



Universidade Estadual Paulista
Júlio de Mesquita Filho
Faculdade de Odontologia de Araraquara
Programa de Pós-Graduação em Odontologia



Gabriel Garcia de Carvalho

**Terapia Fotodinâmica Antimicrobiana com Utilização da Clorina-e6 sobre Biofilme
Periodontopatogênico**

Araraquara

2020



Universidade Estadual Paulista
Júlio de Mesquita Filho
Faculdade de Odontologia de Araraquara
Programa de Pós-Graduação em Odontologia



Gabriel Garcia de Carvalho

**Terapia Fotodinâmica Antimicrobiana com Utilização da Clorina-e6 sobre Biofilme
Periodontopatogênico**

Dissertação apresentada ao Programa de Pós-Graduação em Odontologia, Área Periodontia, da Faculdade de Odontologia de Araraquara, da Universidade Estadual Paulista "Júlio Mesquita Filho", para obtenção do título de Mestre em Odontologia.

Orientadora: Profa. Dra. Daniela Leal Zandim-Barcelos

Araraquara

2020

Carvalho, Gabriel Garcia de

Terapia fotodinâmica antimicrobiana com utilização da
Clorina-e6 sobre biofilme periodontopatogênico /
Gabriel Garcia de Carvalho.-- Araraquara: [s.n.], 2020
45 f.; 30 cm.

Dissertação (Mestrado em Odontologia) – Universidade
Estadual Paulista, Faculdade de Odontologia

Orientadora: Profa. Dra. Daniela Leal Zandim-Barcelos

Coorientadora: Profa. Dra. Denise Madalena Palomari
Spolidorio

1. Fotoquimioterapia
2. Doenças periodontais
3. Periodontite I. Título

Ficha catalográfica elaborada pela Bibliotecária Marley C. Chiusoli Montagnoli, CRB/5646
Universidade Estadual Paulista (Unesp), Faculdade de Odontologia, Araraquara
Diretoria Técnica de Biblioteca e Documentação

Gabriel Garcia de Carvalho

**Terapia Fotodinâmica Antimicrobiana com Utilização da Clorina-e6 sobre Biofilme
Periodontopatogênico**

Dissertação para obtenção do grau de Mestre em Odontologia

Comissão Examinadora

Profa. Dra. Daniela Leal Zandim-Barcelos (Orientadora)
Departamento de Diagnóstico e Cirurgia / Faculdade de Odontologia de Araraquara - UNESP

Profa. Dra. Carla Raquel Fontana Mendonça
Departamento de Análises Clínicas / Faculdade de Ciências Farmacêuticas de Araraquara -
UNESP

Prof. Dra. Juliana Rico Pires
Centro Universitária da Fundação Educacional de Barretos

Araraquara, 02 de março de 2020

DADOS CURRICULARES

Gabriel Garcia de Carvalho

NASCIMENTO	15 de Abril de 1992 – João Pessoa – PB – Brasil
FILIAÇÃO	Adriana Coeli Garcia de Carvalho Arlan Giovanni Duarte Carvalho
2010 – 2017	Graduação em Odontologia Universidade Federal da Paraíba
2017	Atualização em Cirurgia Oral Associação Brasileira de Odontologia – Secção Paraíba
2018 – Atual	Curso de Pós-Graduação em Odontologia, Área de Concentração em Periodontia – Nível Mestrado Faculdade de Odontologia de Araraquara

*À Telma Ribeiro Garcia (tia - In memoriam),
pelo suporte incondicional desde meu nascimento
por ser exemplo de ética e profissionalismo
por me guiar e ser meu maior desígnio.*

Agradecimentos

Aos meus pais e irmão, por sonharem comigo este caminho, por todo o apoio e incentivo. Os frutos colhidos serão sempre originados dos princípios que eles forneceram em meu crescimento.

A minhas avós, por constituírem a base de minha família, por iluminar o meu caminho com carinho e cuidado.

À Paula, por todo o companheirismo e paciência, pelos desafios superados ao meu lado, por me estimular nas horas difíceis e brindar minhas conquistas.

À professora, Daniela, a Dani!, por compreender minhas dificuldades e extrair o máximo de minha capacidade. Sou grato pela confiança depositada em mim para conduzir este estudo, pelo seu acolhimento e orientação, e pela preciosa contribuição em minha formação pessoal e profissional.

À professora Denise Spolidorio, por me recepcionar com empatia em seu laboratório e me fazer sentir parte de seu honrado grupo de pesquisa. Sua contribuição é amplamente reconhecida nesta pesquisa.

Aos professores Kleber Oliveira e Alessandra Rasteli, pela orientação na área (fotônica) até então pouco conhecida por mim, e pela disponibilidade de material e equipamento para realização deste estudo.

À Patrícia Maquera, a Patty, pela paciência e disposição em transmitir seu conhecimento e acompanhar os ensaios laboratoriais com rigor.

Ao amigo Julio Puetate, pela sua imensa contribuição e parceria para o desenvolvimento deste estudo, mas ainda, por sua amizade e companheirismo, em especial, nos momentos mais difíceis.

Aos companheiros de mestrado, pela parceria e colaboração durante todo o período do curso.

A todos os funcionários da FOAr, que trabalham diariamente para tornar minúscula as nossas imensas dificuldades.

À FOAr – UNESP, pelo apoio institucional.

À CAPES

O presente trabalho foi realizado com o apoio da Coordenação de Aperfeiçoamento de Pessoal de Nível Superior – Brasil (CAPES) – Código de financiamento 001 (Processo: 88882.329991/2019-01).

À FAPESP

FAPESP – Fundação de Amparo à Pesquisa do Estado de São Paulo (Processo Nº 2016/00275-8, 2018/0836-2, 2018/00106-7 e 2013/07276-1) pelo apoio financeiro essencial para realização dessa pesquisa.

Meu Nordeste

Nasci na terra seca, dura e sofrida
Onde não se chora a dor deixada pela ferida
Vi o sol queimar o verde e a flor
Deixando o cinza, como principal cor

Pedi a Deus todos os dias
Pra água cair do céu e me dar alegria
Sofri com a fome e a dor
Mas sigo, singelo, com fé e amor

A cicatriz na pele é fruto do trabalho
De onde tirei o sustento
Dia e noite, sem nenhum atropalho

Minha terra amada, de beleza pura e clara
Cheia de glória e lamento
Lugar do meu nascimento
Minha joia rara*

* Diogo Carneiro, Meu Nordeste. Riacho dos Cavalos – PB, Dia do Nordestino, 08 de outubro de 2019.

Carvalho GG. Terapia fotodinâmica antimicrobiana com utilização da clorina-e6 sobre biofilme periodontopatogênico [dissertação de mestrado]. Araraquara: Faculdade de Odontologia da UNESP; 2020.

RESUMO

A resistência bacteriana é uma ameaça real alertada pela OMS. A terapia fotodinâmica antimicrobiana (TFDA) pode ser uma das soluções para superar este desafio, uma vez que já demonstra ação antimicrobiana frente a inúmeros patógenos. A clorina-e6 (Ce6) provou ser um fotossensibilizador (FS) com potente efeito quando irradiada pela luz vermelha, contra diferentes biofilmes. No entanto, o principal pico de absorção deste FS está no espectro visível de luz azul, ainda insuficientemente investigado. Este estudo teve como objetivo avaliar o efeito da TFDA com utilização da Ce6 irradiada a 450 e 660 nm contra biofilmes relacionados à doença periodontal. Biofilmes monoespécie de *Streptococcus oralis*, *Fusobacterium nucleatum*, *Porphyromonas gingivalis* e *Aggregatibacter actinomycetemcomitans* foram desenvolvidos em condições adequadas por cinco dias. A TFDA foi realizada em diferentes concentrações de fotossensibilizador (100 e 200 µM) e comprimentos de onda de luz (450 e 660 nm), e comparada ao controle negativo (DMSO a 1%) e positivo (clorexidina a 0,2%) por análise de unidades formadoras de colônias (UFC) e microscopia confocal. O uso de luz e FS também foram testados individualmente. A maior redução bacteriana foi observada no grupo em que a TFDA foi realizada com utilização da Ce6 a 200 µM e aplicação de luz azul para todas as cepas (redução de 4,01 log₁₀ para *A. actinomycetemcomitans* e redução total para *P. gingivalis* e *S. oralis*), exceto para *F. nucleatum*, onde o melhor resultado foi obtido com a TFDA utilizando irradiação por luz vermelha (redução de 3,36 log₁₀) (p <0,05). O efeito antimicrobiano da TFDA usando Ce6 contra os biofilmes monoespécie testado foi confirmado por meio de microscopia confocal. FS na ausência de luz reduziu significativamente o número de células viáveis quando comparado ao grupo não tratado, em todos os biofilmes testados, exceto para *F. nucleatum*. A correlação entre redução bacteriana e concentração do FS foi observada apenas em biofilmes de algumas cepas testadas. O uso de TFDA mediado por Ce6 promoveu redução significativa de células bacterianas viáveis, com redução mais evidente nos grupos tratados com luz azul para *S. oralis*, *P. gingivalis* e *A. actinomycetemcomitans*.

Palavras-chave: Fotoquimioterapia. Fármacos fotossensibilizadores. Periodontite.

Carvalho GG. Chlorin-e6-mediated antimicrobial photodynamic therapy against periodontitis-related pathogens [dissertação de mestrado]. Araraquara: Faculdade de Odontologia da UNESP; 2020.

ABSTRACT

Antimicrobial photodynamic therapy (aPDT) has been used in the treatment of many infection diseases as main or adjuvant therapy. Chlorin-e6 (Ce6), as a photosensitizer (PS), has demonstrated significant reduction of microorganisms' viability when irradiated by red light. However, the main absorption peak of this PS is located at blue light visible spectrum, which has been poorly investigated. This study aimed at evaluating the effect of pure-chlorin-e6-mediated aPDT and different light sources (450 or 660 nm) against biofilms related to periodontitis. *Streptococcus oralis*, *Fusobacterium nucleatum*, *Porphyromonas gingivalis*, and *Aggregatibacter actinomycetemcomitans* single-species biofilms were developed under proper conditions for five days. aPDT was performed using different concentrations of Ce6 (100 and 200 μ M), wavelengths (450 and 660 nm) and compared to negative and positive (0.2% Chlorhexidine) control after colony forming unit and confocal laser scanning microscopy (CLSM) analysis. The use of light and PS were also individually tested. The greatest bacterial elimination was observed in the group where aPDT was employed with blue light and concentration of 200 μ M for all bacterial strains tested (reduction of 4.01 log₁₀ for *A. actinomycetemcomitans*, and total elimination for *P. gingivalis* and *S. oralis*), except for *F. nucleatum*, where the lowest mean value was observed in the group that received aPDT with red light and 200 μ M Ce6 (3.36 log₁₀ reduction) ($p < 0.05$). The antimicrobial effects of aPDT mediated by Ce6 for all single pathogenic biofilms were confirmed by live/dead staining under CLSM analysis. For all single-species biofilms, the use of aPDT mediated by chlorin-e6 photosensitizer under blue or red-light irradiation (450 and 660 nm) promoted a significant reduction in bacterial viability, especially in the groups treated with blue light for *S. oralis*, *P. gingivalis*, and *A. actinomycetemcomitans*.

Keywords: Photodynamic Therapy. Photochemotherapy. Photosensitizing Agents. Periodontitis.

SUMÁRIO

1 INTRODUÇÃO	10
2 PROPOSIÇÃO.....	15
3 PUBLICAÇÃO	16
4 CONCLUSÃO	34
REFERÊNCIAS	35
APÊNDICE - METODOLOGIA	40
ANEXO - CERTIFICADO DO COMITÊ DE ÉTICA	45

1 INTRODUÇÃO

A doença periodontal afeta grande parte da população mundial, encontrando-se no grupo das patologias bucais mais recorrentes, sendo uma das principais causas da perda dentária. Essa doença tem sua origem relacionada a fatores microbiológicos, e de maneira geral são divididas em gengivite e periodontite, dependendo do tecido comprometido pela inflamação. Trata-se de uma doença infecciosa dependente da interação de bactérias com seu hospedeiro, estas apresentam fatores de virulência que modificam a resposta imunoinflamatória do hospedeiro, especialmente quando organizadas em forma de biofilme e localizadas no sulco gengival^{1,2}.

A remoção e alteração da microbiota patogênica do biofilme é imprescindível para a prevenção e controle da doença periodontal, sendo a Raspagem e o Alisamento Radicular (RAR) a terapia não-cirúrgica de escolha para tratamento da doença e manutenção da homeostasia periodontal. Apesar dos resultados clínicos positivos obtidos com RAR³⁻⁶, esta terapia pode apresentar limitações e insucessos quando abordada isoladamente em determinados quadros clínicos. Assim, terapias alternativas têm sido investigadas em virtude do limitado acesso à superfície radicular durante RAR⁷⁻⁹, da presença de periodontopatógenos nas bolsas mais profundas¹⁰ e da habilidade de algumas bactérias em invadir tecido adjacente¹¹. Quando consideramos o tratamento de doença periodontal instalada, o uso de antibióticos locais ou sistêmicos é apresentado como alternativa, mas seu uso como coadjuvante pode induzir efeitos sistêmicos indesejáveis e formação de espécies bacterianas resistentes à terapia medicamentosa¹²⁻¹⁴. Além disso, a eficácia da terapia com uso de antibióticos sistêmicos depende da colaboração do paciente em seguir corretamente o protocolo medicamentoso indicado.

Compreendendo o crescimento da resistência das cepas bacterianas pelo uso indiscriminado de antibióticos e o interesse de pesquisadores em buscar estratégias terapêuticas que apresentem pouco ou nenhum efeito colateral, nota-se o crescimento exponencial da utilização dos lasers e de outras fontes de luz no tratamento de diversas patologias, bem como as periodontais^{15,16}. Neste contexto, a terapia fotodinâmica antimicrobiana (TFDA) tem se destacado como um procedimento adjunto no combate de infecções microbianas. Diversos estudos comprovam a eficácia da TFDA, tanto in vitro como in vivo, em animais e humanos, no tratamento das doenças periodontais¹⁷⁻²⁸.

A TFDA consiste na excitação do fotossensibilizador (FS, em seu estado fundamental) por uma luz no espectro visível (laser de diodo semicondutores de baixa potência), com a energia absorvida, esta molécula pode sofrer transição ao estado singlete ou tripleto excitado, que poderá

desencadear três reações. Na reação de tipo I, ocorre a transferência de elétron entre o FS e oxigênio molecular, permitindo o seu retorno ao estado fundamental e a formação de espécies reativas de oxigênio. Na reação tipo II, o FS transfere sua energia de excitação para o oxigênio molecular no estado fundamental, resultando na produção do oxigênio singlete, um poderoso agente oxidante altamente tóxico para as células²⁹. Acredita-se que os processos do Tipo II preservem melhor a estrutura molecular do FS em um estado fotoativável e, em algumas circunstâncias, uma única molécula de FS pode gerar 10 000 moléculas de oxigênio singlete. Uma terceira via (Tipo III), pouco comum, ocorre na ausência de oxigênio, quando a molécula do FS interage diretamente com uma biomolécula, provocando um dano celular (efeito citotóxico) e consequente destruição do FS²⁹⁻³¹. A TFDA não induz a inviabilidade celular de áreas distantes à área tratada, pois seus produtos citotóxicos (ex. oxigênio singlete e hidroxilas) não migram mais que 0,02 mm após sua formação³².

Dentre as vantagens do emprego desta nova terapia, encontram-se: a diminuição da potência e intensidade da fonte de luz utilizada, viabilizando financeiramente o seu uso e reduzindo o risco de danos aos tecidos e microbiota adjacentes; a morte bacteriana rápida e localizada, evitando a manutenção de altas concentrações de fármacos por longo período (antibióticos e antissépticos); o fato do efeito bactericida não estar ligado à mediação de radicais químicos, reduzindo o risco à resistência bacteriana; o acesso facilitado a regiões de difícil acesso pela terapia convencional (RAR), como bolsas profundas e bi- ou trifurcação de raízes³³.

A TFDA tem sido indicada como terapia coadjuvante no tratamento da periodontite, retratamento de bolsas residuais, descontaminação para terapia cirúrgica, terapia de manutenção, dentre outros³⁴⁻³⁶. Sua indicação na terapia de manutenção tem como vantagem o menor desgaste da superfície radicular, que seria um aspecto negativo da utilização da técnica convencional (RAR). Neste caso a PDT seria utilizada isoladamente, reduzindo a incidência de hipersensibilidade causada pela remoção de cimento nas múltiplas sessões de RAR³⁷.

Um fotossensibilizador ideal deve ser atóxico, demonstrar toxicidade local apenas após excitação por luz e ter alto rendimento quântico para produção de espécies reativas de oxigênio (ROS)^{12,38}. Diversos fotossensibilizadores estão descritos na literatura, sendo as fenotiazinas azul de metileno e azul de toluidina os mais referidos^{21,39-44}, porém alguns estudos não têm demonstrado benefícios clínicos adicionais no tratamento periodontal^{21,40,45,46}. Desta forma, novos fotossensibilizadores estão surgindo na estratégia de superar as deficiências destes citados

anteriormente.

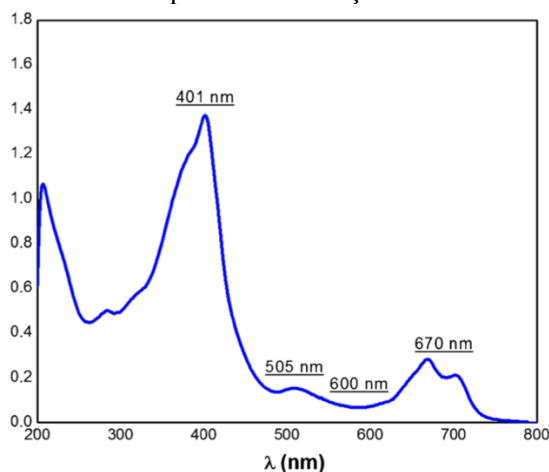
A clorina-e6 (Ce6) surgiu em meados dos anos 90 como um FS de segunda geração bastante promissor inicialmente em terapias oncológicas⁴⁷. A Ce6 e seus derivados estão sendo utilizados em diversos tratamentos com utilização de luz, como cânceres^{48,49}, leucoplasias⁵⁰, infecções endodônticas⁵¹, e contra cepas de *Streptococcus mutans*⁵². Algumas formulações a base de clorina-e6 estão disponíveis no mercado para tratamento antibacteriano, antienvhecimento e antineoplásico, como Revixan[®], Photolon[®] e Photodithazine[®]. Este FS também demonstrou atividade antimicrobiana, in vitro, quando utilizado sobre bactérias presentes na doença periodontal na forma planctônica, apresentando redução das unidades formadoras de colônia⁵³⁻⁵⁵. Os estudos citados não testaram a utilização desse FS contra microrganismos organizados na forma de biofilme. Os biofilmes são estruturas biológicas constituídas por microrganismos envoltos por uma matriz extracelular de polissacarídeos, que assegura a sobrevivência dos mesmos^{56,57}, agindo como uma barreira protetora a agentes físicos e químicos externos, o que pode limitar a penetração de agentes antimicrobianos⁵⁸. Particularmente na cavidade oral, o biofilme é responsável pelo desenvolvimento de diversas patologias, incluindo a doença periodontal. Também não foram encontrados na literatura estudos clínicos avaliando a eficácia do uso deste FS diretamente no tratamento da doença periodontal.

Fotossensibilizadores a base de clorina-e6 apresentam dois picos de absorção de luz, na luz vermelha e azul (Gráfico 1). Tradicionalmente, a luz vermelha é preferida por se acreditar em sua maior capacidade de penetração nos tecidos. Porém, comprimentos de onda em torno de 412 nm (azul) apresentaram 30-33% maior coeficiente de absorção de energia e efeito bactericida que comprimentos de onda equivalentes a luz visível vermelha⁵⁹. Estes resultados demonstram que fotossensibilizadores excitados em comprimentos de onda na luz visível azul podem ser preferidos em terapias que visem tratar superfícies, como é o caso dos protocolos antimicrobianos sobre biofilmes.⁶⁰ Um estudo recente avaliou a ativação deste FS nos dois comprimentos de onda, 405 nm (luz azul) e 660 nm (luz vermelha). Para a pele, os valores de saturação foram de aproximadamente 0,2 J/mm² para ambos os comprimentos de onda, indicando que cerca de 40% do incidente da energia é absorvida dentro do tecido. No entanto, para a mucosa, o valor de saturação para comprimento de onda de 405 nm foi de 0,28 J/mm² (56% da intensidade do incidente é absorvida); e para o de 660 nm, a energia absorvida foi muito menor, aproximando-se de 0,03 J/mm² (6% da intensidade do incidente) para a espessura da camada de 4 mm. Por este motivo a

luz azul tem atraído a atenção de pesquisadores e indústrias que sugerem a utilização da luz azul em tratamentos dermatológicos. Em um estudo de otimização de solventes utilizados na diluição de fotossensibilizadores, foi possível observar maior espectro de absorção quando a Ce6 foi diluída em solventes polares e excitada sobre comprimentos de onda de luz azul⁶¹.

É importante levantar que a luz azul está comumente presente na prática odontológica, onde é utilizada na polimerização de materiais restauradores ou fotoativação de adesivos e clareadores, portanto, estes equipamentos já disponíveis no consultório podem ser empregados na terapia fotodinâmica quando fotossensibilizadores ativados na luz azul são escolhidos, reduzindo eventuais custos relativos à equipamentos necessários para realização da TFDA.

Gráfico 1- Espectro de absorção da clorina-e6



Fonte: Elaboração própria.

De maneira geral, poucos estudos avaliaram o efeito antimicrobiano da TFDA com utilização da Ce6 como fotossensibilizador contra patógenos orais^{53-58,62}. Um estudo desenvolvido recentemente avaliou a efetividade de um fotossensibilizador a base de clorina-e6 (Photodithazine[®]) contra biofilme monoespécie de microrganismos periodontopatogênicos, onde a TFDA com uso da clorina-e6 apresentou redução significativa da viabilidade microbiana (1,12 e 2,66 $\log_{10}$)⁶³. O Photodithazine[®] é um fotossensibilizador composto por Ce6 (~60%) e outros derivados do extrato bruto da clorofila. No presente estudo, foi testado o extrato puro da Ce6 (100%), composto ainda não patentado, desenvolvido pelo Laboratório de Química Bio-orgânica, UFSCar – São Carlos. Não há registro de estudos que tenham avaliado a excitação de fotossensibilizadores a base de Ce6 em comprimentos de onda na luz azul contra microrganismos.

Além disto, até o presente momento, não existem relatos na literatura do emprego da TFDA com uso da clorina-e6 como terapia adjunta no tratamento da doença periodontal. Assim, desenvolvemos o presente estudo com a seguinte hipótese principal: a terapia fotodinâmica com utilização da Ce6 apresenta efeito antimicrobiano sobre biofilme de periodontopatógenos.

2 PROPOSIÇÃO

O objetivo deste estudo foi testar o efeito antimicrobiano da terapia fotodinâmica com utilização da Ce6 irradiada por luz azul ou vermelha sobre biofilme de periodontopatógenos, logo consideramos a seguinte hipótese nula: A terapia fotodinâmica antimicrobiano com utilização da clorina-e6 irradiada por luz azul ou vermelha não elimina biofilme periodontopatogênico.

3 PUBLICAÇÃO*

O presente estudo representa os primeiros resultados de uma série de experimentos desenvolvidos para testar a terapia fotodinâmica com utilização da clorina-e6 como possível terapia adjunta no tratamento da doença periodontal. Neste primeiro artigo, buscamos testar o efeito antimicrobiano da terapia citada sobre biofilme monoespécie de espécies com papel importante e reconhecido no desenvolvimento da doença periodontal.

* Artigo formatado segundo as normas do periódico Photodiagnosis and Photodynamic Therapy para o qual foi submetido.

RESEARCH ARTICLE

Photodynamic Inactivation using a Chlorin-Based Photosensitizer with Blue or Red-Light Irradiation against Single-Species Biofilms Related to Periodontitis

Running title: Chlorin-mediated photodynamic inactivation against periodontal pathogens

Gabriel Garcia de Carvalho^a

Julio Cesar Sanchez-Puetate^a

Maria Carolina Donatoni^b

Patricia Milagros Maquera Huacho^c

Alessandra Nara de Souza Rastelli^d

Kleber Thiago de Oliveira^b

Denise Madalena Palomari Spolidorio^c

Daniela Leal Zandim-Barcelos^{*a}

Email address: garcia.carvalho@unesp.br, julio.sanchez@unesp.br, carolinadonatoni@yahoo.com.br, pattymi_6@hotmail.com, alessandra.nara-souza-rastelli@unesp.br, kleber.oliveira@ufscar.br, dmps@foar.unesp.br, daniela.zandim-barcelos@unesp.br.

^aDepartment of Diagnosis and Surgery, São Paulo State University (Unesp), School of Dentistry, Rua Humaitá, 1680, 14801-903, Araraquara, SP, Brazil.

^bDepartment of Chemistry, Federal University of São Carlos (UFSCar), São Carlos, SP, 13565-905, Brazil

^cDepartment of Physiology and Pathology, São Paulo State University (Unesp), School of Dentistry, Rua Humaitá, 1680, 14801-903, Araraquara, SP, Brazil.

^dDepartment of Restorative Dentistry, São Paulo State University (Unesp), School of Dentistry, Rua Humaitá, 1680, 14801-903, Araraquara, SP, Brazil.

***Corresponding author:**

Daniela Leal Zandim-Barcelos

Department of Diagnostic and Surgery, Araraquara School of Dentistry, São Paulo State University, Humaitá, 680, 14801-385, Araraquara, São Paulo, Brazil.

Tel: +55-16- 3301-6508

E-mail: daniela.zandim-barcelos@unesp.br

Author role

All authors contributed to the manuscript.

Funding

This work is supported by the Araraquara School of Dentistry, University of São Paulo State – UNESP.

Declaration of Competing Interest

None of the authors has any conflict of interest to be disclosed.

Conflict of interest statement

This manuscript has been read and approved in final form by all authors and the authors declare no conflicts of interest.

Abstract

Antimicrobial photodynamic inactivation (PDI) has been used in the treatment of many infection diseases as main or adjuvant therapy. Chlorin-e6 (Ce6), as a photosensitizer (PS), has demonstrated significant reduction of microorganisms' viability when irradiated by red light. However, the main absorption peak of this PS is located at blue light visible spectrum, which has been poorly investigated. This study aimed to evaluate the effect of pure-chlorin-e6-mediated PDI using different light sources (450 or 660 nm) against biofilms related to periodontitis. *Streptococcus oralis*, *Fusobacterium nucleatum*, *Porphyromonas gingivalis*, and *Aggregatibacter actinomycetemcomitans* single-species biofilms were developed under proper conditions for five days. PDI was performed using different concentrations of Ce6 (100 and 200 μ M), wavelengths (450 and 660 nm) and compared to negative and positive (0.2% Chlorhexidine) control after colony forming unit and confocal laser scanning microscopy (CLSM) analysis. The use of light and PS were also individually tested. The greatest bacterial elimination was observed in the group where PDI was employed with blue light and concentration of 200 μ M for all bacterial strains tested (reduction of 4.01 $\log_{10}$ for *A. actinomycetemcomitans*, and total elimination for *P. gingivalis* and *S. oralis*), except for *F. nucleatum*, where the lowest mean value was observed in the group that received PDI with red light and 200 μ M Ce6 (3.46 $\log_{10}$ reduction) ($p < 0.05$). A correlation between bacterial elimination and PS concentration was not observed. The antimicrobial effects of PDI mediated by Ce6 for all single pathogenic biofilms were confirmed by live/dead staining under CLSM analysis. For all single-species biofilms, the use of PDI mediated by chlorin-e6 photosensitizer under blue or red-light irradiation (450 and 660 nm) demonstrated a significant reduction in bacterial viability, especially in the groups treated with blue light for *S. oralis*, *P. gingivalis*, and *A. actinomycetemcomitans*.

Key Words: Photodynamic Therapy, Photochemotherapy, Photosensitizing Agents, Periodontitis.

1 – Introduction

Periodontitis along with dental caries are the most common oral infection disease and, both can lead to tooth loss. The prevalence of periodontitis is reported to range from 31% to 76%¹, and its severe form affects 11% of the global population². Oral biofilms, composed of pathogenic bacterial species and their virulence factors, are well-established as the key etiological agent of periodontal diseases³. Microorganisms such as *Fusobacterium nucleatum*, *Aggregatibacter actinomycetemcomitans*, and *Porphyromonas gingivalis*, are often found in periodontal sites with clinical signs of disease⁴. These bacteria are thought to trigger an exacerbated inflammatory response and consequent progression of disease severity⁵.

The excessive, neglected and uncontrolled use of antibiotics led the scientific community to an endless exploration of new therapeutic approaches, since bacterial resistance development to conventional antimicrobial agents is just a matter of time. Trivial infections will soon become untreatable for current available drugs⁶. The 2014 World Health Organization (WHO) report warns for the post antibiotic era followed by an increased and urgent demand of coordinated action against microbial infections⁷. Antimicrobial photodynamic inactivation (PDI) emerges as a promising alternative against this humanity threat⁸.

The first PDI report dates back to the very beginning of 20th century, latterly this therapy was suppressed by the antibiotic era⁹. Under light excitation, a photosensitizer (PS) increases its energy, and from this point the PDI's mechanism can head towards two major pathways: (I) the energy of the PS induces the formation of radicals in the presence of electron-rich substrates; (II) the excited PS transfers its energy directly to the molecular oxygen that surrounds it, forming singlet oxygen ($^1\text{O}_2$)^{10, 11}. PDI has proved to be effective for cancer and different infection diseases¹². Compared to conventional antimicrobial therapies, PDI shows some advantages: effect on multiple cellular targets, immediate onset of action, local delivery, minimal invasiveness, and weak systemic repercussion (ensure organ preservation), limited diffusion distance, and quick washout¹³⁻¹⁶. In terms of periodontitis, PDI has proved to be safe and effective as an adjunct to conventional periodontal disease treatment in various studies¹⁷⁻¹⁹.

The high complex multispecies subgingival biofilm, which might be up to 1000 times more difficult to arrest²⁰, the gingival crevicular fluid drainage and saliva fluid turnover²¹, and the difficult access to affected sites are the main challenges for chemical control of the subgingival biofilm. Therefore, mechanical removal remains the essential treatment for periodontitis while chemical/photochemical alternatives need improvements. PDI advancements have been studied mainly through the addition of nanoparticle vehicle^{14, 21-23}, enhancement with efflux pump inhibitor²⁴, synergistic effect with antimicrobial peptides²⁵, and light

regimen optimization²⁶. This last is one of the targets in the present study.

Chlorin-e6 (Ce6) emerged in the mid-1990s as a very promising second-generation PS initially tested in cancer therapies²⁷. Ce6 and its derivatives have been tested in several light-based treatments for oral bacterial infections related to caries lesion²⁸, endodontic contamination²⁹, and periodontitis^{30,31}, proving their efficacy against biofilms of gram positive and negative bacteria. Chlorin-e6 act predominantly by type II mechanism with singlet oxygen quantum yield between 0.5 and 0.8, while traditional PSs, such as methylene blue shows coefficients below 0.5³². Among other PSs, Ce6 is a promising PS agent with high purity and sensitizing efficacy, low toxicity, enough solubility, and rapid elimination from the body^{32,33}.

Two pronounced absorption peaks, at 401 and 670 nm, in the blue and red regions of the visible range, can be observed for Ce6. Traditionally, red light is employed because of its greater ability to penetrate tissues. However, wavelengths around 412 nm showed 30-33% higher energy absorption coefficient and greater bacterial killing effect than wavelengths equivalent to the red light²². Later, Shakhova and collaborators²⁶, showed that saturation value of absorption for 405 nm wavelength in the mucosa is 0.28 J/mm² (56% of incident intensity is absorbed); and at 660 nm, the absorbed energy is much lower, approaching 0.03 J/mm² (6% of incident intensity) through 4 mm layer thickness. Evidences regarding biomodulation and toxicity at different wavelengths might support modifications in light regimen when PDI is employed.

From the best of our knowledge, there are only two studies evaluating PDI reaction or antimicrobial effect depending on the wavelength employed^{26,34}. There are no studies evaluating the use of chlorin-mediated PDI for periodontitis treatment *in vivo*, and only few studies investigating the antimicrobial effect of Ce6 against periodontal pathogens *in vitro*^{21,23,25}, none of them using blue light activation. Therefore, this study aimed at testing the following main hypothesis: “photodynamic inactivation using pure-chlorin-e6 irradiated by blue and red light has antimicrobial effect on periodontal-related biofilm”.

2 – Material and Methods

2.1 Photosensitizer and Light Source

Chlorin-e6, a chlorophyll derivative, was semi-synthesized as described by Uliana and co-workers at the Bioorganic Chemistry Laboratory – UFSCar – São Carlos-SP³⁶. Stock solution was prepared by dissolving 0.01193 g of Ce6 (dye) in 1 mL DMSO (Dimethyl sulfoxide, Sigma-Aldrich, St. Louis, MO, USA) to reach 20 mM concentration, mixed (VX-200 Vortex Mixer, Edison, NJ, USA), and stored in the dark at -20°C until use. Working solution was prepared 30 min before each experiment by dissolving stock

solution in distilled water to 100 μM (59.7 mg/L) and 200 μM (119.34 mg/L) concentration and stored in the dark at room temperature until use.

Blue and red light-emitting diode (LED) irradiation was used at a fluence of 30 J/cm² (22 mW/cm² under 450 nm excitation wavelength for 22 min and 40 s, and 19 mW/cm² under 660 nm for 26 min and 20 s), irradiation was performed from underneath. Both light source (Biotable, MMOptics, São Carlos, SP, Brazil) consisted of 24 LED as a continuous and homogeneous illumination system under water cooling. PS concentrations and light dose were determined based on a pilot study previously developed by our research group.

2.2 Human Saliva Preparation

Before human saliva collection, the protocol was approved by the Research Ethics Committee of the School of Dentistry at Araraquara, São Paulo State University (CAAE 23396619.5.0000.5416). Unstimulated saliva was obtained from a 27-years-old healthy male with no active caries or periodontal disease, no orthodontic appliance, no systemic disease, no teeth brushing or food/beverage intake in the 12 h prior to donation, and no systemic antimicrobial therapy for at least six months before collection. Saliva was processed by centrifugation at 10,000 g for 15 min at 4°C (Centrifuge 5430R, Eppendorf, Hamburg, Germany), and then filtered with a Sterile Syringe Membrane Filter (0.22 μm pore size, Kasvi, São Jose do Pinhais, PR, Brazil), and stored at -80°C until use (Thermo Scientific 88400D, Massachusetts, EUA) (35).

2.3 Bacterial Strain and growth conditions

The bacterial strains used in this study were *Streptococcus oralis* (American Type Culture Collection - ATCC 35037), *Fusobacterium nucleatum* (ATCC 25586), *Porphyromonas gingivalis* (ATCC 33277) and *Aggregatibacter actinomycetemcomitans* (JP2, kindly provided by Prof. Mario Júlio Ávila-Campos from University of Sao Paulo – USP, São Paulo, Brazil). All bacterial strains were cultured in enriched brucella agar Petri dishes (Brucella Agar, Neogen, Idaiatuba, SP, Brazil; Petri dish 90 x 10 cm, Kasvi, São Jose do Pinhais, PR, Brazil) with 5% defibrinated sheep blood (Microlab, Campinas, SP, Brazil) at 37°C under proper atmosphere condition for each bacterial strain; anaerobic condition for *F. nucleatum* and *P. gingivalis* (85% N₂, 10% H₂ e 5% CO₂, White Martins, Rio de Janeiro, RJ, Brazil) maintained in anaerobic workstation (VA 500, Don Whitley Scientific, England); and incubation in a 5% CO₂ environment (Incubator, GE Healthcare Life Sciences, Chicago, IL, USA) for *S. oralis* and *A. actinomycetemcomitans*.

After 48 h, bacterial colonies were transferred to 10 mL Brain Heart Infusion (BHI – Difco Laboratories Inc, MI, USA) broth medium supplemented with yeast extract (6 g/L), hemin (10 mg/mL) and

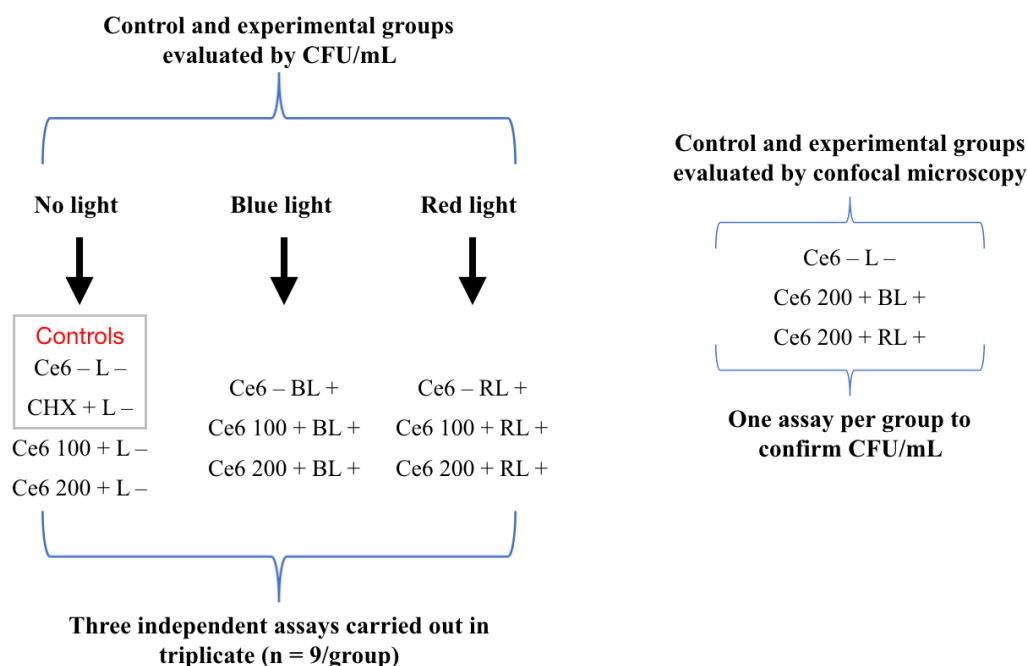
menadione (5 mg/mL), followed by incubation in the conditions previously described for 24 h. Finally, bacterial cells were adjusted in fresh medium according to the mid-exponential growth phase established previously, by optical density and colony-forming units (CFU)/mL (*P. gingivalis*, 15 h, OD600 nm = 0.95 ± 0.01 , CFU/mL = 4.3×10^9 ; *F. nucleatum*, 10 h, OD600 nm = 0.45 ± 0.01 , CFU/mL = 1×10^8 , *S. oralis*, 4 h, OD600 nm = 0.55 ± 0.01 , CFU/mL = 1.5×10^9 , *A. actinomycetemcomitans*, 8 h, OD600 nm = 0.18 ± 0.01 , CFU/mL = 5.66×10^8) until reaching 10^7 CFU/mL using a spectrophotometer (BioSpectrometer® D30, Eppendorf, Hamburg, Germany) under 600 nm³⁷.

2.4 Biofilm Formation

All bacterial strains were grown as a single-species biofilm model as previously described³⁵. Salivary pellicle formation was carried out at the bottom of each well of a 96-well plate (Kasvi, São Jose do Pinhais, PR, Brazil) for *P. gingivalis* biofilm, in order to promote an irreversible initial attachment. Fifty microliter of sterilized saliva (protocol described earlier) was add to each well and the plate was kept in an orbital shaker (430 RD incubator, Nova Etica, Brazil), 75 rpm at 37°C for 4 h³⁸. In sequence, the saliva was removed, and the wells were gently washed twice with 200 µL of sterile phosphate-buffered saline (PBS). A 200 µL aliquot of the standardized suspension (10^7 CFU/mL) of each bacterial species was dispensed into each well (only *P. gingivalis* plates were pretreated with a conditioned saliva-derived film), plates were incubated under proper condition. After 24h of the adhesion phase, nonadherent cells were removed by adding and aspirating 200 µL of PBS, and 200 µL of fresh medium was added to continue biofilm formation. Culture medium was replaced daily, for 5 days, very slowly, to avoid biofilm disruption. For all experimental groups, the wells were washed twice at the end of incubation period and before further analyses.

2.5 Control and experimental groups

Ten groups were divided in three independent plates (no light, blue light and red light) for analysis of viable bacteria, as follows: 1) Negative control, treated with 1% DMSO (Ce6 – L –); 2) Positive control, treated with 0.2% Chlorhexidine for 5 min (CHX + L –); 3) Ce6 100 µM in the dark (Ce6 100 + L –); 4) Ce6 200 µM in the dark (Ce6 200 + L –); 5) treated with blue light without Ce6 (Ce6 – BL +); 6) Ce6 100 µM with blue light (Ce6 100 + BL +); 7) Ce6 200 µM with blue light (Ce6 200 + BL +); 8) treated with red light without Ce6 (Ce6 – RL +); 9) Ce6 100 µM with red light (Ce6 100 + RL +); 10) Ce6 200 µM with red light (Ce6 200 + RL +). Two experimental groups that indicated the greatest reduction in the analysis of viable bacteria were selected to be analyzed at confocal microscopy, and also the negative control group. The groups and methods investigated in this study are represented in **Scheme 1**.



Scheme 1. A schematic design of the experimental and control groups conducted in this investigation. Ce6: chlorin-e6; CHX: chlorhexidine (0.2%); L: light; BL: blue light; RL: red light; 100 and 200: concentrations of Ce6 in millimolar (mM). Positive and negative symbols indicate the presence and absence of substance or light, respectively.

2.6 Photodynamic Inactivation

In summary, after the steps described in biofilm formation section, 200 μ L of treatment solution (1% DMSO, CHX and Ce6 under both concentrations) were added according to experimental groups (section 2.5). All groups with Ce6 were incubated in the dark at room temperature for 5 min, as a preirradiation time. Subsequently, the groups P – BL +, Ce6 100 + BL +, Ce6 200 + BL + were irradiated by blue LED, just as groups P – RL +, Ce6 100 + RL +, Ce6 200 + RL + were irradiated by red LED, for the time described in the section 2.1. The plate with no light regimen were maintained in the dark for the same time as red-light groups (26 min and 20 s), to analyze any possible effect of PS against biofilm without light.

2.7 Viable bacteria by colony forming unit (CFU) analysis

Following treatment protocols, 200 μ L of PBS were added to all of the wells. Biofilms were collected from the polystyrene surface by mechanical scraping with a sterile pipette tip and re-suspended several times to disperse cells. Serial dilution was carried out and 25 μ L of each dilution sample was plated onto petri dish with the culture medium previously described. The petri dishes were incubated for 1 to 3 days depending on the bacterial species. After incubation, images of all samples were taken (6D Mark II, Canon, Tokyo, Japan), colony counts were performed using a software of image analysis (ImageJ, NIH, Bethesda, Maryland, USA) and expressed as CFU/mL³⁵.

2.8 Confocal laser scanning microscopy analysis (CLSM)

Biofilms were grown in 48-well plates and treated as described in Sections 2.4 and 2.6. The biofilm thickness and bacterial cell viability were evaluated after staining with Live/Dead BacLight™ Bacterial Viability staining assay (Invitrogen, Carlsbad, CA, USA) according to the manufacturer's instructions. After PBS washing, biofilms were analyzed with LSM 800 confocal laser-scanning microscope (Zeiss, Jena, Germany). Images were taken through 10× dry (EC Plan-Neofluar NA 0.3 air) objective. Excitation wavelength of 488 nm (Ar laser) and 561 nm (HeNe laser) were employed to visualize the distribution of live and dead bacteria³⁵.

2.9 Statistical Analyses

Three experiments were performed independently in triplicate at different times for CFU analysis. Thus, a total of nine wells were used per group ($n = 9$). Data distribution was assessed considering descriptive statistical analysis and Shapiro Wilk test. The CFU/mL counts were $\log_{10}$ transformed and subjected to one-way analysis of variance (ANOVA) with Welch's correction and Games-Howell post hoc test for pairwise comparison ($p < 0.05$). Data presented are plotted as the mean $\pm$ standard deviation (SD). Statistical analyses were performed using IBM® SPSS® Statistics Grad Pack 26.0 (New York, USA), a significance level of 5% was considered for all analyses. For confocal analysis, experiments were performed twice in duplicate to ensure reproducibility.

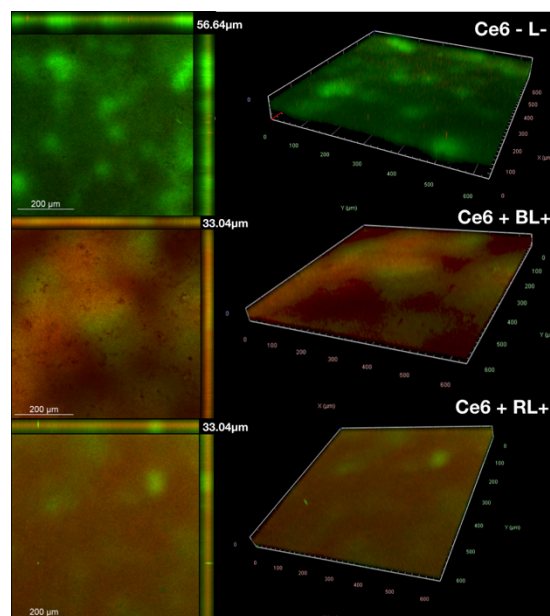
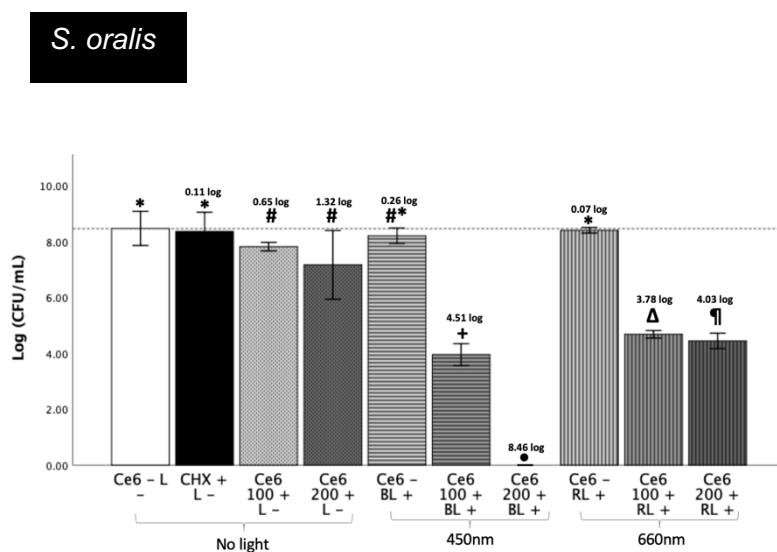
3 – Results

The CFU/mL counts showed that all bacterial strains formed large amounts of mature biofilm on the surface of the wells, ranging from 7.84 to 9.17 $\log_{10}$ in negative control groups. Negative controls were treated with DMSO at a noninhibitory concentration (1%), as great solvent vehicle³⁹. The experiment was designed to identify any effect of light and photosensitizer individually, and the combination of both (PDI). All groups were submitted to a pairwise comparison. The numbers of viable colonies from single-species biofilms after treatments are shown in the **Figure 1**.

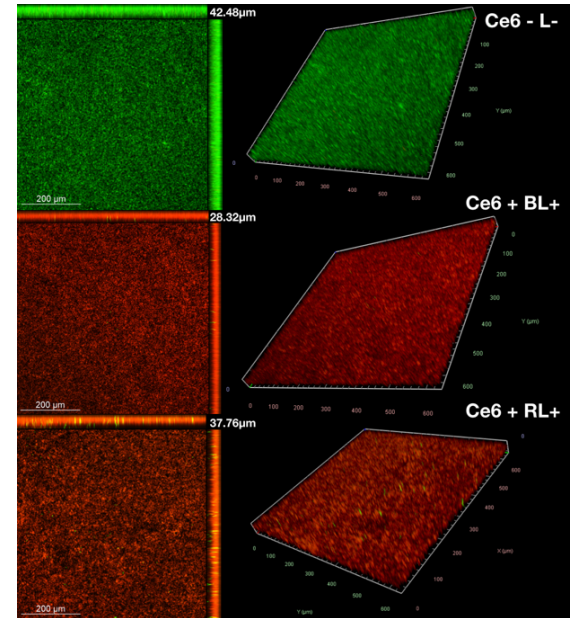
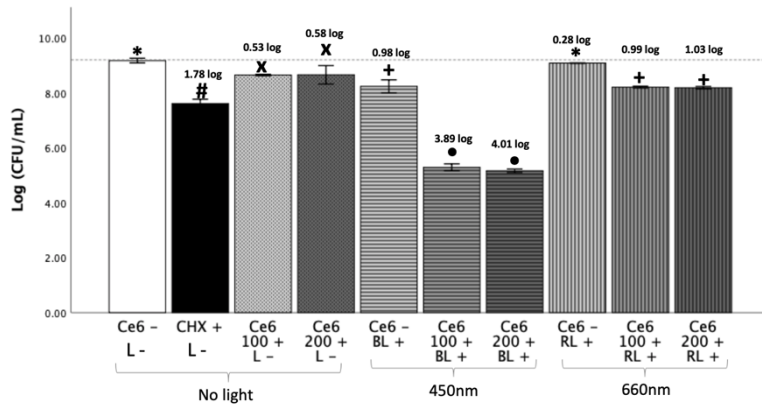
The positive control (Chlorexidine 0,2%, for 5 min) demonstrate statistically significant effect only against *A. actinomycetemcomitans* strain. For all bacterial strains, except *F. nucleatum*, the PS in the absence of light irradiation demonstrated some reduction of the number of viable bacteria when compared to the untreated group. Briefly, the greatest bacterial elimination was observed in the groups where PDI was performed using 200 μ M of Ce6 and blue light was applied for all bacterial strains (reduction of 4.01 $\log_{10}$ for *A. actinomycetemcomitans*, and total elimination for both, *P. gingivalis* and *S. oralis*), except for *F.*

nucleatum, where the lowest mean was observed in the group treated with 200 μ M of Ce6 and red light (3.46 log₁₀ reduction).

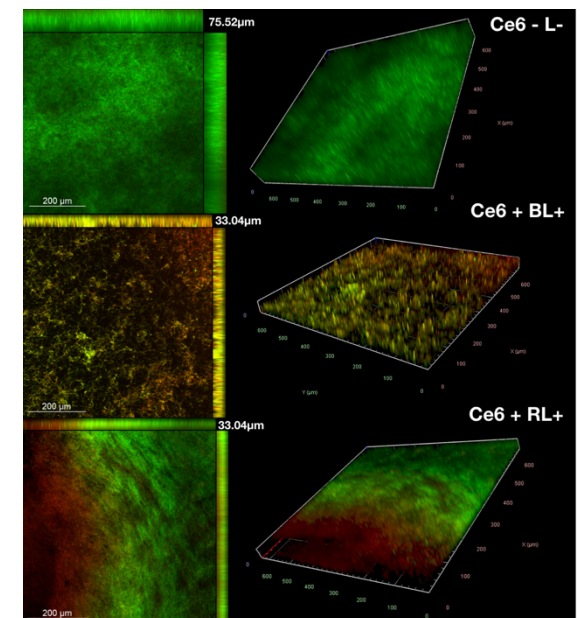
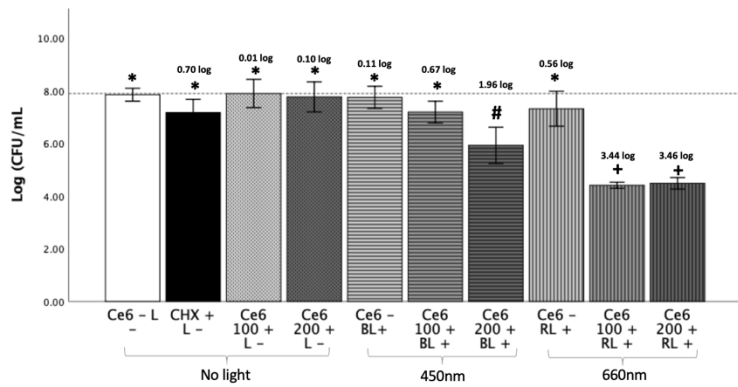
In general, the LED irradiation alone, blue or red, did not show antimicrobial activity. For all bacterial strains, the use of PDI mediated by Ce6 photosensitizer demonstrated significant reduction of viable bacteria, regardless of the PS concentration used. The groups where Ce6 at 200 μ M were irradiated by blue or red light presented a great decrease in the CFU counts. For this reason, only these groups were selected for confocal analyses. In addition, the reduction of viability was more evident in the groups treated with blue light for *A. actinomycetemcomitans*, *P. gingivalis*, and *S. oralis*. The distribution of stained bacteria and biofilm thickness under confocal analysis confirmed the CFU/mL results previously obtained, with a viewable number of dead bacteria after PDI treatment (**Figure 1**).



A. actinomycetemcomitans



F. nucleatum



P. gingivalis

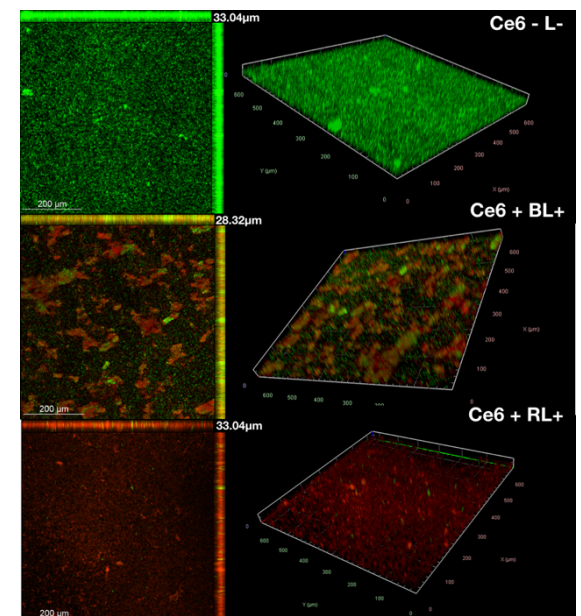
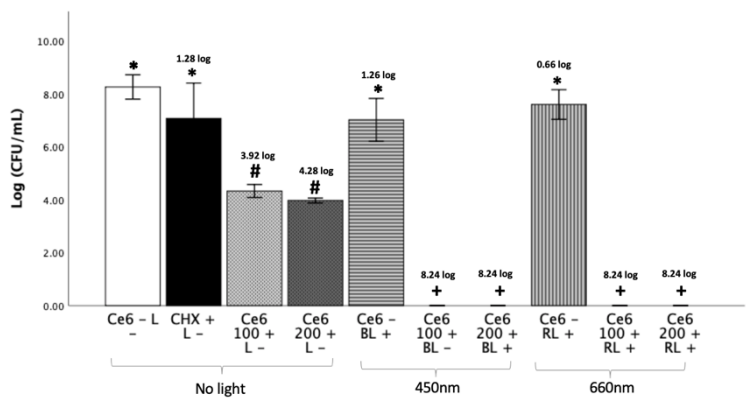


Fig 1. Effect of light and two concentrations (100µM and 200 µM) of chlorin-e6 irradiated by 450 and 660nm on *S. oralis*, *A. actinomycetemcomitans*, *F. nucleatum*, *P. gingivalis* biofilms. Bacterial counts after treatments are showed in logarithm of colony-forming units (CFU) per milliliter. Different symbols (*#+•Δ¶) indicate significant differences compared to the negative control ($p < 0.05$). Data represent the mean and $\pm$ SD of three assays performed independently in triplicate at different times. Images illustrating the effect of PDI in the groups Ce6 + BL+ and Ce6 + RL+ besides the negative groups, under confocal laser-scanning microscopy. Images reveal the live and dead bacterial population and biofilm thickness.

4 – Discussion

The main hypothesis of our study was confirmed since pure-Ce6-mediated PDI promoted a significant decrease of microorganisms' viability in all single-species biofilms. However, PDI irradiated with blue light showed the highest bacterial elimination against *S. oralis*, *P. gingivalis* and *A. actinomycetemcomitans*, while red light was more effective against *F. nucleatum*.

Bacterial elimination of at least 3 log₁₀ is considered biologically relevant according to general guidelines of the Americana Society for Microbiology³⁹. Our data revealed that both 100 and 200 µM Ce6-mediated PDI were able to reduce more than 3 log₁₀ for *A. actinomycetemcomitans*, *P. gingivalis*, and *S. oralis* (100 µM – *A. actinomycetemcomitans* 3.89 log₁₀, *S. oralis* 4.51 log₁₀ and total elimination for *P. gingivalis*; 200 µM – *A. actinomycetemcomitans* 4.01 log₁₀, and total elimination for *P. gingivalis* and *S. oralis*) irradiated by blue light. For *F. nucleatum*, blue light promoted 1.96 log₁₀ at 200 µM Ce6 concentration, and 3.44 and 3.46 when exposed to red light under 100 and 200 µM of Ce6, respectively. It is important to note that a higher elimination was achieved in PDI groups than CHX, this last is considered the gold standard oral antimicrobial agent at the moment. However, the impressive effect of CHX is due to its substantivity leading to a prolonged bacteriostatic and bactericidal effects. In our study, biofilm was washed, and dilution was incubated immediately after treatment. CHX might have a higher effect in a re-growth biofilm analysis⁴⁰.

Our findings are coherent with previous reports that showed 3 log₁₀ reduction after Ce6-mediated photodynamic inactivation using visible light plus water-filtered infrared-A (vis+wIRA) against *F. nucleatum* biofilm⁴¹, and 4-5 log against *F. nucleatum* and *P. gingivalis* biofilms²¹. On the other hand, a smaller reduction was observed for *F. nucleatum* (1.12 log₁₀) and *P. gingivalis* (2.66 log₁₀) in biofilms using a commercially available chlorin-type PS (Photodithazine® - PDZ) irradiated by red light (660nm)³⁵. These results might be explained by the PDZ composition that is not clearly disclosed. In our study, the bactericidal activity against all four single biofilms was confirmed by live/dead viability assays via confocal microscopy, again coherent with images obtained in a previous study³⁵. Chlorin-type photosensitizer has also proved to be effective against *Streptococcus mutans* and *Candida* species^{42,43}.

S. oralis, *F. nucleatum*, *P. gingivalis* and *A. actinomycetemcomitans* were selected as representatives bacteria that contribute to the development of a high virulence subgingival biofilm considered at the early, middle, and late stages, respectively³. A pre-coat salivary pellicle was developed previously to *P. gingivalis* formation (section 2.2), since it was observed in our pilot studies that this late colonizer needs a salivary pellicle to constitute a safe biofilm able to tolerate aspiration and rinsing of the wells. At least two hours immersion in saliva was considered adequate for forming salivary pellicle on surfaces⁴⁴. Four hours was the period used in this study³⁸.

The effect of a PS in the absence of light might be interpreted as a possible sign of dark toxicity. Some dark toxicity was observed in studies using chlorin-mediated PDI^{28,35}, as detected in our study. This finding may be further investigated in studies with proper designs (e.g. co-culture system) to mimic even more an *in vivo* condition. A wholly non-toxic photosensitizer might not exist⁸. Dark toxicity and bactericidal effect under light exposure appear to be directly proportional to drug concentration. A correlation between bacterial elimination and PS concentration was not observed for all bacterial strains. This occurrence was also observed in a recent study³⁵. The use of 200 μ M concentration is not fully supported, once there was no statistical difference between the highest and the lowest PS dose for *A. actinomycetemcomitans* when exposed to blue or red light, what was also observed for *S. oralis* and *F. nucleatum* irradiated by red light. A dose dependent interaction has to be further investigated, since the energy transferred in the mechanism or the available oxygen molecules surrounding the PS might be fully spent, or light dose has to be increased concomitantly with PS concentration.

Shakhova and collaborators affirm that wavelengths around 405 nm might be beneficial for superficial impact, especially in case of antibacterial or antiaging photodynamic therapy. Over the mucosa, differently of the skin, where the high scattering in stratum corneum resulting in high backscatter from the tissue, blue light is more intensely absorbed²⁶. This evidence supports the use of blue light against infection in the oral cavity, which is fully covered by mucosa. The light doses ranging from 20 to 50 J/cm² is usually employed for PDI^{14,24,26,28,35}. The presence of two peaks (around 405 and 670 nm) in chlorin-e6 absorption spectrum provides additional choice of irradiation regimen.

Blue LED under 400–500 nm is used in dentistry for detecting early caries lesion⁴⁵ and matured dental plaque⁴⁶, resin restoration, and tooth whitening⁴⁷. A recent study reported the susceptibility of different species of oral bacteria to blue light⁴⁸, what provides evidence that light around 450 nm wavelength (widely used in general dentistry and available at any dental office) could be easily added as a choice of irradiation regimen for PDI in the oral cavity.

Red light is rather chosen for PDI in the oral cavity (endodontic and periodontal treatment) once its

wavelength range matches the absorption band of the most used photosensitizers in dentistry, and its ability to penetrate deeply into the tissues always draws attention. It is worth mentioning that fiber optics are available in the market for many purposes of light exposure, including for periodontal pocket and root canal applications. These devices are able to access the most complicated and deep sites without damaging surrounding tissue. If blue light is not able to reach the desirable application site, it could be easily solved by the use of fiber optics.

PDI acts essentially in the cell wall or membrane and the damage seems to be proportional to the reactive species produced (by type I and II mechanism), particularly singlet oxygen^{49,50}. Since the cell wall and membrane of Gram-positive and Gram-negative are different, once this last has an additional lipopolysaccharide layer to its single peptidoglycan sheet, the efficacy of PDI against Gram-negative is thought to be reduced compared to Gram-positive^{51,52}. The porous cell wall in Gram-positive bacteria represents a facilitated pathway to penetration. In the present study, a large effect was observed in the *S. oralis*, the only Gram-positive strain tested. Although such an expressive effect was not observed for *A. actinomycetemcomitans* and *F. nucleatum*, *P. gingivalis* demonstrated entire elimination.

The huge bacterial elimination detected for *P. gingivalis* strain could be a result of a limitation in the formation of *P. gingivalis* single-specie biofilm or its intrinsic photosensitizer dye. *P. gingivalis* is a late colonizer that requires the previous presence of receptors provided by middle stage colonizers to establish a strong adhesion to biofilm in development³⁷. The polystyrene substrates are different to the tooth surface on which periodontal biofilms development occurs. Additionally, a potential photodynamic effect of an intracellular pigment of *P. gingivalis* was recently discovered when irradiated at 460 nm. Researchers affirmed that blue-light irradiation on *P. gingivalis* is potentially bactericidal⁵³.

This study was designed to test the efficacy of PDI against periodontal pathogens organized in biofilms, since it is known that biofilms are much more difficult to eradicate than planktonic bacteria. Although multispecies biofilms would reflect a mimetic model, especially when developed in a dynamic flow system, static single-species are economical, practical, and widely used for testing anti-biofilm purposes^{14,21,28,35,34}. Additionally, multispecies are even harder to arrest, what could constitute an unbeatable challenge for the early experiments with Ce6 PS, which still needs some improvements. Although, traditional method was adopted for the current study, the optimization of Ce6 has been under current analysis by our group. Even if this *in vitro* model of biofilm has limitation and the results obtained are still far from the clinical setting, the present data are relevant to support further experiments and follow the way of clinical use. Furthermore, to the best of our knowledge, our study was the first to investigate the effect of pure-chlorin-e6-mediated PDI irradiated by red and blue light against biofilms related to periodontitis, although

Ce6 has been under current extensively exploration.

5 – Conclusion

The present study proved that PDI with chlorin-e6 PS is able to produce a significant antimicrobial effect against *Streptococcus oralis*, *Fusobacterium nucleatum*, *Porphyromonas gingivalis*, and *Aggregatibacter actinomycetemcomitans* single species biofilms when exposed to blue or red light, especially for *S. oralis* and *P. gingivalis* where an entire elimination was observed. Since a higher antimicrobial effect was observed in the groups treated with blue light, for three of the four tested bacterial strains, researchers should consider the use of blue light when chlorin-type photodynamic inactivation is tested.

Acknowledgments

Two master scholarships provided by Coordination for the Improvement of Higher Education Personnel - CAPES, Brazilian Ministry of Education) for the first and second authors, and a postdoctoral scholarship provided by São Paulo Research Foundation (FAPESP) for the third author, are greatly acknowledged. The authors also thank the São Paulo Research Foundation – FAPESP, for Grants 2018/00106-7 and 2013/07276-1.

Conflicts of Interest

The authors declare no conflicts of interest.

References

1. Holde GE, Oscarson N, Trovik TA, Tillberg A, Jonsson B. Periodontitis Prevalence and Severity in Adults: A Cross-Sectional Study in Norwegian Circumpolar Communities. *J Periodontol*. 2017; 88(10): 1012-22. <https://doi.org/10.1902/jop.2017.170164>
2. Kassebaum NJ, Bernabe E, Dahiya M, Bhandari B, Murray CJ, Marcenes W. Global burden of severe periodontitis in 1990-2010: a systematic review and meta-regression. *J Dent Res*. 2014; 93(11): 1045-53. <https://doi.org/10.1177/0022034514552491>
3. Feres M, Cortelli SC, Figueiredo LC, Haffajee AD, Socransky SS. Microbiological basis for periodontal therapy. *J Appl Oral Sci*. 2004; 12(4): 256-66. <http://dx.doi.org/10.1590/S1678-77572004000400002>
4. de Molon RS, Mascarenhas VI, de Avila ED, Finoti LS, Toffoli GB, Spolidorio DM, et al. Long-term evaluation of oral gavage with periodontopathogens or ligature induction of experimental periodontal disease in mice. *Clin Oral Investig*. 2016; 20(6): 1203-16. <https://doi.org/10.1007/s00784-015-1607-0>
5. Hajishengallis G, Abe T, Mackawa T, Hajishengallis E, Lambris JD. Role of complement in host-microbe homeostasis of the periodontium. *Semin Immunol*. 2013; 25(1): 65-72. <https://doi.org/10.1016/j.smim.2013.04.004>

6. Frieri M, Kumar K, Boutin A. Antibiotic resistance. *J Infect Public Health*. 2017; 10(4): 369-78. <https://doi.org/10.1016/j.jiph.2016.08.007>
7. Who. Antimicrobial resistance: global report on surveillance. 2014.
8. Wainwright M. Photoantimicrobials and PACT: what's in an abbreviation? *Photochem Photobiol Sci*. 2019; 18(1): 12-4. <https://doi.org/10.1039/C8PP00390D>
9. Raab O. Über die Wirkung fluoreszierenden Stoffe auf Infusorien. *Ztg Biol*. 1900; 39: 524-6.
10. Chilakamarthi U, Giribabu L. Photodynamic Therapy: Past, Present and Future. *Chem Rec*. 2017; 17(8): 775-802. <https://doi.org/10.1002/tcr.201600121>
11. Ogilby PR. Singlet oxygen: there is indeed something new under the sun. *Chem Soc Rev*. 2010; 39(8): 3181-209. <https://doi.org/10.1039/B926014P>
12. Zhang R, Li Y, Zhou M, Wang C, Feng P, Miao W, et al. Photodynamic Chitosan Nano-Assembly as a Potent Alternative Candidate for Combating Antibiotic-Resistant Bacteria. *ACS Appl Mater Interfaces*. 2019; 11(30): 26711-21. <https://doi.org/10.1021/acsami.9b09020>
13. Kessel D. Photodynamic Therapy: A Brief History. *J Clin Med*. 2019; 8(10). <https://doi.org/10.3390/jcm8101581>
14. de Freitas LM, Calixto GM, Chorilli M, Giusti JS, Bagnato VS, Soukos NS, et al. Polymeric Nanoparticle-Based Photodynamic Therapy for Chronic Periodontitis in Vivo. *Int J Mol Sci*. 2016; 17(5). <https://doi.org/10.3390/ijms17050769>
15. Henderson BW, Dougherty TJ. How does photodynamic therapy work? *Photochem Photobiol*. 1992; 55(1): 145-57. <https://doi.org/10.1111/j.1751-1097.1992.tb04222.x>
16. Ochsner M. Photophysical and photobiological processes in the photodynamic therapy of tumours. *J Photochem Photobiol B*. 1997; 39(1): 1-18. [https://doi.org/10.1016/S1011-1344\(96\)07428-3](https://doi.org/10.1016/S1011-1344(96)07428-3)
17. Alwaeli HA, Al-Khateeb SN, Al-Sadi A. Long-term clinical effect of adjunctive antimicrobial photodynamic therapy in periodontal treatment: a randomized clinical trial. *Lasers Med Sci*. 2015; 30(2): 801-7. <https://doi.org/10.1007/s10103-013-1426-y>
18. Moreira AL, Novaes AB, Jr., Grisi MF, Taba M, Jr., Souza SL, Palioto DB, et al. Antimicrobial photodynamic therapy as an adjunct to non-surgical treatment of aggressive periodontitis: a split-mouth randomized controlled trial. *J Periodontol*. 2015; 86(3): 376-86. <https://doi.org/10.1902/jop.2014.140392>
19. Sreedhar A, Sarkar I, Rajan P, Pai J, Malagi S, Kamath V, et al. Comparative evaluation of the efficacy of curcumin gel with and without photo activation as an adjunct to scaling and root planing in the treatment of chronic periodontitis: A split mouth clinical and microbiological study. *J Nat Sci Biol Med*. 2015; 6 Suppl 1: S102-9. <https://doi.org/10.4103/0976-9668.166100>
20. Macia MD, Rojo-Molinero E, Oliver A. Antimicrobial susceptibility testing in biofilm-growing bacteria. *Clin Microbiol Infect*. 2014; 20(10): 981-90. <https://doi.org/10.1111/1469-0691.12651>
21. Sun X, Wang L, Lynch CD, Sun X, Li X, Qi M, et al. Nanoparticles having amphiphilic silane containing Chlorin e6 with strong anti-biofilm activity against periodontitis-related pathogens. *J Dent*. 2019; 81: 70-84. <https://doi.org/10.1016/j.jdent.2018.12.011>
22. Huang L, Wang M, Huang YY, El-Hussein A, Wolf LM, Chiang LY, et al. Progressive cationic functionalization of chlorin derivatives for antimicrobial photodynamic inactivation and related vancomycin conjugates. *Photochem Photobiol Sci*. 2018; 17(5): 638-51. <https://doi.org/10.1039/C7PP00389G>
23. Zhang T, Ying D, Qi M, Li X, Fu L, Sun X, et al. Anti-Biofilm Property of Bioactive Upconversion Nanocomposites Containing Chlorin e6 against Periodontal Pathogens. *Molecules*. 2019; 24(15). <https://doi.org/10.3390/molecules24152692>
24. de Aguiar Coletti T, de Freitas LM, Almeida AMF, Fontana CR. Optimization of Antimicrobial Photodynamic Therapy in Biofilms by Inhibiting Efflux Pump. *Photomed Laser Surg*. 2017; 35(7): 378-85. <https://doi.org/10.1089/pho.2016.4246>
25. de Freitas LM, Lorenzon EN, Santos-Filho NA, Zago LHP, Uliana MP, de Oliveira KT, et al. Antimicrobial Photodynamic therapy enhanced by the peptide aurein 1.2. *Sci Rep*. 2018; 8(1): 4212. <https://doi.org/10.1038/s41598-018-22687-x>

26. Shakhova M, Loginova D, Meller A, Sapunov D, Orlinskaya N, Shakhov A, et al. Photodynamic therapy with chlorin-based photosensitizer at 405 nm: numerical, morphological, and clinical study. *J Biomed Opt.* 2018; 23(9): 1-9. <https://doi.org/10.1117/1.JBO.23.9.091412>
27. Juzeniene A. Chlorin e6-based photosensitizers for photodynamic therapy and photodiagnosis. *Photodiagnosis Photodyn Ther.* 2009; 6(2): 94-6. <https://doi.org/10.1016/j.pdpdt.2009.06.001>
28. Terra Garcia M, Correia Pereira AH, Figueiredo-Godoi LMA, Jorge AOC, Strixino JF, Junqueira JC. Photodynamic therapy mediated by chlorin-type photosensitizers against *Streptococcus mutans* biofilms. *Photodiagnosis Photodyn Ther.* 2018; 24: 256-61. <https://doi.org/10.1016/j.pdpdt.2018.08.012>
29. Garcez AS, Ribeiro MS, Tegos GP, Nunez SC, Jorge AO, Hamblin MR. Antimicrobial photodynamic therapy combined with conventional endodontic treatment to eliminate root canal biofilm infection. *Lasers Surg Med.* 2007; 39(1): 59-66. <https://doi.org/10.1002/lsm.20415>
30. Drulis-Kawa Z, Bednarkiewicz A, Bugla-Ploskonska G, Strek W, Doroszkiewicz W. The susceptibility of anaerobic bacteria isolated from periodontal diseases to photodynamic inactivation with Fotolon (chlorin e6). *Pol J Microbiol.* 2005; 54(4): 305-10. <http://www.pjmonline.org/wp-content/uploads/archive/vol5442005305.pdf>
31. Rovaldi CR, Pievsky A, Sole NA, Friden PM, Rothstein DM, Spacciapoli P. Photoactive porphyrin derivative with broad-spectrum activity against oral pathogens In vitro. *Antimicrob Agents Chemother.* 2000; 44(12): 3364-7. <https://doi.org/10.1128/aac.44.12.3364-3367.2000>
32. Cieplik F, Deng D, Crielaard W, Buchalla W, Hellwig E, Al-Ahmad A, et al. Antimicrobial photodynamic therapy - what we know and what we don't. *Crit Rev Microbiol.* 2018; 44(5): 571-89. <https://doi.org/10.1080/1040841X.2018.1467876>
33. Xu J, Yang P, Sun M, Bi H, Liu B, Yang D, et al. Highly Emissive Dye-Sensitized Upconversion Nanostructure for Dual-Photosensitizer Photodynamic Therapy and Bioimaging. *ACS Nano.* 2017; 11(4): 4133-44. <https://doi.org/10.1021/acsnano.7b00944>
34. Thornfeldt C. Photodynamic Therapy (PDT) Utilizing Multiple Light Sources Significantly Improves Clinical Efficacy and Subject Satisfaction With a Review of PDT Mechanisms of Action. *Amer J Cosm Surg.* 2013; 30(2): 72-9. <https://doi.org/10.5992/AJCS-D-12-00054.1>
35. Tavares LJ, de Avila ED, Klein MI, Panariello BHD, Spolidorio DMP, Pavarina AC. Antimicrobial photodynamic therapy alone or in combination with antibiotic local administration against biofilms of *Fusobacterium nucleatum* and *Porphyromonas gingivalis*. *J Photochem Photobiol B.* 2018; 188: 135-45. <https://doi.org/10.1016/j.jphotobiol.2018.09.010>
36. Uliana MP, Pires L, Pratavieira S, Brocksom TJ, de Oliveira KT, Bagnato VS, et al. Photobiological characteristics of chlorophyll a derivatives as microbial PDT agents. *Photochem Photobiol Sci.* 2014; 13(8): 1137-45. <https://doi.org/10.1039/C3PP50376C>
37. Tavares LJ, Klein MI, Panariello BHD, Dorigatti de Avila E, Pavarina AC. An in vitro model of *Fusobacterium nucleatum* and *Porphyromonas gingivalis* in single- and dual-species biofilms. *J Periodontal Implant Sci.* 2018; 48(1): 12-21. <http://dx.doi.org/10.5051/jpis.2018.48.1.12>
38. Ciandrini E, Campana R, Federici S, Manti A, Battistelli M, Falcieri E, et al. In vitro activity of Carvacrol against titanium-adherent oral biofilms and planktonic cultures. *Clin Oral Investig.* 2014; 18(8): 2001-13. <https://doi.org/10.1007/s00784-013-1179-9>
39. ASM. Antimicrobial Agents and Chemotherapy (2016) Instructions to Authors. <http://aac.asm.org/site/misc/2016AugustAACITA.pdf>
40. Lamarque GCC, Méndez DAC, Gutierrez E, Dionisio EJ, Machado MAAM, Oliveira TM, Rios D, Cruvinel T. Could chlorhexidine be an adequate positive control for antimicrobial photodynamic therapy in in vitro studies? *Photodiagnosis Photodyn Ther.* 2019; 25: 58-62. doi: 10.1016/j.pdpdt.2018.11.004.
41. Al-Ahmad A, Walankiewicz A, Hellwig E, Follo M, Tennert C, Wittmer A, et al. Photoinactivation Using Visible Light Plus Water-Filtered Infrared-A (vis+wIRA) and Chlorine e6 (Ce6) Eradicates Planktonic Periodontal Pathogens and Subgingival Biofilms. *Front Microbiol.* 2016; 7: 1900. <https://doi.org/10.3389/fmicb.2016.01900>

42. Quishida CC, Carmello JC, Mima EG, Bagnato VS, Machado AL, Pavarina AC. Susceptibility of multispecies biofilm to photodynamic therapy using Photodithazine(R). *Lasers Med Sci.* 2015; 30(2): 685-94. <https://doi.org/10.1007/s10103-013-1397-z>
43. Vahabi S, Fekrazad R, Ayremlou S, Taheri S, Zangeneh N. The effect of antimicrobial photodynamic therapy with radachlorin and toluidine blue on *Streptococcus mutans*: an in vitro study. *J Dent (Tehran).* 2011; 8(2): 48-54.
44. Li F, Weir MD, Fouad AF, Xu HH. Effect of salivary pellicle on antibacterial activity of novel antibacterial dental adhesives using a dental plaque microcosm biofilm model. *Dent Mater.* 2014; 30(2): 182-91. <https://doi.org/10.1016/j.dental.2013.11.004>
45. Jung EH, Lee ES, Jung HI, Kang SM, de Josselin de Jong E, Kim BI. Development of a fluorescence-image scoring system for assessing noncavitated occlusal caries. *Photodiagnosis Photodyn Ther.* 2018; 21: 36-42. <https://doi.org/10.1016/j.pdpdt.2017.10.027>
46. Oh HY, Jung HI, Lee JW, de Jong EJ, Kim BI. Improving the competency of dental hygiene students in detecting dental restorations using quantitative light-induced fluorescence technology. *Photodiagnosis Photodyn Ther.* 2017; 17: 245-9. <https://doi.org/10.1016/j.pdpdt.2016.12.010>
47. Yoshino F, Yoshida A, Okada E, Okada Y, Maehata Y, Miyamoto C, et al. Dental resin curing blue light induced oxidative stress with reactive oxygen species production. *J Photochem Photobiol B.* 2012; 114: 73-8. <https://doi.org/10.1016/j.jphotobiol.2012.05.012>
48. Kang SM, Jung HI, Kim BI. Susceptibility of oral bacteria to antibacterial photodynamic therapy. *J Oral Microbiol.* 2019; 11(1): 1644111. <https://doi.org/10.1080/20002297.2019.1644111>
49. Castano AP, Demidova TN, Hamblin MR. Mechanisms in photodynamic therapy: part one- photosensitizers, photochemistry and cellular localization. *Photodiagnosis Photodyn Ther.* 2004; 1(4): 279-93. [https://doi.org/10.1016/S1572-1000\(05\)00007-4](https://doi.org/10.1016/S1572-1000(05)00007-4)
50. Maisch T, Baier J, Franz B, Maier M, Landthaler M, Szeimies RM, et al. The role of singlet oxygen and oxygen concentration in photodynamic inactivation of bacteria. *Proc Natl Acad Sci U S A.* 2007; 104(17): 7223-8. <https://doi.org/10.1073/pnas.0611328104>
51. Nitzan Y, Gutterman M, Malik Z, Ehrenberg B. Inactivation of gram-negative bacteria by photosensitized porphyrins. *Photochem Photobiol.* 1992; 55(1): 89-96. <https://doi.org/10.1111/j.1751-1097.1992.tb04213.x>
52. Malik Z, Ladan H, Nitzan Y. Photodynamic inactivation of Gram-negative bacteria: problems and possible solutions. *J Photochem Photobiol B.* 1992; 14(3): 262-6. [https://doi.org/10.1016/1011-1344\(92\)85104-3](https://doi.org/10.1016/1011-1344(92)85104-3)
53. Yoshida A, Sasaki H, Toyama T, Araki M, Fujioka J, Tsukiyama K, et al. Antimicrobial effect of blue light using *Porphyromonas gingivalis* pigment. *Sci Rep.* 2017; 7(1): 5225. <https://doi.org/10.1038/s41598-017-05706-1>
54. Wang L, Xie X, Qi M, Weir MD, Reynolds MA, Li C, et al. Effects of single species versus multispecies periodontal biofilms on the antibacterial efficacy of a novel bioactive Class-V nanocomposite. *Dent Mater.* 2019; 35(6): 847-61. <https://doi.org/10.1016/j.dental.2019.02.030>

4 CONCLUSÃO

A terapia fotodinâmica antimicrobiana com utilização da clorina-e6 apresentou efeito antimicrobiano significativo quando irradiada por luz azul ou vermelha sobre biofilme periodontopatogênico, com redução mais evidente nos grupos tratados com luz azul para *S. oralis*, *P. gingivalis* e *A. actinomycetemcomitans*.

REFERÊNCIAS *

1. Newman MG, Takei HH, Klokkevold PR, Carranza FA. Carranza, periodontia clínica. 11.ed. Rio de Janeiro: Elsevier; 2011. p. 117-20.
2. Socransky SS, Haffajee AD. Periodontal microbial ecology. *Periodontol* 2000. 2005; 38: 135-87.
3. Badersten A, Nilveus R, Egelberg J. Effect of nonsurgical periodontal therapy. I. Moderately advanced periodontitis, *J Clin Periodontol*. 1981; 8(1): 57-72.
4. Carvalho LH, D'Avila GB, Leão A, Haffajee AD, Socransky SS, et al. Scaling and root planing, systemic metronidazole and professional plaque removal in the treatment of chronic periodontitis in a Brazilian population. I. Clinical results, *J Clin Periodontol*. 2004; 31: 1070-76.
5. Haffajee AD, Cugini MA, Dibart S, Smith C, Kent RL Jr, et al. The effect of SRP on the clinical and microbiological parameters of periodontal diseases. *J Clin Periodontol*. 1997; 24(5): 324-34.
6. Cobb CM. Clinical significance of non-surgical periodontal therapy: an evidence based perspective of scaling and root planning. *J Clin Periodontol*. 2002; 29 Suppl. 2: 6-16.
7. Adriaens PA, De Boever JA, Loesche WJ. Bacterial invasion in root cementum and radicular dentin of periodontally diseased teeth in humans. A reservoir of periodontopathic bacteria. *J Periodontol*. 1988; 59(4): 222-30.
8. Aoki A, Sasaki KM, Watanabe H, Ishikawa I. Lasers in nonsurgical periodontal therapy. *Periodontology* 2000. 2004; 36: 59-97.
9. Takasaki AA, Aoki A, Mizutani K, Schwarz F, Sculean A, et al. Application of antimicrobial photodynamic therapy in periodontal and peri-implant diseases. *Periodontology* 2000. 2009; 51: 109-40.
10. Muniz FW, de Oliveira CC, de Sousa Carvalho R, Moreira MM, de Moraes ME, et al. Azithromycin: a new concept in adjuvant treatment of periodontitis, *Eur J Pharmacol*. 2013; 705(1-3): 135-9.
11. Amano A. Disruption of epithelial barrier and impairment of cellular function by *Porphyromonas gingivalis*. *Front Biosci*. 2007; 12: 3965-74.
12. Theodoro LH, Garcia VG, Ervolino E. Efeitos da terapia fotodinâmica na periodontia. In: Romito et al. Estratégias terapêuticas atuais no manejo da doença periodontal e peri-implantar. Nova Odessa: Napoleão, 2017. 375-87.
13. Slots J, Rams TE. Antibiotics in periodontal therapy: advantages and disadvantages. *J Clin Periodontol*. 1990; 17(7): 479-93.

* De acordo com o Guia de Trabalhos Acadêmicos da FOAr, adaptado das Normas Vancouver. Disponível no site da Biblioteca: <http://www.foar.unesp.br/Home/Biblioteca/guia-de-normalizacao-atualizado.pdf>

14. Winkelhoff AJ, Herrera GD, Winkel EG, Dellemijn-Kippuw N, Vandenbroucke-Grauls CM, et al. Antimicrobial resistance in the subgingival microflora in patients with adult periodontitis. A comparison between the Netherlands and Spain. *J Clin Periodontol.* 2000; 27(2): 79-86.
15. Takasaki AA, Aoki A, Mizutani K, Schwarz F, Sculean A, et al. Application of antimicrobial photodynamic therapy in periodontal and peri-implant diseases. *Periodontol* 2000. 2009; 51: 109-40.
16. Sanchez-Barcelo EJ, Mediavilla MD. Recent patents on light based therapy: photodynamic therapy, photothermal therapy and photodynamic immunotherapy. *Recent Pat Endocr, Metab Immune Drug Discov.* 2014; 8(1): 1-8.
17. Sigusch BW, Pfitzner A, Albrecht V, Glockmann E. Efficacy of photodynamic therapy on inflammatory signs and two selected periodontopathogenic species in a beagle dog model. *J Periodontol.* 2005; 76(7): 1100-5.
18. Fernandes LA, de Almeida JM, Theodoro LH, Bosco AF, Nagata MJH, et al. Treatment of experimental periodontal disease by photodynamic therapy in immunosuppressed rats. *J Clin Periodontol.* 2009; 36(3): 219-28.
19. Sigusch BW, Engelbrecht M, Völpe A, Holletschke A, Pfister W, et al. Full-mouth antimicrobial photodynamic therapy in *Fusobacterium nucleatum*-infected periodontitis patients. *J Periodontol.* 2010; 81(7): 975-81.
20. Garcia VG, Fernandes LA, Macarini VC, de Almeida JM, Martins TM, et al. Treatment of experimental periodontal disease with antimicrobial photodynamic therapy in nicotine-modified rats. *J Clin Periodontol.* 2011; 38(12): 1106-14.
21. Theodoro LH, Silva SP, Pires JR, Soares GH, Pontes AE, et al. Clinical and microbiological effects of photodynamic therapy associated with nonsurgical periodontal treatment. A 6-month follow-up. *Lasers Med Sci.* 2012; 27(4): 687-93.
22. Noro Filho GA, Casarin RC, Casati MZ, Giovani EM. PDT in non-surgical treatment of periodontitis in HIV patients: a split-mouth, randomized clinical trial. *Lasers Surg Med.* 2012; 44(4): 296-302.
23. Garcia VG, Longo M, Fernandes LA, Gualberto EC Jr, Santinoni Cdos S, et al. Treatment of experimental periodontitis in rats using repeated adjunctive antimicrobial photodynamic therapy. *Lasers Med Sci.* 2013; 28(1): 143-50.
24. Garcia VG, Gualberto Júnior EC, Fernandes LA, Bosco AF, Hitomi Nagata MJ, et al. Adjunctive antimicrobial photodynamic treatment of experimentally induced periodontitis in rats with ovariectomy. *J Periodontol.* 2013; 84(4): 556-65.
25. Garcia VG, Longo M, Gualberto Junior EC, Bosco AF, Nagata MJH, et al. Effect of the concentration of phenothiazine photosensitizers in antimicrobial photodynamic therapy on bone loss and the immune inflammatory response of induced periodontitis in rats. *J Periodont Res.* 2014; 49(5): 584-94.
26. Petelin M, Perkič K, Seme K, Gašpirc B. Effect of repeated adjunctive antimicrobial photodynamic therapy on subgingival periodontal pathogens in the treatment of chronic periodontitis. *Lasers Med Sci.* 2015; 30(6): 1647-56.

27. Annaji S, Sarkar I, Rajan P, Pai J, Malagi S, et al. Efficacy of photodynamic therapy and lasers as an adjunct to scaling and root planing in the treatment of aggressive periodontitis: a clinical and microbiologic short term study. *J Clin Diagn Res.* 2016; 10(2): ZC08-ZC12.
28. Salgado DMRA, Noro-Filho GA, Cortes ARG, Arita ES, Casarin RCV, et al. Effect of photodynamic therapy with malachite green on non-surgical periodontal treatment in HIVpatients: a pilot split-mouth study. *Lasers Med Sci.* 2017; 32(5): 1213-17.
29. Martinez de Pinillos Bayona A, Mroz P, Thunshelle C, Hamblin MR. Design features for optimization of tetrapyrrole macrocycles as antimicrobial and anticancer photosensitizers. [*Chem Biol Drug Des.*](#) 2017; 89(2): 192-206.
30. Agostinis P, Berg K, Cengel KA, Foster TH, Girotti AW, et al. Photodynamic therapy of câncer: an update. *CA Cancer J Clin.* 2011; 61(4): 250-81.
31. Tardivo JP, Del Giglio A, de Oliveira CS, Gabrielli DS, Junqueira HC, et al. Methylene blue in photodynamic therapy: from basic mechanisms to clinical applications. *Photodiagn Photodyn Ther.* 2005; 2(3): 175 -91.
32. Moan J, Berg K. The photodegradation of porphyrins in cellsthat can be used to estimate the lifetime of single oxygen. *Photochem Photobiol.* 1991; 53(4): 549-53.
33. Fontana CR. Efeito da terapia fotodinâmica (PDT) em bactérias periodontopatogênicas em fase planctônica e em biofilme de múltiplas espécies. 2007. Tese (Doutorado em Odontologia) – Faculdade de Odontologia de Araraquara, Universidade Estadual Paulista, Araraquara.
34. Lulic M, Leiggenger Görög I, Salvi GE, Ramseier CA, Mattheos N, et al. One-year outcomes of repeated adjunctive photodynamic therapy during periodontal maintenance: a proof-of-principle randomized- controlled clinical trial. *J Clin Periodontol.* 2009; 36(8): 661-66.
35. Kolbe MF, Ribeiro FV, Luchesi VH, Casarin RC, Sallum EA, et al. Photodynamic therapy during supportive periodontal care: clinical, microbiologic, immunoinflammatory, and patient-centered performance in a split-mouth randomized clinical trial. *J. Periodontol.* 2014; 85(8): 277-86.
36. Müller Campanile VS, Giannopoulou C, Campanile G, Cancela JA, Mombelli A. Single or repeated antimicrobial photodynamic therapy as adjunct to ultrasonic debridement in residual periodontal pockets: clinical, microbiological, and local biological effects. *Lases Med Sci.* 2015; 30(1): 27-34.
37. Theodoro LH, Garcia VG. Uso do laser e suas implicações como alternativa terapêutica na Periodontia e Implantodontia. IN: Silva EB, Grisi DC. Periodontia no contexto interdisciplinas integrando as melhores práticas. Nova Odessa. Napoleão. 2015; 252-81.
38. Annaji S, Sarkar I, Rajan P, Pai J, Malagi S, et al. Comparative evaluation of the efficacy of curcumin gel with and without photo activation as an adjunct to scaling and root planing in the treatment of chronic periodontitis: A split mouth clinical and microbiological study. *J Nat Sc Biol Med.* 2015; 6 (Suppl 1): S102-9.
39. Braun A, Dehn C, Krause F, Jepsen S. Short-term clinical effects of adjunctive antimicrobial photodynamic therapy in periodontal treatment: a randomized clinical trial. *J Clin Periodontol.* 2008; 35(10): 877-84.

40. Polansky R, Haas M, Heschl A, Wimmer G. Clinical effectiveness of photodynamic therapy in the treatment of periodontitis. *J Clin Periodontol.* 2009; 36(7): 575–80.
41. Chondros P, Nikolidakis D, Christodoulides N, Rössler R, Gutknecht N, et al. Photodynamic therapy as adjunct to non-surgical periodontal treatment in patients on periodontal maintenance: a randomized controlled clinical trial. *Lasers Med Sci.* 2009; 24(5): 681-88.
42. Pinheiro SL, Donegá JM, Seabra LM, Adabo MD, Lopes T, et al. Capacity of photodynamic therapy for microbial reduction in periodontal pockets. *Lasers Med Sci.* 2010; 25(1): 87-91.
43. Betsy J, Prasanth CS, Baiju KV, Prasanthila J, Subhash N. Efficacy of antimicrobial photodynamic therapy in the management of chronic periodontitis: a randomized controlled clinical trial. *J Clin Periodontol.* 2014; 41(6): 573-58.
44. Alwaeli HA, Al-khateeb SN, Al-sadi A. Long-term clinical effect of adjunctive antimicrobial photodynamic therapy in periodontal treatment: a randomized clinical trial. 2015; 30(2): 801-7.
45. Monzavi A, Chinipardaz Z, Mousavi M, Fekrazad R, Moslemi N, et al. Antimicrobial photodynamic therapy using diode laser activated indocyanine green as an adjunct in the treatment of chronic periodontitis: a randomized clinical trial. *Photodiagn Photodyn Ther.* 2016; 14: 93-7.
46. Pourabbas R, Kashefimehr A, Rahmanpour N, Babaloo Z, Kishen A, et al. Effects of photodynamic therapy on clinical and gingival crevicular fluid inflammatory biomarkers in chronic periodontitis: a split-mouth randomized clinical trial. *J Periodontol.* 2014; 85(9): 1222-9.
47. Juzeniene A. Chlorin e6-based photosensitizers for photodynamic therapy and photodiagnosis. *Photodiagnosis Photodyn Ther.* 2009; 6(2): 94-6.
48. Wang L-X, Li J-W, Huang J-Y, Li J-H, Zhang L-J, et al. Antitumor activity of photodynamic therapy with a chlorin derivative in vitro and in vivo. *Tumour Biol.* 2015; 36(9): 6839-47.
49. Nishie H, Kataoka H, Yano S, Yamaguchi 4, Nomoto A, et al. Excellent antitumor effects for gastrointestinal cancers using photodynamic therapy with a novel glucose conjugated chlorin e6. *Biochem Biophys Res Commun.* 2018; 496(4): 1204-9.
50. Pietruska M, Sobaniec S, Bernaczyk P, Cholewa M, Pietruski JK, et al. Clinical evaluation of photodynamic therapy efficacy in the treatment of oral leukoplakia. *Photodiagnosis Photodyn Ther.* 2014; 11(1): 34-40.
51. Garcez AS, Ribeiro MS, Tegos GP, Núñez SC, Jorge AOC, et al. Antimicrobial photodynamic therapy combined with conventional endodontic treatment to eliminate root canal biofilm infection. *Lasers Surg Med.* 2007; 39(1): 59-66.
52. Terra Garcia M, Correia Pereira AH, Jorge AOC, Strixino JF, Junqueira JC. Photodynamic Therapy mediated by chlorin-type photosensitizers against *Streptococcus mutans* biofilms. *Photodiagnosis Photodyn Ther.* 2018; 24: 256-61.
53. Drulis-Kawa Z, Bednarkiewicz A, Bugla-Płoskonska G, Strek W, Doroszkiewicz W. The susceptibility of anaerobic bacteria isolated from periodontal diseases to photodynamic inactivation with Fotolon (chlorin e6). *Pol J Microbiol.* 2005; 54(4): 305-10.

54. Rovaldi CR, Pievsky A, Sole NA, Friden PM, Rothstein DM, Spacciapoli P. Photoactive porphyrin derivative with broad-spectrum activity against oral pathogens In vitro. *Antimicrob Agents Chemother.* 2000; 44(12): 3364-7.
55. Soukos NS, Ximenez-Fyvie LA, Hamblin MR, Socransky SS, Hasan T. Targeted antimicrobial photochemotherapy. *Antimicrob Agents Chemother.* 1998; 42(10): 2595-601.
56. Lamfon H, Al-Karaawi Z, McCullough M, Porter SR, Pratten J. Composition of in vitro denture plaque biofilms and susceptibility to antifungals. *FEMS Microbiol Lett.* 2005; 242(2): 345-51.
57. Ramage G, Martínez JP, López-Ribot JL. *Candida* biofilms on implanted biomaterials: a clinically significant problem. *FEMS Yeast Res.* 2006; 6(7): 979-86.
58. Evans DJ, Allison DG, Brown MRW, Gilbert P. Growth rate and the resistance of Gram-negative biofilms to cetrимide. *J Antimicrob Chemother.* 1990; 26(4): 473-8.
59. Huang L, Wand M, Huand YY, El-Hussei A, Wolf LM, et al. Progressive cationic functionalization of chlorin derivatives for antimicrobial photodynamic inactivation and related vancomycin conjugates. *Photochem Photobiol Sci.* 2018; 17(5): 638-51.
60. Shakhova M, Loginova D, Meller A, Sapunov D, Orlinskaya N, et al. Photodynamic therapy with chlorin-based photosensitizer at 405 nm: numerical, morphological, and clinical study. *J Biomed Opt.* 2018; 23(9): 1-9.
61. Paul S, Heng PWS, Chan LW. Optimization in solvent selection for chlorin e6 in photodynamic therapy. *J Fluoresc.* 2013; 23(2): 283-91.
62. [Alves F](#), [Carmello JC](#), [Mima EGO](#), [Costa CAS](#), [Bagnato VS](#), et al. Photodithazine-mediated antimicrobial photodynamic therapy against fluconazole-resistant *Candida albicans* in vivo. [Med Mycol.](#) 2018.
63. [Tavares LJ](#), [de Avila ED](#), [Klein MI](#), [Panariello BHD](#), [Spolidório DMP](#), et al. Antimicrobial photodynamic therapy alone or in combination with antibiotic local administration against biofilms of *Fusobacterium nucleatum* and *Porphyromonas gingivalis*. [J Photochem Photobiol B.](#) 2018; 188: 135-45.
64. Maquera-Huacho PM, Tonon CC, Correia MF, Francisconi RS, Bordini EAF, Marcantonio E et al. . In vitro antibacterial and cytotoxic activities of carvacrol and terpinen-4-ol against biofilm formation on titanium implant surfaces. *Biofouling.* 2018; 34(6): 699-709.

APÊNDICE – METODOLOGIA

ESTUDO IN VITRO

Microrganismos

Quatro cepas de referência foram utilizadas, *Streptococcus oralis* (ATCC 35037), *Fusobacterium nucleatum* (ATCC 25586), *Porphyromonas gingivalis* (ATCC 33277) e *Aggregatibacter actinomycetemcomitans* (JP2).

Reativação das Espécies

As cepas de referência foram cultivadas individualmente em Ágar Sangue (Probac do Brasil Produtos Bacteriológicos Ltda, São Paulo, Brasil) durante 5 dias a 37°C em câmara de anaerobiose (85% N₂, H₂ 10%, 5% de CO₂, Don Whitley - Inglaterra) ou estufa. Quatro colônias bacterianas foram transferidas a um tubo contendo caldo BHI (Brain Heart Infusion, Acumedia, Sigma-Aldrich) suplementado com extrato de levedura (6 g/l), hemina (10 mg/mL) e menadiona (5mg/mL), e mantidas durante 48 horas em atmosfera apropriada. Após crescimento, a concentração do microrganismo foi ajustada de acordo com sua fase exponencial de crescimento, a partir da densidade óptica e contagem de unidades formadoras de colônia definidas previamente pela curva de crescimento de cada microrganismo.

Coleta de Saliva Humana

Após aprovação do Comitê de Ética em Pesquisa em Seres Humanos da Faculdade de Odontologia de Araraquara – UNESP (CAAE 23396619.5.0000.5416), saliva foi coletada sem estimulação de um doador, homem, 27 anos, saudável. O critério de inclusão foi: ausência de lesões de cárie ou doença periodontal, inexistência de doenças crônicas, nenhum relato de administração de antibióticos nos últimos 6 meses que antecedem a coleta. Após coleta, saliva foi centrifugada (Spin Lab SL-5M, São Carlos, Brasil), 5000 g por 15 min. Após centrifugação, saliva foi purificada em filtro de 0,22µm (Merck Millipore Group, Massachusetts, EUA) e armazenada em freezer - 80°C (Thermo Scientific 88400D, Massachusetts, EUA) até sua utilização⁶³.

Formação do Biofilme

Biofilmes monoespécie de cada bactéria foram desenvolvidos em placas de microtitulação de 96 poços estéreis. Cada poço recebeu 200 µL de cada suspensão bacteriana correspondendo a

1×10^7 UFC/mL, obtida após a incubação em caldo de BHI. As placas de cultura celular contendo os biofilmes foram incubadas em atmosfera apropriada a 37°C durante 5 dias, o meio foi trocado a cada 24 h por aspiração do meio consumido e a adição de meio fresco (200 µL)⁶⁴.

Tratamento dos Biofilmes e Análise Quantitativa

Quadro 1- Grupos e seus respectivos tratamentos

	GRUPOS	CLORINA-e6 (µM)	LUZ (J/cm²)
1	Controle Negativo (DMSO 1%)	0	0
2	Controle Positivo (CHX 0,2%)	0	0
3	Clorina-e6-1	100	0
4	Clorina-e6-2	200	0
5	Led Azul – 450 nm (a)	0	30
6	TFDAa-1	100	30
7	TFDA a-2	200	30
8	Led Vermelha – 660 nm (v)	0	30
9	TFDA v-1	100	30
10	TFDA v-2	200	30

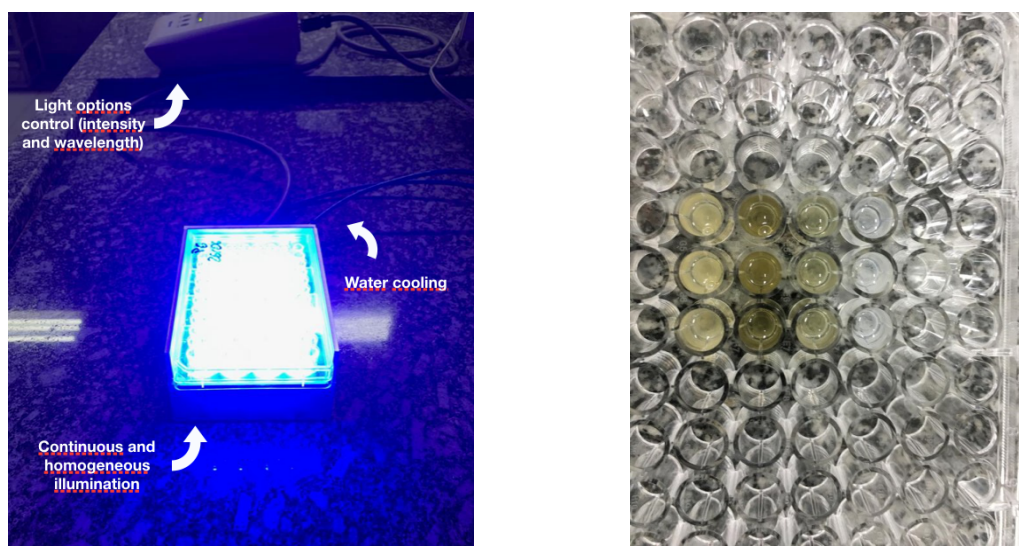
Fonte: Elaboração própria.

Após o crescimento dos biofilmes cada poço foi lavado duas vezes com PBS estéril, e os biofilmes tratados de acordo com os grupos estabelecidos (Quadro 1).

No grupo controle positivo, foi utilizada clorexidina (CHX) na concentração de 0,2% durante 5 min. No caso dos grupos tratados com clorina-e6, 200 µL das diferentes concentrações do fotossensibilizador foi adicionado aos poços e as placas foram incubados no escuro por 5 minutos (tempo pré-irradiação). Uma solução stock de Ce6 (C₃₄H₃₆N₄O₆. Peso molecular: 596,67) desenvolvida no Laboratório de Química Bioorgânica - LQBO, UFSCAr – São Carlos), sob coordenação do Prof. Dr. Kleber Thiago de Oliveira, foi diluída em Dimetilsulfóxido absoluto (DMSO, Sigma Aldrich) na concentração de 20 mM. Soluções de trabalho foram obtidas a partir da diluição da solução stock em água destilada, nas concentrações de 100 µM (0,5% DMSO) e 200 µM (1% DMSO). Foi utilizado um tempo de irradiação de 22min e 40 s para a luz azul (22 mW/cm²), e 26 min e 20 s para a luz vermelha (19 mW/cm²), ambos correspondentes a 30 J/cm². A concentração do fotossensibilizador e dose de luz foram determinadas com base em estudo piloto

desenvolvido recentemente por nosso grupo de pesquisa. Os biofilmes foram irradiados uniformemente com sistema de iluminação a LED (**Figura 1**. BioTable, Laboratório de Apoio Tecnológico, IFSC-USP, São Carlos, SP, Brasil) em comprimento de onda de 450 nm ou 660 nm.

Figura 1 – Aplicação da terapia fotodinâmica com utilização da clorina sobre biofilme de microrganismo periodontopatogênico cultivado em placa de 96 poços

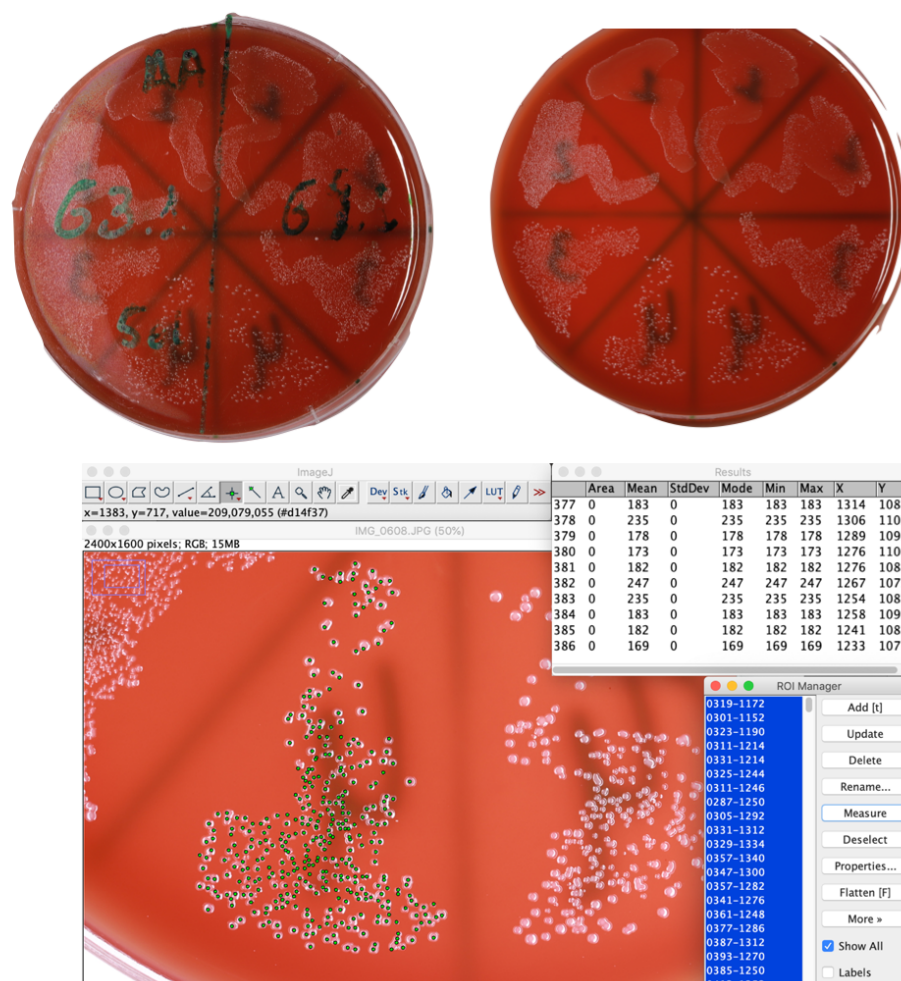


Fonte: Elaboração própria.

Análise de Unidades Formadoras de Colônias (UFC)

Na avaliação quantitativa de células bacterianas viáveis de biofilmes monoespécie, o método utilizado para contagem foi o de diluições seriadas, onde a partir da suspensão final de cada poço, suspensão considerada inicial (100) para o procedimento de contagem, foram feitas 8 diluições, 10^{-1} , 10^{-2} , 10^{-3} , 10^{-4} , 10^{-5} , 10^{-6} , 10^{-7} , 10^{-8} para cada grupo. De cada diluição foi pipetado 25 μ L em cada octante sobre meio de cultura apropriado (ágar sangue) contido em placas de Petri. As placas foram incubadas a 37°C, em atmosfera de anaerobiose, pelo período necessário para ocorrer a multiplicação bacteriana a ponto de surgirem colônias visíveis a olho nu, sendo que cada colônia visível corresponde a uma UFC. Segundo esta técnica de contagem, deve-se escolher as placas que apresentam de 30 a 300 UFC para cálculo do número de UFC/mL (**Figura 2**). Este número é multiplicado pelo fator da diluição para se obter o valor de UFC/mL presentes na solução inicial a partir da qual foram feitas as demais diluições em série.

Figura 2 – Método utilizado para contagem de colônias utilizando o Software Image J (ImageJ, NIH, Bethesda, Maryland, USA)



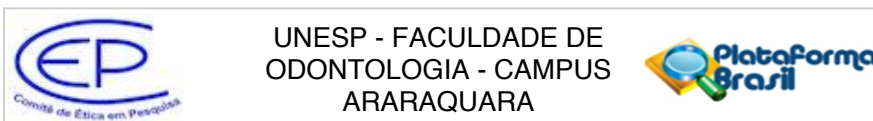
Fonte: Elaboração própria.

Análise dos Resultados

Os dados obtidos após análise das unidades formados de colônia foram convertidos em função log de base 10, agrupados em tabelas e encaminhados para avaliação estatística, de forma a permitir a comparação entre os diferentes grupos experimentais. A dados foram analisados quanto a sua distribuição considerando a estatística descritiva e teste de Shapiro Wilk. Após verificar a normalidade da distribuição dos dados e a heterocedasticidade das variâncias (Teste de Levene), utilizou-se a análise de variâncias (ANOVA) na estatística inferencial e o teste post-hoc Games-Howell para identificar a diferença entre os grupos. A análise estatística foi realizada no software

IBM® SPSS® Statistics Grad Pack 26.0 (New York, USA), um nível de significância de 5% foi adotado para todas as análises.

ANEXO - CERTIFICADO DO COMITÊ DE ÉTICA



Continuação do Parecer: 3.719.002

Assentimento / Justificativa de Ausência	TCLEClorinaFINAL.pdf	09:32:14	Zandim-Barcelos	Aceito
Cronograma	CronogramaFINAL.pdf	17/10/2019 09:32:07	Daniela Leal Zandim-Barcelos	Aceito
Folha de Rosto	FolhaRosto.pdf	09/10/2019 08:36:38	Daniela Leal Zandim-Barcelos	Aceito
Orçamento	Orcamento.pdf	09/10/2019 08:36:28	Daniela Leal Zandim-Barcelos	Aceito
Projeto Detalhado / Brochura Investigador	ProjetoCEPFINAL.pdf	07/10/2019 11:01:47	Daniela Leal Zandim-Barcelos	Aceito
Outros	Cartacumprimentonormascomite.pdf	07/10/2019 11:00:53	Daniela Leal Zandim-Barcelos	Aceito
Declaração de Instituição e Infraestrutura	Carta_Denise_CEP.pdf	07/10/2019 10:05:41	GABRIEL GARCIA DE CARVALHO	Aceito

Situação do Parecer:

Aprovado

Necessita Apreciação da CONEP:

Não

ARARAQUARA, 22 de Novembro de 2019

Assinado por:
Andréa Gonçalves
(Coordenador(a))

Endereço: HUMAITA 1680

Bairro: CENTRO

UF: SP

Município: ARARAQUARA

Telefone: (16)3301-6459

CEP: 14.801-903

E-mail: cep@foar.unesp.br

**Não autorizo a publicação deste trabalho pelo prazo de até 2 anos após a data de defesa
(28/02/2022)**

(Direitos de publicação reservado ao autor)

Araraquara, 02 de março de 2020.

Gabriel Garcia de Carvalho