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Citation: Journal of Applied Physics **101**, 123111 (2007); doi: 10.1063/1.2749285 View online: http://dx.doi.org/10.1063/1.2749285 View Table of Contents: http://scitation.aip.org/content/aip/journal/jap/101/12?ver=pdfcov Published by the AIP Publishing



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Excited state dynamics of the Ho³⁺ ions in holmium singly doped and holmium, praseodymium-codoped fluoride glasses

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(Received 29 September 2006; accepted 11 May 2007; published online 27 June 2007)

The deactivation of the two lowest excited states of Ho³⁺ was investigated in Ho³⁺ singly doped and Ho³⁺, Pr³⁺-codoped fluoride (ZBLAN) glasses. We establish that 0.1–0.3 mol % Pr³⁺ can efficiently deactivate the first excited (⁵*I*₇) state of Ho³⁺ while causing a small reduction of ~40% of the initial population of the second excited (⁵*I*₆) state. The net effect introduced by the Pr³⁺ ion deactivation of the Ho³⁺ → Pr³⁺ energy transfer processes were determined using a least squares fit to the measured luminescence decay. The energy transfer upconversion and cross relaxation parameters for 1948, 1151, and 532 nm excitations of singly Ho³⁺-doped ZBLAN were determined. Using the energy transfer rate parameters we determine from the measured luminescence, a rate equation model for 650 nm excitation of Ho³⁺-doped and Ho³⁺, Pr³⁺-doped ZBLAN glasses was developed. The rate equations were solved numerically and the population inversion between the ⁵*I*₆ and the ⁵*I*₇ excited states of Ho³⁺ was calculated to examine the beneficial effects on the gain associated with Pr³⁺ codoping. © 2007 American Institute of Physics. [DOI: 10.1063/1.2749285]

I. INTRODUCTION

There has been a significant amount of interest for many decades in the use of sensitizing ions to transfer excitation energy from a pump source to an activator ion. There has been a similar amount of interest in the use of deactivator ions that receive excitation energy from the lower energy level of the luminescent transition of an activator ion; this interest has led to the improvement in several applications particularly in the area of material development for lasers and optical amplifiers. The luminescent ${}^{3}F_{4}$ level of Tm³⁺, for example, can be efficiently depopulated by Ho³⁺ and Tb³⁺ ions in fluorozirconate (ZBLAN), tellurite, Ge–Ga–As– S-CsBr and GeO₂-Li₂O-K₂O-ZnO codoped glasses, making these materials suitable for use as optical amplifiers operating in 1.4–1.5 μ m region of the spectrum.^{1–5} For applications requiring laser operation at 2.9 μ m, Ho³⁺-doped LiYF₄ (YLF) crystal⁶ operating on the ${}^{5}I_{6} \rightarrow {}^{5}I_{7}$ transition has the potential for pulsed laser operation despite the longer ~16 ms lifetime of the lower laser $({}^{5}I_{7})$ level when compared to the lifetime of the upper laser $({}^{5}I_{6})$ level which is \sim 3 ms.⁷ Many applications, however, require continuous wave (cw) operation in this spectral range. To achieve this end, a deactivator ion must be introduced in order to quench the excited state population in the ${}^{5}I_{7}$ level. A ${}^{5}I_{7}$ level lifetime reduction to 2.2 ms in the presence of 1.2 mol % Nd³⁺

in codoped YLF crystal⁷ has shown some promise, but the population of the upper ${}^{5}I_{6}$ laser level was reduced and the luminescence efficiency of the 2.9 μ m emission decreased by approximately 50%.

Recently,⁸ it has been shown that effective deactivation of the ${}^{5}I_{7}$ level of Ho³⁺ using Pr³⁺ ions can lead to cw output from a ZBLAN-based fiber laser. With better choice of both the Ho³⁺ and Pr³⁺ ion concentrations, an unsaturated output power of 2.5 W was obtained from the fiber laser.⁹ This recent work extends past investigations¹⁰⁻¹² into the successful use of the Pr³⁺ ion as a deactivator ion for the ${}^{4}I_{13/2}$ level of the ${}^{4}I_{11/2} \rightarrow {}^{4}I_{13}$ laser transition of Er³⁺-doped ZBLAN; the current output power of ~9 W from an Er³⁺, Pr³⁺-doped ZBLAN fiber laser demonstrates the power scaling potential of this particular rare-earth ion combination.¹³

In the present study we have carried out a detailed investigation of the luminescence emitted from the excited states of the Ho³⁺ ion in ZBLAN glass and in the presence of Pr³⁺ ions. We have determined the Burshtein model parameters for the luminescent decay and we have calculated the energy transfer rate parameters for the various energy transfer processes present in these glasses. We compare these results with other fluorescent systems involving deactivation and estimate the improvement in the performance of ZBLAN-based lasers operating at 2.9 μ m. In light of potential directly diode pumped Ho³⁺-doped ZBLAN fiber lasers, we numerically solved the rate equations for singly

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Ho³⁺(4 mol %)-doped and Ho³⁺(4 mol %), Pr³⁺(x mol %)-codoped ZBLAN glasses under cw pumping at 655 nm to determine the population inversion and its dependence on the Pr³⁺ concentration.

II. EXPERIMENTAL PROCEDURE

The ZBLAN glasses were prepared as either single (Ho³⁺) or double-doped (Ho³⁺, Pr³⁺) samples for the time-resolved luminescence spectroscopy experiments. The two sets of ZBLAN glasses were prepared from ultrapure fluoride starting materials with the following Ho³⁺-doped compositions. (i) samples: (100 - x)× $[53 \text{ ZrF}_4 - 20 \text{ BaF}_2 - 4 \text{ LaF}_3 - 20 \text{ NaF}] - x \text{ HoF}_3$ (x=2,4,6 mol %). (ii) Ho³⁺, Pr³⁺-codoped samples which have the Ho³⁺ concentration constant at 4 mol %: (96-y)×[53 ZrF₄-20 BaF₂-4 LaF₃-20 NaF]-4 HoF₃-y PrF₃ (y $=0.1, 0.2, 0.3 \mod \%$).

The Ho³⁺-doped ZBLAN and Ho³⁺, Pr³⁺-codoped ZBLAN glasses were produced by melting the starting materials at 850 °C for 120 min in a Pt–Au crucible. The liquids were poured into brass molds and annealed at 260 °C for 2 h to remove the mechanical stresses. The samples were cut and polished into $15 \times 10 \times 5$ mm³ pieces.

The absorption spectra of the glasses were measured using a spectrophotometer (Cary/OLIS 17D) operating in the range of 300-2000 nm. The lifetimes of the Ho³⁺ excited states, i.e., the ${}^{5}I_{6}$ and ${}^{5}I_{7}$ levels, were measured after pulsed laser excitation from a tunable optical parametric oscillator (OPO) that was pumped by the second harmonic of a Q-switched Nd:YAG (yttrium aluminum garnet) laser (Brilliant B from Quantel, France). Optical pulse widths of 4 ns at 1151 and 1948 nm were used to directly excite the ${}^{5}I_{6}$ and ${}^{5}I_{7}$ energy levels of Ho³⁺, respectively. Selective optical excitation of the energy levels of Ho³⁺ was carried out in order to isolate the various components to the Ho³⁺ decay. The decay of the luminescence of the energy levels of Ho³⁺ was detected using an InSb infrared detector (Judson model J10D cooled to 77 K) in conjunction with a fast preamplifier (response time of $\sim 0.5 \ \mu s$) and analyzed using a digital 200 MHz oscilloscope (Tektronix TDS 410). All the fluorescence decay times were measured at 300 K. To isolate the luminescence signals, bandpass filters with $\sim 80\%$ transmission at 1200 and 2000 nm (each with a half width of 15 nm and an extinction coefficient outside this band of $\sim 10^{-5}$) were used.

III. EXPERIMENTAL RESULTS

A. Luminescence from the ${}^{5}I_{7}$ excited state of Ho³⁺

The absorption spectrum of Ho³⁺(4 mol %), Pr³⁺(0.3 mol %)-doped ZBLAN glass is shown in Fig. 1. The spectrum was used to calculate the absorption cross section of the ${}^{5}I_{8} \rightarrow {}^{5}F_{5}$ absorption transition of Ho³⁺ at 650 nm in ZBLAN glass. The absorption spectrum of the Ho³⁺ and Pr³⁺ ions in ZBLAN codoped glass shows a strong overlap between the ${}^{5}I_{8} \rightarrow {}^{5}I_{7}$ absorption transition of Ho³⁺ (centered at 1950 nm) and the ${}^{3}H_{4} \rightarrow {}^{3}F_{2}$, ${}^{3}H_{6}$ absorption transition of



FIG. 1. Absorption spectrum of Ho³⁺(4 mol %), Pr³⁺(0.3 mol %)-doped ZBLAN glass measured at room temperature using the Cary 17D spectrophotometer. The sample thickness was equal to 5 mm. The Ho³⁺ ion concentration of 4 mol % corresponds to 5.5×10^{20} ions cm⁻³.

 Pr^{3+} (centered at 2100 nm); it is expected that efficient energy transfer will occur from the ${}^{5}I_{7}$ excited state of Ho³⁺ to the ${}^{3}F_{2}$, ${}^{3}H_{6}$ states of Pr^{3+} .

Figure 2 shows the luminescence decay of the ${}^{5}I_{7}$ -excited state in Ho³⁺(4 mol %)-doped ZBLAN and Ho³⁺(4 mol %), Pr³⁺(x mol %)-codoped ZBLAN glasses. It can be observed that a strong decrease in the ${}^{5}I_{7}$ excited state lifetime takes place for the Ho³⁺(4 mol %), Pr³⁺(0.2 mol %) and Ho³⁺(4 mol %), Pr³⁺(0.3 mol %)-codoped ZBLAN glasses in comparison with the decay of Ho³⁺(4 mol %)-doped ZBLAN glass. The Ho³⁺(${}^{5}I_{7}$) \rightarrow Pr³⁺(${}^{3}F_{2}$, ${}^{3}H_{6}$) nonradiative energy transfer (which we label ET1) is therefore very effective in Ho³⁺, Pr³⁺-codoped ZBLAN glass. The solid lines in Fig. 2 represent the best fit of the Ho³⁺(${}^{5}I_{7}$) state luminescence decay using the Burshtein model, ¹⁴ which



FIG. 2. Luminescence decay of the ${}^{5}I_{7}$ level of Ho³⁺ in (a) singly Ho³⁺(4 mol %)-doped ZBLAN, (b) Ho³⁺(4 mol %), Pr³⁺(0.2 mol %)-co doped ZBLAN, and (c) Ho³⁺(4 mol %), Pr³⁺(0.3 mol %)-co doped ZBLAN induced by laser excitation at 1958 nm with a pulse duration of 4 ns and average energy of 5 mJ (and pulse repletion frequency of 10 Hz). The solid lines represent the best fit using the Burshtein model, and $\omega_{\rm ET}$ are the derived energy transfer parameters using a least squares fit.

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includes donor migration in the energy transfer process. The expression we used to fit the luminescence decay for a dipole-dipole interaction is given by 14

$$I(t) = I_0 \exp\left(-\frac{t}{\tau_D} - \omega_{\rm ET}t - \gamma_{\rm ET}\sqrt{t}\right),\tag{1}$$

where $\tau_D (=\tau_{D2})$ is equal to 31.8 ms and is the lifetime of 5I_7 level measured for Ho³⁺(4 mol %)-doped ZBLAN. Note that this measured lifetime is longer than both the lifetime of the ${}^{5}I_{7}$ state measured in a low concentration system (12 ms for 0.1 mol % Ho^{3+}) and the radiative lifetime of 12.6 ms.¹⁵ This longer lifetime relates to the effects from excitation migration of the ${}^{5}I_{7}$ excited states at higher Ho³⁺ concentrations. $\gamma_{\rm ET}$ is the donor to acceptor (Pr³⁺) energy transfer parameter and $\omega_{\rm ET}$ is the transfer parameter which is related to the donor to acceptor energy transfer which is assisted by discrete excitation migration (or hopping) among donor $({}^{5}I_{7})$ states. The relative luminescence efficiency of the donor state $({}^{5}I_{7})$ can be calculated by integrating I(t) for the entire decay. Integration of I(t) has been used to calculate the effective lifetime of the ${}^{3}H_{4}(\text{Tm}^{3+})$ state due to $\text{Tm}^{3+}({}^{3}H_{6})$, $\operatorname{Tm}^{3+}({}^{3}H_{4}) \rightarrow \operatorname{Tm}^{3+}({}^{3}F_{4}), \operatorname{Tm}^{3+}({}^{3}F_{4})$ cross relaxation (CR) among Tm³⁺ ions in Yb³⁺, Tm³⁺-codoped systems.¹⁶ The relative luminescence efficiency (η_{ℓ}) has been calculated for the nonexponential decay of the donor state using the expression

$$\eta_{\ell} = \frac{\int_0^\infty I(t)dt}{\int_0^\infty \exp(-t/\tau_D)dt} = \frac{\int_0^\infty I(t)dt}{\tau_D}.$$
(2)

In Eq. (2) we have used the normalized luminescence decay I(t) such that $I(0)=I_0=1$, where I_0 is the fluorescence intensity at t=0 and $I(t\to\infty)=0$. Using $\eta_\ell = W_D/(W_D+W_{\rm ET})$, where $W_D = \tau_D^{-1}$ is the donor intrinsic decay rate parameter and $W_{\rm ET}$ is the donor to acceptor energy transfer rate parameter, one can obtain

$$W_{\rm ET} = \frac{1}{\tau_D} \left(\frac{1 - \eta_\ell}{\eta_\ell} \right). \tag{3}$$

In Eq. (3) $W_{\rm ET}=0$ when $\eta_{\ell}=1$ and $W_{\rm ET}\to\infty$ for $\eta_{\ell}\to0$, as expected. The Ho³⁺ \rightarrow Pr³⁺ transfer rate parameter for ET1 (i.e., $W_{\rm ET}=W_{\rm ET1}$) was calculated using Eq. (3) that incorporates the relative luminescence efficiency of the ${}^{5}I_{7}$ (Ho³⁺) state and the intrinsic lifetime of this level ($\tau_{D}=\tau_{D_{2}}=31.8$ ms). The values of $W_{\rm ET1}$ are given in Table I.

Based on this result, we can establish that the decay of the ${}^{5}I_{7}$ state is practically totally radiative in ZBLAN glass and the nonradiative multiphonon decay rate to the ground state is negligible if these measurements are performed at room temperature. The relative luminescence efficiencies of the two lowest excited states of Ho³⁺ were obtained using the relation $\eta_{\ell} = \int_{0}^{\infty} I_{i}(t) dt / \tau_{Di}$, where i=2 refers to the ${}^{5}I_{7}$ level and i=3 to the ${}^{5}I_{6}$ state. Table I gives the energy transfer parameters ($\omega_{\rm ET}$, $\gamma_{\rm ET}$) and the luminescence efficiency (η_{ℓ}) of ${}^{5}I_{7}$ state, which was diminished by ET1. One may obtain

TABLE I. Energy transfer parameters relating to the ${}^{5}I_{7}$ level The energy transfer parameters were obtained from the best fit to the 2000 nm luminescence of ZBLAN glasses double doped with 4 mol % Ho³⁺ and 0.1, 0.2, or 0.3 mol % Pr³. The energy transfer parameters $\gamma_{\rm ET}$ and $\omega_{\rm ET}$ were obtained using a least squares fit and τ_{D2} =31.8 ms, which was measured independently from a Ho³⁺ (4 mol %)-doped ZBLAN sample. The relative luminescence efficiency η_{ℓ} was calculated using the integration of the normalized luminescence decay of Ho³⁺ in Ho³⁺, Pr³⁺-codoped ZBLAN.

Luminescence from the ${}^{5}I_{7}$ level of Ho ³⁺				
Pr ³⁺ (mol %)	$\begin{array}{c} C_{\rm DA} \ ({\rm cm}^6 \ {\rm s}^{-1}) \\ (10^{-38}) \end{array}$	$\gamma_{\rm ET}~({ m s}^{-1/2})$	$\omega_{\rm ET}~({ m s}^{-1})$	η_ℓ
0.1	3.1 ± 0.4	18.1±0.6	1455±3	1.428×10^{-2}
0.2	3.9 ± 0.7	40.3 ± 0.8	3000±6	5.723×10^{-3}
0.3	4.8 ± 0.7	67.3±1.1	3393 ± 27	3.773×10^{-3}
Migration assisted $\text{Ho}^{3+}({}^{5}I_{7}) \rightarrow \text{Pr}^{3+}$				
Pr ³⁺	$W_{\rm ET1}~({\rm s}^{-1})$	$\gamma_{\rm ET}^2~({ m s}^{-1})$	R	
(mol %)			$(=\gamma_{\rm ET}^2/W_{\rm ET1})$	
0.1	2171	328	0.15	
0.2	5463	1624	0.30	
0.3	8302	4529	0.55	

the microscopic transfer constant $C_{\text{DA}}(\text{cm}^6 \text{ s}^{-1})$ using the following expression that relates this constant with the energy transfer parameter $\gamma_{\text{ET}}(\text{s}^{-1/2})$,

$$C_{\rm DA} = \frac{9\,\gamma_{\rm ET}^2}{16\pi^3 c_A^2},\tag{4}$$

where c_A is the Pr³⁺ concentration. The calculated values of $C_{\rm DA}$ varied from 3.1×10^{-38} to 4.8×10^{-38} cm⁶ s⁻¹ as the Pr³⁺ concentration changed from 0.1 to 0.3 mol %, as shown in Table I. The average value of $C_{\rm DA}$ for ET1 obtained in this work was equal to 3.9×10^{-38} cm⁶ s⁻¹, which is higher than the microscopic rate constant found in the case of Ho³⁺ (⁵I₇) deactivation by Nd³⁺ ions in Ho:Nd:YLF crystal in which case $C_{\rm DA}$ was 8.6×10^{-41} cm⁶ s⁻¹.⁷

case C_{DA} was 8.6×10^{-41} cm⁶ s⁻¹.⁷ If one assumes that γ_{ET}^2 relates to direct energy transfer, one can calculate the ratio $R = \gamma_{\text{ET}}^2 / W_{\text{ET}}$. which determines the relative contribution of direct energy transfer to the total energy transfer. Table I shows that the contribution of the direct energy transfer to the total energy transfer increases (i.e., $R = 0.15 \rightarrow 0.55$) and the influence of energy migration among donor ions decreases with increasing Pr^{3+} concentration. This situation is expected because with increasing Pr^{3+} ion faster, i.e., less energy migration among Ho³⁺ ions is necessary before direct energy transfer to a Pr^{3+} ion takes place.

B. Luminescence from the ⁵I₆ excited state of Ho³⁺

Figure 3 shows the luminescence decay of the ${}^{5}I_{6}$ excited state of Ho³⁺ observed at 1200 nm due to the Ho³⁺(${}^{5}I_{6}$) \rightarrow Pr³⁺(${}^{3}F_{5}$, ${}^{3}F_{4}$), energy transfer process (which we label ET2) in Ho³⁺, Pr³⁺-codoped ZBLAN glass. The solid line in Figs. 3(a) and 3(b) represents the best fit to the luminescence decay using the Burshtein model, i.e., Eq. (1). The energy transfer rate parameter of ET2 with $W_{\rm ET}=W_{\rm ET2}$ was calculated using Eqs. (2) and (3), where η_{ℓ} is now the relative luminescence efficiency of the ${}^{5}I_{6}$ excited state and



FIG. 3. Luminescence decay of the ${}^{5}I_{6}$ level of (a) Ho³⁺(4 mol %), Pr³⁺(0.2 mol %)-co doped ZBLAN and (b) Ho³⁺(4 mol %), Pr³⁺(0.3 mol %)-co doped ZBLAN. The 1200 nm luminescence was produced by a pulsed laser excitation at 1151 nm with a pulse duration of 4 ns and mean energy of 6 mJ at 10 Hz. The solid lines represent the best fit using the Burshtein model, and $\omega_{\rm ET}$ and $\gamma_{\rm ET}$ are the derived energy transfer parameters using a least squares fit.

 $\tau_D = \tau_{D3} = 4.6$ ms. The values of W_{ET2} and γ_{ET}^2 are given in Table II. The values of *R* shown in Table II show that in a similar manner to ET1 the influence of direct energy transfer increases (i.e., $R = 0.009 \rightarrow 0.15$) and the influence of energy migration among donor ions decreases with increasing Pr^{3+} concentration. The ratio of direct energy transfer to the total energy transfer is smaller for ET2 compared to ET1, which suggests that more energy migration is required for ET2 compared to ET1.

Table II gives the energy transfer parameters ($\omega_{\rm ET}$, $\gamma_{\rm ET}$) and the relative luminescence efficiency (η_{ℓ}) of the ${}^{5}I_{6}$ state due to ET2. The microscopic transfer constant $C_{\rm DA}$ varies from 0.18×10^{-41} to 3.51×10^{-41} cm⁶ s⁻¹ in the case of the

TABLE II. Energy transfer parameters relating to the ${}^{5}I_{6}$ level. The energy transfer parameters were obtained from the best fit to the 1200 nm luminescence of ZBLAN glasses double doped with 4 mol % Ho³⁺ and 0.1, 0.2, or 0.3 mol % Pr³. The energy transfer parameters $\gamma_{\rm ET}$ and $\omega_{\rm ET}$ were obtained using a least squares fit and τ_{D2} =4.6 ms, which was measured independently from a Ho³⁺(4 mol %)-doped ZBLAN sample. The relative luminescence efficiency η_{ℓ} was calculated using the integration of the normalized luminescence decay of Ho³⁺ in Ho³⁺, Pr³⁺-doped ZBLAN.

Luminescence from the ${}^{5}I_{6}$ level of Ho ³⁺				
(mol %)	(10^{-40})	$\gamma_{\rm ET}~({\rm s}^{-1/2})$	$\omega_{\rm ET}~({\rm s}^{-1})$	η_ℓ
0.1	0.69 ± 0.08	0.85 ± 0.05	67.0±0.1	0.7285
0.2	0.76 ± 0.06	1.78 ± 0.06	118.0 ± 0.3	0.5949
0.3	4.69 ± 0.29	6.63 ± 0.21	147.0 ± 1.2	0.4433
Migration assisted $\text{Ho}^{3+}({}^{5}I_{6}) \rightarrow \text{Pr}^{3+}$ energy transfer				
Pr ³⁺	$W_{\rm ET2}~({\rm s}^{-1})$	$\gamma_{\rm ET}^2~({ m s}^{-1})$	R	
(mol %)			$(=\gamma_{\rm ET}^2/W_{\rm ET2})$	
0.1	81	0.73	0.009	
0.2	148	3.2	0.021	
0.3	273	44	0.161	

Ho³⁺ (⁵*I*₆) deactivation by the Pr³⁺ (³*F*₄, ³*F*₃) states, when the Pr³⁺ concentration changes from 0.1 to 0.3 mol %. The average value of *C*_{DA} for ET2 is 2.05×10^{-41} cm⁶ s⁻¹ is three order of magnitude smaller than the *C*_{DA} value for ET1 and is approximately 36 times bigger than the corresponding parameter determined for the case of Ho³⁺ (⁵*I*₆) deactivation by Nd³⁺ in Ho:Nd:YLF crystal (*C*_{DA}=5.6×10⁻⁴² cm⁶ s⁻¹).⁷ This indicates that the deactivation of the Ho³⁺ (⁵*I*₆) state by energy transfer to Pr³⁺ ions will potentially have a larger impact on the population inversion of the 2.9 μ m laser transition compared to deactivation of the Ho³⁺ (⁶*I*₆) state that would be introduced by Nd³⁺ ions.

C. Energy transfer upconversion (ETU) from the lowest excited states of Ho^{3+}

Two emission bands centered at 1200 and 655 nm were observed in Ho³⁺ (4 mol %) -doped ZBLAN produced by pulsed laser excitations at 1958 and 1151 nm, respectively. The temporal characteristics of both upconversion emissions were observed to be dependent on the excitation energy density up to the limit of $\sim 0.2 \text{ J/cm}^3$. For larger energy densities we observed a constant upconversion transient response. (The excitation energy densities were determined for constant energies of 3.1 mJ at 1958 nm and 8 and 12 mJ at 1151 nm. Four focus positions provided excitation volumes of 3.9×10^{-3} , 7.6×10^{-3} , 15.7×10^{-3} , and 35.3×10^{-3} cm³.) This observation cannot be applied to the luminescence decay of the lower excited (or donor) level involved in the upconversion process because one finds that the initial part of the decay curve of the donor level changes its slope as the pulse energy is varied. When we measured the intrinsic ${}^{5}I_{7}$ and ${}^{5}I_{6}$ fluorescence decays, we used a 6 mJ pulse energy (i.e., 1.9 mJ absorbed) to minimize the effects from ETU. This is demonstrated by the fact that the best fit of the ${}^{5}I_{7}$ (and ${}^{5}I_{6}$) luminescence decay curve is purely exponential, as seen in Fig. 2(a). The upconversion luminescence at 1200 nm was produced by a phonon-assisted ETU process, which we label ETU1 that can be represented by $\text{Ho}^{3+}({}^{5}I_{7}, {}^{5}I_{7}) \rightarrow \text{Ho}^{3+}({}^{5}I_{6}, {}^{5}I_{8}).$ Figure. 4 displays the 1200 nm luminescence decay of ${}^{5}I_{6}$ level when directly excited at 1151 nm [Fig. 4(a)] and when indirectly excited at 1958 nm by the ETU1 process [Fig. 4(b)].

A second upconversion luminescence at 655 nm was produced after two interacting ${}^{5}I_{6}$ states promote excitation to the ${}^{5}F_{5}$ level by way of a similar ETU process, labeled here as ETU2 and which can be represented by Ho³⁺(${}^{5}I_{6}$) \rightarrow Ho³⁺(${}^{5}F_{5}$, ${}^{5}I_{8}$). Figures 5(a) and 5(b) show the luminescence transient measured at 655 nm from the Ho³⁺ (${}^{5}F_{5}$) excited state of Ho³⁺ (4 mol %)-doped ZBLAN. This 655 nm luminescence was produced by two distinct ways using (i) excitation at 532 nm to produce the ${}^{5}I_{8}$ $\rightarrow {}^{5}S_{2}$ absorption transition which was followed by fast (~20 μ s) decay to ${}^{5}F_{5}$ state, see Fig. 5(a); (ii) indirect excitation at 1151 nm to produce the ${}^{5}I_{8} \rightarrow {}^{5}I_{6}$ absorption transition which was followed by ETU2, see Fig. 5(b). Despite the fact that 655 nm upconversion luminescence has also been observed in Ho³⁺, Pr³⁺-codoped ZBLAN, we measured the ETU2 rate parameter using singly Ho³⁺-doped ZBLAN in

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FIG. 4. (a) Luminescence decay of the ${}^{5}I_{6}$ excited state at 1200 nm measured after pulsed laser excitation at 1151 nm in singly Ho³⁺(4 mol %)-doped ZBLAN (density of excited ${}^{5}I_{7}$ states of $\sim 5 \times 10^{17}$ cm⁻³) and (b) the upconversion luminescence at 1200 nm induced by pulsed laser excitation at a wavelength of 1958 nm (pulse energy=3.1 mJ in 4 ns) having a density of excited ${}^{5}I_{7}$ states of 1.56×10^{18} cm⁻³. The solid line represents the best fit using the Burshtein model, and $\omega_{\rm ETU}$ and $\gamma_{\rm ETU}$ are the ETU1 parameters using a least squares fit.

order to eliminate the influence of ET2. The solid lines in Figs. 4(b) and 5(b) represent the best fit to the 1200 and 655 nm emissions using Eq. (5) that has been derived for the acceptor luminescence transient where the energy transfer involves the Burshtein (or Inokuti-Hirayama) model for a dipole-dipole energy transfer.¹⁸ The relation is given by

$$I = I_0 \left[\exp\left(-\frac{t}{\tau_D} - \omega_{\rm ETU}t - \gamma_{\rm ETU}\sqrt{t}\right) - \exp\left(-\frac{t}{\tau_A}\right) \right], \quad (5)$$

where τ_A is the total lifetime of the acceptor excited state and τ_D is the intrinsic lifetime of the donor excited (Ho³⁺) ion. The first term in Eq. (5) gives the nonexponential decay of the donor excited state directly involved in the ETU process. The second term is the acceptor excited state decay. The adjustable transfer parameters $(\omega_{\text{ETU1}}, \gamma_{\text{ETU1}})$ and $(\omega_{\text{ETU2}}, \gamma_{\text{ETU2}})$ in Eq. (5) relate to ETU1 and ETU2 processes, respectively. The best fit to the upconversion luminescence gave (a) $\omega_{\text{ETU1}} = 66 \text{ s}^{-1}$ and $\gamma_{\text{ETU1}} < 0.2 \text{ s}^{-1/2}$ for 1200 nm emission; (b) ω_{ETU2} =33 s⁻¹ and γ_{ETU2} =22 s^{-1/2} for 655 nm emission, measured for Ho³⁺(4 mol %)-doped ZBLAN. The luminescence efficiency of the donors in the



FIG. 5. The ${}^{5}F_{5}$ excited state luminescence of Ho³⁺ observed at 655 nm in singly Ho³⁺(4 mol %)-doped ZBLAN glass after (a) direct excitation at 532 nm and (b) exciting the ${}^{5}I_{6}$ state at a wavelength of 1151 nm (pulse energy=8 mJ in 4 ns) having a density of excited (${}^{5}I_{6}$) states of 1.17 $\times 10^{18}$ cm⁻³. The solid line represents the best fit using the Burshtein model, and $\omega_{\rm ETU}$ are the ETU2 parameters using a least squares fit.

lower state that are involved in the ETU process was obtained using the following expression:

$$\eta_{\ell} = \frac{1}{\tau_D} \int_0^\infty \exp\left(-\frac{t}{\tau_D} - \omega_{\rm ETU}t - \gamma_{\rm ETU}\sqrt{t}\right) dt, \tag{6}$$

in which $I_0=1$ for t=0, according to Eq. (2). The ETU rate parameter $(W_{\rm ETU})$ was obtained using an expression similar to Eq. (3). The relative luminescence efficiencies (η_{ℓ}) due to ETU1 and ETU2 were obtained using $\tau_D({}^5I_7)=31.8$ ms and $\tau_D({}^5I_6) = 4.6$ ms in Eq. (6). The ETU rate parameters $W_{\rm ETU1}$ and $W_{\rm ETU2}$ were obtained for the Ho³⁺-doped ZBLAN system, using an equation similar to Eq. (3). By observing the 655 nm luminescence transient shown in Fig. 5(b), one observes that the fluorescence decay is consistent with the measured mean decay time (τ) of the donor (⁵ I_6) state involved in the ETU2 process (τ =1.33 ms). On the other hand, the 655 nm luminescence has a short decay time of \sim 41.8 μ s when this level is directly excited at 532 nm by the short laser pulse of 4 ns duration and a pulse energy of 5 mJ, as shown in Fig. 5(a) for the Ho³⁺(4 mol %)-doped ZBLAN glass. The 655 nm emission exhibits the shortened decay time of 42 μ s compared to the intrinsic 290 μ s due to the interaction Ho³⁺ $({}^{5}F_{5}, {}^{5}I_{6})$ phonon-assisted CR \rightarrow Ho³⁺(⁵ I_8 , ⁵ I_7) that depopulates this state in a highly con-

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TABLE III. Parameters used in the rate equation modeling.

Luminesc	ence branching	ratio and radiati	ve lifetimes of He	o ^{3+a}
Transition	β	$ au_{\scriptscriptstyle R}$	τ (expt)	$W_{\rm nr}$ (s ⁻¹)
5	,	500	200	1440
$F_5 \rightarrow 5_I$	0.05	$500 \ \mu s$	$290 \ \mu s$	1448
1 ₆ 51	0.05			
1 ₇ 51	0.18			
518	0.77	5.0	2.5	116.0
$I_6 \rightarrow$		5.9 ms	3.5 ms	116.2
⁵ <i>I</i> ₇	0.09			
⁵ I ₈	0.91			
${}^{5}I_{7} \rightarrow {}^{5}I_{8}$	1	12.6 ms	12 ms	
Ho ³⁺	\rightarrow Ho ³⁺ energy	transfer rate pa	rameters (expt) ^b	
Ho ³⁺ (mol %)	$W_{\rm CR} \left({\rm s}^{-1} ight)$	$\eta_{\ell}({}^5F_5)$	· • ·	
2	107 76	0.2424		
4	194 87	0.1503		
6	251 23	0.1207		
Ho ³⁻	$^{+} \rightarrow Pr^{3+}$ energy	transfer rate par	ameters (expt) ^b	
$Pr^{3+} \pmod{\%}$	$W_{\rm ET1}~({\rm s}^{-1})$	$W_{\rm ET2} ({\rm s}^{-1})$	$\eta_\ell({}^5I_7)$	$\eta_{\ell}({}^5I_6)$
0.1	2171	81	1.427×10^{-2}	0.7285
0.2	5463	148	5.723×10^{-3}	0.5949
0.3	8302	273	3.773×10^{-3}	0.4433

^aValues obtained from the literature (Ref. 15).

^bExperimental relative luminescence efficiencies and calculated rate values (this work).

centrated system, i.e., for $[\text{Ho}^{3+}] > 0.5 \text{ mol }\%$. The luminescence decay of the ${}^{5}F_{5}$ -excited state was measured for three Ho³⁺-doped ZBLAN samples (2, 4, and 6 mol %) by direct excitation at 532 nm. The relative luminescence efficiency of the ${}^{5}F_{5}$ state was obtained for the three Ho³⁺-doped ZBLAN samples using the integration of the luminescence decay curve previously described by Eq. (2). The values obtained are given in Table III as well the corresponding CR rate parameter W_{CR} that was calculated using a similar equation to Eq. (3) with $\tau_D = \tau_{D4} = 290 \ \mu s$. The calculated values are given in Table III.

D. Model for ETU in Ho³⁺-doped ZBLAN

A detailed investigation of the time dependence of the ETU luminescence transient was carried out by monitoring the upconverted luminescence at 1200 and 655 nm as a function of the absorbed excitation energy density and hence the density of excited Ho³⁺ ions. We made a fit to the luminescence transient using the Burshtein model given by Eq. (5) for a dipole-dipole interaction. The rate parameters for ETU were obtained using the integration method given by Eq. (6)and a similar equation to Eq. (3). The results are presented in Table IV. Figures 6(a) and 6(b) display the ETU rate parameters for ETU2 and ETU1 as a function of the density of excited Ho³⁺ ions. It can be observed that the rate parameter of both ETU processes reaches a constant rate when the excited Ho³⁺ ion density reaches a value of 2×10^{18} cm⁻³; this behavior suggests that there exists a critical distance R_C between excited Ho³⁺ ions for both ETU processes. Based on a statistically random separation between the excited Ho³⁺ ions in the glass lattice, we can say that the fraction of excited

TABLE IV. Parameters relating to the proposed model for the ETU processes observed in $Ho^{3+}(4 \mod \%)$ -doped ZBLAN.

	$Ho^{3+} \rightarrow Ho^{3+}$ ETU rate parameters (expt)		
	ETU1	ETU2	
K_0 (s ⁻¹)	72	650	
$N_C ({\rm cm}^{-3})$	3.6×10^{17}	2.94×10^{17}	
R_C (Å)	87	70	

Ho³⁺ ions fdR, which have another excited Ho³⁺ ion as the closest neighbor between distance *R* and *R*+*dR*, is given by,¹⁹

$$fdR = 4\pi R^2 N_{\rm Ho} \frac{N^*}{N_{\rm Ho}} \left(1 - \frac{N^*}{N_{\rm Ho}}\right)^{\left[\pi/3R^3 N_{\rm Ho} - 2\right]} dR,$$
(7)

where N^* is the concentration of Ho³⁺ excited ions (cm⁻³) and N_C is the critical concentration of excited Ho³⁺ ions which is related to R_C . Integrating Eq. (7) between R_m (the minimum distance between Ho³⁺ ions) and $R = \infty$ yields the ETU efficiency as a function of N^* according to

$$\eta_{\rm ETU} = \int_{R_m}^{R_C} f dR \times 1 + \int_{R_c}^{\infty} f dR \times 0 = 1 - \exp(-N_C/N^*),$$
(8)

where we use $\int_{R_C}^{\infty} f dR = \exp(-N_C/N^*)$, which has been determined previously.¹⁹ The observation that the ETU rate pa-



FIG. 6. ETU rate parameter as a function of the experimental excited Ho³⁺ ion density (N^*) obtained by measuring the luminescence transient of (a) the 5F_5 level after excitation at 1151 nm and (b) the 5I_6 level after excitation at 1958 nm. The solid lines represent the best fit using the proposed model for ETU.





FIG. 7. Measured dependence of (a) 650 nm and (b) 1200 nm emission as a function of the excited Ho³⁺ ion density. The solid line represents $I=aN^{*b}$, where b=2 for both ETU processes.

rameter dependence on N^* in Figs. 6(a) and 6(b) displays a constant probability rate for higher excitation densities indicates that the ETU relative efficiency for large values of N^* should be given by $\eta_{\text{ETU}}(N^*) = W_{\text{ETU}}/K_0$, where K_0 is the rate parameter constant. The solid lines in Figs. 6(a) and 6(b) represent the best fit using the model, which gave N_C =2.94 $\times 10^{17}$ cm⁻³ and K_0 =650 s⁻¹ for ETU2 and N_C =3.6 $\times 10^{17}$ cm⁻³ and K_0 =72 s⁻¹ for ETU1. These values for K_0 should be used in a rate equation system simulating the operation of a laser because under these circumstances, higher excited Ho³⁺ ion densities ($N^* \sim 10^{19}$ cm⁻³) are usually present.

The luminescence intensities at 1200 and 655 nm produced by the ETU1 and ETU2 processes, respectively as a function of the excited Ho³⁺ density are presented in Figs. 7(a) and 7(b). It can be observed that the emissions are dependent on the square of N^* , as represented by the solid and open squares in Figs. 7(a) and 7(b). The proposed model for ETU predicts an ETU rate linearly dependent on the N^* for $N^* \ll N_C$, i.e., $W_{\text{ETU}} \alpha N^*$, as has been previously reported for ETU process between two Nd³⁺ ions in the ${}^4F_{3/2}$ state.²⁰

IV. DISCUSSION

The rate parameters for ETU1 correspond to 11% of the rate parameters for ETU2, consequently ETU does not favor a population inversion between the ${}^{5}I_{6}$ and ${}^{5}I_{7}$ energy levels in singly Ho³⁺-doped ZBLAN glass. The opposite situation has been observed in the case of Er³⁺-doped ZBLAN glass, where the corresponding rate parameter values for ETU1 are

FIG. 8. Simplified energy level diagram for the Ho³⁺, Pr³⁺ system used for the rate equations modeling. The diagram shows the optical pumping at 650 nm, the 2900 nm laser emission from the ${}^{5}I_{6}$ excited state of Ho³⁺, and the Ho³⁺ \rightarrow Pr³⁺ energy transfer processes.

three times larger than the corresponding rate parameter values for ETU2 and ETU contributes positively to a population inversion.²¹ (The ETU processes in Er^{3+} involve similarly positioned energy levels as in Ho³⁺.) The ET1 energy transfer process has a larger rate parameter than the ETU2 process in Ho³⁺ (4 mol %), Pr³⁺ (0.3 mol %)-doped ZBLAN, which will minimize the negative effect of ETU on the laser gain. ET1 effectively quenches the ⁵ I_7 intrinsic lifetime of 31.8 ms to such an extent that the mean decay time is only 120 μ s for Ho³⁺ (4 mol %), Pr³⁺ (0.3 mol %)-codoped ZBLAN glass. Similar effects have been observed on the lower laser level of Er³⁺ (8.75 mol %), Pr³⁺ (1.55 mol %)-codoped ZBLAN glass, where the Er³⁺ (⁴ $I_{13/2}$) intrinsic lifetime of 9 ms is reduced to 20 μ s due an efficient Er³⁺(⁴ $I_{13/2}$) \rightarrow Pr³⁺(³ F_3 , ³ F_4) energy transfer process.²¹

A. Rate equations for the Ho³⁺, Pr³⁺-codoped ZBLAN system

Figure 8 shows a simplified energy level scheme of the Ho³⁺, Pr³⁺ system considered for cw diode laser pumping at 650 nm. n_1 , n_2 , n_3 , and n_4 are the 5I_8 , 5I_7 , 5I_6 , and 5F_5 populations of Ho³⁺, respectively. For the Pr³⁺ ion, only the ground state ${}^{3}H_4$ (n_5) population was considered because the ${}^{3}F_4$, ${}^{3}F_3$, ${}^{3}F_2$, ${}^{3}H_6$, and ${}^{3}H_5$ excited state populations of Pr³⁺ are strongly depopulated by fast multiphonon decay to the ground state and consequently they were neglected in the

model. The rate equations comprising the model using the fact that $n_1+n_2+n_3+n_4=0.04$ for a Ho³⁺ concentration of 4 mol % are

$$\frac{dn_1}{dt} = -\sigma_{14}n_1\frac{I_P}{h\nu} + \frac{n_2}{\tau_2} + \frac{B_{31}}{\tau_{R_3}}n_3 - W_{\rm CR}n_1n_4 + W_{\rm ET1}n_2n_5 + W_{\rm ET2}n_3n_5 + W_{\rm ETU1}n_2^2 + W_{\rm ETU2}n_3^2 + \frac{\beta_{41}}{\tau_{R_4}}n_4, \qquad (9)$$

$$\frac{dn_2}{dt} = W_{\rm CR} n_1 n_4 + \left(\frac{B_{32}}{\tau_{R_3}} + W_{nR}(32)\right) n_3 - \frac{n_2}{\tau_2} - W_{\rm ET1} n_2 n_5
+ \frac{\beta_{42}}{\tau_{R_4}} n_4 - 2W_{\rm ETU1} n_2^2,$$
(10)

$$\frac{dn_3}{dt} = W_{\rm CR} n_1 n_4 - \frac{n_3}{\tau_3} - W_{\rm ET2} n_3 n_5 - 2W_{\rm ETU2} n_3^2
+ \left(\frac{\beta_{43}}{\tau_{R_4}} + W_{nR}(43)\right) n_4 + W_{\rm ETU1} n_2^2,$$
(11)

$$\frac{dn_4}{dt} = \sigma_{14}n_1\frac{I_P}{h\nu} + W_{\rm ETU2}n_3^2 - W_{CR}n_1n_4 - \frac{n_4}{\tau_4},\tag{12}$$

where I_P is the pump intensity given in W cm⁻² and $h\nu$ is the photon energy at 650 nm. β_{ij} represents the luminescence branching ratio and τ_{R_i} is the radiative lifetime of 5F_5 , 5I_6 and 5I_7 excited states of Ho³⁺ labeled as *i*=4, 3, and 2, respectively.

B. Numerical simulation of the rate equation system

Calculations were performed for the singly Ho³⁺- and the Ho³⁺, Pr³⁺-codoped ZBLAN glasses containing 4 mol % Ho³⁺ and 0.1, 0.2, and 0.3 mol % Pr³⁺ using a computer program incorporating the Runge-Kutta numerical method. Figure 9 shows the time evolutions of $n_2(t)$, $n_3(t)$, and $\Delta n(t)=n_3(t)-n_2(t)$, the population inversion of Ho³⁺ after switching the pump laser on at t=0 (using a pump rate of 80 s⁻¹ at 650 nm). Equilibrium in the populations was obtained after 10 ms in the Ho³⁺ (4 mol %), Pr³⁺ (0.3 mol %)-doped ZBLAN system, see Fig. 9(b). At that stage, the value for Δn was obtained. On the other hand, equilibrium in the value for Δn in the singly Ho³⁺-doped ZBLAN system is established at a comparatively longer time, see Fig. 9(a). In addition, $n_3 < n_2$ and $\Delta n < 0$ for most of the calculation for the singly Ho³⁺-doped ZBLAN system.

Figure 10 shows Δn obtained for 2.92 μ m laser emission from Ho³⁺ in both singly Ho³⁺-doped and Ho³⁺, Pr³⁺-codoped ZBLAN systems as a function of the pump rate (R_P) for the three Pr³⁺ concentrations used in this work. The pump rates can be converted to pump intensities I_P (W/cm²) using $I_P = (h\nu R_P)/\sigma_{abs}$, where $\sigma_{abs}({}^{5}I_8 \rightarrow {}^{5}F_5) = 8.56 \times 10^{-20}$ cm² at 650 nm. The results presented in Fig. 10 show that 0.3 mol % Pr³⁺, which produces a strong quenching of the ${}^{5}I_7$ level decay time, leads to $\Delta n > 0$. The similar Ho³⁺ (3 mol %), Pr³⁺ (0.3 mol %)-codoped ZBLAN glass has been shown experimentally to provide efficient 2.92 μ m laser emission.⁹ Thus we have shown both experimentally



FIG. 9. Calculated evolution of the excited state populations (in mol %) of Ho³⁺ obtained by numerical simulation of the rate equations for (a) Ho³⁺(4 mol %)-doped ZBLAN and (b) Ho³⁺(4 mol %), Pr³⁺(0.3 mol %)-codoped ZBLAN. The simulations were obtained under a continuous pump rate of 80 s⁻¹ at 650 nm.

and theoretically that the Pr^{3+} ion is a very effective deactivator for the 2.92 $\mu m {}^{5}I_{6} \rightarrow {}^{5}I_{7}$ laser transition of Ho³⁺.

It is important to clarify that we have dealt with the total population inversion between the ${}^{5}I_{6}$ and ${}^{5}I_{7}$ multiplet levels without considering Stark splitting. We can, however, sketch out how the ${}^{5}I_{7}$ multiplet splitting will affect the calculated population inversion. The ${}^{5}I_{7}$ multiplet has three main sublevels localized at 4835, 5049, and 5243 cm^{-1} (Ref. 15) having Boltzmann occupation factors f_i equal to 0.676, 0.234, and 0.090, respectively. For the purposes of calculating the population inversion, the ${}^{5}I_{6}$ multiplet is located at 8544 cm⁻¹ with f=1. Three main emission lines are observed at 2.8 μ m (1), 2.94 μ m (2), and 3.11 μ m (3). The population inversion for each ${}^{5}I_{6} \rightarrow {}^{5}I_{7}(i)$ transition will be given by $\Delta n_i = n_3 - n_2 f_i = n_2 [(n_3/n_2) - f_i]$. In the case of Ho³⁺ (4 mol %)-doped ZBLAN, we have seen that $n_3/n_2 \ll f_3$ =0.09, so $\Delta n_i < 0$ for all the emission lines involved in the ${}^{5}I_{6} \rightarrow {}^{5}I_{7}$ transition. A positive but smaller population inversion can be obtained for the 2.94 and 3.11 μ m emission lines in Ho³⁺ (4 mol %), Pr³⁺ (0.2 mol %)-codoped ZBLAN compared to Ho³⁺ (4 mol %), Pr³⁺ (0.3 mol %)-codoped ZBLAN.

V. CONCLUSIONS

We have investigated in detail the deactivation of the ${}^{5}I_{7}$ excited state level of Ho³⁺ in the presence of Pr³⁺ ions in ZBLAN glasses. Two energy transfer upconversion pro-



FIG. 10. Calculated population inversion (in mol %) for the laser emission at 2.9 μ m obtained for a simulation with continuous laser pumping at 650 nm for (a) Ho³⁺(4 mol %)-doped ZBLAN and (b) Ho³⁺(4 mol %), Pr³⁺(*x* mol %)-codoped ZBLAN glasses, where *x*=0.1, 0.2, and 0.3 mol %. Note that the Ho³⁺ ion concentration of 1 mol % corresponds to 1.375 $\times 10^{20}$ ions cm⁻³.

cesses ETU1 and ETU2 which lead to the excitation of the ${}^{5}I_{6}$ and ${}^{5}F_{5}$ states were shown to occur in singly Ho³⁺-doped ZBLAN glass. The rate parameters for these ETU processes were determined and it was established that the rate parameters for ETU2 were higher than those for ETU1. The rate parameter of the CR process involving the excited ${}^{5}F_{5}$ level and the ${}^{5}I_{8}$ ground state of Ho³⁺ was determined from a best fit to the 655 nm luminescence. With all the relevant energy transfer rate parameters available, we numerically solved the rate equations for the ZBLAN system under cw laser pumping at 650 nm. The results established that the Ho³⁺ (4 mol %)-doped ZBLAN glass that was codoped with 0.3 mol % Pr³⁺ showed considerable improvement in the

value of Δn as compared to the corresponding singly Ho³⁺-doped ZBLAN glass because of strong depopulation of the ⁵*I*₇ level of Ho³⁺ by ET1. As a consequence, the doubly doped glass exhibited a maximum population inversion equal to ~3.3% of total Ho³⁺ population for a cw pump rate of 80 s⁻¹. These facts indicate that Ho³⁺, Pr³⁺-codoped ZBLAN glass is a promising candidate for high power laser operation at 2.9 μ m using diode laser pumping at 650 nm. The effect of ⁵*I*₇ deactivation by Pr³⁺ ions on the population inversion of the ⁵*I*₆→⁵*I*₇ transition in Ho³⁺, Pr³⁺-codoped ZBLAN glass is comparable to the gain improvement reported for Er³⁺, Pr³⁺-codoped ZBLAN glass.

ACKNOWLEDGMENTS

The authors thank financial support from FAPESP (Grant Nos.1995/4166-0 and 2000/10986-0), CNPq, and the Australian Research Council.

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