

Strain-tunable electronic, optical and thermoelectric properties of two-dimensional Janus SbXI (X=S, Se, Te) monolayers: A first-principles study

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ABSTRACT

The exploration of novel, atomically thin, and stable two-dimensional (2D) materials remains an important and active area of study, driving progress in both fundamental science and practical applications within contemporary materials research, particularly in electronic, thermoelectric, and optical domains. Through first-principles density functional theory (DFT) calculations, three semiconducting Janus monolayers were systematically investigated: SbSI, SbSeI and SbTeI. The comprehensive analysis demonstrates excellent dynamical and energetic stability, indicating the feasibility of mechanical exfoliation for experimental realization. Electronic structure calculations reveal indirect bandgaps of 1.57 (2.12), 1.30 (1.80), and 1.22 (1.64) eV for SbSI, SbSeI, and SbTeI, respectively, using PBE (HSE06) functionals, with the SbSeI monolayer exhibiting an exceptional electron mobility of $213.96 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$, surpassing that of the well-established MoS_2 . Remarkably, at 800 K, the SbSeI monolayer achieves outstanding thermoelectric performance, characterized by a figure of merit (ZT) of 5.39, facilitated by an ultralow lattice thermal conductivity of 0.14 W/mK . Furthermore, upon application of +8% biaxial strain, the SbTeI monolayer displays notable optical properties, including a high reflectivity of 64.00% in the ultraviolet region ($\sim 250 \text{ nm}$) and an absorption coefficient of $121.98 \times 10^4 \text{ cm}^{-1}$. These findings underscore the significant potential of Janus SbXI (X = S, Se, Te) monolayers for next-generation energy conversion applications, offering promising avenues for efficient energy harvesting and thermal management while enabling novel functionalities in nanoscale optical devices.

Introduction

Environmental challenges and energy scarcity have become critical global concerns, prompting the European OECD countries to commit to reducing CO_2 emissions by 80%–95% by 2050 [1,2]. This ambitious target has intensified research efforts in renewable energy technologies to address both environmental protection and human health concerns [3–5]. The scientific community has focused on developing sustainable solutions across multiple domains, including toxic gas detection and elimination [6,7], photovoltaic technology [8,9], optoelectronic devices [10,11], and thermoelectric systems [12,13]. Among these technologies, thermoelectric devices offer unique advantages: they operate noiselessly without moving parts and can directly convert heat into electrical energy through the Seebeck effect (or vice versa via the Peltier effect). This capability enables the development of self-powered smart electronic devices for diverse applications [14,

15]. The performance of thermoelectric materials is quantified by a dimensionless figure of merit, ZT :

$$ZT = \frac{S^2 \sigma T}{\kappa} \quad (1)$$

where S represents the Seebeck coefficient, σ the electrical conductivity, T the temperature, and κ the total thermal conductivity ($\kappa = \kappa_e + \kappa_l$, comprising electronic κ_e and lattice κ_l contributions) [16,17]. A higher ZT value (particularly above 2) indicates superior performance in power generation and cooling applications [18,19]. In the pursuit of enhanced thermoelectric efficiency, two-dimensional (2D) materials have emerged as promising candidates. These materials demonstrate significant advantages over conventional semiconductors, exhibiting unique structural, electronic, optical, and mechanical properties [14,

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15]. Recent studies have shown remarkable progress in this direction. For instance, Z. Lu et al. [20] fabricated flexible thin films of $\text{Sb}_{1.5}\text{Bi}_{0.5}\text{Te}_3$ nanoparticles, achieving a power factor of $183 \mu\text{W m}^{-1} \text{K}^{-2}$. More recently, theoretical predictions for Janus structures have shown even more promising results: W. Tao et al. [19] predicted a high ZT of 2.54 at elevated temperatures for p -type monolayer PtSeTe , while M. Jakhar et al. [21] demonstrated that Janus β - PdSeTe monolayer could achieve a ZT of 4.09 at 800 K. One effective strategy to improve ZT is through band structure engineering. Y. Pei et al. [22] demonstrated this approach by controlling the doping concentration in $\text{PbTe}_{1-x}\text{Se}_x$, achieving a ZT of 1.8 at 850 K. Similarly, the Janus LiZnAs monolayer exhibited a remarkably high ZT of 4.16 at 500 K for p -type doping, attributed to its low lattice thermal conductivity of $0.52 \text{ W m}^{-1} \text{K}^{-1}$ [23]. These studies underscore the importance of minimizing lattice thermal conductivity while maintaining favorable electronic properties to enhance thermoelectric efficiency.

Despite these advancements, the search for novel 2D materials with enhanced thermoelectric properties remains crucial for practical applications. Among potential candidates, Janus monolayers of the SbXI family ($X = \text{S, Se, Te}$) represent an unexplored frontier in thermoelectric research [24]. These materials are particularly promising due to their predicted structural stability and the potential for tuning their electronic and thermal properties through compositional variation and strain engineering. While some studies have investigated similar systems [25], a comprehensive analysis of the strain-dependent of SbXI Janus monolayers is still lacking.

In this work, density functional theory combined with Boltzmann transport theory is employed to systematically investigate the structural, electronic, optical, and thermoelectric properties of SbSI , SbSeI , and SbTeI monolayers. This comprehensive theoretical approach elucidates the potential of these materials for next-generation thermoelectric devices and provides insights into the quantum mechanical principles underlying their properties.

Computational details

First-principles calculations were performed within the framework of density functional theory (DFT) as implemented in the Quantum ESPRESSO package [26]. The electron-ion interactions were described using the projector augmented-wave (PAW) method [27], while the electron exchange-correlation effects were treated with the Perdew-Burke-Ernzerhof (PBE) functional [28]. To account for van der Waals interactions, the DFT-D3 correction scheme proposed by Grimme [29] was employed. The electronic structure was further refined using the hybrid Heyd-Scuseria-Ernzerhof (HSE06) functional [30] to address the well-known bandgap underestimation associated with standard DFT.

Structural optimizations were performed until the Hellmann-Feynman forces on each atom were less than $1 \times 10^{-4} \text{ Ry/Bohr}$, with an energy convergence criterion of 10^{-10} Ry for self-consistent field calculations. The Brillouin zone was sampled using a $10 \times 10 \times 1$ Monkhorst-Pack k -point mesh, and the plane-wave basis set was expanded up to a kinetic energy cutoff of 70 Ry. Convergence tests for the energy cutoff and k -point sampling are provided in the Supplementary Information (Figs. S1 and S2).

The lattice thermal conductivity was computed by solving the linearized Boltzmann transport equation (LBTE) [31], utilizing both harmonic and anharmonic interatomic force constants (IFCs). Harmonic (second-order) IFCs were calculated using the finite displacement method as implemented in Phonopy [32], employing $7 \times 7 \times 1$ supercells with a $2 \times 2 \times 1$ k -point grid. The rotational sum rules were enforced according to Born-Huang constraints [33] using HiPhive [34]. Anharmonic (third-order) IFCs were computed using $4 \times 4 \times 1$ supercells with Phono3py [35], considering interactions within a cutoff radius of $<7.06 \text{ \AA}$. For accurate phonon transport calculations, a dense $70 \times 70 \times 1$ q -point grid was employed.

To account for the two-dimensional nature of the system in thermal conductivity calculations, a thickness correction factor of $L_z = z/h_{eff}$ [36] was applied, where z is the unit cell length in the out-of-plane direction and h_{eff} is the effective monolayer thickness based on the van der Waals radii (I: $1.87 \text{ \AA}$, S: $1.66 \text{ \AA}$, Se: $1.76 \text{ \AA}$, Te: $1.95 \text{ \AA}$).

The electronic transport properties, which are crucial for evaluating thermoelectric performance, were assessed using the Boltzmann transport equation as implemented in BoltzTraP2 [37], within the constant relaxation time approximation (CRTA). A dense $100 \times 100 \times 1$ k -point mesh was employed for accurate electronic transport calculations. Carrier mobilities were estimated using the deformation potential theory developed by Bardeen and Shockley [38]. Subsequently, all thermoelectric properties were computed in the temperature range of 300–800 K at 100 K intervals.

Results and discussion

Structural properties

The Janus SbXI ($X = \text{S, Se, Te}$) monolayers exhibit a distinctive 1T-phase structure, characteristic of the $P3m1$ space group. This configuration arises from the strategic placement of X atoms (S, Se, or Te) within the SbXI framework, resulting in the Janus arrangement under investigation. Fig. 1 presents the optimized top and side views of these monolayers, illustrating their hexagonal primitive cells composed of Sb, X (S, Se, Te), and I atoms. Notably, these Janus SbXI monolayers demonstrate a propensity for planar configuration, reminiscent of graphene's structural behavior. Our density functional theory (DFT) calculations yield optimized lattice constants ($a = b$) for SbSI , SbSeI , and SbTeI monolayers of $4.08 \text{ \AA}$, $4.14 \text{ \AA}$, and $4.30 \text{ \AA}$, respectively (Table 1). These values align closely with recent computational studies, which report $4.07 \text{ \AA}$ for SbSI [39], $4.12 \text{ \AA}$ for SbSeI [40], and $4.32 \text{ \AA}$ for SbTeI [41,42], underscoring the reliability of our structural optimizations. The charge density distributions, visualized in Fig. 2, reveal robust chemical bonding between Sb, X, and I atoms. This bonding pattern is indicative of significant thermal stability, a crucial factor for potential applications. To further corroborate the stability, the phonon dispersion curves and atom-projected phonon density of states (DOS) were analyzed for all SbXI ($X = \text{S, Se, Te}$) monolayers, as shown in Fig. 3. The absence of imaginary frequencies across the entire Brillouin zone confirms the dynamical stability of these structures and also ensures an accurate description of the lattice thermal conductivity, given its strong sensitivity to the phonon modes [43,44]. Furthermore, the phonon DOS reveals that the low-frequency modes are primarily dominated by the heavier Sb, Te and halogen (I) atoms, while the lighter chalcogen atoms (Se, S, Te) contribute significantly to the higher-frequency vibrational modes. This distribution reflects the mass-dependent vibrational characteristics of each atomic species and further supports the robustness and experimental viability of these monolayers.

To quantitatively assess the thermodynamic stability and formation tendency of these compounds, the cohesive energy (E_{coh}) was calculated, defined as [45]:

$$E_{\text{coh}} = \frac{E_{\text{Tot}} - n_{\text{Sb}}E_{\text{Sb}} - n_{\text{X}}E_{\text{X}} - n_{\text{I}}E_{\text{I}}}{n_{\text{Sb}} + n_{\text{X}} + n_{\text{I}}} \quad (2)$$

where E_{Tot} represents the total energy of the monolayer, while E_{Sb} , E_{X} and E_{I} denote the energies of isolated Sb, X (S, Se, or Te), and I atoms, respectively. The terms n_{Sb} , n_{X} , and n_{I} correspond to the number of each atom type in the unit cell. Our calculations reveal cohesive energies of -343.41 meV/atom , -799.32 meV/atom , and -299.88 meV/atom for SbSI , SbSeI , and SbTeI monolayers, respectively (Table 1). These negative values indicate favorable formation energetics, suggesting that these Janus structures are thermodynamically stable and potentially synthesizable.

Interestingly, the cohesive energy trend ($\text{SbSeI} < \text{SbSI} < \text{SbTeI}$) does not follow the atomic number progression of the X elements. This

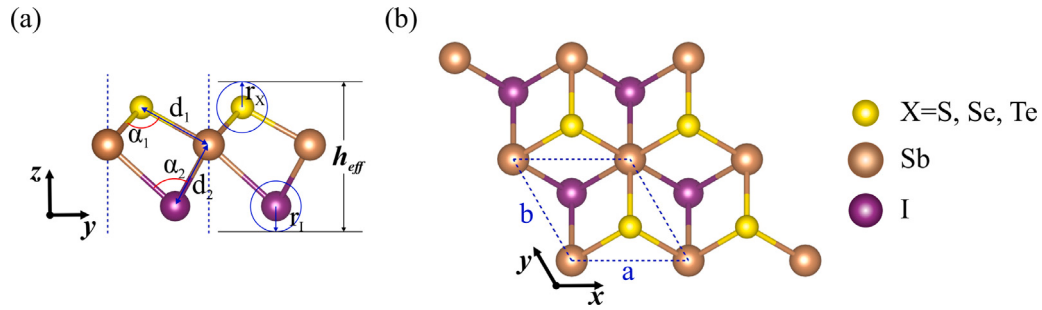


Fig. 1. Optimized structures of Janus SbXI ($X = \text{S, Se, Te}$) monolayers: (a) Side view and (b) top view. Brown spheres represent Sb atoms, yellow spheres denote S, Se, or Te atoms, and purple spheres indicate I atoms. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

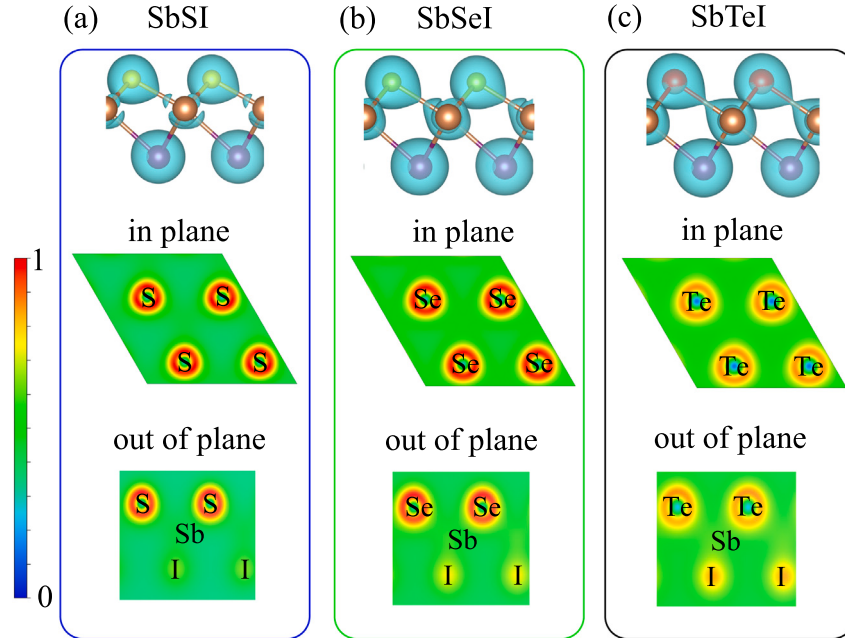


Fig. 2. Partial charge density and Electron Localization Function (ELF) for (a) SbSI, (b) SbSeI, and (c) SbTeI Janus monolayers, shown for in-plane (001) and out-of-plane (100) slices.

Table 1

Structural and electronic properties of Janus SbXI ($X = \text{S, Se, Te}$) monolayers: lattice constants a and b (Å), bond lengths d_1 and d_2 (Å), bond angles α_1 and α_2 (°), effective thickness h_{eff} (Å), cohesive energy E_{coh} (meV/atom), and bandgaps calculated using PBE and HSE06 functionals (eV).

Monolayer	a	d_1	d_2	α_1	α_2	h_{eff}	E_{coh}	E_g^{PBE}	E_g^{HSE06}
SbSI	4.08	4.89	3.19	98.05	79.53	7.00	-343.41	1.57	2.12
SbSeI	4.14	2.82	3.20	94.66	52.32	7.24	-799.32	1.30	1.80
SbTeI	4.30	3.01	3.22	91.50	53.21	7.55	-299.88	1.22	1.64

non-monotonic behavior suggests a complex interplay between atomic size, electronegativity, and bond strength in determining the overall stability of these Janus structures. The significantly lower cohesive energy of SbSeI implies a particularly stable configuration, which may have implications for its potential applications and ease of synthesis.

The structural analysis presented here lays a solid foundation for understanding the fundamental properties of Janus SbXI monolayers. Their stable configurations, coupled with the unique electronic distribution arising from their asymmetric structure, suggest promising potential for tailoring their properties through strain engineering or chemical functionalization. These findings pave the way for further investigations into their electronic, optical, and thermoelectric properties, which will be explored in the following sections.

Electronic properties

The emergence of two-dimensional (2D) Janus materials has opened new avenues for tailoring electronic properties at the atomic scale. Understanding these properties is crucial for advancing applications in nanoelectronics and optoelectronics. Here, a comprehensive analysis of the electronic characteristics of Janus SbXI ($X = \text{S, Se, Te}$) monolayers was presented, which exhibit promising semiconducting behavior. All three monolayers exhibit indirect bandgap semiconducting behavior, with the conduction band minimum (CBM) consistently located at the Γ point and the valence band maximum (VBM) positioned between the K - Γ points. The PBE-calculated bandgaps show a systematic trend: 1.57 eV (SbSI), 1.30 eV (SbSeI), and 1.22 eV (SbTeI), aligning well with previous studies [39,41,46]. To address the known bandgap underestimation of PBE, additional calculations using the HSE06 hybrid functional was performed. As illustrated in Fig. 4, these calculations confirmed the indirect bandgap nature of all three monolayers while yielding more accurate bandgap values: 2.12 eV for SbSI (1.90 eV [47]), 1.80 eV for SbSeI (1.85 eV [48]), and 1.64 eV for SbTeI (1.73 eV [48]).

These values are particularly significant as they determine the minimum photon energy required for electron excitation in potential photocatalytic applications. Orbital-projected band structure analysis provides crucial insights into the electronic character of these materials. Fig. 4(a) shows that in SbSI, the conduction band is primarily

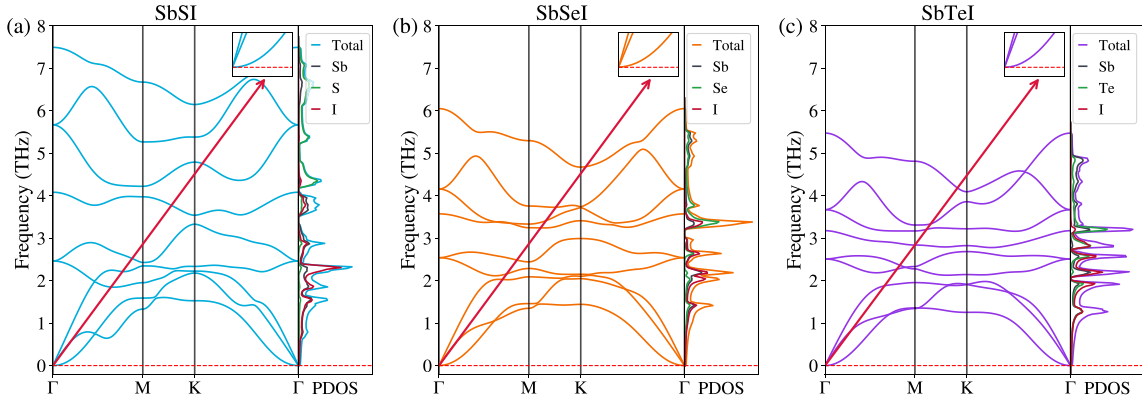


Fig. 3. Phonon dispersion curves and phonon DOS for Janus (a) SbSI, (b) SbSeI, and (c) SbTeI monolayers, demonstrating their dynamical stability.

Table 2

Summarizes our calculated values for effective mass of electrons and holes m^* , carrier mobility μ_{2D} (cm^2/Vs), deformation potential constant E_d (eV), elastic modulus C_{2D} (N/m), and relaxation time τ (fs) along x and y direction at 300 K for Janus SbXI ($X = \text{S, Se, Te}$) monolayers.

Carrier	Monolayer	m_x^*/m_0	m_y^*/m_0	μ_x^{2D}	μ_y^{2D}	E_d^x	E_d^y	C_{2D}^x	C_{2D}^y	τ_x	τ_y
Electron	SbSI	0.36	0.36	69.61	69.60	-8.01	-8.01	27.48	27.48	14.33	14.33
	SbSeI	0.29	0.29	79.69	79.69	-9.43	-9.43	28.52	28.53	13.27	13.27
	SbTeI	0.22	0.22	103.18	102.17	-10.51	-10.56	26.01	26.12	12.94	12.81
Hole	SbSI	0.37	0.37	103.53	103.53	-6.36	-6.36	27.48	27.48	22.01	22.00
	SbSeI	0.31	0.31	213.87	213.96	-7.29	-7.29	28.52	28.53	37.51	37.51
	SbTeI	0.17	0.12	119.60	119.60	-7.23	-7.23	26.01	26.12	20.23	20.23

contributed by Sb, while the valence band exhibits hybridization with contributions from S and I. From Fig. 4(b), in SbSeI, both Sb and Se contribute to the conduction band, while the valence band is dominated by Se. In SbTeI, Te contributes significantly to both the conduction and valence bands, indicating increased chalcogen character as the atomic number increases, as seen in Fig. 4(c). This trend correlates with the observed bandgap reduction across the monolayers.

To assess the potential of these materials for electronic applications, several key parameters was calculated, including effective mass, carrier mobility, and relaxation time. The deformation potential method proposed by Bardeen and Shockley [38] was employed. The effective mass (m^*) is calculated using:

$$m^* = \hbar^2 \left[\frac{\partial^2 E(k)}{\partial k^2} \right]^{-1}. \quad (3)$$

The carrier mobility (μ_{2D}), a crucial parameter for electronic device performance, is given by:

$$\mu_{2D} = \frac{e\hbar^3 \frac{\partial E_s}{\partial \epsilon_{\text{uni}}^2}}{S_0 k_B T m^* \bar{m} E_d^2}, \quad (4)$$

where e is the electron charge, $\hbar$ is the reduced Planck constant, S_0 is the unit cell area, and E_s represents the strain energy difference between the deformed and undeformed unit cells, k_B is the Boltzmann constant, T is the temperature (set to 300 K) and $\bar{m}$ represents the average of m^* . Eq. (4) describe the velocity at which free electrons and holes move within a semiconductor under the influence of an electric field. Another parameter involved in the calculation of τ is the two-dimensional elastic modulus (C_{2D}), which is calculated by fitting the energy-strain curve and parabolic function along the x and y directions for electrons and holes. Also, in Eq. (4), $\bar{m} = \sqrt{m_x m_y}$ represents the geometric average of the effective mass in x and y directions. It can be obtained the deformation potential constant $E_d = \frac{\Delta E_{\text{edge}}}{\epsilon_{\text{uni}}}$ for holes and electrons calculating its energy shift (ΔE_{edge}) applying uniaxial strain $\epsilon_{x/y}$ as can be observed in Fig. S3.

Analysis of the calculated electronic transport parameters reveals several significant trends across the Janus SbXI ($X = \text{S, Se, Te}$) monolayers. Firstly, both electron and hole effective masses exhibit a decreasing

trend from SbSI to SbTeI. This reduction in effective mass suggests the potential for higher carrier mobilities in the Te-based structures, which could be advantageous for electronic applications requiring rapid charge transport (see Table 2). Of particular note is the exceptionally high carrier mobility observed in the SbSeI monolayer hole, reaching $213.96 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$. It is important to note, however, that in materials where polar optical phonon scattering is not considered due to the use of the deformation potential model, the estimated carrier mobility may be significantly overestimated. This value surpasses the mobility of many well-established two-dimensional semiconductors, including MoS_2 [49], positioning SbSeI as a promising candidate for p -type electronic devices. The high hole mobility, coupled with the material's unique Janus structure, opens up possibilities for novel device architectures and applications in nanoelectronics. Examining the anisotropy of the electronic properties, the most parameters exhibit similar values along the x and y directions. This near-isotropy of in-plane electronic properties is a favorable characteristic for device design and fabrication, as it simplifies the considerations for charge transport in different crystallographic orientations. Interestingly, the deformation potential (E_d) values show an increasing trend from Te to S-based structures, as show in Table 2. This suggests stronger electron-phonon coupling in the heavier chalcogen-based monolayers, which may have significant implications for carrier scattering mechanisms and, consequently, for the temperature-dependent electronic properties of these materials. Further investigation of these electron-phonon interactions could provide valuable insights into the behavior of these materials under various operating conditions. These trends in electronic properties across the SbXI series demonstrate the potential for fine-tuning material characteristics through chalcogen substitution, offering a versatile platform for designing two-dimensional semiconductors with tailored electronic properties for specific applications.

Phonon transport properties and lattice thermal conductivity

The lattice thermal conductivity associated with phonon modes, denoted as $\kappa^{\alpha\beta}$, is calculated using the linearized Boltzmann transport equation (LBTE). This conductivity can be expressed in Cartesian

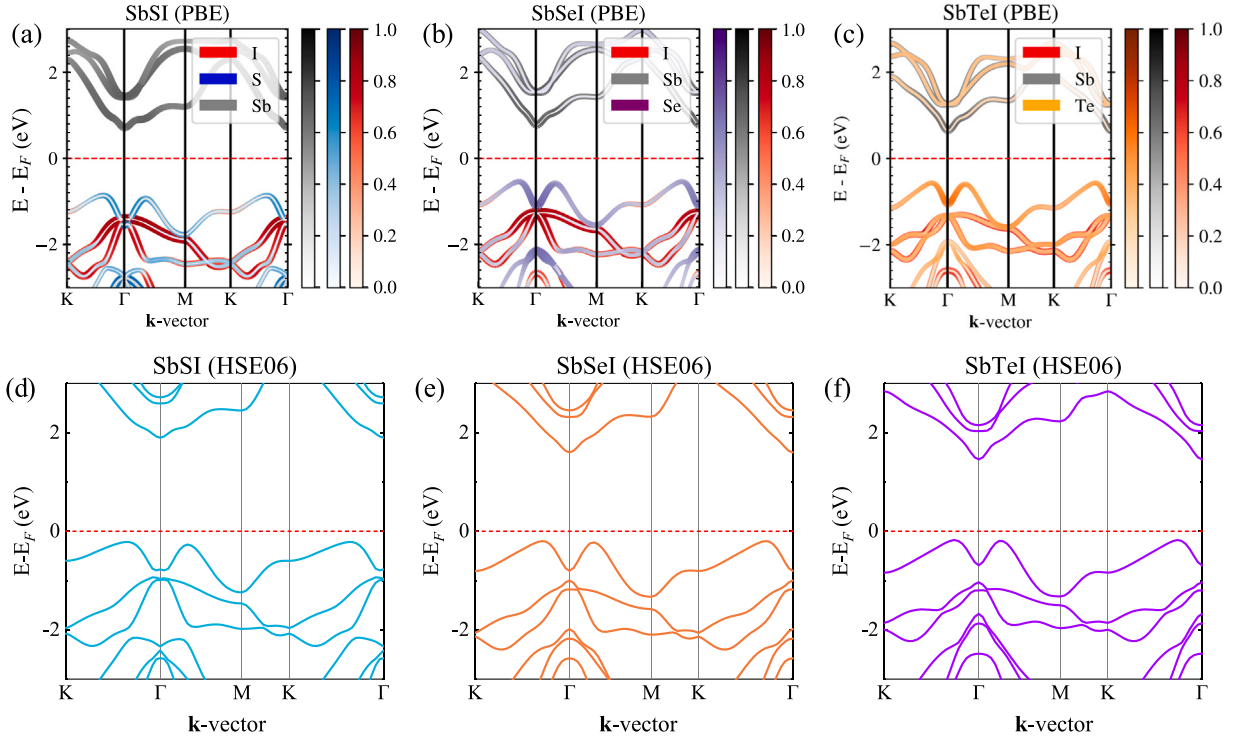


Fig. 4. Atom-projected band structures for Janus (a,d) SbSI, (b,e) SbSeI, and (c,f) SbTeI monolayers calculated using PBE and HSE06 functionals. The Fermi level is set to 0 eV.

components as:

$$\kappa^{\alpha\beta} = \sum_{\lambda} \frac{1}{k_B T^2 V} \times \langle f_0(\omega_{\mathbf{q},\lambda}; T) [1 + f_0(\omega_{\mathbf{q},\lambda}; T)] (\hbar \omega_{\mathbf{q},\lambda})^2 v_{\mathbf{q},\lambda}^{\alpha} F_{\mathbf{q},\lambda}^{\beta} \rangle_{\mathbf{q}} \quad (5)$$

where $\mathbf{q}$ represents the wave vector in reciprocal space, and the equilibrium distribution function $f_0(\omega_{\mathbf{q},\lambda}; T)$ is evaluated at angular frequency $\omega_{\mathbf{q},\lambda}$ and temperature T . The vector $F_{\mathbf{q},\lambda}$, derived from solving the LBTE, is linked to the phonon lifetime [50]. The notation $\langle \dots \rangle_{\mathbf{q}}$ indicates averaging over the first Brillouin zone. The group velocity $v_{\mathbf{q},\lambda}$ and phonon lifetime τ_{λ} are related through:

$$v_{\mathbf{q},\lambda} = \frac{\partial \omega_{\lambda}}{\partial \mathbf{q}}, \tau_{\lambda} = \frac{1}{2\Gamma_{\lambda}(\omega_{\lambda})}, \quad (6)$$

where $\Gamma_{\lambda}(\omega_{\lambda})$ behaves similarly to Fermi's golden rule. To quantify anharmonicity, the Grüneisen parameter γ was introduced, defined as:

$$\gamma_{\lambda}(\mathbf{q}) = -\frac{a}{\omega_{\lambda}} \frac{\partial \lambda}{\partial a}, \quad (7)$$

with a being the lattice parameter. A higher average value of $|\gamma|$ corresponds to increased anharmonicity, leading to enhanced phonon-phonon scattering, which inversely affects lattice thermal conductivity κ_l [51].

The lattice thermal conductivity is a crucial parameter for optimizing thermoelectric efficiency in materials [52,53]. As illustrated in Fig. 5(a), the calculated κ_l values for SbSI are 0.30 (0.17) W/m K at 300 (800) K, while for SbSeI, the values are 0.32 (0.14) W/m K, and for SbTeI, 0.36 (0.20) W/m K. Notably, SbSeI exhibits the lowest lattice contribution at 800 K, suggesting a potentially higher figure of merit (ZT). All three materials show isotropic behavior along the x and y axes, however, the calculated value for SbTeI is lower than that reported in [41], where a lattice thermal conductivity of 0.71 W/m K was obtained, where the authors used the product of the unit cell length and the assumed thickness to normalize the results. In contrast, in our study, the lattice thermal conductivity κ_l was normalized using L_z , following a consistent approach for 2D materials [54].

This low lattice thermal conductivity primarily arises from reduced group velocities and limited phonon lifetimes, as shown in Fig. S4 and summarized in Tab. S1. Our analysis indicates that the optical phonon modes significantly contribute to the lattice thermal conductivity, with contributions of 65.58%, 45.22%, and 50.92% for SbSI, SbSeI, and SbTeI, respectively. Conversely, the acoustic ZA modes account for 4.34% (SbSI), 7.83% (SbSeI), and 19.36% (SbTeI) of κ_l . These contributions are depicted in Fig. 5(b), (e), and (h) as functions of frequency.

Although optical phonons are often considered negligible in many materials, certain compounds, such as BaSnS₂, demonstrate significant contributions from optical modes, with contributions reaching up to 68% [55]. Our findings suggest a clear indication of anharmonicity in the studied monolayers, particularly noticeable at low frequencies between the acoustic and optical modes, as shown in Fig. 3. The absence of a gap between these modes leads to pronounced anharmonicity, resulting in low group velocities and phonon lifetimes, alongside high Grüneisen parameter values of 1.33, 1.90, and 1.64 for SbSI, SbSeI, and SbTeI, respectively. These values are comparable to or exceed those of well-known thermoelectric materials like CoSb₃ ($\gamma = 0.95$) [56] and PbTe ($\gamma = 1.4$) [57]. To comprehensively assess the factors influencing low lattice thermal conductivity, it is essential to ensure that the rotational invariance conditions are satisfied prior to calculations. This guarantees that the out-of-plane (ZA) mode remains strictly quadratic near the gamma point. The rotational summation technique outlined by Born and Huang [33] should be employed, as neglecting it could lead to significant variations in lattice thermal conductivity results, given the sensitivity of phonon modes to minor changes or imaginary frequencies [43,58].

Fig. 5(c), (f) and (i) illustrates the lattice thermal conductivity, exhibits multiple peaks across the frequency spectrum, reflecting the complex interactions of phonons within the material. Notably, the acoustic modes (ZA, TA, LA) dominate the thermal conductivity at lower frequencies, suggesting efficient heat transport mechanisms facilitated by these modes. As the frequency increases, the contributions from optical modes become apparent, although their influence is secondary to that of the acoustic modes.

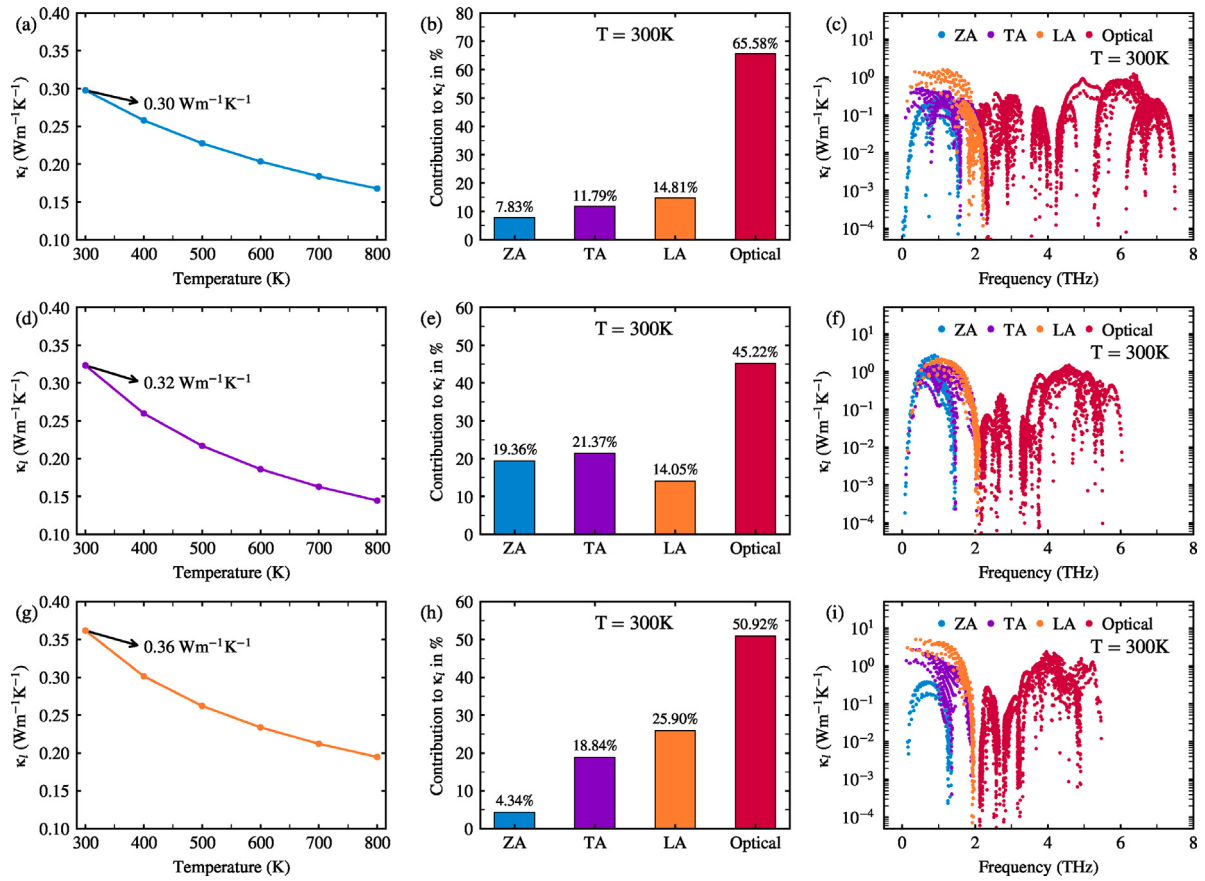


Fig. 5. (a, d, g) Temperature-dependent lattice thermal conductivity, (b, e, h) Contributions of ZA, TA, LA, and optical modes to the lattice thermal conductivity, and (c, f, i) Lattice thermal conductivity as a function of frequency at 300 K. The first, second, and third rows correspond to Janus SbSI, SbSeI, and SbTeI monolayers.

Thermoelectric properties

To assess the efficiency of Janus SbXI (X = S, Se, Te) monolayers, it was calculated the key thermoelectric parameters: the Seebeck coefficient (S), electrical conductivity (σ), electronic thermal conductivity (κ_e), and lattice thermal conductivity (κ_l). These calculations employed the relaxation time (τ) derived from the deformation potential method. The Seebeck coefficient and electrical conductivity exhibit an inverse relationship, both influenced by temperature and chemical potential. As temperature increases, both parameters generally decrease. According to the Wiedemann–Franz law [59], which establishes a proportional relationship between electrical conductivity and electronic thermal conductivity ($\kappa_e = \sigma LT$), higher electrical conductivity corresponds to higher electronic thermal conductivity.

The electronic thermal conductivity (κ_e) is inversely related to the figure of merit (ZT). Consequently, increasing electrical conductivity results in a corresponding increase in electronic thermal conductivity. This relationship underscores the importance of enhancing σ while minimizing thermal conductivity to improve thermoelectric performance.

Fig. 6(a, e, i) presents the calculated Seebeck coefficient around the Fermi level over a chemical potential range of -2 to 2 eV, with temperatures varying from 300 K to 800 K. Negative chemical potentials indicate p -type (hole) behavior, while positive values correspond to n -type (electron) behavior. The Janus SbSI monolayer demonstrated an n -type Seebeck coefficient of 0.85 mV/K at elevated temperatures (800 K), while for the p -type, a slightly higher value of 0.92 mV/K was observed. At 300 K, a value of 1.55 mV/K was calculated for both p - and n -types. These results are shown in Fig. 6(a). The Janus SbSeI monolayer in Fig. 6(e) similarly exhibited a value of 1.55 mV/K for both types at 300 K, but a lower value than SbSI, showing 0.68 mV/K

for n -type and 0.78 mV/K for p -type. Finally, Fig. 6(i) shows the Seebeck coefficient for SbTeI, where at room temperature, $S = 1.55$ mV/K was calculated for both types, while at 800 K, this value decreased to 0.63 mV/K for n -type and 0.73 mV/K for p -type. A low Seebeck coefficient could indicate a low ZT due to their direct relationship, as shown in Fig. 6(d, h, l), but ZT also involves parameters like electrical conductivity. Like the Seebeck coefficient, high values of σ are required to increase ZT . The calculated electrical conductivities are shown in Fig. 6(b) for SbSI, (f) for SbSeI, and (j) for SbTeI around the Fermi level. The highest value calculated was 9.61 (10.61) $\times 10^5$ 1/ Ω m for n -type (p -type) for SbSI at 300 K. Similarly, SbSeI exhibited a σ of 8.55 (10.90) $\times 10^5$ 1/ Ω m for n -type (p -type). The lowest values obtained in this study were for SbTeI, with a σ of 7.86 (8.61) $\times 10^5$ 1/ Ω m for n -type (p -type). Conversely, thermal conductivity, requires low thermal conductivity to achieve high thermoelectric efficiency. In Fig. 6, the electronic thermal conductivity as a function of the chemical potential is displayed. For SbSI, a maximum electronic thermal conductivity of 6.96 (7.34) W/m K for n -type (p -type) was reported at 300 K, while at 800 K, it decreased to 6.19 (6.52) W/m K. For SbSeI, an electronic thermal conductivity of 6.16 (11.88) W/m K was found at 300 K, and at 800 K, values of 5.25 (10.38) W/m K were obtained for n -type (p -type). Lastly, the Janus SbTeI monolayer exhibited the lowest values among the three, with 5.53 (6.13) W/m K at 300 K and 4.62 (5.97) W/m K for n -type (p -type). A is minimal variation in the electronic thermal conductivity κ_e occurs as the temperature increases from 300 K to 800 K. This stability suggests that the electronic transport properties of the material remain relatively unaffected over this range, indicating robust performance in varying thermal conditions.

The thermoelectric efficiency is defined by the dimensionless figure of merit (ZT). In this study, a high value of $ZT = 0.18$ and 1.94 was predicted for SbSI, as shown in Fig. 6(d) for n -type and p -type,

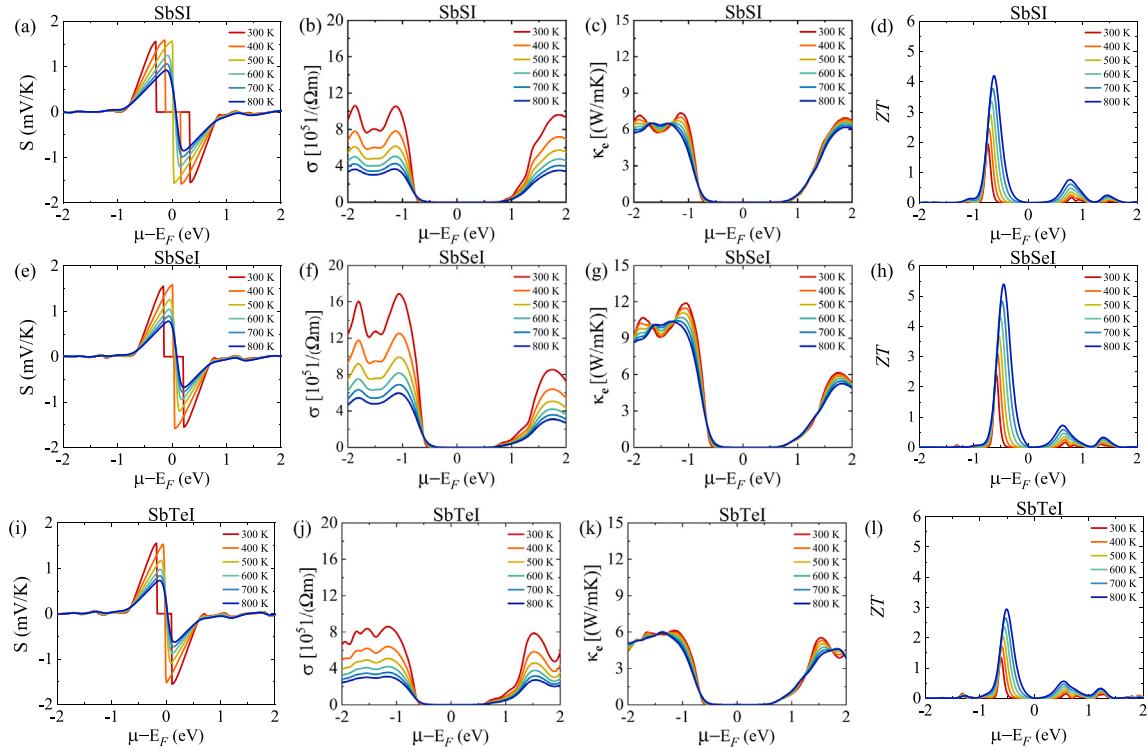


Fig. 6. (a, e, i) Seebeck coefficient, (b, f, j) Electrical conductivity, (c, g, k) Electronic thermal conductivity, and (d, h, l) Figure of merit as a function of chemical potential in a range of 300–800 K at PBE level for Janus SbXI (X = S, Se, Te) monolayers.

Table 3

Thermoelectric properties of *n*-type and *p*-type for Janus SbXI (X = S, Se, Te) monolayers at 300 K and 800 K. Seebeck coefficient *S* in (mV/K), electrical conductivity σ (10^5 1/Ωm), electronic thermal conductivity κ_e (W/m K), and the thermoelectric figure of merit *ZT*.

Type	Monolayer	300 K				800 K			
		<i>S</i>	σ	κ_e	<i>ZT</i>	<i>S</i>	σ	κ_e	<i>ZT</i>
<i>n</i> -type	SbSI	1.55	9.61	6.95	0.18	0.85	3.51	6.19	0.76
	SbSeI	1.55	8.55	6.16	0.17	0.68	3.09	5.25	0.73
	SbTeI	1.55	7.86	5.53	0.15	0.63	2.73	4.62	0.56
<i>p</i> -type	SbSI	1.55	10.61	7.34	1.94	0.92	3.65	6.52	4.20
	SbSeI	1.55	16.90	11.88	2.43	0.78	5.96	10.38	5.39
	SbTeI	1.55	8.61	6.13	1.35	0.73	3.10	5.97	2.95

respectively, at room temperature (300 K), while at 800 K, an ultra-high *ZT* of 0.76 (*p*-type: 4.20) was found. The SbSeI monolayer exhibited the highest figure of merit, with 0.73 for *n*-type and 5.39 for *p*-type at 800 K, while at 300 K, values of 0.17 (2.43) were calculated for *n*-type (*p*-type). Lastly, SbTeI showed a maximum *ZT* of 2.95 for *p*-type and 0.56 for *n*-type at 800 K, while at 300 K, its values were 1.35 (0.15) for *n*-type (*p*-type). It was observed that in all cases, *ZT* increased with temperature, as shown in Table 3. Additionally, it was noted that the peak in the *p*-type region was significantly higher than that in the *n*-type region, indicating the predominance of *p*-type charge carriers across the monolayers.

Optical properties

Optical properties of two-dimensional (2D) materials are crucial for their potential applications in optoelectronics. This study employs the Perdew–Burke–Ernzerhof (PBE) method to investigate the optical properties of Janus SbXI (X = S, Se, Te) monolayers, focusing on the optical absorption spectrum with light polarization parallel to the plane. This approach is necessitated by the significant depolarization effects observed in perpendicular polarization for 2D materials. The real and

imaginary parts of the dielectric function and the absorption coefficient was evaluated, examining the effects of biaxial strain ranging from −8% to 8% in 4% increments. The negative strain ϵ corresponds to compressive strain, while positive strain indicates tensile strain. The frequency-dependent complex dielectric function $\epsilon(\omega)$ is expressed as:

$$\epsilon(\omega) = \epsilon_1(\omega) + i\epsilon_2(\omega) \quad (8)$$

where the real part ($\epsilon_1(\omega)$) is calculated by the Kramer–Kronig transformation after estimating the imaginary part ($\epsilon_2(\omega)$) based on the crystal wave function $|\mathbf{k}n\rangle$ and its eigenvalue energy $E_{\mathbf{k}n}$ with a crystal momentum $\mathbf{k}$ and spin σ [60].

$$\epsilon_2^{ij}(\omega) = \frac{4\pi^2 e^2}{V m^2 \omega^2} \times \sum_{\mathbf{k}n\mathbf{n}'\sigma} \langle \mathbf{k}n\sigma | p_i | \mathbf{k}n'\sigma \rangle \langle \mathbf{k}n'\sigma | p_j | \mathbf{k}n\sigma \rangle \times f_{\mathbf{k}n}(1 - f_{\mathbf{k}n'})\delta(E_{\mathbf{k}n'} - E_{\mathbf{k}n} - \hbar\omega) \quad (9)$$

where e is the fundamental charge and m its mass, ω is the angular frequency of the incoming photon, V is the volume of the unit cell, $\mathbf{p}$ is the momentum operator ($\mathbf{p} = p_x + p_y + p_z$), and $f_{\mathbf{k}n}$ is the Fermi distribution function. Likewise, the real part can be calculated by

$$\epsilon_1(\omega) = 1 + \frac{2}{\pi} P \int_0^\infty \frac{\omega' \epsilon_2(\omega')}{\omega'^2 - \omega^2} d\omega' \quad (10)$$

The refractive index, $n^{ij}(\omega)$ is given directly by

$$n^{ij}(\omega) = \left[\frac{\sqrt{\epsilon_1^{ij}(\omega)^2 + \epsilon_2^{ij}(\omega)^2} + \epsilon_1^{ij}(\omega)}{2} \right]^{\frac{1}{2}}, \quad (11)$$

while the refraction index $k^{ij}(\omega)$ can be work out by

$$k^{ij}(\omega) = \left[\frac{\sqrt{\epsilon_1^{ij}(\omega)^2 + \epsilon_2^{ij}(\omega)^2} - \epsilon_1^{ij}(\omega)}{2} \right]^{\frac{1}{2}}, \quad (12)$$

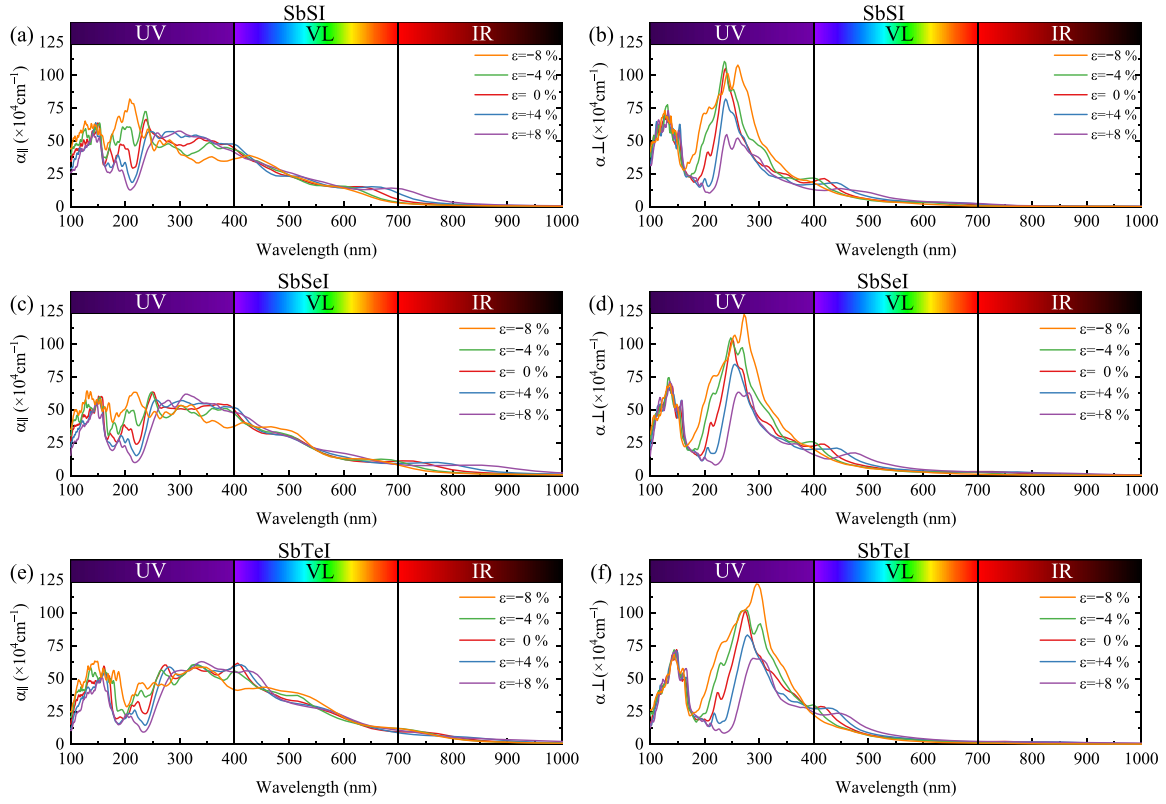


Fig. 7. Absorption coefficient in-plane (a, c, e) and out-of-plane (b, d, f) of Janus SbXI ($X = S, Se, Te$) monolayer as a function of wavelength under $\pm 8\%$, $\pm 4\%$ biaxial strain.

through Eqs. (11) and (12), the absorption coefficient $\alpha^{ij}(\omega)$ can be obtained using

$$\alpha^{ij}(\omega) = \frac{\sqrt{2}\omega}{c} \left[\sqrt{\epsilon_1^{ij}(\omega)^2 + \epsilon_2^{ij}(\omega)^2 - \epsilon_1^{ij}(\omega)} \right]^{\frac{1}{2}} = \frac{4\pi k^{ij}(\omega)}{\lambda}. \quad (13)$$

The reflectivity depends on both $n^{ij}(\omega)$ and $k^{ij}(\omega)$ and is given by

$$R^{ij}(\omega) = \frac{(n^{ij} - 1)^2 + k^{ij^2}}{(n^{ij} - 1)^2 - k^{ij^2}} \quad (14)$$

The real and imaginary components of the complex dielectric function for SbXI ($X = S, Se, Te$) are presented in detail in Fig. S5 in the Supplementary Material, where (a) for $\epsilon = 0\%$ shows a peak in the VL range. Similarly, due to the variation of $\epsilon_1, \epsilon_2 \parallel$ shows a transition towards the IR region for -4% and -8% , while for $+4\%$ and $+8\%$, the maximum value for $\epsilon_1 \parallel$ shifts towards the VL region, with a trend towards the UV. This effect was observed for all three Janus monolayers, as seen in Fig. S5 (a), (e), and (i). In Fig. S5 (c), (g) and (k), the out-of-plane dielectric function is displayed, where it can be observed that anisotropy is exhibited along the polarization directions, $\epsilon_1 \parallel (\omega)$ and $\epsilon_1 \perp (\omega)$.

Furthermore, the absorption coefficient and reflectance properties of the Janus SbXI ($X = S, Se, Te$) monolayer are shown in Fig. 7 and Fig. S6 obtained thanks to the optical relationship [61] using Eq. (12) and Eq. (11) in Eqs. (13) and (14). In particular, for perpendicular polarization calculated with PBE method, SbSI exhibits a significant absorption peak of $110.48 \times 10^4 \text{ cm}^{-1}$ in the UV region ($\lambda = 235.73 \text{ nm}$), as shown in Fig. 7(b), the highest peak occurs under the compression strain of -4% . SbSeI showed an absorption peak of $122.68 \times 10^4 \text{ cm}^{-1}$ with $\lambda = 271.99 \text{ nm}$ located in the UV region. Similarly, SbTeI exhibited the highest peak in the visible region at a wavelength of 294.65 nm , with an absorption coefficient of $121.98 \times 10^4 \text{ cm}^{-1}$, these last two cases occurred for $\epsilon = -8\%$, demonstrating the effectiveness of strain in enhancing the absorption coefficient. In Fig. 7(a, c, e), for parallel polarization or in-plane, it is shown that the absorption coefficient is

lower in the UV range; however, there is greater absorption in the visible light (VL) region. On the other hand, both the dielectric function (Fig. S5), where the excitation peaks are located in the visible light (VL) region consistent with [62] and the absorption coefficient (Fig. 7) exhibit peak values within the same wavelength range as those reported in [48].

The initial peaks in the UV region of the optical absorbance, as depicted in Fig. 7, originate from the first interband transitions of electrons from the S/Se/Te- p orbitals of the valence bands to the Sb- p orbitals of the conduction bands, as shown in Fig. 4. The monolayers studied in this work exhibit a high absorption coefficient for the out-of-plane direction with a predominance in the UV region. These high values of $\alpha_{\perp}(\text{nm}^{-1})$ make these monolayers excellent candidates for solar cells, as there is a direct relationship between the absorption coefficient and the photocurrent. These results are significant in the field of solar cells, as the Janus SbXI ($X = S, Se, Te$) monolayers absorb energy across UV spectrum, making them highly suitable for optoelectronic and photoelectric applications. The effect of compression and tensile deformation, when compared to the equilibrium state, leads to notable variations in the optical properties. Compressive deformation enhances absorption in the UV region, while tensile deformation induces a decrease in absorption [63–65].

Conclusions

In this work, it was systematically investigated the structural, electronic, and thermoelectric properties of Janus SbXI ($X = S, Se, Te$) monolayers using first-principles calculations. All three monolayers demonstrated remarkable stability, with SbSeI exhibiting the highest stability (cohesive energy of -799.32 meV/atom). Electronic structure analysis revealed that Sb atoms significantly contribute to the conduction band minimum (CBM) in all cases. Using both PBE and HSE06 functionals, it was found indirect bandgaps of 1.57 (2.12), 1.30 (1.80), and 1.22 (1.64) eV for SbSI, SbSeI, and SbTeI, respectively. The thermoelectric performance analysis showed promising results, particularly

for *p*-type doping. At 800 K, SbSeI achieved the highest figure of merit (*ZT*) of 5.39, followed by SbSI (4.20) and SbTeI (2.95). Even at room temperature (300 K), these materials maintained impressive *ZT* values of 2.43 (SbSeI), 1.94 (SbSI), and 1.35 (SbTeI). These exceptional thermoelectric properties can be attributed to the remarkably low lattice thermal conductivities: 0.30, 0.32, and 0.36 W/m K at 300 K for SbSI, SbSeI, and SbTeI, respectively. Our findings not only demonstrate the potential of Janus monolayers for thermoelectric applications but also highlight their promise for solar energy harvesting, given their strong UV absorption characteristics. This study establishes low lattice thermal conductivity as a key strategy for enhancing thermoelectric efficiency in 2D materials.

CRedit authorship contribution statement

Fredy Mamani Gonzalo: Writing – review & editing, Data curation, Conceptualization. **Victor José Ramirez Rivera:** Methodology, Investigation. **Julio R. Sambrano:** Visualization, Software, Investigation. **Mauricio Jeomar Piotrowski:** Validation, Methodology, Investigation. **Efracio Mamani Flores:** Writing – review & editing, Supervision, Investigation.

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Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Supplementary data

Supplementary material related to this article can be found online at <https://doi.org/10.1016/j.rinp.2025.108288>.

Data availability

No data was used for the research described in the article.

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