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# HIP FRACTURE IN A DEVELOPING COUNTRY: A PICTURE IN NEED OF CHANGE

E.I.O. Vidal¹, D.C. Moreira-Filho², R.S. Pinheiro³, R.C. Souza⁴, L.M. Almeida⁵, K.R. Camargo Jr⁶, P.J.F. Villas Boas¹, F.B. Fukushima⁻, C.M. Coeli³

Abstract: Objectives: To describe the clinical profile, patterns of care and mortality rates of aged patients who have undergone hip fracture surgical repair. Design: Retrospective patient record study. Setting: A public university hospital in Rio de Janeiro, Brazil. Participants: 352 patients aged 60 and older who underwent surgery for hip fracture between 1995-2000. Measurements: Sociodemographic data, type of fracture, cause of fracture, time from fracture to surgery, physical status, Charlson comorbidity index, type of surgery and anesthesia, access to in-hospital physiotherapy, use of antibiotic and thromboembolism prophylaxis, and mortality within one year after hospital admission. Results: Among 352 subjects, 74.4% were women. The mean age overall was 77.3 years. Very long delays from the time of fracture to hospital admission (mean 3 days) and from hospital admission to surgery (mean 13 days) were observed. Most femoral neck fractures (82.7%) were managed by hip arthroplasties, while 92.8% of the intertrochanteric fractures underwent internal fixation procedures. Less than 10% of patients received in-hospital physiotherapy. Mortality rates 30 days, 90 days and one year after hospital admission were 3.4%, 8.0% and 13.4%, respectively. Conclusion: Our study provides evidence within the context of a developing country of major gaps in the quality of care of vulnerable older adults who suffered a hip fracture. Our findings suggest that hip fracture has not been treated as an urgent condition or a priority within the Brazilian public healthcare system. Further research should address current patterns of care for hip fracture in Brazil and in other developing countries.

Key words: Hip fractures, osteoporosis, quality of health care, developing countries, Brazil.

## Introduction

Hip fracture represents the most severe consequence of osteoporosis and a major cause of morbidity, institutionalization and mortality for older adults worldwide (1–4). Around the Globe there is great variability concerning the incidence of hip fracture and its related mortality (5–8). Even though the greatest increase in the incidence of hip fracture is expected to occur in the developing countries of the world, those are also the regions from where less information is available on the epidemiology of those fractures (6, 8). There is particularly few data concerning Latin American older adults with hip fracture (8–11). More data on the

1. Geriatrics Division - Internal Medicine Department - Universidade Estadual Paulista (UNESP); 2. Preventive and Social Medicine Department - Universidade Estadual de Campinas (UNICAMP); 3. Instituto de Estudos em Saúde Coletiva (IESC) - Universidade Federal do Rio de Janeiro (UFRJ); 4. Faculdade de Ciencias Medicas - Universidade Estadual do Rio de Janeiro (UERJ); 5. Epidemiology Division - Instituto Nacional do Câncer (INCA); 6. Social Medicine Institute - Universidade Estadual do Rio de Janeiro (UERJ); 7. Anesthesiology Department - Universidade Estadual Paulista (UNESP)

Corresponding Author: Edison Iglesias de Oliveira Vidal, Departamento de Clínica Médica, Faculdade de Medicina de Botucatu - UNESP, 18618-970 , Botucatu - SP – Brazil, E-mail: eiovidal@fmb.unesp.br

design of age-friendly public policies in those countries, where population aging is a relatively new phenomenon. Therefore, we conducted a study to describe the clinical profile, the patterns of care, and mortality rates of individuals aged 60 and older who underwent surgical repair of a hip fracture at a public university hospital in the city of Rio de Janeiro, Brazil.

epidemiology of those fractures is fundamental for the

### Methods

The medical records of all patients aged 60 years and older admitted with a primary diagnosis of hip fracture (first three digits of International Classification of Diseases, 9th revision, ICD-9, code 820) between January 1st, 1995 and December 31st, 2000 were retrospectively reviewed. Patients with pathological hip fracture related to malignancy or who did not undergo surgical repair were excluded.

Review of medical records was performed using a standardized data abstraction form, which was completed by trained medical students under the supervision of a senior medical researcher (LMA). Before -

being used for this research the abstraction form was pretested with a sample of medical charts and corrections were implemented in order to facilitate the abstraction process and minimize bias. The medical supervisor reviewed all data for inconsistencies and medical records were reappraised accordingly. The same professional was responsible for the insertion of all data into the database.

To assess mortality rates within one year after hospital admission, records were linked to the database of the Brazilian Mortality Information System from January 1st, 1995 to December 31st, 2001 using Probabilistic Record Linkage Methodology (9, 12–16). Previous research in a similar setting revealed 85.5% sensitivity, 99.4% specificity, 98.1% positive predictive value and 94.9% negative predictive value for correct matching of records between databases using this methodology (17). RecLink II Software (18) was used to implement the Probabilistic Record Linkage Methodology followed by manual examination of pairs of records with higher probability of representing a true match between databases.

Frequency tables were created for the following variables: sex, age, income strata, marital status, living arrangements, type of hip fracture, type of injury leading to the fracture, type of surgical treatment and anesthesia, prophylaxis against venous thromboembolism and surgical infections (i.e. prophylactic antibiotic regimens), access to in-hospital physiotherapy care, comorbidities as ascertained by the Charlson comorbidity index (19), and American Society of Anesthesiology (ASA) physical status score. Statistical analyses were restricted to the presentation of simple frequencies and the calculation of 95% confidence intervals according to standard methods (20). The R software (version 2.10.1) was used for such purposes (21).

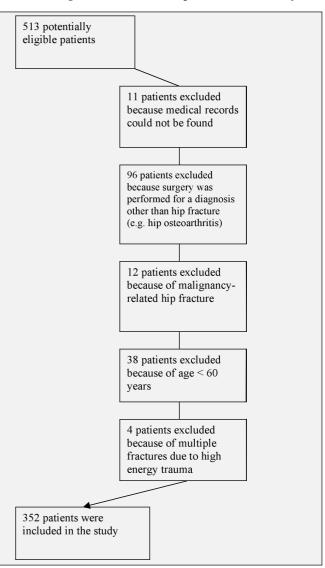
The present research was approved by the ethics committee of the Public Health Studies Institute of the Universidade Federal do Rio de Janeiro. Because of the retrospective nature of the study involving patients' medical records and anonymous treatment of data, the ethics committee waived the requirement for informed consent.

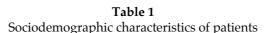
# Results

Figure 1 shows the flow diagram of the inclusion of patients in the study. Among the 352 patients fulfilling the proposed inclusion criteria, there were 262 (74.4%) women. The mean age overall was 77.3 years and women were mean 3.7 years older than men (mean ages 78.2 and 74.5 years, respectively; P = 0.001). The mean and median lengths of hospital stay were 21 and 17 days, respectively, with an interquartile range of 14 to 24 days. The mean and median times from the occurrence of hip fracture to hospital admission were 3 and 1 days, respectively, with an interquartile range of 0 to 4 days. The mean and median times from hospital admission to surgery were 13

and 11 days, respectively, with an interquartile range of 8 to 17 days. Figure 2 shows the distribution of time from hospital admission to surgery. Table 1 depicts the sociodemographic characteristics of the patients. Table 2 shows the clinical profile of patients including number of comorbidities, types and causes of hip fracture, Charlson comorbidity index and ASA physical status score. Table 3 displays the frequencies of surgical and anesthetic approaches adopted, as well as the frequencies of inhospital physiotherapy, antibiotic and thromboembolism prophylaxis. Most femoral neck fractures (82.7%) were managed by hip arthroplasties, while 92.8% of the intertrochanteric fractures and 96.2% of the subtrochanteric fractures underwent internal fixation procedures. In-hospital mortality was 5.4%. Mortality rates 30 days, 90 days and one year after hospital admission were 3.4%, 8.0% and 13.4%, respectively.

Flow diagram of inclusion of patients in the study

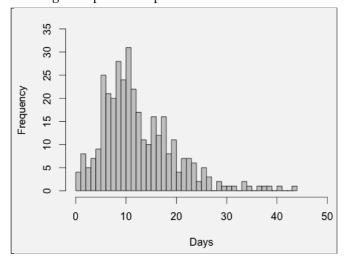




	N	%	95% CI
Gender			
Female	262	74.43	69.47 -78.84
Male	90	25.57	21.15-30.52
Age			
60 to 69	83	23.58	19.31-28.43
70 to 79	108	30.68	25.96-35.83
80 to 89	135	38.35	33.29-43.69
90 or +	26	7.39	4.97-10.77
Marital Status			
Single	45	12.78	9.57-16.84
Married or in stable partnership	121	34.38	29.47-39.63
Divorced	4	1.14	0.36-3.08
Widowed	163	46.31	41.03-51.67
Unspecified	19	5.40	3.37-8.45
Income strata*			
Low income	174	49.43	44.10-54.77
Middle income	53	15.06	11.57-19.32
High income	4	1.14	0.36-3.08
Unspecified	121	34.38	29.47-39.62
Living arrangement			
Lives alone	36	10.23	7.36-13.99
Lives with partner or other people	242	68.75	63.58-73.50
Unspecified	74	21.02	16.96-25.73

<sup>\*</sup> Income strata: Household income was classified as low income (≤ 5 minimum wages), middle income (6-20 minimum wages), and high income (>20 minimum wages). The minimum wage is defined by law in Brazil and corresponds to the lowest value employers may legally pay to workers for a month of work."

# Figure 2 Histogram of the interval of time\* from hospital admission to surgery for 352 patients who underwent surgical repair of a hip fracture between 1995-2000



<sup>\*</sup> The data plotted in the histogram is "left-closed" and "right-open" (i.e. the column between day 0 and day1 represents the number of patients who were operated on the same day of hospital admission, i.e. day 0; whereas the column between 1 and 2 represents the number of patients who were operated one day after hospital admission, i.e. day 1).

# Discussion

Probably, the most striking finding of the current research was the occurrence of mean intervals of time from fracture to hospital admission and thereafter to surgery of 3 and 13 days, respectively. Those intervals of time from fracture to surgery are remarkably different from those reported in developed countries, where the vast majority of patients undergo surgery within the first 48h of hospital admission (22–27). Even though there is still some degree of debate over the association between surgical timing and patient mortality after a hip fracture (25, 28, 29), there is wide consensus in the literature that hip fracture patients should be operated on as early as possible after hospital admission, provided there are no conditions that can be corrected or improved prior to surgery, since long waiting times for surgery are associated with pain, pressure ulcers, long hospital stays, distress, and delayed mobilization (23, 25, 27-30). We have recently shown for the same context of care that those long delays from fracture to hospital admission are associated with increased mortality risk (31).

Less than 10% of patients received in-hospital physiotherapy care, which is significantly divergent from current recommendations for early mobilization for most patients following the surgical repair of a hip fracture (32, 33). Such a low frequency of in-hospital physiotherapy care also mirrors important limitations in the access to

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**Table 2** Clinical profile of patients

	N	%	95% CI
Type of fracture			
Femoral neck	133	37.78	32.74-43.10
Intertrochanteric	193	54.83	49.46-60.09
Subtrochanteric	26	7.39	4.97-10.77
Cause of the fracture			
Fall	313	88.92	85.05-91.91
High intensity trauma	19	5.40	3.37-8.44
Other	10	2.84	1.45-5.33
Unspecified	10	2.84	1.45-5.33
Physical Status (ASA*)			
ASA I (Normal healthy patient)	12	3.41	1.86-6.04
ASA II (Mild systemic disease)	185	52.56	47.20-57.86
ASA III (Severe systemic disease)	125	35.51	30.56-40.79
ASA IV (Severe systemic disease / constant threat to life)	7	1.99	0.87-4.23
Unspecified	23	6.53	4.28-9.78
Charlson Comorbitity Index†			
0	199	56.53	51.12-61.75
1	126	35.80	30.83-41.08
2	25	7.10	4.74-10.44
3	2	0.57	0.10-2.26
Number of comorbidities			
0	55	15.63	12.09-19.94
1	92	26.14	21.69-31.11
2	96	27.27	22.75-32.30
3	54	15.34	11.83-19.63
4 or +	55	15.63	12.09-19.94

<sup>\*</sup> ASA: American Society of Anesthesiology Physical Status Classification; † Charlson Comorbidity Index: increasing scores mean growing burden of comorbidities

**Table 3**Treatment patterns

	N	%	95% CI
Type of surgery			
Internal fixation	225	63.92	58.63-68.90
Hip arthroplasty	124	35.23	30.29-40.50
Other	3	0.85	0.22-2.68
Type of anaesthesia			
General anaesthesia	52	14.77	11.32-19.01
Neuroaxial anaesthesia	261	74.14	69.18-78.58
Combination of general + neuroaxial anaesthesia	33	9.38	6.63-13.03
Unspecified	6	1.70	0.69-0.39
Clinical procedures			
Thromboembolism prophylaxis	334	94.89	91.89-96.85
Antibiotic prophylaxis	332	94.32	91.22-96.41
Physiotherapy during hospital stay	31	8.81	6.15-12.39

optimal healthcare resources by older adults, since functional recovery after hip fracture is highly dependent on early rehabilitation after surgery (34). Nevertheless, almost 95% of patients received thromboembolism and antibiotic prophylaxis, which are significantly easier interventions to implement within any institution than the organization of post-surgical rehabilitation resources.

The current observations of long delays to hospital admission and to surgery above the standard of care in developed countries (i.e. surgery within 48h of hospital admission), and the remarkably low frequency of inhospital physiotherapy care indicate that, even though hip fracture is associated with lower survival rates than that of most invasive cancers pooled together (35), it has



not been treated as an urgent condition or a public health priority in Brazil. Because there is evidence that injuries and surgical conditions represent a problematic and neglected aspect of healthcare in developing countries we believe that similar and even worse patterns of care are likely in other developing regions of the World (36–40). This perspective is alarming since those fractures represent an enormous burden for society and because the greatest increase in the incidence of hip fracture is predicted to take place in the developing countries of the world (6, 8).

We hypothesize that those findings might reflect a picture of ageism within the Brazilian healthcare system (41, 42), where older adults have less access to more costly procedures within the public healthcare system than younger individuals, as has been shown by others (43). We hypothesize several other reasons that could explain the findings of delayed surgical timing and low physiotherapy frequency within our study. First, surgical procedures for hip fracture may have been scheduled as elective instead of urgent procedures, and therefore occurred according to operating theater availability, without prioritizing those vulnerable patients. Second, it is possible that in Brazil the lay public and even many healthcare professionals are frequently unaware of the often life-threatening meaning of a hip fracture for older people. Those hypotheses warrant further investigation by future studies. Although universal access to public health care in Brazil has been legally established since 1988, patients still often suffer from suboptimal care in several areas of healthcare provision (44). While the population is aging rapidly, the public healthcare system is still struggling to recognize and adapt to the needs of older people (45).

High rates of arthroplasty procedures for femoral neck fractures were observed. This finding is probably related to the large intervals of time from fracture to surgery, since those delays are associated with increased risk of fracture displacement and avascular necrosis of the head of the femur, and therefore represent a clear indication for hip arthroplasty (46).

The majority of patients (74.1%) underwent neuroaxial anesthesia (i.e. spinal or epidural), which represents a somewhat different pattern than that observed in many other regions of the Globe where general anesthesia usually represents a larger share of the anesthetic procedures performed for hip fracture patients (47–49). The debate over what type of anesthetic procedure is best suited for hip fracture patients does not seem to be resolved. Notwithstanding, recent systematic reviews disclosed lower mortality 30 days after surgery, lower incidence of deep venous thrombosis and lower rates of postoperative mental confusion for hip fracture patients who underwent neuroaxial anesthesia than for those submitted to general anesthesia (49, 50).

The one-year mortality rate observed (13.4%) was

much lower than the 21.5% mortality rate described previously in a study encompassing all public hospitals in the city of Rio de Janeiro (9) and lies in the lower limits of mortality reported for hip fracture around the world (1, 2). The finding of low patient mortality concomitant to markers of suboptimal patient care discussed in the previous paragraphs may seem paradoxical at first. However, this apparent paradox can be explained by several factors, as follows. First, University hospitals have been shown to be associated with lower mortality rates for hip fracture than general hospitals, even though they often display longer intervals of time from hospital admission to surgery than community hospitals (24). Second and most important, selection bias must be strongly considered as a reason for the discrepancy between inadequate patterns of care and low mortality rates. Frailer and sicker patients, who had been admitted with a hip fracture to a community hospital without hip fracture surgical capability, were likely not considered fit to be transferred to the university hospital under study or died before they could be transferred, hence creating selection bias. Two observations are consistent with this last hypothesis: (a) most patients in this study were attributed a low Charlson comorbidity index and only roughly one third of patients were considered to have a severe systemic disease as ascertained by the ASA physical status classification; (b) the patient population was relatively younger than usually reported by most studies from developed countries (24, 51, 52).

At least 49.4% of patients in our study belonged to a low socioeconomic stratum. About 60% of patients were widowed, divorced or single. As usual in epidemiological studies about hip fracture among older adults, most patients (74.4%) were women. Even though the relationship between socioeconomic status and risk of falls is debated (53), there is evidence that lower socioeconomic status is associated not only with increased incidence of hip fracture (54–57) but also with increased mortality after fracture (58). Divorced, widowed and unmarried status have also been reported by others to be associated with increased risk of hip fracture (56, 59). The association between low socioeconomic status and increased risk of hip fracture may be related to several factors ranging from decreased bone mineral density and underlying health behaviors to environmental influences (55).

Several limitations of this study must be considered. Since its design was based on the retrospective abstraction of medical records, we had limited or no access to some data on important aspects of patients' baseline characteristics and outcomes, such as socioeconomic status and functional outcomes. Second, review of medical records is often associated with error and bias, which can never be completely discarded in studies like ours. Nevertheless, most of the data that we collected for this analysis was relatively straightforward

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(e.g. date of hospital admission, date of surgery and record of occurrence of in-hospital physiotherapy care) and an experienced medical supervisor worked in close contact with the chart reviewers in order to attempt to minimize bias. In addition, other researchers have conducted valuable studies about the epidemiology of hip fractures using similar methods (60, 61). Third, limitations in methodology mean that the present results are not generalizable to the whole city of Rio de Janeiro or to other regions of the country. It is nevertheless reasonable to presume that the current findings have significant similarities to the patterns of care in other public hospitals in the same region, which are part of the same public healthcare system. Fourth, our results are at least 10 years old and a note of caution should be added to their generalizability to current practice. However, more recent studies about hip fracture among older adults from other regions of Brazil also showed long surgical delays, which suggests that the substandard patterns of care we have reported might still be common and may require urgent public health attention (62, 63).

### **Conclusions**

Our study provides evidence within the context of a developing country of major gaps in the quality of care of vulnerable older adults who sustained a hip fracture between 1995 and 2000. Those findings suggest that hip fracture has not been treated as an urgent condition or a priority within the Brazilian public healthcare system. Further research should address the current patterns of care for hip fracture in the elderly both in Brazil and in other developing countries. Since our findings have been at least in part replicated by more recent studies conducted in other regions of our country, we believe that urgent public health attention is warranted towards the care of older adults sustaining a hip fracture in Brazil.

Competing interests: The authors declare that they have no competing interests.

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