSA, mas nenhum sujeito apresentou positividade em mais de  
80 uma ocasião. Quatro *clusters* foram identificados na PFGE, agrupando sujeitos em

81 diferentes níveis – domicílio, cidade, região. Dos 19 isolados caracterizados,  
82 apenas um não carregava o SCCmec tipo IV. Análise espacial identificou *hot spots*  
83 par sujeitos colonizados com *S. aureus*, mas não conseguimos ligar esse padrão a  
84 indicadores sócio-econômicos. Em conclusão, nós idenficamos baixa – mas  
85 relevante – prevalência de MRSA em PVHA. Foram identificados tanto fatores de  
86 risco tradicionalmente associados a aquisição na comunidade quanto outros  
87 ligados a exposição a hospitais, de modo que as rotas predominantes de  
88 transmissão não puderam ser determinadas com base epidemiológica.

89

90 **Palavras-chave:** *Staphylococcus aureus*, MRSA, HIV, AIDS, Colonização,

91 Epidemiologia molecular, Epidemiologia espacial

92

## 93 ABSTRACT

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94

95 Methicillin-resistant *Staphylococcus aureus* (MRSA) is increasingly recognized as  
96 a threat for people living with HIV/AIDS (PLWHA). However, the magnitude of  
97 asymptomatic MRSA colonization in that group varies among different countries  
98 and geographic regions. We conducted a study that aimed at identifying the  
99 prevalence and risk factors for both overall *S. aureus* and MRSA colonization  
100 among PLWHA attending in small cities from inner São Paulo State, Brazil. MRSA  
101 isolates were characterized using Pulsed-Field Gel Electrophoresis (PFGE), and  
102 submitted to typing of the Staphylococcal Chromosome Cassete (SCC)*mec*.  
103 Spatial analysis was performed to search for geographical clusters and  
104 correlation with socioeconomic indicators. In a first point prevalence survey,  
105 nasal and oropharyngeal swabs of 368 people were collected. Sixty-seven  
106 subjects from the main city (Botucatu) were surveyed for colonization in two  
107 other occasions, and had swabs collected from household members. The  
108 prevalence rates for *S. aureus* and MRSA in the first survey were 25.8% and  
109 2.7%. The overall *S. aureus* colonization was negatively associated with the use of  
110 beta-lactams and of illicit drugs. On the other hand, MRSA colonized subjects  
111 were more likely to use crack and to have been admitted to a hospital during the  
112 past year. Repeated surveys found additional cases of MRSA colonization, but all  
113 subjects were positive in only one occasion. Four PFGE clusters were  
114 characterized, grouping subjects in household, city and region level. Of 19 total  
115 MRSA isolates, only one did not harbor SCC*mec* type IV. Spatial analysis detected  
116 hot spots of *S. aureus* colonized subjects from Botucatu, but that finding could not

117 be linked to socio-economic indicators. In conclusion, we found small but  
118 relevant prevalence of MRSA among PLWHA. Community and healthcare-  
119 associated risk factors were identified, so that predominant routes of  
120 transmission could not be determined on epidemiological grounds.

121

122 **Keywords:** *Staphylococcus aureus*, MRSA, HIV, AIDS, Colonization, Molecular  
123 epidemiology, Spatial Epidemiology.

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# 126 1. INTRODUÇÃO

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127

## 128 1.1. A interseção de duas pandemias

129

130 Desde sua emergência, arbitrariamente datada no início da década de  
131 1980, a aids desenvolveu uma dinâmica de transmissão complexa, afetando de  
132 forma desigual a população mundial.<sup>1</sup> De fato, à medida que a epidemia atingiu  
133 novos continentes, populações especialmente vulneráveis foram  
134 desproporcionalmente acometidas.<sup>2,3,4</sup> Um recente estudo ecológico buscou  
135 indicadores relacionados à prevalência de aids nos diversos países. Os achados  
136 mostram relação direta com a taxa de fertilidade em adolescentes e inversa com  
137 escolaridade e densidade de médicos no país.<sup>5</sup> Não resta dúvida de que, apesar  
138 da possibilidade de acometer qualquer grupo social, a aids ainda representa -  
139 para citar um artigo recente - uma “pandemia para pobres”.<sup>6</sup> Este aspecto - e a  
140 consequente negligência de populações sob risco - contribuem para as  
141 dificuldades de uma ação global de erradicação e/ou controle.<sup>7</sup>

142 No Brasil, são bem conhecidas as tendências epidemiológicas da aids nas  
143 décadas de 1990 e 2000 - feminização, pauperização e interiorização.<sup>8</sup> Esta  
144 última é particularmente importante. Estudos mostram que a proporção de  
145 Pessoas Vivendo com HIV/Aids (PVHA) que residem em cidades de pequeno  
146 porte vem crescendo de forma significativa nos últimos anos.<sup>9,10</sup> Em  
147 consequência disso, o número de clínicas especializadas para atendimento a essa  
148 população sofreu aumento exponencial. Só para termos uma ideia, esse número

149 saltou de 33 em 1996 para 540 em 2002 e 663 em 2007.<sup>11,12</sup> Esse aumento dá  
150 uma medida dos esforços realizados pelo ministério da saúde do Brasil para  
151 promover acesso universal e equitativo das PVHA à terapêutica apropriada.<sup>13,14</sup>

152 As estafilococcias – e mais especificamente aquelas causadas pelos  
153 *Staphylococcus aureus* resistentes à meticilina (MRSA) – dão a impressão de não  
154 pertencer ao mesmo universo.<sup>15</sup> No entanto, a infecção por MRSA é também uma  
155 condição de morbidade que emergiu há algumas décadas, apresentou mudanças  
156 importantes em sua epidemiologia e afeta desigualmente as populações do  
157 mundo.<sup>16,17</sup> O MRSA, e recentemente sua variante associada a (ou adquirida na)  
158 comunidade, o *Community associated*[CA-]MRSA, são causa reconhecida de  
159 doença grave.<sup>15</sup> No entanto, sua epidemiologia complexa, a difícil interpretação  
160 da relação entre carreamento assintomático (colonização) e doença invasiva e as  
161 múltiplas manifestações clínicas dificultam o entendimento do MRSA como um  
162 risco global à saúde pública.<sup>18</sup> Nesse ponto, o MRSA contrasta de forma  
163 importante com o HIV.

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## 166 1.2. *Staphylococcus aureus*: características, patogenicidade e 167 importância clínica

168

169 *Staphylococcus* (do grego, *staphilé* = cachos de uva)<sup>19</sup> são microorganismos  
170 não formadores de esporos e que dão origem a células arredondadas de  
171 aproximadamente um micron de diâmetro e que, quando observados à  
172 microscopia óptica, têm aparência semelhante a cacho de uva, morfologicamente  
173 cocos Gram positivos (**Figura 1**). Em meio de cultura sólida, as colônias de  
174 *Staphylococcus aureus* são elevadas, brilhantes e de forma arredondada<sup>20,21</sup>; em  
175 placa de ágar sangue, observa-se halo de hemólise em torno das colônias. O nome  
176 da espécie *S. aureus* decorre da cor dourada das colônias, em função de  
177 pigmentos carotenoides formados durante seu crescimento.

178 É a única espécie encontrada em seres humanos que pode produzir a  
179 enzima coagulase, sendo que esta no plasma pode se ligar a fatores séricos com  
180 potencial para converter o fibrinogênio em fibrina podendo desencadear  
181 processo de coagulação. As espécies não produtoras de coagulase são ditas  
182 coagulase negativas.<sup>19</sup>

183 O meio de cultura ágar sal manitol é seletivo para o *S. aureus* e importante  
184 para sua identificação já que o mesmo fermenta o manitol, produzindo ácido  
185 lático.<sup>22</sup>

186 O gênero *Staphylococcus* engloba 51 espécies e 27 subespécies<sup>23</sup> – mas  
187 entre elas o *S. aureus* se destaca pela virulência e relevância clínica.

188 O *S. aureus* é amplamente disseminado em populações humanas, podendo  
189 colonizar assintomaticamente pessoas saudáveis. A colonização – presença do

190 microorganismo sem repercussão clínica- tem início logo após o nascimento,  
191 podendo recorrer ao longo da vida.<sup>24</sup> Em indivíduos saudáveis o *S. aureus* pode  
192 integrar a microbiota da pele e mucosas, sendo a mucosa nasal sítio primário de  
193 sua colonização.<sup>25</sup> Apesar de ser, na maior parte das vezes, inócua, a colonização  
194 é fator de risco para o desenvolvimento da doença invasiva em função de falhas  
195 de barreiras físicas e imunológicas, podendo produzir infecções oportunistas  
196 importantes e graves.<sup>25,26</sup>

197         A capacidade do *S. aureus* de causar doença invasiva está relacionada a  
198 diversos fatores de virulência, envolvidos na adesão, destruição tecidual e  
199 indução de resposta inflamatória sistêmica.<sup>27</sup>

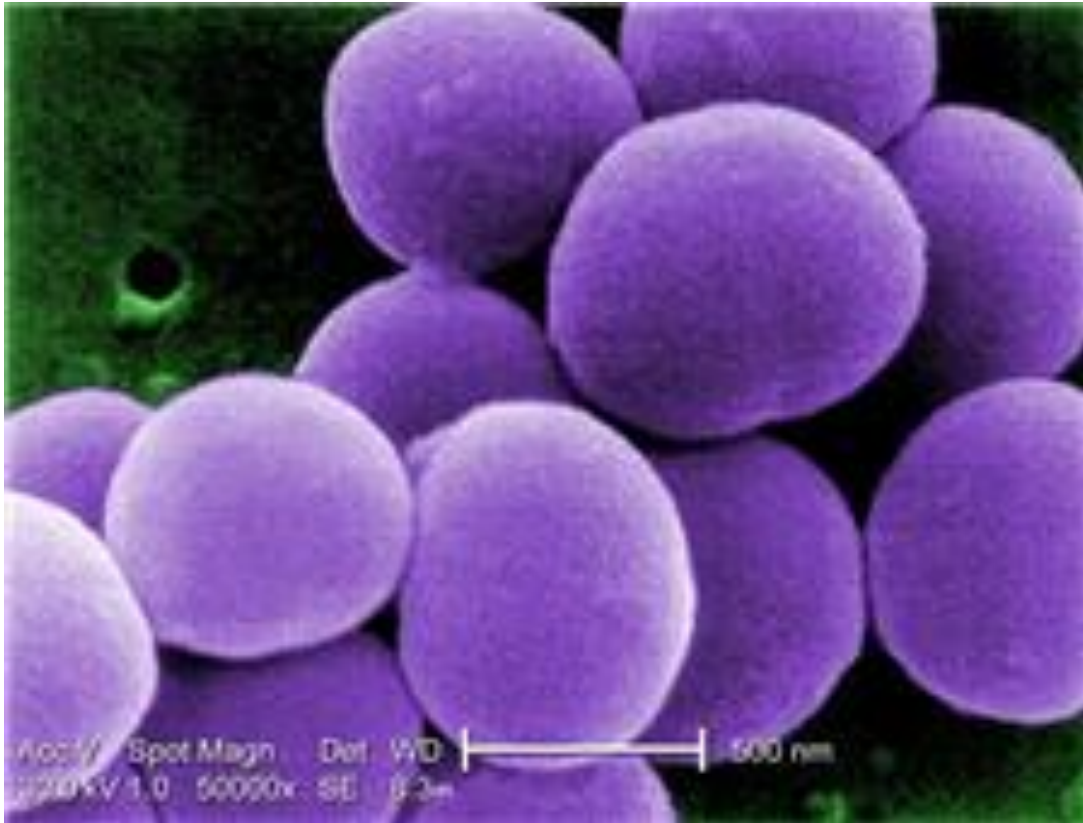
200         As estafilococcias são diversas e apresentam amplo espectro de  
201 gravidade, incluindo desde infecções de pele e tecidos moles a quadros  
202 infecciosos invasivos e potencialmente fatais como sepse, pneumonia e  
203 endocardite. (Tabela 1)<sup>19,20,27</sup>

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209 Figura 1: Representação colorizada de imagem de microscopia eletrônica de  
210 colônia de *Staphylococcus aureus* (Fonte: *Centers for Diseases Control and*  
211 *Prevention, www.cdc.gov*).

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222 **Tabela 1.** Principais síndromes infecciosas causadas por *Staphylococcus*  
 223 *aureus*.<sup>27</sup>

<b>Adquiridas na comunidade</b>	Toxi-infecções alimentares
	Infecções de pele e partes moles: foliculites, erisipelas, celulites
	Síndrome do choque tóxico
	Pneumonias
	Endocardites
	Osteomielites
	Abscessos de órgãos sólidos
<b>Adquiridas em hospitais ou em serviços de saúde</b>	Infecções de sítio cirúrgico
	Infecções da corrente sanguínea
	Pneumonias
	Infecções de pele e partes moles

224

225 Tanto nas infecções adquiridas na comunidade quanto naquelas  
 226 adquiridas nos hospitais e serviços de saúde, o *S. aureus* pode determinar  
 227 quadros graves, pondo em risco a vida das pessoas acometidas.

228 As infecções por *S. aureus* mantém-se como causa importante de  
 229 morbidade e mortalidade.<sup>19,27</sup> Paradoxalmente, essa bactéria foi um dos  
 230 primeiros alvos da terapia antimicrobiana – já na década de 1940.<sup>28</sup> A relevância  
 231 continuada do *S. aureus* contraria o otimismo inicial da era antimicrobiana,  
 232 quando diversos autores que previram o fim das doenças infecciosas com o

233 advento da antibioticoterapia.<sup>28,29</sup> O fator preocupante é que o *S. aureus* tem  
234 mostrado ao longo das décadas tendência consistente de desenvolver resistência  
235 aos agentes antimicrobianos usualmente empregados na prática clínica, sendo  
236 que ao final da década de 1940 surgiram as primeiras cepas resistentes.<sup>29</sup>

237

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239

### 240 **1.3. Resistência à meticilina.**

241

242 Pouco depois da introdução da penicilina na prática clínica, ainda na  
243 década de 1940, foram observados os primeiros isolados de *S. aureus* com  
244 resistência a esse antimicrobiano.<sup>29</sup> Estes estavam inicialmente restritos ao  
245 ambiente hospitalar. O mecanismo responsável por essa resistência era a  
246 produção de uma enzima da classe das beta-lactamases, inicialmente  
247 denominada penicilinase. Para tratar isolados de *S. aureus* resistente à penicilina  
248 (*Penicillin-resistant S. aureus*, PRSA), foram desenvolvidas as penicilinas  
249 penicilinase-resistentes (como a meticilina e a oxacilina) e as cefalosporinas de  
250 primeira geração.

251 Em 1961 foi identificado na Inglaterra o primeiro isolado de *S. aureus*  
252 resistente à meticilina (*Methicillin-resistant S. aureus*, MRSA). Essa resistência era  
253 mediada pela alteração do sítio ativo da penicilina na célula bacteriana. Este sítio  
254 é uma enzima responsável pela integridade da parede bacteriana, e foi  
255 denominado Proteína Ligadora da Penicilina (*Penicillin-binding Protein*, PBP). A  
256 PBP alterada por mutação (PBP2a) não tem afinidade por antimicrobianos da

257 classe dos beta-lactâmicos. Em consequência, o isolado se torna resistente a  
258 todas as penicilinas, cefalosporinas, monobactams e carbapenêmicos.<sup>30</sup>

259 Nas décadas seguintes, o MRSA tornou-se predominante entre as cepas de  
260 *S. aureus* de pacientes hospitalizados. Ao mesmo tempo, isolados comunitários  
261 perderam quase completamente a suscetibilidade à penicilina, mantendo-se em  
262 sua maioria meticilina-sensíveis (*Methicillin-susceptible S. aureus*, MSSA).<sup>31</sup>

263 Após 1990 foram relatadas de forma crescente infecções por MRSA em  
264 pessoas sem antecedente de contato com serviços de saúde. Esse fenômeno foi  
265 epidemiologicamente relacionado a alguns grupos, como aborígenes  
266 australianos, atletas, militares, presidiários e homens que fazem sexo com  
267 homens.<sup>32</sup> No entanto, observou-se ocorrência crescente de doença invasiva  
268 grave por MRSA de origem comunitária mesmo em pessoas que não pertenciam  
269 a esses grupos. Esse quadro renovou o interesse em estudos voltados à  
270 elucidação da epidemiologia molecular do *S. aureus* e dos mecanismos  
271 relacionados à resistência à meticilina.

272 O gene codificador da resistência à meticilina (*mecA*) está localizado em  
273 uma “ilha genômica” de resistência, conhecida como cassete cromossômico  
274 estafilocócico *mec* (*Staphylococicalchromosome cassette mec*, SCC*mec*). Pelo  
275 menos onze tipos de SCC*mec* foram descritos até o momento. A hipótese mais  
276 aceita atualmente é de que esses cassetes foram adquiridos em diferentes  
277 ocasiões a partir de espécies de estafilococos coagulase-negativa, gerando  
278 linhagens não relacionadas de MRSA<sup>33</sup>. SCC*mec* dos tipos I, II e III são  
279 encontrados em isolados de MRSA circulantes em hospitais e outros serviços de  
280 saúde (*Hospital-associated*[HA]-MRSA). Esses cassetes são maiores e albergam  
281 diversos determinantes de resistência. Por essa razão, isolados hospitalares de

282 MRSA costumam apresentar resistência simultânea a várias classes de  
283 antimicrobianos. Por outro lado, SCCmec IV, V, VI são encontrados isolados de  
284 MRSA de origem comunitária (*Community-associated*[CA]-MRSA). Estes cassetes  
285 cromossômicos têm tamanho menor e carregam menos genes de  
286 resistência.<sup>34</sup>Essa é uma das razões pelas quais os isolados de CA-MRSA mantém  
287 suscetibilidade a diversas classes de antimicrobianos (sulfas, clindamicina,  
288 quinolonas), enquanto os HA-MRSA são via de regra multirresistentes.<sup>35</sup>

289 O contexto atual da resistência de *S. aureus* inclui a emergência de  
290 isolados intermediariamente sensíveis ou resistentes aos glicopeptídeos. Essa é  
291 uma classe tradicionalmente utilizada para terapia de MRSA em hospitais, e a  
292 emergência de resistência a ela reduz de forma ainda mais drástica as opções  
293 terapêuticas.<sup>36</sup>

294

295

### 296 **1.3. CA-MRSA na população geral**

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299 Casos de infecção por CA-MRSA são frequentemente graves. Entre os  
300 fatores associados a essa gravidade está a presença frequente de um fator de  
301 virulência, denominado Leucocidina de Panton Valentine  
302 (*PantonValentineLeukocidin*, PVL). A PVL é uma toxina capaz de induzir a  
303 destruição de leucócitos humanos e causar grande dano tecidual, tem sido  
304 descrita em casos de fascíte e pneumonias necrotizantes oriundos da  
305 comunidade.<sup>32</sup>

306 A crescente incidência de quadros graves e de isolados multirresistentes  
307 de *S. aureus* motivou uma série de estudos que tinham por objetivo quantificar a  
308 carga (*burden*) da colonização e infecção estafilocócicas na população.

309 Uma dessas iniciativas, capitaneada pelo Centro para Prevenção e  
310 Controle de Doenças (Centers for Disease Control and Prevention, CDC),  
311 procurou descrever a incidência e distribuição de doenças invasivas por MRSA  
312 nos Estados Unidos.<sup>38</sup> Em nove cidades norte-americanas foi realizada vigilância  
313 ativa dessas infecções durante os anos de 2004 e 2005. Foram identificadas  
314 8.987 infecções. Destas, 85,0% haviam sido adquiridas em hospitais e outros  
315 serviços de saúde, enquanto 13,7% eram de origem comunitária.

316 Outra pesquisa recente utilizou dados secundários do sistema de saúde  
317 britânico para estimar o comportamento epidemiológico das infecções  
318 estafilocócicas durante as décadas de 1990 e 2000.<sup>39</sup> Os autores apresentaram  
319 achados alarmantes: um aumento de cinco vezes nas hospitalizações por sepse,  
320 pneumonia estafilocócica, síndrome da pele escaldada e impetigo; aumento de  
321 três vezes das internações por abscessos e celulites, e de 150% nas admissões  
322 por infecções de ossos e articulações.

323 Outros estudos procuraram quantificar o carregamento de *S. aureus* na  
324 população. Nos anos de 2001 e 2002, incluiu-se no estudo NHANES (National  
325 Health and Nutrition Examination Survey) a coleta de amostras de nasofaringe. O  
326 estudo NHANES é realizado em âmbito nacional e corresponde a uma amostra  
327 representativa da população civil não institucionalizada dos Estados Unidos. A  
328 triagem para carregamento nasal de *S. aureus* foi realizada em todos os indivíduos  
329 com idade acima de um ano participantes do estudo. Os resultados foram  
330 descritos e analisados em três publicações.<sup>40,41,42</sup> Em 9.622 participantes, a

331 prevalência de colonização por *S. aureus* foi de 32,4%. Colonização por MRSA  
332 estava presente em 0,8%. Fatores significativamente associados ao carreamento  
333 de *S. aureus* foram: idade menor que 6 anos, adultos que não haviam completado  
334 o ensino médio, e diagnóstico de asma. O carreamento de MRSA estava associado  
335 a idade maior que 65 anos, sexo feminino, diabetes e estadia recente em casas de  
336 repouso (mas não em hospitais). Um acompanhamento desse estudo em anos  
337 posteriores demonstrou que a prevalência do carreamento de *S. aureus* sofreu  
338 redução estatisticamente significativa, caindo para 28,6% em 2003-2004. Nesse  
339 mesmo período, porém, taxas de carreamento de MRSA quase duplicaram (0,8%  
340 para 1,5%,  $p < 0.05$ ).<sup>43</sup>

341 Os dados do NHANES são bastante abrangentes, embora seu significado  
342 seja limitado pelo longo período decorrido desde o estudo. Outras publicações  
343 apresentam estimativas populacionais de carreamento de *S. aureus* ou MRSA em  
344 espaços mais restritos. Furuya et al investigaram o carreamento nasal de MRSA  
345 em uma amostra de 739 habitantes de Manhattan, identificando prevalência de  
346 0,3%.<sup>44</sup> Outro estudo, realizado em Birmingham (Inglaterra), investigou 274  
347 pacientes, identificando taxas de carreamento de 33,0% para *S. aureus* e 1,5%  
348 para MRSA.<sup>45</sup> Em Taiwan, Lu et al realizaram triagem para carreamento nasal de  
349 MRSA em 1.838 pessoas da comunidade e 393 indivíduos com contato com  
350 serviços de saúde.<sup>45</sup> No primeiro grupo, a prevalência de colonização foi de 3,6%.  
351 No segundo, os resultados foram: 5,0% (profissionais da saúde), 5,8% (pacientes  
352 em enfermaria de agudos), 5,9% (pacientes de hemodiálise) e 11,0% (indivíduos  
353 em instituições de longa permanência).

354 Em estudo realizado pelo nosso grupo foram testados 686 moradores de  
355 área urbana de Botucatu-SP-Brasil (122.000 habitantes) para colonização nasal

356 por *S. aureus* e MRSA. Foram identificadas prevalências de 32,7% e 0,9%,  
357 respectivamente.<sup>46</sup>

358 Ainda é incerto o significado desses dados para abordagens terapêuticas  
359 em pacientes oriundos da comunidade. Esse dilema é ainda mais intenso quando  
360 falamos de populações especialmente suscetíveis, como as pessoas vivendo com  
361 HIV/Aids (PVHA).

362

363

#### 364 **1.4. MRSA e HIV/Aids**

365

366

367 HIV/aids representa um dos mais importantes problemas de saúde no  
368 mundo, estimando-se que 2 milhões de indivíduos se infectam anualmente  
369 (OMS). Ainda de acordo com a Organização Mundial de Saúde (OMS) havia  
370 aproximadamente 36,9 milhões de pessoas em todo o mundo vivendo com  
371 HIV/aids (PVHA) até o final de 2014. Desse total 2,6 milhões eram crianças. No  
372 Brasil, de acordo com o boletim epidemiológico HIV/aids 2015, foram  
373 registrados desde o início da epidemia de aids até junho de 2015 798.366 casos  
374 de aids.

375 Infecções estafilocócicas são especialmente frequentes em PVHA<sup>47</sup>,  
376 inclusive com altas taxas de recorrências. Diversos estudos demonstram que esta  
377 população apresenta também elevada prevalência de colonização nasal  
378 persistente por *S. aureus*<sup>48</sup>, sendo que pessoas saudáveis podem ser carreadoras  
379 e funcionarem como reservatórios dentro da comunidade.<sup>25</sup>

380 Segundo Crum-Cianflone et al, mesmo na era HAART, PVHA continuam a  
381 ter alta incidência de colonização por *S.aureus* não relacionada a  
382 imunossupressão, mas sim a comportamentos específicos como o uso de drogas  
383 ilícitas.<sup>49</sup>

384 Além da frequente colonização e tendência ao desenvolvimento de doença  
385 invasiva, as PVHA apresentam diversos fatores associados a maior risco de  
386 carreamento de MRSA,<sup>50</sup> entre eles estão: grande exposição ao ambiente e a  
387 procedimentos intrahospitalares ou em outros serviços de saúde<sup>31</sup> e uso  
388 frequente de antimicrobianos. Outros preditores de carreamento de MRSA em  
389 PVHA têm sido descritos, como baixa contagem de células CD4,<sup>51</sup> uso de drogas  
390 ilícitas<sup>52,53</sup>, comportamento sexual de alto risco<sup>54</sup> e encarceramento prévio.<sup>55,56</sup>  
391 .Entretanto, em relação a baixa contagem de CD4+, alguns estudos não  
392 mostraram associação entre esta e risco aumentado para CA-MRSA.<sup>49</sup> Em que  
393 medida a imunossupressão, avaliada pela contagem absoluta de CD4+, contribui  
394 para o risco de CA-MRSA é um aspecto que não está ainda bem estabelecido.<sup>57</sup>

395 Há ainda outras lacunas no conhecimento da epidemiologia de CA-MRSA  
396 em PVHA, sendo poucos os dados de revisão de literatura sobre a colonização e  
397 infecção por MRSA em PVHA na era HAART (highly active antiretroviral  
398 therapy).<sup>58</sup> Estudos mostram que as PVHA têm de 6 a 18 vezes maior risco de  
399 infecção por MRSA quando comparadas à população geral.<sup>58,59</sup> Em estudo  
400 realizado nos Estados Unidos da América, observou-se incidência de 12,3/1.000  
401 pessoas ano comparado com 1-2/1.000 pessoas ano<sup>60</sup> respectivamente. Embora  
402 infecções, inclusive graves, por CA-MRSA tenham sido relatadas com frequência  
403 em PVHA, poucos autores abordaram o carreamento nasal de *S. aureus* e MRSA  
404 em indivíduos sem antecedentes de internação recente. Em estudo norte

405 americano recente, encontrou-se 8% de prevalência de colonização ou infecção  
406 em 900 PVHA.<sup>60</sup> O estudo incluiu pacientes ambulatoriais, mas não excluiu  
407 aqueles que relatavam internação no último ano, devendo-se destacar que em  
408 estudo de metanálise internação prévia, no último ano, mostrou-se  
409 significativamente associada a colonização por MRSA.<sup>61</sup> Padoveze et al,<sup>62</sup>  
410 estudando PVHA atendidos em Campinas - São Paulo e que não tinham  
411 antecedentes de internação, não encontraram colonização por MRSA. Zervou et  
412 al, em estudo de metanálise, publicado em 2014, relatam prevalência de  
413 colonização por MRSA em PVHA estimada em 6,9%, sendo a prevalência  
414 estimada em 8,8% quando analisada para a América do Norte separadamente.<sup>61</sup>

415 A localização da residência e a rede social comunitária podem contribuir  
416 para a manutenção de reservatórios de MRSA na comunidade<sup>38,42</sup> indicando a  
417 necessidade de avaliação da circulação de isolados MRSA em PVHA,  
418 especialmente no contexto da atenção à Aids no Brasil.

419

## 420 1.5. Considerações sobre a contribuição da epidemiologia 421 espacial 422

423

424 No Brasil o uso do georreferenciamento tem história recente, porém tem  
425 sido de grande valia na área da saúde ao oferecer a visão da distribuição espacial  
426 de fatores de risco ambientais e, principalmente, facilitando o trabalho de  
427 associá-los aos determinantes sociais de saúde locais. Tem sido utilizado no  
428 monitoramento, planejamento e na avaliação das ações de saúde. É recurso  
429 muito importante na análise dinâmica de difusão espacial das doenças e suas  
430 relações com o ambiente, propiciando alta resolução gráfica para avaliação da  
431 saúde populacional e também na identificação de regiões e grupos com risco  
432 potencial de adoecer.<sup>63,64,65</sup>

433

434 Considerando a relevância de *S. aureus* e MRSA e seu impacto sobre a  
435 saúde em PVHA e as lacunas ainda existentes no conhecimento da epidemiologia  
436 de MRSA em população de PVHA no Brasil, estudos voltados a esse tema são  
437 prementes. Nosso estudo pretendeu abranger esse conjunto temático, incluindo  
438 alguns aspectos específicos como, determinação da prevalência de colonização  
439 de *S. aureus* e MRSA em familiares residentes no domicílio das PVHA, incluindo  
440 georreferenciamento.

441 O estudo portanto reflete a tentativa de conhecer o comportamento de *S.*  
442 *aureus* em uma população de risco especial e em seu núcleo familiar, com a  
443 finalidade de contribuir para a discussão sobre medidas e esquemas terapêuticos  
444 que poderão ser adotadas nesses casos.

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## 639 3. OBJETIVOS

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640

### 641 3.1. Objetivos gerais:

642

- 643 • Identificar prevalência e preditores de colonização nasal e  
644 orofaríngea por *Staphylococcus aureus* sensível e/ou resistente à  
645 meticilina em pessoas vivendo com HIV/aids (PVHA) atendidas no  
646 Serviço de Ambulatórios Especializados de Infectologia “Domingos  
647 Alves Meira” (SAEi/DAM) próprio da Fundação para o  
648 Desenvolvimento Médico e Hospitalar (FAMESP).
- 649 • Determinar a prevalência de colonização nasal e orofaríngea por  
650 *Staphylococcus aureus* sensível e/ou resistente à meticilina dos  
651 familiares residentes no mesmo domicílio das pessoas vivendo  
652 com HIV/aids (PVHA) atendidas no Serviço.
- 653 • Estudar a distribuição espacial de PVHA colonizados ou não por *S.*  
654 *aureus* na área urbana do município de Botucatu (SP).

655

### 656 3.2. Objetivos específicos:

657

658 • Caracterizar a resistência à metilina em isolados colonizantes  
659 nasais e orofaríngeos de PVHA e de seus contactantes domiciliares,  
660 por meio de testes fenotípicos e genotípicos.

661 • Identificar fatores de risco associados à colonização por *S. aureus* e  
662 MRSA em PVHA.

663 • Identificar padrões de colonização transitória e persistente na  
664 população do estudo.

665 • Caracterizar a aquisição de MRSA quanto ao local de aquisição:  
666 comunidade ou serviços de saúde.

667 • Caracterizar cadeias de transmissão de MRSA entre PVHA e seus  
668 contatos domiciliares.

669 • Caracterizar o cassete cromossômico *SCCmec* presente em isolados  
670 de MRSA do estudo.

671 • Identificar agrupamentos espaciais de sujeitos da pesquisa (PVHA)  
672 residentes em Botucatu, em especial aqueles colonizados por *S.*  
673 *aureus* ou MRSA.

674

## 675 4. ARTIGO

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683 *Conforme normas do Programa de Pós-Graduação em Doenças Tropicais da*

684 *FMB-UNESP, os resultados serão apresentados em artigo.*

685 *O presente artigo foi escrito segundo as normas do periódico PLoS ONE.*

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Title Page

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693 **Title: Carriage of Methicillin-resistant *Staphylococcus aureus* among people**  
694 **living with HIV-AIDS in inner São Paulo State, Brazil: molecular and spatial**  
695 **epidemiology.**

696

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725

726 **Abstract**

727

728 Methicillin-resistant *Staphylococcus aureus* (MRSA) is increasingly recognized as  
729 a threat for people living with HIV/AIDS (PLWHA). However, the magnitude of  
730 asymptomatic MRSA colonization in that group varies among different countries  
731 and geographic regions. We conducted a study that aimed at identifying the  
732 prevalence and risk factors for both overall *S. aureus* and MRSA colonization  
733 among PLWHA attending in small cities from inner São Paulo State, Brazil. MRSA  
734 isolates were characterized using Pulsed-Field Gel Electrophoresis (PFGE), and  
735 submitted to typing of the Staphylococcal Chromosome Cassete (SCC)*mec*.  
736 Spatial analysis was performed to search for geographical clusters and  
737 correlation with socioeconomic indicators. In a first point prevalence survey,  
738 nasal and oropharyngeal swabs of 368 people were collected. Sixty-seven  
739 subjects from the main city (Botucatu) were surveyed for colonization in two  
740 other occasions, and had swabs collected from household members. The  
741 prevalence rates for *S. aureus* and MRSA in the first survey were 25.8% and  
742 2.7%. The overall *S. aureus* colonization was negatively associated with the use of  
743 beta-lactams and of illicit drugs. On the other hand, MRSA colonized subjects  
744 were more likely to use crack and to have been admitted to a hospital during the  
745 past year. Repeated surveys found additional cases of MRSA colonization, but all  
746 subjects were positive in only one occasion. Four PFGE clusters were  
747 characterized, grouping subjects in household, city and region level. Of 19 total  
748 MRSA isolates, only one did not harbor SCC*mec* type IV. Spatial analysis detected  
749 hot spots of *S. aureus* colonized subjects from Botucatu, but that finding could not  
750 be linked to socio-economic indicators. In conclusion, we found small but  
751 relevant prevalence of MRSA among PLWHA. Community and healthcare-  
752 associated risk factors were identified, so that predominant routes of  
753 transmission could not be determined on epidemiological grounds.

754

755

## 756 **Introduction**

757

758 The impact of colonization and infection with Methicillin-resistant  
759 *Staphylococcus aureus* (MRSA) among people living with HIV/AIDS (PLWHA) has  
760 been extensively studied in the late 1990s and early 2000s [1], [2]. However, the  
761 changing epidemiology of both HIV and MRSA in the past decades produced a  
762 shift in major concerns. Early studies focused on the risks of acquiring  
763 multidrug-resistant organisms during hospital admission [3], [4]. But since then  
764 the advent of highly active antiretroviral therapy (HAART) made hospital  
765 admissions less common for PLWHA in many countries [5]. On the other hand,  
766 the emergence of community-associated (CA)-MRSA – often much virulent –  
767 posed a new threat for that group [6].

768 In Brazil, the governmental AIDS program provides universal access to diagnosis,  
769 therapy and routine laboratory tests (including CD4 lymphocytes count,  
770 measures of viral load and genotyping of viral resistance) [7]. This approach  
771 reduced mortality and slowed the growth of the epidemics [8], [9]. On the other  
772 hand, it failed to interrupt a relevant epidemiological trend, the interiorization.  
773 Ever since the late 1990s, the proportion of PLWHA who live in small cities -  
774 often far from great urban centers – is continuously increasing [10]. In response  
775 to this picture, there was a major increase in the number of public clinics  
776 providing care to PLWHA. That number rose from 33 to 540 in 2002 and 663 in  
777 2007[11], [12].

778 On a separate – but related – topic, the global emergence of CA-MRSA still  
779 puzzles experts and public health authorities [13]. There are reports of relevant  
780 incidence of CA-MRSA infection (or prevalence of asymptomatic colonization)

781 involving populations as diverse as USA residents [14], [15], Maltese people  
782 [16], Australian indigenous communities [17] and Pygmies in Gabon [18]. In this  
783 setting, some questions arise, concerning the burden of colonization, patterns of  
784 transmission, the risk of invasive infection and the impact on vulnerable  
785 populations – including PLWHA.

786 Recent studies emphasize the intersection of HIV and CA-MRSA pandemics [19],  
787 [20], [21] Prevalence of colonization in this group is variable – with studies  
788 reporting rates ranging from similar to those reported for the general population  
789 [22] up to more than 16% [23]

790 In previous studies, we documented CA-MRSA infection and colonization in small  
791 cities from inner São Paulo State, Brazil [24], [25]. This pattern of  
792 “interiorization” has similarities with that described for AIDS, so that both  
793 epidemics intermingle in a new setting.

794 Our study was designed to address this intersection. We aimed to identify the  
795 prevalence of overall *Staphylococcus aureus* and MRSA colonization among  
796 PLWHA attending a reference outpatient clinic in inner São Paulo State, Brazil.  
797 We were particularly interested in identifying predictors for colonization, as well  
798 as clonal pattern of isolates and the spatial distribution of urban cases.

799

## 800 **Materials and methods**

801

### 802 **Ethical issues**

803

804 This study was conducted according to the principles expressed in the  
805 Declaration of Helsinki. It was approved by the reference Committee for Ethics in

806 Research (“Comitê de Ética em Pesquisa” from “Faculdade de Medicina de  
807 Botucatu”. City of Botucatu, São Paulo State, Brazil). A written informed consent  
808 was obtained from all study subjects or their legal guardians.

809

### 810 **Study setting and design**

811

812 The study was conducted in the “Specialized Care Center for Infectious Diseases”  
813 (SCCID) from Faculdade de Medicina de Botucatu (Botucatu Medical Faculty). It  
814 is located in Botucatu city (130,000 inhabitants; 22° 53' 09" S, 48° 26' 42" W),  
815 and is the single referral center for surrounding municipalities – and area  
816 comprising 500,000 people. Presently, the SCCID cares for over 500 PLWHA.

817 The study had a cross-sectional design, with serial prevalence surveys. We  
818 included all PLWHA aged 15 years or more who were attending regularly the  
819 SCCID and agreed to participate the study. There were no specific exclusion  
820 criteria. However, due to ethical legislation constraints, we could not include  
821 prisoners in our study.

822

### 823 **Point prevalence survey**

824

825 In the first phase of the study, a point prevalence survey was carried out,  
826 including subjects cared for in the SCCID. All those subjects had nasal and  
827 oropharyngeal (throat) swabs collected, on the day they attended the clinic to  
828 perform routine laboratory tests (CD4+ lymphocyte counts and measure of viral  
829 load).

830

831

832 **Serial surveys**

833

834 All subjects who lived in the city of Botucatu were invited to participate the  
835 further phases of the study. Briefly, a home visit was scheduled for days after  
836 inclusion in the study. In this visit, we performed: (a) collection of second-time  
837 samples of nasal and oropharyngeal swab; (b) collection of swabs from the same  
838 sites in all household communicants who were not infected by HIV; and (c)  
839 georeferencing of households. The third survey was performed in the patients  
840 scheduled return for routine medical appointments (in average, 3 months after  
841 the first survey).

842

843 **Microbiology and molecular methods**

844

845 Specimens were transported in Stuart medium and cultured in Baird Parker  
846 agar. Species identification was performed through phenotypic and genotypic  
847 techniques, as previously described [25].

848 Susceptibility tests followed guidelines from the Clinical Laboratory Standards  
849 Institute (CLSI), using disks for oxacillin and cefoxitin [26]. However, our  
850 definition of methicillin-resistance for practical purposes was based on the  
851 detection of the *mecA* gene by Polymerase Chain Reactions (PCR), as described  
852 by Murakami et al [27]. The multiplex-PCR protocol described by Milheiriço et al  
853 [28] was used for the characterization of the staphylococcal cassette  
854 chromosome *mec* (SCC*mec*).

855 Molecular strain typing of MRSA isolates was performed with Pulsed-Field Gel  
856 Electrophoresis (PFGE). We applied a protocol of DNA digestion with the enzyme  
857 *smal*, modified from McDoughal et al. [29]. The analysis of similarity was  
858 performed using the Dice coefficient. Clusters were defined on the basis of  
859 similarity values over 80%. Dendrograms were drawn based on Unweighted Pair  
860 Group Method Using Arithmetic Averages (UPGMA) in the BioNumerics 6.1  
861 software (Applied Maths, Belgium). International MRSA clones and isolates from  
862 a general population-based survey from the city of Botucatu [25] were included  
863 as controls in the dendrogram.

864

#### 865 **Epidemiological analysis**

866

867 Data were collected by application of a questionnaire in the moment of inclusion  
868 to the study and complemented with the review of medical charts and laboratory  
869 files. The issues assessed included: (a) demographics and socio-economic data;  
870 (b) behavioral factors (e.g., sexual orientation, practice of sports, smoking,  
871 alcoholism, use of illicit drugs); (c) comorbidities and HIV related disorders (e.g.,  
872 opportunistic infections); (d) time since HIV diagnosis, CD4 lymphocyte counts,  
873 viral load, use of antiretrovirals; (e) recent hospital admission, surgical  
874 procedures; (f) recent bacterial infections and use of antimicrobials.

875 Data were analyzed in EPI INFO 7 (Centers for Disease Control and Prevention,  
876 Atlanta, GA, USA) and SPSS 20.0 (IBM, Armonk, NY, USA). Descriptive statistical  
877 methods were applied for overall data. For results of the first survey (including  
878 subjects from all cities in the region), we performed analysis of factors predictive  
879 both for overall *S. aureus* and MRSA colonization.

880 The first analytical step involved bivariate analysis. Dichotomous variables were  
881 analyzed using the Chi-square or Fisher Exact test. For continuous variables, we  
882 used the Mann-Whitney U test. Multivariable analysis was performed using  
883 logistic regression methods. A forward selection process was applied to include  
884 variables in the models [30]. The thresholds for inclusion and removal of  
885 variables in the models were  $P < 0.05$  and  $P > 0.1$ , respectively. The Hosmer &  
886 Lemeshow test was applied to analyze goodness-of-fit in the multivariable  
887 models [31]. Whenever colinearity of two or more variables was detected, one of  
888 them was selected on the basis of the goodness-of-fit of the resulting model.

889

### 890 **Spatial epidemiology**

891

892 The household georeferencing was performed for subjects living in urban area  
893 of the city of Botucatu, using a high precision handheld Global Positioning System  
894 (GPS) device, Montana 650 (Garmin, Olathe, KS, USA). Data were subsequently  
895 transferred to a Geographic Information System (GIS) in the software ArcGIS 10  
896 (ESRI, Redlands, CA, USA) and superimposed to the map of Botucatu  
897 municipality, provided by the city health department.

898 The steps of database modeling and map plotting were performed in the  
899 Department of Veterinary Hygiene from the “Faculdade de Medicina Veterinária  
900 e Zootecnia”, “Universidade Estadual Paulista” – Campus of Botucatu.

901 The initial descriptive phase involved plotting subjects (overall PLWHA, *S.*  
902 *aureus* positive) and applying the Kernel density estimation for each group [32].

903 Moran’s I was calculated to estimate the correlation of subjects coordinates with  
904 georeferenced information of families’ income and average people living in

905 households [32]. All the maps were generated in the coordinate system SIRGAS  
906 2000 UTM Zone 22, with the Transverse Mercator projection and datum  
907 Planimetric SIRGAS 2000.

908

## 909 **Results**

910

### 911 *Results of the first survey*

912

913 A total of 368 subjects were included – 112 of whom lived in the city of Botucatu.  
914 The proportion of patients included (among all those cared for in SCCID) was  
915 74.5% (80.5% for those living in Botucatu).

916 The total prevalence of *S. aureus* colonization in the first (whole sample) survey  
917 was 25.8% (95% Confidence interval [CI], 21.5%-30.7%). Rates of nasal and  
918 oropharyngeal carriage were 20.9% and 3.5%, respectively. Ten subjects were  
919 colonized with MRSA (8 in the nares, 2 in the throat) – a prevalence rate of 2.7%  
920 (95%CI, 1.4%-5.1%).

921 **Tables 1** and **2** present results of models for predictors of carriage of *S. aureus*  
922 and MRSA, respectively. Briefly, overall colonization with *S. aureus* was  
923 negatively associated with the recent use of beta-lactams (Odds Ratio[OR], 0.19;  
924 95%CI, 0.30-0.98,  $P=0.04$ ) and - surprisingly - with current use of illicit drugs  
925 (OR, 0.19; 95%CI, 0.06-0.62;  $P=0.006$ ). In the analysis of MRSA we adopted two  
926 strategies. Given the extensive colinearity and the small number of MRSA  
927 subjects, we avoided including both variables in the same model. Therefore, in  
928 the model not including “hospital admission”, neurocryptococcosis (OR, 13.37;  
929 95%CI, 2.20-81.21;  $P=0.005$ ) and a history of use of crack (OR, 8.26; 95%CI, 1.88-

930 37.52,  $P=0.006$ ). In the alternative model, excluding neurocryptococcosis, recent  
931 hospital admission was the single predictor identified (OR, 4.04; 95%CI, 1.14-  
932 14.34;  $P=0.04$ ).

933

934 *Serial surveys and household contactants*

935

936 Only 67 subjects (59.8% of those living in Botucatu) could be followed in both  
937 second and third surveys. The proportions of subjects colonized with overall *S.*  
938 *aureus* in one, two or three surveys were 20.9%, 13.4% and 7.5% - and 41.8%  
939 had at least one positive sample. A total of 7 subjects (10.4%) were positive for  
940 MRSA in a single sample, and none among them had this agent recovered more  
941 than once.

942 At the time of the second survey, we tested 76 household contactants of research  
943 subjects for nasal or oropharyngeal colonization. The prevalence of *S. aureus* in  
944 this group was 30.6% (23.6% for nasal colonization, 16.7% for oropharyngeal  
945 colonization). We found MRSA in three people (3,9%), of whom 2 were colonized  
946 in the nares and 2 in the throat. We found association between colonization in  
947 the contactant and in the index subject for both overall *S. aureus* (OR, 2.95;  
948 95%CI, 1.03-8.52;  $P=0.04$ ) and MRSA (OR, 17.71; 95%CI, 1.42-221.16;  $P=0.03$ ).

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951

**Table 1.** Predictors of colonization with *Staphylococcus aureus* among people living with HIV/AIDS in inner São Paulo State, Brazil.

Predictors	<i>S. aureus</i> (95)	Other (273)	OR (95%CI)	<i>P</i>	OR (95%CI)	<i>P</i>
<i>Demographic and socio-economic data</i>						
Female gender	39 (4.1)	107 (39.9)	1.08 (0.62-1.74)	0.75		
Age, median years (quartiles)	43 (33-49)	43 (33-51)	...	0.89		
White	66 (69.5)	206 (75.5)	0.74 (0.44-1.24)	0.25		
Living in Botucatu	28 (29.5)	84 (30.8)	0.94 (0.56-1.57)	0.81		
Number of people in the household, median (range)	3 (0-9)	3 (1-10)	...	0.18		
Monthly income in US\$, median (quartiles)	375 (250-550)	375 (250-625)	...	0.49		
Rural worker	9 (9.5)	15 (5.5)	1.80 (0.76-4.26)	0.18		
Healthcare worker	6 (6.3)	11 (4.0)	1.61 (0.58-4.47)	0.40		
<i>Behavior data</i>						
Men that has sex with men	18 (18.9)	64 (23.5)	0.76 (0.42-1.36)	0.36		
Tattoo	23 (24.2)	77 (28.2)	0.81 (0.48-1.39)	0.45		
Piercing	4 (4.2)	19 (7.0)	0.59 (0.19-1.77)	0.34		
Current use of illicit drugs	<b>3 (3.2)</b>	<b>39 (14.3)</b>	<b>0.20 (0.06-0.65)</b>	<b>0.003</b>	<b>0.19 (0.06-0.62)</b>	<b>0.006</b>
Past or present use of marijuana	<b>10 (10.5)</b>	<b>55 (21.6)</b>	<b>0.43 (0.21-0.87)</b>	<b>0.02</b>		
Past or present use of crack	11 (11.6)	28 (10.3)	1.14 (0.55-2.40)	0.72		
Past or present use of inhalatory cocaine	13 (13.7)	41 (15.0)	0.90 (0.46-1.70)	0.75		
Past or present use of intravenous cocaine	2 (2.1)	8 (2.9)	0.71 (0.15-3.42)	1.00		
Smoking	33 (34.7)	103 (37.7)	0.88 (0.51-1.43)	0.60		
Alcoholism*	6 (6.3)	22 (8.1)	0.77 (0.30-1.96)	0.58		

Practice of sports	27 (28.4)	91 (33.3)	0.79 (0.48-1.33)	0.38
Collective sports	5 (5.3)	14 (5.1)	1.13 (0.36-2.93)	1.00
<i>Comorbidities</i>				
Neoplasia (solid tumor oh hematological)	3 (3.2)	17 (6.2)	0.49 (0.14-1.71)	0.31
Heart disease	4 (4.2)	9 (3.3)	1.30 (0.39-4.29)	0.75
Chronic lung disease	2 (2.1)	4 (1.5)	1.45 (0.26-8.03)	0.65
Renal disease	5 (5.3)	5 (1.8)	2.98 (0.84-10.52)	0.13
Liver disease (chronic hepatitis or other)	13 (13.7)	31 (11.4)	1.24 (0.62-2.48)	0.55
Diabetes mellitus	5 (5.3)	11 (4.0)	1.32 (0.45-3.91)	0.57
Disease of the CNS (except infections)	0 (0.0)	5 (1.8)	0.00 (undefined)	0.33
Systemic arterial hypertension	18 (18.9)	51 (18.7)	1.02 (0.56-1.85)	1.00
Dislipidemia	34 (35.8)	87 (31.9)	1.19 (0.73-1.95)	0.48
<i>HIV/AIDS related data</i>				
Years since diagnosis, median (quartiles)	6 (2-12)	7 (3-14)	...	0.16
Years of clinical follow-up, median (quartilhes)	4 (1-10)	5 (1-10)	...	0.38
CD4 lymphocyte count, median (quartiles)	462 (274-709)	442 (265-676)	...	0.87
Lowest past CD4 count, median (quartiles)	247 (99-373)	193 (69-337)	...	0.20
Viral load bellow detection limit	62 (66.0)	180 (66.2)	0.96 (0.60-1.63)	0.97
Peak previous viral load (logarythm), media (quartiles)	4.48 (2.84-5.26)	4.47 (3.77-5.22)	...	0.57
Current use of HAART	86 (90.5)	257 (94.1)	0.60 (0.25-1.40)	0.23
Opportunistic disease**	43 (45.3)	107 (39.2)	1.28 (0.80-2.06)	0.30
Pnemocystis pneumonia**	14 (14.7)	39 (14.3)	1.04 (0.54-2.01)	0.91
Neurotoxoplasmosis**	6 (6.3)	35 (12.8)	0.46 (0.19-1.13)	0.08

Neurocryptococosis**	4 (4.2)	8 (2.9)	1.46 (0.43-4.95)	0.52		
Tuberculosis**	13 (13.7)	23 (8.4)	1.72 (0.54-3.56)	0.14		
Cryptosporidiasis**	4 (4.2)	9 (3.3)	1.29 (0.39-4.29)	0.75		
Zoster**	7 (7.4)	8 (2.9)	1.46 (0.43-4.95)	0.52		
<i>Exposure to health care in the past year</i>						
Hospital admission	17 (17.9)	99 (21.6)	0.79 (0.43-1.44)	0.47		
Surgical procedure	10 (10.5)	32 (11.7)	0.89 (0.42-1.88)	0.75		
Presumed bacterial pneumonia	10 (10.5)	29 (8.8)	1.22 (0.56-2.67)	0.62		
Skin infection	7 (7.4)	27 (9.9)	0.73 (0.31-1.72)	0.47		
Use of antimicrobial (except antivirals)	44 (46.3)	141 (51.6)	0.81 (0.51-1.29)	0.37		
Number of antimicrobials used, median (range)	0 (0-5)	1 (0-6)	...	0.28		
Use of beta-lactams	17 (17.9)	75 (27.5)	0.58 (0.32-1.04)	0.06	<b>0.55 (0.30-0.99)</b>	<b>0.04</b>
Use of quinolones	5 (5.3)	21 (7.7)	0.66 (0.24-1.82)	0.43		
Use of macrolides	4 (4.2)	12 (4.4)	0.90 (0.30-3.04)	1.00		
Use of other antimicrobials	32 (33.7)	86 (31.5)	1.10 (0.67-1.81)	0.70		
Current use of TMP/SMX	15 (15.8)	56 (20.5)	0.73 (0.39-1.58)	0.32		

952 Note. All data in number (%), except otherwise specified. Statistically significant results presented in boldface.

953 \* Define as daily ingestion of alcoholic beverages. \*\* Recorded in medical charts, regardless of the time of occurrence.

954 OR, Odds Ratio. CI, Confidence interval. CNS, Central Nervous System. HAART, Highly active antiretroviral therapy. TMP/SMX,

955 Trimethoprim-Sulfamethoxazole.

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**Table 2.** Predictors of colonization with Methicillin-resistant *Staphylococcus aureus* (MRSA) among people living with HIV/AIDS in inner São Paulo State, Brazil.

Predictors	MRSA (10)	Other (358)	OR (95%CI)	P	OR (95%CI)	P
<i>Demographic and socio-economic data</i>						
Female gender	4	142 (39.7)	1.01 (0.28-3.66)	1.00		
Age, median years (quartiles)	41.5 (34-51)	43 (35-51)	...	0.88		
White	5	267 (74.6)	0.34 (0.10-1.20)	0.14		
Living in Botucatu	3	109 (30.4)	0.98 (0.25-3.86)	1.00		
Number of people in the household, median (range)	3 (1-10)	3 (0-9)	...	0.49		
Monthly income in US\$, median (quartiles)	375 (195-375)	375 (250-625)	...	0.13		
Rural worker	1	23 (6.4)	1.62 (0.20-13.33)	0.50		
Healthcare worker	0	17 (4.7)	0.00 (undefined)	1.00		
<i>Behavior data</i>						
Men that has sex with men	1	81 (22.6)	0.38 (0.05-3.04)	0.47		
Tattoo	0	100 (27.9)	0.00 (undefined)	0.07		
Piercing	0	23 (6.4)	0.00 (undefined)	1.00		
Current use of illicit drugs	0	42 (11.7)	0.00 (undefined)	0.61		
Past or present use of marijuana	1	68 (19.0)	0.47 (0.06-3.80)	0.69		
Past or present use of crack	3	36 (10.1)	3.83 (0.95-15.48)	0.07	<b>8.26 (1.88-37.52)<sup>a</sup></b>	<b>0.006</b>
Past or present use of inhalatory cocaine	1	53 (14.8)	0.64 (0.08-5.15)	1.00		
Past or present use of intravenous cocaine	1	9 (2.5)	4.31 (0.49-37.71)	0.24		

Smoking	6	130 (36.3)	2.63 (0.73-9.49)	0.18
Alcoholism*	1	27 (7.5)	1.36 (0.17-11.16)	0.55
Practice of sports	1	117 (32.7)	0.23 (0.30-1.82)	0.18
Collective sports	0	19 (5.3)	0.00 (undefined)	1.00
<i>Comorbidities</i>				
Neoplasia (solid tumor oh hematological)	0	20 (5.6)	0.00 (undefined)	1.00
Heart disease	1	12 (3.4)	3.20 (0.37-27.35)	0.31
Chronic lung disease	0	6 (1.7)	0.00 (undefined)	1.00
Renal disease	1	9 (2.5)	4.31 (0.49-37.71)	0.24
Liver disease (chronic hepatitis or other)	1	43 (12.0)	0.81 (0.10-6.58)	1.00
Diabetes mellitus	1	15 (4.2)	2.54 (0.30-21.37)	0.36
Disease of the CNS (except infections)	0	5 (1.4)	0.00 (undefined)	1.00
Systemic arterial hypertension	1	68 (19.0)	0.47 (0.06-3.80)	0.70
Dislipidemia	2	119 (33.2)	0.50 (0.11-2.40)	0.51
<i>HIV/AIDS related data</i>				
Years since diagnosis, median (quartiles)	7 (1-13)	7 (3-14)	...	0.67
Years of clinical follow-up, median (quartiles)	3 (1-7)	6 (1-11)	...	0.27
CD4 lymphocyte count, median (quartiles)	260 (195-618)	498 (308-612)	...	0.11
Lowest past CD4 count, median (quartiles)	170 (27-302)	220 (69-352)	...	0.36
Viral load bellow detection limit	6	236 (65.9)	0.78 (0.22-2.80)	0.74
Peak previous viral load (logarythm), media (quartiles)	3.43 (1-4.63)	4.18 (2.70-4.91)	...	0.19
Current use of HAART	8	335 (93.6)	0.28 (0.06-1.37)	0.14
Opportunistic disease**	5	145 (40.5)	1.47 (0.42-5.17)	0.54

Pneumocystis pneumonia**	1	52 (14.5)	0.65 (0.81-5.27)	1.00		
Neurotoxoplasmosis**	0	41 (11.5)	0.00 (undefined)	0.61		
Neurocryptococosis**	<b>2</b>	<b>10 (2.8)</b>	<b>8.70 (1.63-46.32)</b>	<b>0.04</b>	<b>13.37 (2.20-81.21)<sup>a</sup></b>	<b>0.005</b>
Tuberculosis**	2	34 (9.5)	2.38 (0.49-11.67)	0.26		
Cryptosporidiasis**	0	12 (3.6)	0.00 (undefined)	1.00		
Zoster**	1	17 (4.7)	2.23 (0.27-18.62)	0.40		
<i>Exposure to health care in the past year</i>						
Hospital admission	<b>5</b>	<b>71 (19.8)</b>	<b>4.04 (1.14-14.34)</b>	<b>0.04</b>	<b>4.04 (1.14-14.34)<sup>b</sup></b>	<b>0.04</b>
Surgical procedure	0	42 (11.7)	0.00 (undefined)	0.61		
Presumed bacterial pneumonia	0	34 (9.5)	0.00 (undefined)	0.61		
Skin infection	2	32 (8.9)	2.55 (0.52-12.51)	0.23		
Use of antimicrobial (except antivirals)	5	182 (50.8)	0.981 (0.28-3.40)	1.00		
Number of antimicrobials used, median (range)	0.5 (0-3)	1 (0-6)	...	0.86		
Use of beta-lactams	3	89 (24.9)	1.30 (0.33-5.12)	0.72		
Use of quinolones	0	26 (7.3)	0.00 (undefined)	1.00		
Use of macrolides	0	16 (4.5)	0.00 (undefined)	1.00		
Use of other antimicrobials	3	115 (32.1)	0.91 (0.23-3.57)	1.00		
Current use of SMT/TMP	3	68 (19.0)	1.83 (0.46-7.25)	0.41		

961 Note. All data in number (%), except in the MRSA column (absolute data) or if otherwise specified. Statistically significant results  
962 presented in boldface.

963 \* Define as daily ingestion of alcoholic beverages. \*\* Recorded in medical charts, regardless of the time of occurrence.

964 <sup>a</sup> Final model not including the variable "hospital admission". <sup>b</sup> Final model not including the variable "neurocryptococosis".

965 OR, Odds Ratio. CI, Confidence interval. CNS, Central Nervous System. HAART, Highly active antiretroviral therapy. TMP/SMX,  
966 Trimethoprim-Sulfamethoxazole.

967

968 **Molecular epidemiology**

969

970 Regardless of the history of exposure to healthcare, all but one of the 19 MRSA  
971 isolates from subjects and contactants harbored *SCCmec* type IV, and the  
972 remaining strain could not be typed with the Milheiriço multiplex PCR technique.

973 PFGE typing three clusters (A, B, C) grouping isolates from more than one study  
974 subject and an additional cluster (D) grouping an isolate from this sample with  
975 one from the population-based survey conducted in 2011 in Botucatu [25]. The  
976 dendrogram is shown in **Figure 1**, and **Table 3** describes the clusters identified.  
977 Interestingly, the only isolate for which we could not assign a *SCCmec* type (345)  
978 did not belong to any cluster and was the least similar to other isolates from our  
979 study.

980

981 *Figure in additional file*

982

983 **Figure 1.** Dendrogram including 19 MRSA isolates from this study, alongside  
984 with six MRSA isolates from the Botucatu population-based survey (year 2011)  
985 and several international controls.

986

987 Note. Arrows and letters in red represent isolates from subjects living in  
988 Botucatu. \* Isolates from the population-based survey. All isolates from the  
989 present study harbor *SCCmec* type IV, except number 345 (NT=not typable).

990

991

992 **Table 3.** Summary of clusters grouping study subjects

Cluster	Total PLWHA	PLWHA from Botucatu	Household contacts	2011 survey
A	3	1	2	2
B	3	1	1	0
C	3	2	0	0
D	0	0	1	1

993

994

995 It is worth noting that subject number 254 has one contactant colonized with  
 996 MRSA from the same cluster (A). On the other hand, subject 139 had an isolate  
 997 not belonging to any cluster. Curiously, his contactant was co-colonized with two  
 998 MRSA strains that were not related to index case nor among themselves, and  
 999 belonged to clusters B and D.

1000

1001 **Spatial analysis**

1002

1003 We georeferenced the households of study subjects (PLWHA) who lived in the  
 1004 urban area of Botucatu. For the purpose of this analysis, we took in account the  
 1005 positivity for *S. aureus* or MRSA in any culture in the serial surveys.

1006 **Figure 2** presents the point distribution of subjects, highlighting those who were  
 1007 positive for overall *S. aureus* or MRSA. The Kernel density is shown in **Figure 3**.

1008

1009

1010 **Figure in additional file**

1011

1012 **Figure 2.** Study subject addresses plotted over a map of the urban area o  
1013 Botucatu, São Paulo State, Brazil.

1014

1015 (A) Subjects positive (red) for *S. aureus* in at least one of the surveys, plotted  
1016 among subjects negative in all surveys (green). (B) Subjects positive (yellow) for  
1017 *S. aureus* in at least one of the surveys, plotted among subjects negative in all  
1018 surveys (blue).

1019

1020 **Figure in additional file**

1021

1022 **Figure 3.** Kernel density maps for special distribution of overall subjects (A) and  
1023 those colonized with (B) *Staphylococcus aureus* as a whole or (C) MRSA.

1024

1025 **Figure 4** presents subjects' addresses plotted over a map showing average  
1026 values of family income and number of people living in dwellings. Results from  
1027 regression models of spatial correlation for average number of people per  
1028 dwellings were not significant for the whole sample ( $P=0.64$ ) or for carriers of *S.*  
1029 *aureus* ( $P=0.64$ ). Similarly, we did not find special correlation with monthly  
1030 income either for overall PLWHA ( $P=0.76$ ) or *S. aureus* ( $P=0.30$ ).

1031

1032 **Figure in additional file**

1033

1034 **Figure 4.** Study subjects plotted in maps with distribution of censitary  
1035 socioeconomical data.

1036

1037 (A) and (B) present maps of average people in dwellings, with georeference of  
1038 overall subjects (green circles) and of those colonized with *S. aureus* (red  
1039 triangles). (C) and (D) present similar plots (overall subjects and *S. aureus*  
1040 carriers) over a map of average family income.

1041

1042

#### 1043 **Discussion**

1044

1045 Our findings can be interpreted in many ways. First, we documented  
1046 colonization with MRSA among PLWHA from inner São Paulo State. The point  
1047 prevalence rate (2.4%) is not particularly high when compared to the  
1048 international literature – where prevalence of up to 16.8% are reported [23]. In  
1049 fact, our rate is lower than the pooled prevalence of 6.9% reported in a recent  
1050 meta-analysis [33]. On the other hand, a survey performed in the early 2000s  
1051 including HIV-positive outpatients from inner São Paulo did not find any subject  
1052 harboring MRSA [34]. Even though that study was conducted in another city, it  
1053 is reasonable to infer that prevalence of MRSA colonization may be growing. It is  
1054 also worth noting that, among our subjects who were studied in three surveys,  
1055 the cumulative prevalence (i.e., MRSA positive in any of the surveys) was higher  
1056 than 10%.

1057 The emergence of CA-MRSA was a turning point both in the epidemiology and in  
1058 the clinical relevance of staphylococcal infections [35]. However, authors have

1059 recently reported a blurring of the definitions of “community-associated” and  
1060 “healthcare-associated” infections [36]. This is especially the case for special  
1061 groups. PLWHA are a heterogeneous population that includes both seemingly  
1062 “healthy” persons (asymptomatic, most achieving viral control with proper  
1063 therapy) and others with poor compliance to therapy and a history of several  
1064 opportunistic infections. Often, this latter group presents variable amounts of  
1065 social vulnerability, including poverty, alcoholism and addiction to illicit drugs  
1066 [38]. In countries – such as Brazil – where the poorest people have access to  
1067 public health, this group is more often admitted to acute care hospitals.  
1068 Therefore the same population may be exposed to risk factors associated with  
1069 CA-MRSA (illicit drugs, poor hygiene practices) and HA-MRSA (frequent  
1070 admissions), making it difficult to ascertain the origin of isolates on  
1071 epidemiological grounds [37], [38].

1072 Our results are exemplary. Eighteen out of 19 isolates tested positive for *SCCmec*  
1073 type IV, usually found in CA-MRSA. However, recent hospital admission was  
1074 epidemiologically associated with MRSA carriage in one of the logistic regression  
1075 models. An alternative model associated MRSA with previous  
1076 neurocryptococcosis (an infection that invariably requires hospital admission)  
1077 and the use of crack. Neurocryptococcosis may be a proxy that suggests an  
1078 association of MRSA to admissions that took place more than a year before the  
1079 survey (and therefore did not meet the “recent admission” criteria). On the other  
1080 hand, the use of crack has become epidemic in Brazil, and is part of a common  
1081 milieu that combines poverty, violence and sexually transmitted diseases [39].

1082 It is worth noting that, despite the small number of subjects colonized with  
1083 MRSA in the first survey, the factors associated with this outcome were more

1084 meaningful than those associated with overall *S. aureus*. Indeed, subjects  
1085 colonized with *S. aureus* were less likely to have received beta-lactams  
1086 antimicrobials and less likely to use illicit drugs. This latter finding is puzzling,  
1087 but it may reflect changes in microbial ecology of nares and throat that favor  
1088 overgrowth of competing microorganisms. One should notice that the use of  
1089 intravenous drugs – a reported risk factor for MRSA – is rare in Brazil, a pattern  
1090 reflected in our sample. [40]

1091 There is now sufficient evidence for international spread of specific MRSA clones  
1092 [41]. However – and contrary to the case for HIV – the routes for this  
1093 dissemination are far less clear [42]. Therefore, studies that approach networks  
1094 of transmission – such as households and neighborhoods - are required [43],  
1095 [44]. Miller et al [45] carried out a survey selecting subjects in a household level,  
1096 and found that, in dwellings with more than one member colonized with *S.*  
1097 *aureus*, 50% carried that same strains. Sexual transmission has been  
1098 demonstrated [46], but it obviously does not explain all events of spread among  
1099 household members. We addressed this issue by performing a survey among  
1100 HIV-negative household contactants of study subjects. As presented above, a  
1101 positive index subject was associated with greater risk of colonization of  
1102 household members in analysis for both overall *S. aureus* and MRSA. However,  
1103 only one patient had a household contact colonized with the same strain as his.  
1104 We did not type methicillin-susceptible *S. aureus*, and therefore could not detect  
1105 possible transmission of those strains among family members.

1106 Interesting insights arise from comparison of this study with the population-  
1107 based survey of nasal *S. aureus* colonization, conducted in Botucatu in 2011. In  
1108 that study, we identified two instances of MRSA transmission among family

1109 members [25]. Also, two subjects living in the same street harbored similar  
1110 isolates. It is worth noting that 3 out of 6 isolates from that study grouped with  
1111 strains from the present investigation. This finding suggests the long-term  
1112 persistence of specific clones in the population. The fact that clusters grouped  
1113 isolates from people living in Botucatu and in other neighboring cities points out  
1114 either to regional spread or to cross-transmission during outpatients  
1115 appointments.

1116 The clinical significance of MRSA colonization among PLWHA is not completely  
1117 clear. While for some authors colonization is a major risk factor for invasive  
1118 infections [47], others believe this association does not apply to the dynamics of  
1119 CA-MRSA [48]. Our study was not designed to address those issues, and we  
1120 found no association between MRSA (or *S. aureus*) colonization and presumed  
1121 bacterial infections. But, interestingly, in all subjects submitted to serial  
1122 collections of swabs, MRSA was found in only one occasion. Further research is  
1123 necessary in order to clarify if MRSA carriage among PLWHA in Brazil is  
1124 generally transient. This issue – transient versus persistent *S. aureus*  
1125 colonization in PLWHA – was addressed previously, but in that study no subject  
1126 carried MRSA. [34] In that study, advanced HIV disease was associated with  
1127 persistent colonization.

1128 In order to assess both the distribution of PLWHA and of colonization, we  
1129 georeferenced dwellings of subjects who lived in Botucatu. This allowed us to  
1130 identify “hot spots” concentrating cases of HIV/Aids and colonized subjects. In a  
1131 first attempt of interpretation, we thought that peripheral distribution of  
1132 colonization could be related to socio-economic conditions. In Botucatu, as in  
1133 many other cities in Brazil, poorer people live in the periphery of urban areas.

1134 However, we failed to demonstrate special correlations with two important  
1135 measures of social vulnerability – the average number of people in dwellings and  
1136 the average family monthly income. Unfortunately, the number of MRSA-  
1137 positive subjects was too low to warrant a specific analysis.

1138 Our study has some limits, which regard the relatively small sample (especially  
1139 in serial surveys), not collecting swabs from other body sites (e.g., groin) and the  
1140 fact that typing of methicillin-susceptible strains was not performed. However, it  
1141 also has strengths, including an effort to address extensively the subjects  
1142 vulnerability and the combined use of classical, molecular and spatial  
1143 epidemiologic methods.

1144 In conclusion, we documented small but relevant prevalence of MRSA among  
1145 PLWHA from small cities in inner São Paulo State, Brazil. Despite the small  
1146 number of MRSA-colonized subjects, we found association of this carriage to  
1147 previous hospital admission and use of crack. Findings from PFGE typing point  
1148 out to spread in different levels – household, city, neighboring municipalities.

1149 While more research is needed to fully acknowledge the threat posed by MRSA to  
1150 PLWHA, it is clear that any policy directed at preventing and/or controlling that  
1151 agent must not be restricted to great urban centers.

1152

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1155

1156 **References**

1157

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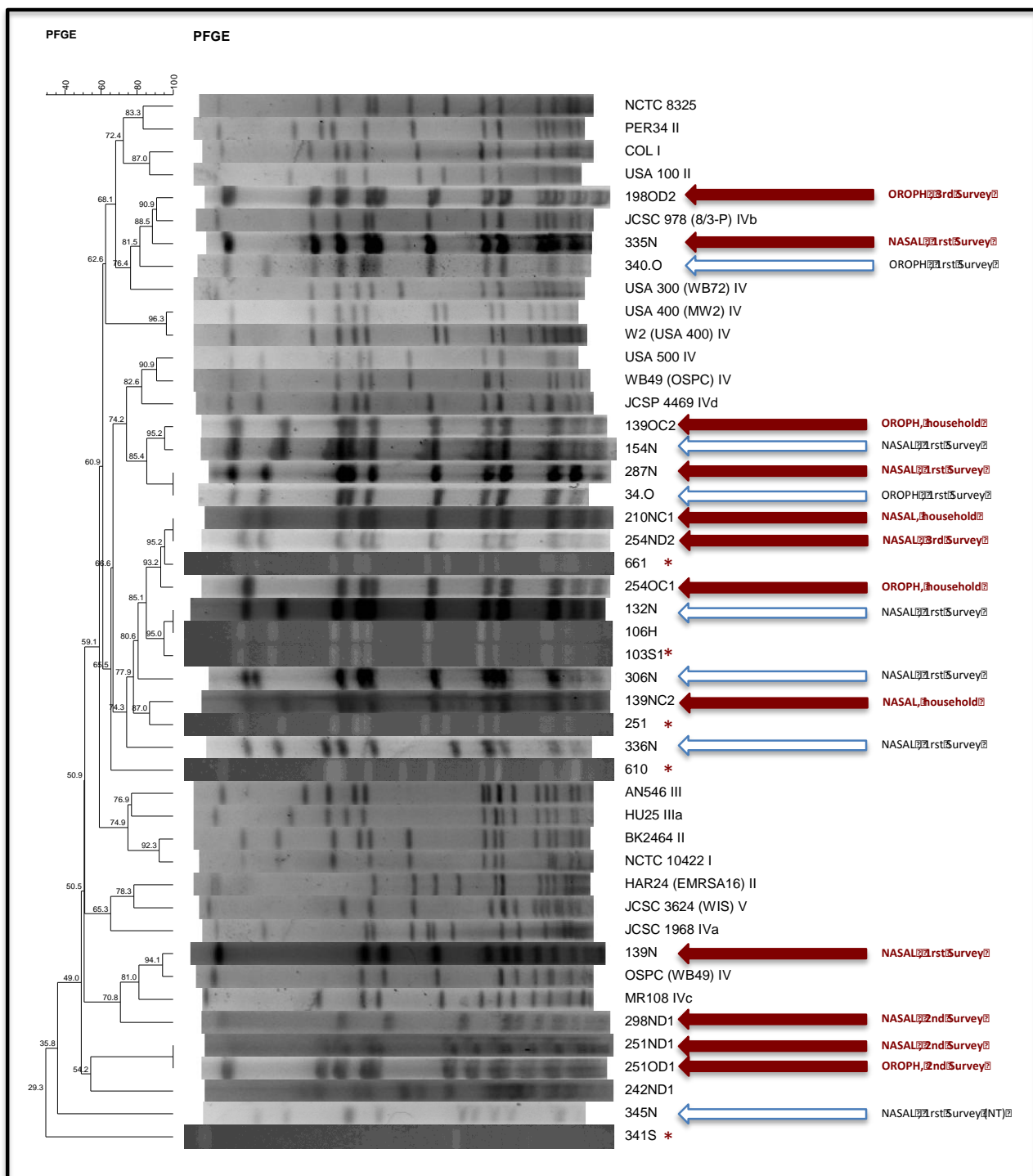
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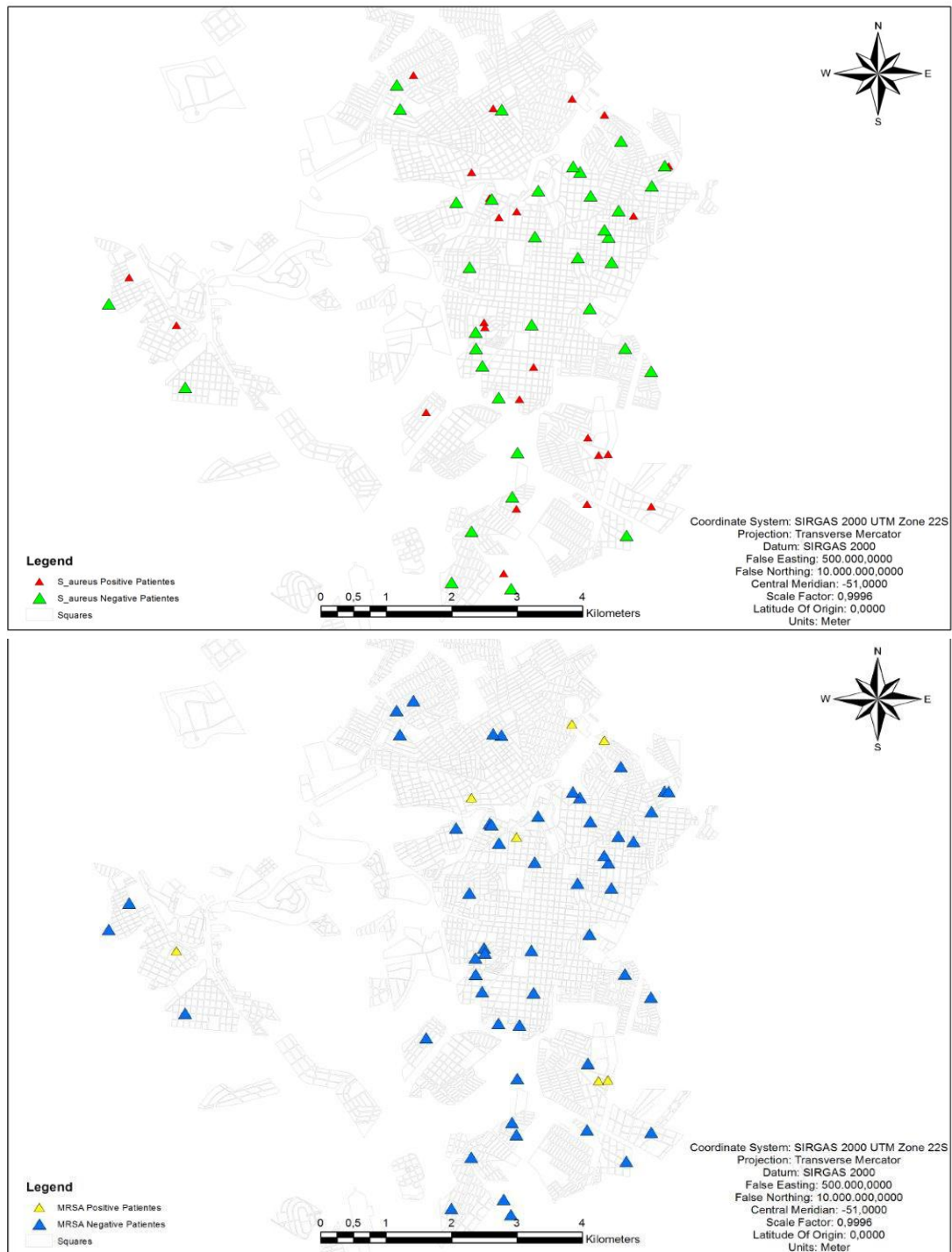
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- 1322



1323

1324 **Figure 1.** Dendrogram including 19 MRSA isolates from this study, alongside  
 1325 with six MRSA isolates from the Botucatu population-based survey (year 2011)  
 1326 and several international controls.  
 1327

1328 Note. Arrows and letters in red represent isolates from subjects living in  
 1329 Botucatu. \* Isolates from the population-based survey. All isolates from the  
 1330 present study harbor *SCCmec* type IV, except number 345 (NT=not typable).



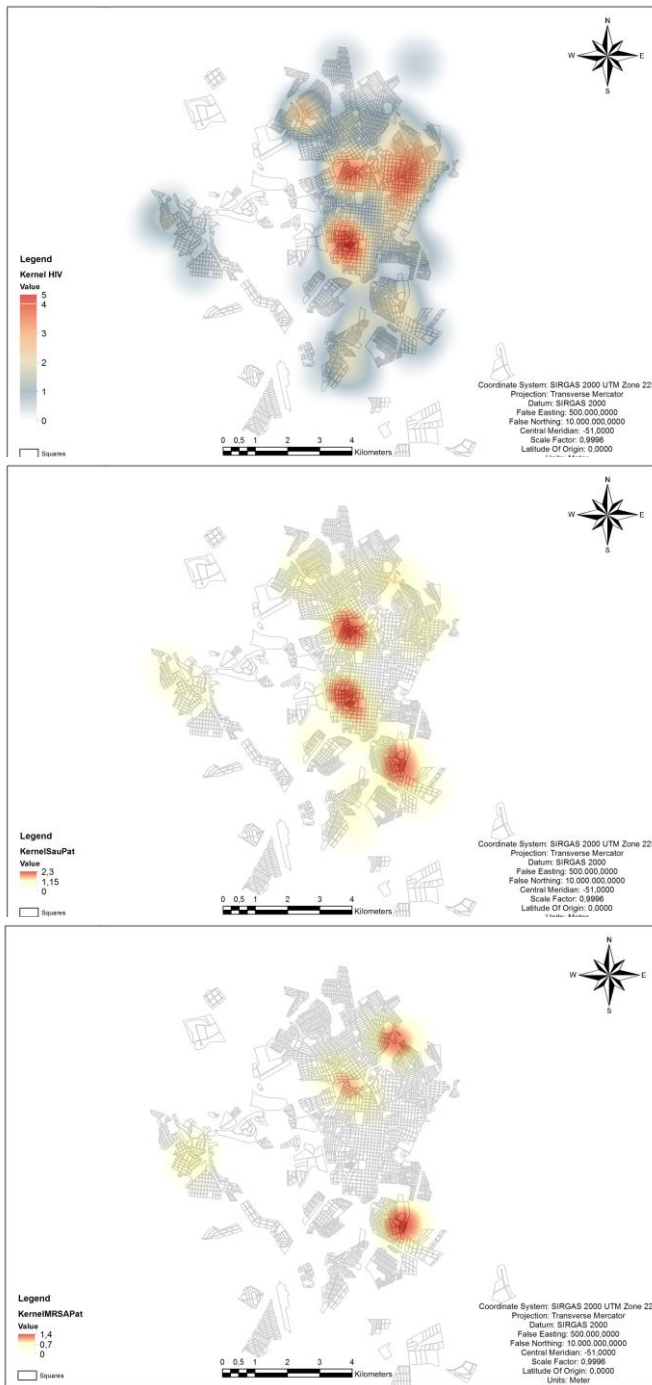
1332

1333 **Figure 2.** Study subject addresses plotted over a map of the urban area o  
 1334 Botucatu, São Paulo State, Brazil.

1335

1336 (A) Subjects positive (red) for *S. aureus* in at least one of the surveys, plotted  
 1337 among subjects negative in all surveys (green). (B) Subjects positive (yellow) for  
 1338 MRSA in at least one of the surveys, plotted among subjects negative in all  
 1339 surveys (blue).

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1342 **Figure 3.** Kernel density maps for special distribution of overall subjects (A) and

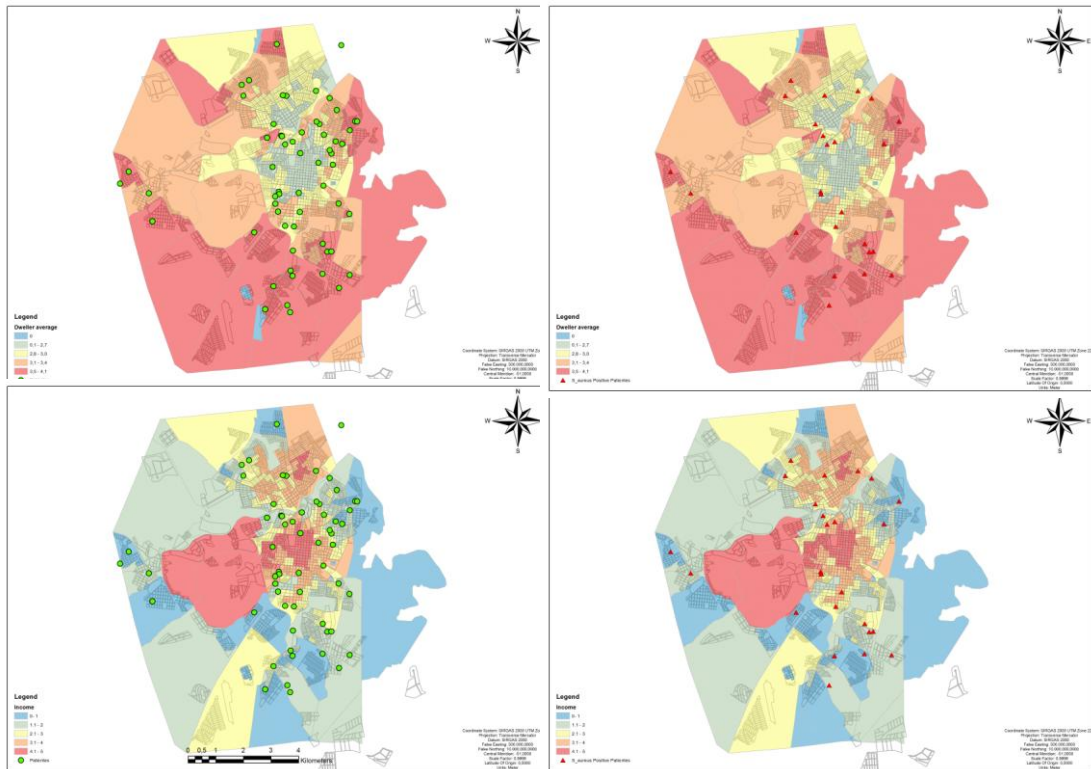
1343 those colonized with (B) *Staphylococcus aureus* as a whole or (C) MRSA.

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1349 **Figure 4.** Study subjects plotted in maps with distribution of censitary  
1350 socioeconomical data.

1351

1352 (A) and (B) present maps of average people in dwellings, with georeference of  
1353 overall subjects (green circles) and of those colonized with *S. aureus* (red  
1354 triangles). (C) and (D) present similar plots (overall subjects and *S. aureus*  
1355 carriers) over a map of average family income.

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## 1358 5. CONCLUSÃO

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1359

1360 • As taxas de prevalência de *S. aureus* e MRSA no primeiro levantamento  
1361 foram 25,8% e 2,7%. A colonização por *S. aureus* foi negativamente  
1362 associada com o uso de antibióticos beta-lactâmicos e drogas ilícitas. Por  
1363 outro lado, fatores de risco para MRSA incluíam uso de crack e internação  
1364 hospitalar recente.

1365 • Inquéritos repetidos identificaram novos casos de colonização por MRSA,  
1366 mas nenhum sujeito apresentou positividade em mais de uma ocasião.

1367 • Houve associação estatística entre a presença de *S. aureus* ou MRSA no  
1368 paciente índice e colonização pelo mesmo agente em pessoas do seu  
1369 domicílio.

1370 • Quatro *clusters* foram identificados na PFGE, agrupando sujeitos em  
1371 diferentes níveis – domicílio, cidade, região.

1372 • Dos 19 isolados caracterizados, apenas um não carregava o SCCmec tipo IV.

1373 • Análise espacial mostrou *hot spots* para sujeitos colonizados com *S.*  
1374 *aureus*, mas não conseguimos ligar esse padrão a indicadores sócio-  
1375 econômicos.

1376 • Em nosso estudo, identificamos baixa – mas relevante – prevalência de  
1377 MRSA em PVHA. Foram encontrados tanto fatores de risco  
1378 tradicionalmente associados a aquisição na comunidade quanto outros  
1379 ligados a exposição a hospitais, de modo que as rotas predominantes de  
1380 transmissão não puderam ser determinadas com base epidemiológica.

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